



Office of the Vice Chancellor for Finance April 30, 2016

Mr. Daryl G. Purpera, CPA, CFE
Louisiana Legislative Auditor
Budget Unit
Post Office Box 94397
Baton Rouge, LA 70804

Mr. Jay Dardenne
Commissioner of Administration
Division of Administration
Post Office Box 94095
Baton Rouge, LA 70804-9095

Chairman Eric LaFleur
Joint Legislative Committee on the Budget
Post Office Box 44294
Baton Rouge, LA 70804

Dear Gentleman:

Section 18 B. (1) of Act 16 of the 2015 Legislative Session requires that no funds that are appropriated in the Act shall be transferred to a public or quasi-public agency or entity which is not a budget unit of the state unless certain regulatory stipulations are met. In addition, the section further provides that a report is to be provided by May 1st showing compliance with this section. To that end, please find attached the report and related documentation showing compliance with this section by the LSU Health Sciences Center-New Orleans.

The program and related funds that are applicable to the Section 18 requirements is the LSU/Cancer Research Consortium Cooperative Agreements managed by the LSU Health Sciences Center-New Orleans. The Louisiana Cancer Research Consortium of New Orleans was created during the 2002 Special Session of the Louisiana State Legislature. This Consortium provides a structure in which Tulane University Health Sciences Center, Louisiana State University Health Sciences Center, Xavier University and Ochsner will work together and coordinate cancer research development in preparation for recognition as a National Center institute (NCE) - Designated Cancer Center. During the 2002 Regular Legislative Session, the Legislature passed a 12-cent increase in the tax on a pack of cigarettes, three cents of which would fund infrastructure and cancer research program development for the new consortium and two cents for a smoking cessation program.

If you have any further questions in regards to the attached documents or need additional information, please do not hesitate to contact me at 504-568-4992.

Sincerely,

A handwritten signature in black ink that reads "Wendy C. Simoneaux". The signature is fluid and cursive, with the first letters of the first and last names being capitalized and prominent.

Wendy C. Simoneaux, CPA, CGFM, CGMA
Interim Vice Chancellor for Finance



Compliance with HB1, Section(B)1

Compliance with:						
Program	Reference Document	Budget with LLA	Performance Reports	Expended Funds	Audit	Goals & Objectives
Cancer Consortium	Attachment 1	X				
	Attachment 2		X			X
	Attachment 3			X		
	Attachment 4				X	
Smoking Cessation Programs	Attachment 4				X	
	Attachment 5	X		X		
	Attachment 6		X			
	Attachment 7					X



Attachment 1

EXHIBIT A-14

**Louisiana Cancer Research Consortium
Cancer Research**

**Comprehensive Budget - Revised
Fiscal Year 2015-2016**



	LSUHSC	TUHSC	XAVIER	Central Scientific	Facilities & Admin ^{**}	Shared Services ^{**}	Total Cancer Research
SOURCES OF FUNDS							
State Grant Revenue	1,205,068	1,205,068	545,571	249,432	7,005,854	329,668	10,540,662
TOTAL SOURCES OF FUNDS	1,205,068	1,205,068	545,571	249,432	7,005,854	329,668	10,540,662
USES OF FUNDS							
Salaries & Related Expenses	588,135	787,559	284,540	61,032	1,062,795	209,918	2,993,979
Professional Services	52,000	-	-	40,000	1,177,000	7,100	1,276,100
Operating Services	70,000	-	-	126,400	2,836,230	56,000	3,088,630
Supplies	186,000	223,230	134,000	2,000	81,500	52,650	679,380
Travel & Meetings	42,000	66,500	28,600	11,000	11,500	3,500	163,100
Other Charges	266,933	127,779	38,800	9,000	16,446	500	459,458
Equipment/Acquisitions	-	-	59,631	-	-	-	59,631
Reserve (MRA)	-	-	-	-	1,092,615	-	1,092,615
Reserve (Additional MRA Funding)	-	-	-	-	727,768	-	727,768
TOTAL USES OF FUNDS	1,205,068	1,205,068	545,571	249,432	7,005,854	329,668	10,540,662
NET SOURCES/USES OF FUNDS	-	-	-	-	-	-	-

*Facilities & Admin includes - General Admin, Facilities, IT, Security, EHS

**Shared Services budget include funding for the BCL and Vivarium

Exhibit B-14
LCRC Scientific Goals and Objectives - Revised
For Fiscal Year 2016

Research Capacity:	Grant Totals	New Grants
National grants NIH Qualifying	Maintain current grant funding level	6
Other grants	Increase funding from other sources by \$250,000	3
Programmatic Faculty Members	Increase Programmatic Faculty Members by 5%	

Research Productivity:	
Publications	Increase cancer publications by 5%
Presentations	Encourage faculty to present at approximately 10% more meetings.
Honors and Awards	Have significant honors or awards for Programmatic Faculty to demonstrate faculty research recognition

Clinical Trial Accrual:	Therapeutic:	Prevention:
NIH clinical trials	200	67
Pharmaceutical Clinical Trials	38	6
Investigator Initiated Trials	62	117

Research Stimulation:	
Research Seminars	Host 28 external visiting scientists to interact with faculty and give seminars.
Retreat	Hold a day-long scientific retreat for the entire LCRC faculty.
Program Meetings	Hold at least 26 smaller Programmatic faculty meetings
Leadership Meetings	Hold monthly leadership meetings to discuss cross-disciplinary research initiatives, synergies and collaborations.
Intramural Grants	Award minimum of 6 Start Up, Seed and/or Bridge grants to LCRC faculty
LCRC-Supported Core Usage:	
Biospecimen	At least 350 tumor samples added to biospecimen collection and 50 distributed for research projects.
FACS Core	250 hours of usage for approximately 24 faculty
Immunology Core	300 hours of usage for approximately 20 faculty
DNA Sequencing Core	Process 500 samples for approximately 20 faculty
Major Instrumentation Core	2518 hours of usage for approximately 19 faculty during period.
Molecular Structure and Modeling Core	3843 hours of usage for approximately 8 faculty during period.
Cell and Molecular Biology Core	994 hours of usage for approximately 11 faculty during period
Outreach:	
Community Outreach & Education	Provide a presence at community and public relations meetings of cancer support
Fundraising	Facilitate the efforts of organizations raising funds for LCRC research
Facility Maintenance:	
Maintenance Reserve Account(MRA)	Increase MRA to assure funds are available and sufficient for long-term major maintenance and repairs, in support of cancer research activity



Attachment 2



**Office of State Procurement
PROACT Contract Certification of Approval**

This certificate serves as confirmation that the Office of State Procurement has reviewed and approved the contract referenced below.

Reference Number: 440007436

Vendor: Louisiana Cancer Research Consortium

Description: operation, research support, and education for state cancer consortium

Approved By: Elizabeth Kunjappy

Approval Date: 1/08/2016

Your amendment that was submitted to OSP has been approved.

OSP# 440007436
CFMS# 599470-14
Amend # 14

FOURTEENTH AMENDMENT TO THE COOPERATIVE ENDEAVOR
AGREEMENT

BETWEEN

BOARD OF SUPERVISORS OF LOUISIANA STATE UNIVERSITY
AND AGRICULTURE AND MECHANICAL COLLEGE
LOUISIANA STATE UNIVERSITY HEALTH SCIENCES CENTER
IN NEW ORLEANS

AND THE

LOUISIANA CANCER RESEARCH CENTER OF L.S.U. HEALTH SCIENCES
CENTER IN NEW ORLEANS/TULANE HEALTH SCIENCES CENTER

Change #1

On page 3, under Section IV Payments, add: The maximum amount payable, inception to date, for the research program is \$142,883,045.

Change #2

On page 3, under Section IV Payments, add: The appropriated funds for fiscal year 2015-2016 are \$10,540,662.

Change #3

Add Exhibit A-14 to show the amended comprehensive budget for the fiscal year 2015-2016.

Change #4

Add Exhibit B-14 to show the amended goals and objectives and performance indicators for the fiscal year 2015-2016


Justification:

During the 2015 Louisiana Regular Legislative Session, Act 16 (HB1) passed which included line item funds to the Supplementary Section in the amount of \$490,000 to the Louisiana Cancer Research Center. On August 14, an action was taken by The Joint Legislative Committee on the Budget to adopt a reduction to the Supplementary Sections

and as a result, this amount was subsequently reduced to \$486,939. This amendment is required in order to reflect the increase.

IN WITNESS WHEREOF, the parties hereto have caused these present to be executed by their respective officers thereunto duly authorized as of November 20, 2015.

BOARD OF SUPERVISORS OF LOUISIANA
STATE UNIVERSITY & AGRICULTURE
AND MECHANICAL COLLEGE


By: 
F. King Alexander, Ph.D., President
Louisiana State University System

LOUISIANA STATE UNIVERSITY
HEALTH SCIENCES CENTER NEW
ORLEANS

By: 
Larry H. Hollier, M.D., Chancellor

LOUISIANA CANCER RESEARCH CENTER OF LSUHSC
IN NEW ORLEANS/TULANE HEALTH SCIENCES CENTER

By: 
Larry H. Hollier, MD
Chairman, LCRC

By: 
L. Lee Hamm, MD
Vice Chairman, LCRC
Senior Vice President & Dean, Tulane University School of Medicine

By: 
Mr. Sven Davisson
Chief Administrative Officer, LCRC



OFFICE OF THE CHANCELLOR

SCHOOL OF ALLIED HEALTH PROFESSIONS
SCHOOL OF DENTISTRY
SCHOOL OF GRADUATE STUDIES
SCHOOL OF NURSING
SCHOOL OF MEDICINE IN NEW ORLEANS
SCHOOL OF PUBLIC HEALTH

December 2, 2015

OFFICE OF THE
PRESIDENT

F. King Alexander, Ph.D., President
LSU System Office
3810 West Lakeshore Drive
Baton Rouge, LA 70808

DEC 10 2015

LSU SYSTEM

Re: Amendment #14 to the Cooperative Endeavor between LSUHSC and the Louisiana Cancer Research Center of LSUHSC/Tulane Health Sciences Center. CFMS #599470-14

Dear Dr. Alexander:

We are enclosing the above amendment to the cooperative endeavor between LSUHSC and the Louisiana Cancer Research Center of LSUHSC in New Orleans/Tulane Health Sciences Center (Cancer Consortium). During the 2015 Louisiana Regular Legislative Session, Act 16 (HB1) passed which included line item funds to the Supplementary Section in the amount of \$490,000 to the Louisiana Cancer Research Center. On August 14, an action was taken by The Joint Legislative Committee on the Budget to adopt a reduction to the Supplementary Sections and as a result, this amount was subsequently reduced to \$486,939. This amendment is required in order to reflect the increase, bringing the maximum amount payable to date to \$142,883,045. The comprehensive annual budget is incorporated as Exhibit A-14 and the statement of goals, objectives and performance measures are specified in Exhibit B-14. The agreement will continue to provide funding in order to facilitate the operation, research support, and promotion of education in the diagnosis, detection and treatment of cancer in pursuit of obtaining a National Cancer Institute designation for the Cancer Consortium.

Upon approval of said contract, please return it to the attention of: **Mary Lapworth, Contract Management, 533 Bolivar Street, Room 323, New Orleans, La. 70112.** Upon receipt, it will be submitted electronically to the Office of State Procurement via PROACT-PC. Your cooperation in this regard is greatly appreciated.

Sincerely,

A handwritten signature in blue ink that reads "Larry Hollier, M.D." with a stylized flourish at the end.

Larry Hollier, M.D.
Chancellor



OFFICE OF THE CHANCELLOR

SCHOOL OF ALLIED HEALTH PROFESSIONS
SCHOOL OF DENTISTRY
SCHOOL OF GRADUATE STUDIES
SCHOOL OF NURSING
SCHOOL OF MEDICINE IN NEW ORLEANS
SCHOOL OF PUBLIC HEALTH

December 2, 2015

Ms. Pamela Bartfay Rice, Assistant Director
Office of State Procurement, Professional Contracts
Division of Administration
P.O. Box 94095
Baton Rouge, LA 70804-9095

Re: Amendment #14 to the Cooperative Endeavor between LSUHSC and the Louisiana Cancer Research Center of LSUHSC/Tulane Health Sciences Center. CFMS #599470-14

Dear Ms. Rice:

The enclosed amendment to the cooperative endeavor is being submitted to your office for review and approval in accordance with R.S. 39:1481 et seq. The agreement is between LSUHSC and the Louisiana Cancer Research Center of LSUHSC in New Orleans/Tulane Health Sciences Center (Cancer Consortium). During the 2015 Louisiana Regular Legislative Session, Act 16 (HB1) passed which included line item funds to the Supplementary Section in the amount of \$490,000 to the Louisiana Cancer Research Center. On August 14, an action was taken by The Joint Legislative Committee on the Budget to adopt a reduction to the Supplementary Sections and as a result, this amount was subsequently reduced to \$486,939. This amendment is required in order to reflect the increase, bringing the maximum amount payable to date to \$142,883,045. The comprehensive annual budget is incorporated as Exhibit A-14 and the statement of goals, objectives and performance measures are specified in Exhibit B-14. The agreement will continue to provide funding in order to facilitate the operation, research support, and promotion of education in the diagnosis, detection and treatment of cancer in pursuit of obtaining a National Cancer Institute designation for the Cancer Consortium.

Pursuant to the guidelines set forth by OSP regarding Act 87, please see our justification for this cooperative endeavor amendment being of a "non-discretionary" purpose. There are no state general funds or over-collections funds being utilized; therefore, this contract will **not** require JLCB review and approval. This agreement falls under non-discretionary for the following reasons:

7. Contracts for services which are funded by an appropriation from the Legislature to a specific entity (HB1).

9. Contracts necessary to comply with constitutional, legislative or regulatory mandates:

- La. R.S. 17:1921 et seq – creation of the Cancer Center in accordance with 17:3390B as a private, non-public corporation, for the primary function of conducting medical research and promoting education in the diagnosis, detection and treatment of the disease of cancer;
- La. R.S. 47:841.1 - in order to provide funding for the Cancer Center, the Louisiana Legislature created the Tobacco Tax Health Care fund; an annual appropriation by the Legislature and

pursuant to R.S. 47:841.1C shall be used solely for the purposes of funding the creation of smoking prevention mass media programs and evidence-based tobacco control programs, etc.

We have notated on the BA-22 sent that this contract is being funded via **statutory dedication** as certification of the funding source.

Pursuant to **Executive Order BJ-2015-11**, LSUHSC-NEW ORLEANS will continue to expend funds from Statutory Dedicated funds for the following reasons:

- These funds are for a specific purpose, and can be used for no other purpose.
- All essential budget activities which are expressly and directly mandated by the constitution, existing court orders, existing cooperative endeavor agreements, or existing bona fide obligations; and
- All budget activities associated with Schedule 19A Higher Education.

Please let us know if you find that this suffices the new requirements, require anything else or have any further questions.

Upon approval of said contract, please return it to the attention of: Mary Lapworth, Contract Management, 533 Bolivar Street, Room 323, New Orleans, La. 70112. Your cooperation in this regard is greatly appreciated.

Sincerely,



Larry Hollier, M.D.
Chancellor

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Cancer Research
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Facility Maintenance:	
Maintenance Reserve Account(MRA)	Increase MRA to assure funds are available and sufficient for long-term major maintenance and repairs, in support of cancer research activity



Attachment 3



Louisiana Cancer Research Center

Cancer Research

OCR 604-300411: CFMS 599470

Semiannual Report

July 1, 2015 – December 31, 2015

**A partnership of LSU Health Sciences Center in New Orleans, Tulane Health Sciences Center, Xavier University of Louisiana,
and Ochsner Health Systems**

Semi-Annual Progress Report Narrative July 1, 2015 – December 31, 2015

The Louisiana Cancer Research Center of L.S.U. Health Sciences Center in New Orleans/Tulane Health Sciences Center (LCRC) was created in 2002, with the primary purpose of conducting research and promoting education in the diagnosis, detection, and treatment of cancer, in its pursuit of obtaining a National Cancer Institute (NCI) designation. The two components of LCRC are the Cancer Research Component and the Cessation Component. As required by statute and pursuant to the Cancer Research CEA, OCR #604-300411, CFMS #599470 as amended, LCRC is required to submit semiannual progress reports which summarize its activities and outline the objectives achieved during a prescribed period. This report is designed to fulfill this requirement for the period ended December 31, 2015.

Cancer Research Programs

- Despite unprecedented competition, LCRC faculty members across the member institutions were awarded **9 new qualifying grants** during this reporting period.
- Additionally, the LCRC **recruited two new cancer research faculty members** during this period. These scientists, some of whom will be relocating to our area, bring with them additional grant support and laboratory personnel, who will become active wage earners and contributors to the area economy.
- Scientific publications and presentations at national and international meetings are indicators of academic esteem, productivity and progress. During this reporting period, LCRC faculty published a total of **187 scientific publications** in peer-reviewed academic journals, **18 of which were inter-institutional joint publications** (those including authors from multiple LCRC partner institutions), an indicator of increased collaboration among LCRC faculty.
- Additionally, our faculty members were invited to give **54 poster or podium presentations** at prestigious scientific meetings of their peers across the globe over the past six months.
- Many granting agencies utilize Study Sections to help direct where all-important and much-sought-after grant dollars will be invested. When our faculty members are invited to participate in Study Sections, they are helping to steer financial research support to those projects that appear most capable of bringing us all closer to new discoveries and new cures. They are helping to influence the direction of our collective scientific progress - a prestigious honor. During this reporting period, LCRC faculty members participated in **29 Study Sections**, both at the federal level and for other non-governmental granting agencies.
- A robust, responsive clinical research program that serves the needs of our catchment area will be one of the criteria the National Cancer Institute will utilize when considering

the LCRC for comprehensive cancer center designation. Therefore, continued development and growth of our clinical research enterprise is the goal. The LCRC partner institutions involved in clinical research enrolled a total of **90 patients in therapeutic cancer trials** and **99 in cancer prevention trials** during this reporting period. Of the therapeutic trial accruals, 75 were in NIH trials, 12 were in pharmaceutical trials, and 3 were in investigator-initiated trials conceived by LCRC clinical faculty members. Additionally, **107 patients were enrolled into observational NIH trials.**

- In an effort to fulfill the goal of fostering and maximizing opportunities for scientific collaboration, the LCRC supports a number of initiatives. Through our invited speaker series, **21 seminars** featuring prominent cancer scientists from all over the world were held during this reporting period. LCRC faculty from all partner institutions and others attend these seminars, where they can discuss the presentations not only with the invited speakers, but also among themselves, perhaps initiating new collaborations.
- Another major collaborative initiative is the Annual LCRC Scientific Retreat, during which scientists from each of the partner institutions gather to present their data and discuss their projects. Planning is well underway for the **2016 retreat, scheduled to take place on Friday, April 22, 2016.**
- One of the major services a cancer center provides its researchers is access to state-of-the-art Core facilities stocked with the latest scientific equipment that they can utilize to gather the data they need to write successful manuscripts, compete for grants, etc. Cores provide researchers with access to expensive machinery that few if any individual labs could afford on their own. The LCRC partner institutions support several Core facilities that are utilized by faculty members across the partnership. During this reporting period, **59 faculty members utilized Cores facilities for approximately 6,239 total hours.**

Administrative & Governance

This reporting period continues a pivotal period of change and growth for LCRC as the Board pursues a new strategic direction.

- The Election of Officers was held at the July, 2015 Board meeting. Dr. Larry Hollier was elected to serve as Board Chairman with Dr. L. Lee Hamm, III assuming the position of Vice Chairman. Rotation of the Chairman and Vice Chairman positions between the two health sciences center is legislatively mandated. Ms. Barbara Goodson was reelected to the dual position of Secretary/Treasurer.
- Dr. Gene D'Amour was elected to serve as a member of the Board, representing Xavier University. Dr. Norman Francis resigned from the Board in June, 2015 during the same timeframe as his retirement from his position as President of Xavier University.
- Additionally, during this period, Mr. Cleland Powell, Vice President of Iberia Bank tendered his resignation from the LCRC Board which was accepted at the June meeting.

He was replaced as an LSUHSC appointed community member by Senator Edwin Murray.

- Another major milestone related to LCRC administration and governance was the recruitment of Mr. Sven Davisson, CRA as a full-time Chief Administrative Officer (CAO). Sven was hired to replace Mr. Aaron Miscenich whose four-year tenure ended on July 31, 2015. Sven has spent over a decade in key leadership positions at The Jackson Laboratory in Bar Harbor, Maine, which is an internationally recognized leader in cancer research and one of 69 NCI- designated cancer centers in the United States. Sven's primary role at LCRC is to guide and assist LCRC and its collaborative partners in achieving their collective mission to promote education and conduct research in the diagnosis, detection and treatment of cancer in pursuit of an NCI designation. Sven's effective date as LCRC's CAO is August 1, 2015.

Financial Summary

- The fiscal year ending June 30, 2015 officially closed with the completion of the State required financial audit and comprehensive annual financial report (CAFR) which were submitted to the State of Louisiana by the contractual due date of August 30, 2015. This year, the LCRC received yet another clean unmodified opinion on its financial statements; with no management letter, questioned costs or findings. LCRC has received clean financial audits every year since its commenced business in fiscal year 2003. LCRC ended fiscal year 15 with \$115M in net assets compared to \$113M last year; representing an approximate \$2M or (2%) increase in net assets. The increase is due to an increase in the construction-in-progress asset and decrease in expenses. The audit was formerly presented to the Board of LCRC at its September, 2015 meeting.
- Also, at the end of fiscal year, 2015 LCRC collected (realized) its entire state appropriated budget as compared to the results of the previous three fiscal years which averaged under collections of approximately 4% of budget. This year's collection results helped slightly improve LCRC's financial position.
- LCRC continues its sound finance and business practices which are critical in safeguarding against major unforeseen events. LCRC did not experience any such events during this reporting period.
- Phase II of the LCRC construction project continues to progress well. This phase includes the build out of two additional floors, including one floor dedicated to clinical research activities and an additional lab floor dedicated to cancer lab research. A total of \$7.5M was invested this period toward the build-out of the two floors, bringing the total invested to date in the LCRC facility to \$93.5M. A generous state capital outlay grant largely funds the construction project.
- Spending on "state of the art" research equipment for this reporting period totaled \$7K, resulting in an investment to date total of \$7.5M. Only one item was purchased this period which is an Eppendorf Centrifuge for use in an LSU Cancer Center lab within the

LCRC building. LCRC expects to purchase additional state-of-the-art research equipment as it nears the completion of the current build out.

- Spending on cancer research program activities, including program development and shared resources is reported at 1.3M or 36% of the total spent this period. Although, investment in programs has increased over the same period last year, the proportion of operations to program spending is still significantly higher than in previous years due to the high cost of operating the LCRC building. The total amount spent this period was \$3.7M.
- LCRC continues to show its commitment to its core mission, which is supporting cancer research, education, and patient care in pursuit of an NCI designation. The operation of the state-of-the-art research facility and the investment in equipment are crucial to fostering program integration and collaboration amongst the LCRC partners, and are also key elements in facilitating ongoing recruitment activities in pursuit of NCI designation.

**Louisiana Cancer Research Center
Board of Directors**

Dr. Lee Hamm, Chairman
Senior Vice President and Dean
Tulane University School of Medicine

Dr. Larry Hollier, Vice Chairman
Chancellor
LSU Health Sciences Center-New Orleans

Ms. Barbara Goodson, Secretary/Treasurer
Associate Commissioner for Finance & Administration
Board of Regents

Dr. Gene D'Amour
Special Assistant to the President
Xavier University of Louisiana

Dr. William Pinsky
Executive VP & Chief Academic Officer
Ochsner Health System

Dr. Steve Nelson
Dean, School of Medicine
LSU Health Sciences Center–New Orleans

Dr. Oliver Sartor
Medical Director
Tulane Cancer Center, Tulane University School of Medicine

Ms. Carroll Suggs

Mr. Ashton Ryan, Jr.
President & CEO
First NBC Bank

Ms. Pamela Ryan

Mr. Paige Sensenbrenner
Board Counsel
Adams and Reese, LLP

Scientific Leadership

Dr. Augusto Ochoa, Co-Director, LCRC
Director, Stanley S. Scott Cancer Center
LSU Health Sciences Center-New Orleans

Dr. Prescott Deininger, Co-Director LCRC
Director, Tulane Cancer Center
Tulane University, School of Medicine

Dr. Thomas Wiese, Associate Director, LCRC
Associate Professor
Xavier University of Louisiana

Dr. John Cole, Associate Director, LCRC
Chairman, Hematology & Oncology
Ochsner Health System

Administrative Leadership

Mr. Sven Davisson, CRA
Chief Administrative Officer

Ms. Deborah Reeder, MBA
Chief Financial Officer

Ms. Vanessa Pittman
Executive Assistant

**LCRC - Cancer Research
 Semiannual Report Statistical Summary
 Reporting Period 7/1/15 - 12/31/15 (w/comparative)
 Fiscal Year 2015-2016**

Performance Indicator	Reporting Period: 7/1/2014- 12/31/2014	Reporting Period: 1/1/2015- 6/30/2015	Reporting Period: 7/1/2015- 12/31/2015
Research Capacity:			
New NIH Qualifying grants awarded in period	10	14	9
Faculty Members Recruited	0	0	2
Research Productivity:			
Publications	154	191	187
Presentations	108	99	54
Honors and Awards	34	25	22
Study Sections	33	31	29
Clinical Trial Accrual during period			
NIH clinical trials	55	66	36
Pharmaceutical Clinical Trials	9	17	2
Investigator-Initiated Trials	5	4	3
			61 (48 Dr. Silberstein Specimen; 13 Dr. Sartor Taste Study)
Research Stimulation:			
Research Seminars	23	25	21

Performance Indicator		Reporting Period: 7/1/2014-12/31/2014	Reporting Period: 1/1/2015-6/30/2015	Reporting Period: 7/1/2015-12/31/2015
Retreat	Retreat Scheduled		The Annual Scientific Retreat was held on April 10, 2015. The keynote speaker was Samir N. Khleif, M.D. The topic of the keynote address was "Combination Immune Therapy: Informed and Intelligent Strategies"	The Annual Scientific Retreat will be held on April 22, 2016.
Program Meetings		30	0	39

LCRC-Supported Core Usage:				
Major Instrumentation Core		2925 hours of usage for approximately 19 faculty during period	5,260 hours of usage for approximately 20 faculty during period	5451 hours of usage for approximately 41 faculty during period
Molecular Structure and Modeling Core		1666 hours of usage for approximately 7 faculty during period	1,022 hours of usage for approximately 7 faculty during period	804 hours of usage for approximately 14 faculty during period
Cell and Molecular Biology Core		710 hours of usage for approximately 15 faculty during period	771 hours of usage for approximately 14 faculty during period	1294 hours of usage for approximately 135 faculty during period
FACS Core		132 hours of usage for approximately 22 faculty	118 hours of usage	122 hours of usage for approximately 24 faculty during period
Cellular Immunology and Immune Metabolism Core		330 hours of usage	353 hours of usage for approximately 23 faculty	Combined per above
Molecular Histopathology & Analytical Microscopy Core		175 hours of usage	751 hours of usage for approximately 28 faculty	Combined per above
Translational Genomics Core		267 hours of usage	1,356 hours of usage for approximately 26 faculty	Combined per above
Biospecimen		265 new cases; 11,300 samples	290 new cases; 8990 samples	186 new cases; approx. 6,510 samples

**Louisiana Cancer Research Center - Cancer Research
Semi-annual Report Expenditure Summary
Fiscal Year 2015-2016**

	Operating Budget/Appropriated	Period 7/1/15-12/31/15 (Quarters 1 & 2) - Reporting Period	Period 1/1/16-6/30/16 (Quarters 3 & 4)	Fiscal Year Total
--	----------------------------------	--	---	----------------------

Sources of Funds

General Fund	-	-	-	-
Statutory Dedications	10,053,723	5,026,862	-	5,026,862
Total Sources of Funds	10,053,723	5,026,862	-	5,026,862

Uses of Funds by Object (Account):

Salaries & Related		1,441,861	-	1,441,861
Travel		31,105	-	31,105
Operating Services		1,501,395	-	1,501,395
Supplies		163,560	-	163,560
Professional Services		410,969	-	410,969
Other Charges		99,647	-	99,647
Major Repairs		-	-	-
Acquisitions		43,394	-	43,394
Maintenance Reserve Fund (MRA)		666,722	-	666,722
Total Uses of Funds		4,358,652	-	4,358,652

Uses of Funds by Components:

LSUHSC		684,632	-	684,632
TUHSC		326,209	-	326,209
XULA		155,530	-	155,530
Programs Other (Shared Resources)		153,931	-	153,931
Facilities & Administrative		2,335,628	-	2,335,628
R&R Reserve (MRA)		666,722	-	666,722
Property, Plant, & Equipment (General)*		36,000	-	36,000
Total Uses of Funds		4,358,652	-	4,358,652

Net Sources/Uses	668,209	-	668,209
	13%	#DIV/0!	13%

*an additional \$7.5M was invested in plant this year which is payable from a capital outlay grant, not included in the revenue above



Attachment 4


LOUISIANA LEGISLATIVE AUDITOR
 Daryl G. Purpera

(1)

Reports & Data

[State of Louisiana Single Audit 2015 \(https://LLA.La.gov/gov/SAA/2015\)](https://LLA.La.gov/gov/SAA/2015)

[Louisiana Comprehensive Financial Report 2015 \(https://app.la\)](https://app.la)

Audit Reports
(/reports_data/)

Reports from Current
Week (/reports_data/)

Reports from Last 3
Weeks (/reports_data/Audit/last3Weeks/)

Audit Reports by Parish
(/reports_data/Audit/ParishAudit/)

Audit Reports by Date
(/reports_data/Audit/Date/)

Audit Reports by Agency
(/reports_data/Audit/Agency/)

Audit Reports by Audit
Type (/reports_data/Audit/AuditType/?
Financial)

Reports Advanced Search
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Actuary Reports
(/reports_data/Actuary/)

Non-Compliance Reports
(/reports_data/NonCompliance/)

Local Government Financials
(/reports_data/LocalGovFinancials/)

Energy Efficiency Schedule
(/reports_data/EnergyEfficiency/)

LLA External Peer Review
(/reports_data/ExternalPeerReview/)

Press Releases
(/reports_data/PressReleases/)

Annual Reports
(/reports_data/AnnualReports/)

Audit Reports by Agency

1 2 A B C D E F G H I J K L M N O P Q R S T U V W X Y Z				
Agency Name: -- Select an Agency --				
Agency	Period	Issue Date	Audit Type	
Louisiana Cancer Research Center (Control #72153100) Full Report	7/1/2014	-	09/08/2015	CPA
	6/30/2015	-	-	Contracted
Louisiana Cancer Research Center (Control #72143255) Full Report	7/1/2013	-	09/10/2014	CPA
	6/30/2014	-	-	Contracted
Louisiana Cancer Research Center (Control #72130076) Full Report	7/1/2012	-	10/23/2013	CPA
	6/30/2013	-	-	Contracted
Louisiana Cancer Research Center (Control #72120228) Full Report	7/1/2011	-	10/10/2012	CPA
	6/30/2012	-	-	Contracted
Louisiana Cancer Research Center (Control #72111904) Full Report	7/1/2010	-	10/05/2011	CPA
	6/30/2011	-	-	Contracted
Louisiana Cancer Research Center (Control #72100044) Full Report	7/1/2009	-	09/29/2010	CPA
	6/30/2010	-	-	Contracted
Louisiana Cancer Research Center (Control #72090131) Full Report	7/1/2008	-	09/23/2009	CPA
	6/30/2009	-	-	Contracted
Louisiana Cancer Research Center (Control #72081176) Full Report	7/1/2007	-	11/12/2008	CPA
	6/30/2008	-	-	Contracted
Louisiana Cancer Research Center	7/1/2006	-	-	CPA



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**LOUISIANA CANCER RESEARCH CENTER
OF L.S.U. HEALTH SCIENCES CENTER
IN NEW ORLEANS/TULANE
HEALTH SCIENCES CENTER**

**FINANCIAL STATEMENTS AND REPORT OF
INDEPENDENT CERTIFIED PUBLIC ACCOUNTANTS**

June 30, 2015

**CASCIO & SCHMIDT, LLC
Certified Public Accountants**

**LOUISIANA CANCER RESEARCH CENTER
OF L.S.U. HEALTH SCIENCES CENTER
IN NEW ORLEANS/TULANE
HEALTH SCIENCES CENTER**

TABLE OF CONTENTS

	PAGE
FINANCIAL	
Report of Independent Certified Public Accountants	1 - 3
Statement of Financial Position	4
Statement of Activities	5
Statement of Cash Flows	6 - 7
Notes to Financial Statements	8 - 19
SUPPLEMENTAL INFORMATION	
Statement of Revenues and Expenses by Program	21
Schedule of Compensation, Benefits and Other Payments to Agency Head	22
REPORT REQUIRED BY GOVERNMENT AUDITING STANDARDS	
Report on Internal Control over Financial Reporting and on Compliance and Other Matters based on an Audit of the Financial Statements Performed in Accordance with <i>Government Auditing Standards</i>	24 - 25
Schedule of Findings and Responses	26
OTHER SUPPLEMENTARY INFORMATION REQUIRED BY THE STATE OF LOUISIANA	28 - 37

FINANCIAL

CASCIO & SCHMIDT, LLC

CERTIFIED PUBLIC ACCOUNTANTS

FRANCIS J. CASCIO, CPA
STEVEN A. SCHMIDT, CPA

MEMBERS
AMERICAN INSTITUTE OF CERTIFIED
PUBLIC ACCOUNTANTS
SOCIETY OF LOUISIANA CERTIFIED
PUBLIC ACCOUNTANTS

REPORT OF INDEPENDENT CERTIFIED PUBLIC ACCOUNTANTS

To the Board of Directors
Louisiana Cancer Research Center of L.S.U. Health Sciences Center
in New Orleans/Tulane Health Sciences Center

Report on the Financial Statements

We have audited the accompanying financial statements of Louisiana Cancer Research Center of L.S.U. Health Sciences Center in New Orleans/Tulane Health Sciences Center (a nonprofit corporation), which comprise the statement of financial position as of June 30, 2015, and the related statements of activities and cash flows for the year then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal controls relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant

to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Louisiana Cancer Research Center of L.S.U. Health Sciences Center in New Orleans/Tulane Health Sciences Center as of June 30, 2015, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Report on summarized Comparative Information

We have previously audited Louisiana Cancer Research Center of L.S.U. Health Sciences Center in New Orleans/Tulane Health Sciences Center's 2014 financial statements, and our report dated August 22, 2014, expressed an unmodified opinion on those audited financial statements. In our opinion, the summarized comparative information presented herein as of and for the year ended June 30, 2014, is consistent, in all material respects, with the audited financial statements from which it has been derived.

Other Matters

Other Information

Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The supplemental statement of revenues and expenses by program on page 21, the schedule of compensation, benefits and other payments to agency head on page 22, and the accompanying supplementary information required by the State of Louisiana on pages 28 through 37, for the year ended June 30, 2015, are presented for the purpose of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements taken as a whole.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated August 24, 2015, on our consideration of Louisiana Cancer Research Center of L.S.U. Health Sciences Center in New Orleans/Tulane Health Sciences Center's internal control over financial reporting and our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering Louisiana Cancer Research Center of L.S.U. Health Sciences Center in New Orleans/Tulane Health Sciences Center's internal control over financial reporting and compliance.

Carris + Schmidt, P.C.

Metairie, Louisiana
August 24, 2015

**LOUISIANA CANCER RESEARCH CENTER OF L.S.U.
HEALTH SCIENCES CENTER IN NEW ORLEANS/
TULANE HEALTH SCIENCES CENTER**

STATEMENT OF FINANCIAL POSITION

June 30, 2015

ASSETS

		Summarized Comparative Information <u>June 30, 2014</u>
CURRENT ASSETS		
Cash (Note B)	\$ 15,443,649	\$ 19,217,950
Investments (Notes A7, A8, C and I)	6,825,563	7,902,774
Receivable, grants (Note D)	7,728,380	3,601,965
Receivables, other	379,730	292,533
Prepaid expenses	<u>151,000</u>	<u>224,766</u>
Total current assets	30,528,322	31,239,988
 PROPERTY AND EQUIPMENT (Notes A-10 and E)	 <u>85,994,689</u>	 <u>85,037,134</u>
 OTHER ASSETS		
Maintenance Reserve Account (Notes C and J)	4,376,653	3,277,845
Deposits	<u>52,400</u>	<u>52,400</u>
Total assets	<u>\$ 120,952,064</u>	<u>\$ 119,607,367</u>

LIABILITIES AND NET ASSETS

CURRENT LIABILITIES		
Accounts payable, trade	\$ 6,028,917	\$ 6,920,682
Accrued liabilities	<u>89,741</u>	<u>79,924</u>
Total liabilities	6,118,658	7,000,606
 COMMITMENTS (Note J)		
	-	-
 NET ASSETS (Note A-2)		
Unrestricted	790,567	3,545,291
Temporarily restricted	<u>114,042,839</u>	<u>109,061,470</u>
Total Net Assets	<u>114,833,406</u>	<u>112,606,761</u>
 Total liabilities and net assets	 <u>\$ 120,952,064</u>	 <u>\$ 119,607,367</u>

The accompanying notes are an integral part of this statement.

**LOUISIANA CANCER RESEARCH CENTER OF L.S.U.
HEALTH SCIENCES CENTER IN NEW ORLEANS/
TULANE HEALTH SCIENCES CENTER**

STATEMENT OF ACTIVITIES

Year Ended June 30, 2015

	<u>UNRESTRICTED</u>	<u>TEMPORARILY RESTRICTED</u>	<u>TOTAL</u>	<u>Summarized Comparative Information June 30, 2014</u>
REVENUES				
Grants (Note D)	\$ -	\$ 19,427,501	\$ 19,427,501	\$ 15,956,691
Lease income	1,522,067	-	1,522,067	1,414,784
Other	78,864	-	78,864	182,297
Fund-raising	202,227	-	202,227	202,147
Net assets released from restrictions	<u>14,446,132</u>	<u>(14,446,132)</u>	<u>-</u>	<u>-</u>
Total Revenues	<u>16,249,290</u>	<u>4,981,369</u>	<u>21,230,659</u>	<u>17,755,919</u>
EXPENSES				
Research expenses	5,955,257	-	5,955,257	7,148,979
Cessation expenses	5,970,124	-	5,970,124	7,536,666
Salaries and related benefits	911,431	-	911,431	1,100,262
Operating services	2,394,624	-	2,394,624	2,717,529
Supplies	25,922	-	25,922	61,327
Professional services	651,659	-	651,659	795,745
Travel & meeting expenses	5,279	-	5,279	5,534
Depreciation	2,830,202	-	2,830,202	2,908,872
Fund-raising	130,912	-	130,912	57,086
Fund-raising distributions	114,860	-	114,860	447,627
Other	<u>13,744</u>	<u>-</u>	<u>13,744</u>	<u>139,385</u>
Total Expenses	<u>19,004,014</u>	<u>-</u>	<u>19,004,014</u>	<u>22,919,012</u>
INCREASE IN NET ASSETS	(2,754,724)	4,981,369	2,226,645	(5,163,093)
NET ASSETS, BEGINNING OF YEAR	<u>3,545,291</u>	<u>109,061,470</u>	<u>112,606,761</u>	<u>117,769,854</u>
NET ASSETS, END OF YEAR	\$ <u>790,567</u>	\$ <u>114,042,839</u>	\$ <u>114,833,406</u>	\$ <u>112,606,761</u>

The accompanying notes are an integral part of this statement.

**LOUISIANA CANCER RESEARCH CENTER OF L.S.U.
HEALTH SCIENCES CENTER IN NEW ORLEANS/
TULANE HEALTH SCIENCES CENTER**

STATEMENT OF CASH FLOWS

Year Ended June 30, 2015

		Summarized Comparative Information <u>June 30, 2014</u>
CASH FLOWS FROM OPERATING ACTIVITIES		
Grant revenue	\$ 15,301,086	\$ 16,057,892
Interest income	24,391	11,869
Other	<u>1,669,973</u>	<u>2,284,355</u>
	16,995,450	18,354,116
 Payments to employees and suppliers	 <u>16,981,994</u>	 <u>15,811,374</u>
 Net Cash Provided by Operating Activities	 13,456	 2,542,742
CASH FLOWS FROM INVESTING ACTIVITIES		
Acquisition of property and equipment	(212,307)	(315,541)
Construction in progress	<u>(3,575,450)</u>	<u>(406,142)</u>
 Net Cash Used in Investing Activities	 (3,787,757)	 (721,683)
CASH FLOWS FROM FINANCING ACTIVITIES		
Insurance financing payments	<u>-</u>	<u>(158,829)</u>
 Net Cash Provided (Used) by Financing Activities	 -	 (158,829)
 Net Increase (Decrease) in Cash and Cash Equivalents	 (3,774,301)	 1,662,230
 Cash and Cash Equivalents at Beginning of Year	 <u>19,217,950</u>	 <u>17,555,720</u>
 Cash and Cash Equivalents at End of Year	 <u>\$ 15,443,649</u>	 <u>\$ 19,217,950</u>

The accompanying notes are an integral part of this statement.

LOUISIANA CANCER RESEARCH CENTER OF L.S.U.
HEALTH SCIENCES CENTER IN NEW ORLEANS/
TULANE HEALTH SCIENCES CENTER

STATEMENT OF CASH FLOWS

Year Ended June 30, 2015

RECONCILIATION OF INCREASE (DECREASE) IN NET ASSETS TO NET CASH PROVIDED BY OPERATING ACTIVITIES	Summarized Comparative Information <u>June 30, 2014</u>
Increase (decrease) in net assets	\$ <u>2,226,645</u> \$ <u>(5,163,093)</u>
Adjustments to reconcile increase in net assets to net cash provided (used) by operating activities:	
Depreciation	2,830,202 2,908,872
(Increase) Decrease in operating assets:	
Receivables	(4,213,612) 615,306
Investments	(21,597) (17,109)
Prepaid expenses	73,766 (7,986)
Increase (decrease) in accounts payable and accrued liabilities	(881,948) <u>4,206,752</u>
Total adjustments	<u>(2,213,189)</u> <u>7,705,835</u>
Net Cash Provided by Operating Activities	\$ <u>13,456</u> \$ <u>2,542,742</u>

The accompanying notes are an integral part of this statement.

**LOUISIANA CANCER RESEARCH CENTER OF L.S.U.
HEALTH SCIENCES CENTER IN NEW ORLEANS/
TULANE HEALTH SCIENCES CENTER**

NOTES TO FINANCIAL STATEMENTS

June 30, 2015

NOTE A - SUMMARY OF ACCOUNTING POLICIES

A summary of the corporation's significant accounting policies consistently applied in the preparation of the accompanying financial statements follows:

1. Nature of Activities

Louisiana Cancer Research Center of L.S.U. Health Sciences Center in New Orleans/Tulane Health Sciences Center (the "Center") was incorporated June 7, 2002 under the laws of the State of Louisiana. The Center is a not-for-profit organization that is exempt from income taxes under Section 501(c)(3) of the Internal Revenue code, and qualifies as an organization that is not a private foundation as defined in Section 509(a) of the Code. It is exempt from Louisiana income tax under the authority of R.S. 47:121(5).

The Center was organized for charitable, educational and scientific purposes. The primary purpose is to conduct and support research and promote education in the diagnosis, detection and treatment of cancer in the pursuit of obtaining the National Cancer Institute designation for its member institutions, the Louisiana State University Health Sciences Center in New Orleans, the Tulane University Health Sciences Center, Xavier University of Louisiana and Ochsner Health System. The Center is controlled by a Board of Directors, most whose members are representatives of the member institutions.

2. Financial Statement Presentation

The Center's financial statements are presented in accordance with the requirements established by the Financial Accounting Standards Board (FASB) Accounting Standards Codification ASC as set forth in FASB ASC 958. Accordingly, the net assets of the Center are reported in each of the following classes: (a) unrestricted net assets, (b) temporarily restricted net assets and (c) permanently restricted net assets. There were no permanently restricted net assets during the year ended June 30, 2015.

Net assets of the restricted class are created only by donor-imposed restrictions on their use. All other net assets, including board-designated or appropriated amounts, are legally unrestricted, and are reported as part of the unrestricted class.

The financial statements of the Center are prepared on the accrual basis of accounting.

LOUISIANA CANCER RESEARCH CENTER OF L.S.U.
HEALTH SCIENCES CENTER IN NEW ORLEANS/
TULANE HEALTH SCIENCES CENTER

NOTES TO FINANCIAL STATEMENTS - Continued

June 30, 2015

NOTE A - SUMMARY OF ACCOUNTING POLICIES - Continued

3. Reporting Entity

Using the criteria established in GASB Statement No. 14, *The Financial Reporting Entity* as amended by GASB Statement No. 39, *Determining Whether Certain Organizations are Component Units*, the Center is reported as a discretely presented component unit of the State of Louisiana, since it is legally separate from, and is financially accountable to, the State of Louisiana.

Annually, the State of Louisiana issues a comprehensive financial report, which includes the activity contained in the accompanying financial statements. The Louisiana Legislative Auditor audits the basic financial statements of the State of Louisiana.

4. Revenue Recognition

Grant revenue is recognized as it is earned in accordance with approved contracts. Contributions are recognized as income in the period received and is reported as unrestricted or restricted depending on the existence of donor stipulations that limit the use of the support. When a donor restriction expires, that is, when a stipulated time restriction ends or purpose restriction is accomplished, and when grant services are performed, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activity as "net assets released from restrictions."

5. Functional Allocation of Expenses

The expenses of providing program and other activities have been summarized on a functional basis in Note G. Certain of those expenses have been allocated among the program and supporting services benefitted based on estimates by management of the costs involved.

6. Cash and Cash Equivalents

For the purpose of the statement of cash flows, the Center considers all investments with original maturities of three months or less to be cash equivalents.

LOUISIANA CANCER RESEARCH CENTER OF L.S.U.
HEALTH SCIENCES CENTER IN NEW ORLEANS/
TULANE HEALTH SCIENCES CENTER

NOTES TO FINANCIAL STATEMENTS - Continued

June 30, 2015

NOTE A - SUMMARY OF ACCOUNTING POLICIES - Continued

7. Investments

The Center accounts for investments under Statement of Financial Accounting Standards ASC 958, *Accounting for Certain Investments Held by Not for-Profit Organizations*. Under ASC 958, investments in equity securities with readily determinable fair values and all investments in debt securities are reported at their fair values. For valuation purposes, fair value is measured using quoted prices in active markets.

8. Receivables

The Center considers receivables to be fully collectible, since the balance consists principally of payments due under governmental contracts. If amounts due become uncollectible, they will be charged to operations when that determination is made.

9. Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

10. Property and Equipment

Property and equipment are stated at cost, less accumulated depreciation. Depreciation is provided for in amounts sufficient to relate the costs of depreciable assets to operations over their estimated service lives, on a straight-line basis. It is the policy of the Center to capitalize property and equipment with an acquisition cost of \$5,000 or greater.

11. Subsequent Events

Subsequent events have been evaluated through August 24, 2015, the date the financial statements were available to be issued.

12. Summarized Comparative Information

The financial statements include certain prior-year summarized comparative information in total, but not by net asset class. Such information does not include sufficient detail to constitute a presentation in conformity with generally accepted accounting principles. Accordingly, such information should be read in conjunction with the Center's financial statements for the year ended June 30, 2014, from which the summarized information was derived.

**LOUISIANA CANCER RESEARCH CENTER OF L.S.U.
HEALTH SCIENCES CENTER IN NEW ORLEANS/
TULANE HEALTH SCIENCES CENTER**

NOTES TO FINANCIAL STATEMENTS - Continued

June 30, 2015

NOTE B - CASH

At June 30, 2015, the Center's cash on deposit and investments with financial institutions were covered by federal depository insurance, and collateralized by securities in the Center's name held by the Federal Reserve Bank.

NOTE C - INVESTMENTS

At June 30, 2015, investments, money market accounts, consisted of the following:

	<u>Fair Market Value</u>	<u>Cost</u>
Investments	\$ 6,825,563	\$ 6,761,425
Maintenance Reserve Account funds	<u>4,376,653</u>	<u>4,335,249</u>
Total investments	<u>\$ 11,202,216</u>	<u>\$ 11,096,674</u>

The unrealized appreciation for the year ended June 30, 2015 totaled \$21,597. The accumulated unrealized appreciation as of June 30, 2015 totaled \$105,542.

NOTE D - GRANTS RECEIVABLE AND REVENUE

Grants receivable and revenue consisted of the following at June 30, 2015:

	<u>Receivable</u>	<u>Revenue</u>
Louisiana State University Health Sciences Center in New Orleans - Cancer Research	\$ 2,395,000	\$ 9,580,002
Louisiana State University Health Sciences Center in New Orleans - Cessation Program	<u>1,633,973</u>	<u>6,535,890</u>
Total Louisiana State Health Sciences Center	4,028,973	16,115,892
Department of Economic Development and Office of Facility Planning and Control - Cooperative Endeavor Agreement	<u>3,699,407</u>	<u>3,311,609</u>
Totals	<u>\$ 7,728,380</u>	<u>\$ 19,427,501</u>

**LOUISIANA CANCER RESEARCH CENTER OF L.S.U.
HEALTH SCIENCES CENTER IN NEW ORLEANS/
TULANE HEALTH SCIENCES CENTER**

NOTES TO FINANCIAL STATEMENTS - Continued

June 30, 2015

NOTE E - PROPERTY AND EQUIPMENT

Property and equipment consists of the following:

	<u>2015</u>	<u>2014</u>
Building	\$ 84,990,277	\$84,990,277
Research equipment	7,807,338	7,595,031
Office furniture and equipment	<u>1,115,299</u>	<u>1,115,299</u>
Total	93,912,914	93,700,607
Less: accumulated depreciation	<u>(12,653,235)</u>	<u>(9,823,033)</u>
Total property and equipment, net	81,259,679	83,877,574
Land	671,808	671,808
Construction in progress	<u>4,063,202</u>	<u>487,752</u>
Total property and equipment	<u>\$ 85,994,689</u>	<u>\$ 85,037,134</u>

The construction in progress is for the build out of two additional floors. The total cost of the build out of the floors is estimated to be \$18,000,000, funded by a State Capital Outlay Grant.

Depreciation expense for the year ended June 30, 2015 amounted to \$2,830,202.

NOTE F - RETIREMENT PLAN

All full-time Center employees are eligible to participate in a 403 (b) retirement plan. The existing 403 (b) plan is a tax-sheltered annuity (TSA) plan, currently administered by TIAA-CREF. Although eligible employees are not required to participate in the Plan, contributions are made by the Center as part of the established benefits package. The Plan also allows for employee contributions with a matching of up to 6% of the employee's annual compensation. The Center contributed \$47,091 to the Plan for the year ended June 30, 2015.

**LOUISIANA CANCER RESEARCH CENTER OF L.S.U.
HEALTH SCIENCES CENTER IN NEW ORLEANS/
TULANE HEALTH SCIENCES CENTER**

NOTES TO FINANCIAL STATEMENTS - Continued

June 30, 2015

NOTE G - SCHEDULE OF FUNCTIONAL EXPENSES

A Schedule of Function Expenses for the year ended June 30, 2015 is as follows:

	<u>Program Expenses</u>	<u>Management and General</u>	<u>Fund Raising</u>	<u>Total</u>
Salaries and related expenses	\$ 6,371,784	\$ 911,431	\$ -	\$ 7,283,215
Professional services	3,639,368	651,659	14,450	4,305,477
Operating services	731,406	2,394,624	20,400	3,146,430
Fund-raising expenses	-	-	68,868	68,868
Supplies	686,623	25,921	16,763	729,307
Other expenses	335,458	13,743	1,000	350,201
Travel & meeting expense	160,744	5,279	9,431	175,454
Depreciation expense	2,830,202	-	-	2,830,202
Fund-raising distributions	-	-	<u>114,860</u>	<u>114,860</u>
	<u>\$ 14,755,585</u>	<u>\$ 4,002,657</u>	<u>\$ 245,772</u>	<u>\$ 19,004,014</u>

NOTE H - BOARD OF DIRECTORS' COMPENSATION

The Board of Directors is a voluntary board, therefore, no compensation was paid to any board member during the year ended June 30, 2015.

**LOUISIANA CANCER RESEARCH CENTER OF L.S.U.
HEALTH SCIENCES CENTER IN NEW ORLEANS/
TULANE HEALTH SCIENCES CENTER**

NOTES TO FINANCIAL STATEMENTS - Continued

June 30, 2015

NOTE I - FAIR VALUE OF FINANCIAL INSTRUMENTS

Financial Accounting Standards Board (FASB) Accounting Standards Codification (ASC), as set forth in FASB ASC 820-10, requires disclosure of the estimated fair value of certain financial instruments and the methods and significant assumptions used to estimate their fair value.

The use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The fair value measurement accounting literature establishes a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy consists of the following three categories:

- Level 1 - Quoted market prices in active markets for identical assets or liabilities.
- Level 2 - Observable market based inputs or unobservable inputs that are corroborated by market data.
- Level 3 - Unobservable inputs that are not corroborated by market data.

The following tables set forth, by level within the fair value hierarchy, the Center's financial instruments at fair value as of June 30, 2015.

	<u>Fair value Measurement of Reporting Date</u>		
	Quoted Prices in Active Markets for Identical Assets (<u>Level 1</u>)	Significant Other Observable Inputs (<u>Level 2</u>)	Significant Unobservable Inputs (<u>Level 3</u>)
Financial Assets:			
Investments (Money Market funds)	\$ 11,202,216	\$ 11,202,216	\$ - \$ -

**LOUISIANA CANCER RESEARCH CENTER OF L.S.U.
HEALTH SCIENCES CENTER IN NEW ORLEANS/
TULANE HEALTH SCIENCES CENTER**

NOTES TO FINANCIAL STATEMENTS - Continued

June 30, 2015

NOTE I - FAIR VALUE OF FINANCIAL INSTRUMENTS - Continued

The assumptions to fair values are as follows

1. Investments (money market accounts) carrying amounts reported in the Statement of Financial Position approximate fair values because of the short maturities of those instruments.

NOTE J - LEASES

The Center's building is on land leased from the Board of Supervisors of Louisiana State University and Agricultural and Mechanical College. The lease was executed on February 18, 2008, and has a lease term of fifty (50) years. At the end of the lease term or upon termination for any reason of the, to the extent allowed by Applicable Law, title to the Building, it and all other improvements, shall transfer to LSU. The annual rental amount is \$129,174, payable in quarterly installments of \$32,293.

The following is a schedule, by year, of future minimum lease payment required under the operating lease:

<u>Year ending June 30,</u>	<u>Amount</u>
2016	\$ 129,174
2017	129,174
2018	129,174
2019	129,174
2020	129,174
Thereafter	<u>4,861,160</u>
Total minimum lease payments	\$ <u>5,507,030</u>

Rental and lease expenses for the year ended June 30, 2015 amounted to \$129,174.

**LOUISIANA CANCER RESEARCH CENTER OF L.S.U.
HEALTH SCIENCES CENTER IN NEW ORLEANS/
TULANE HEALTH SCIENCES CENTER**

NOTES TO FINANCIAL STATEMENTS - Continued

June 30, 2015

NOTE J - LEASES - Continued

A Maintenance Reserve Account is required to fund major maintenance, repair and replacements by annual deposits into the Maintenance Reserve Account of one and a half percent (1.5%) of the original construction cost for the building. The total annual payments shall not exceed 10% of the construction cash. Said amount may be adjusted with the consent of the Center, LSU and the Board of Regents. The Center shall be the custodian of the Maintenance Reserve Account and shall have the right to expend the funds therein for major maintenance, repairs and replacements. Following termination of the land lease (Ground Lease) for any reason, if title to the Building and all other improvements transfers to LSU, one hundred percent (100%) of all maintenance reserves (MRA), transfers to LSU. The amount deposited in the Maintenance Reserve Account during the year ended June 30, 2015 was \$1,092,615. The balance in the account at June 30, 2015 was \$4,376,653.

NOTE K - INCOME TAXES

The corporation is exempt from corporate income taxes under section 501(c)(3) of the Internal Revenue Code.

The Center has adopted the provisions of FASB ASC 740-10-25, which requires a tax position be recognized or derecognized based on a "more likely than not" threshold. This applies to positions taken or expected to be taken in a tax return. The Center does not believe its financial statements include any uncertain tax positions. Tax returns for the years ended June 30, 2013, 2014 and 2015 remain open and subject to examination by taxing authorities.

NOTE L - ECONOMIC DEPENDENCY

The Center received ninety (92) percent of its revenue from funds provided through funding by the State of Louisiana during the year ended June 30, 2015. The operations of the Center are funded by a legally dedicated State tobacco tax. Any reduction in the tax rate or the amount of taxes collected would have an adverse impact on the Center's operations.

**LOUISIANA CANCER RESEARCH CENTER OF L.S.U.
HEALTH SCIENCES CENTER IN NEW ORLEANS/
TULANE HEALTH SCIENCES CENTER**

NOTES TO FINANCIAL STATEMENTS - Continued

June 30, 2015

NOTE M - RELATED PARTIES

In the year ended, June 30, 2012 the Center completed the construction of the Louisiana Cancer Research Center ("Cancer Center") which was constructed to house cancer researchers from the member institutions in order to foster integration of the cancer research programs which support the Center's primary function of conducting research and promoting education in the diagnosis, detection and treatment of cancer, in its pursuit of obtaining National Cancer Institute (NCI) designation. As a provision of the Occupancy Agreements between the Center and its partner institutions, each institution ("User") will occupy certain space in the Cancer Center whereas the User space will be allocated costs based on a sharing of the total operating costs ("User Share"). The Center provides such space to Users without the requirement that User's remit funds so long as User's use the space as permitted within the agreements and pursuant to La. R.S. 17:1921 et seq, however, under certain conditions, the User shall make cash payments for all or a portion of its User Share. The Users' Share for the year ending June 30, 2015 were LSUHSC - 27%, TUHSC - 26%, and XULA - 2%.

LSU Health Sciences Center in New Orleans

LSU Health Sciences Center in New Orleans (LSUHSC) is one of the four partner institutions that comprise the Center. The other institutions are Tulane University Health Sciences Center (TUHSC), Xavier University of Louisiana (XULA) and Ochsner Health System (OHS).

As management, two members of the LSUHSC are on the governing board of the Center. The Chancellor for LSU Health Sciences Center in New Orleans served as the Vice-Chairman of the Board for the year ended June 30, 2015. The Chairman position rotates between the two Health Sciences Center's (LSUHSC and TUHSC) leaders as dictated by statute. The Dean of LSU Health Sciences Center in New Orleans School of Medicine is also a voting member of the Center's Board.

LSUHSC (an entity of the State) is the transferring agency for state funding, acting on behalf of the State of Louisiana. Under a Cooperative Endeavor Agreement arrangement, it is responsible for transferring funds appropriated to LSUHSC, by the State of Louisiana for the Center.

As grantee, the LSUHSC is allocated Center funding support for program development (part of the mission of the Center). Transfer of funds to LSUHSC for the program is governed by a fully executed operating agreement which includes an annual budget submitted by LSU Health Sciences Center in New Orleans and approved by the Center's Board. The total amount billed to the Center for services rendered during the fiscal year ended June 30, 2015 was \$1,420,636.

**LOUISIANA CANCER RESEARCH CENTER OF L.S.U.
HEALTH SCIENCES CENTER IN NEW ORLEANS/
TULANE HEALTH SCIENCES CENTER**

NOTES TO FINANCIAL STATEMENTS - Continued

June 30, 2015

NOTE M - RELATED PARTIES - Continued

LSUHSC through its stores and contract services conveniently and economically provides goods and services (including research equipment, office and computer supplies and I.T. related services) to the Center, which supports the mission of the Center. LSUHSC Auxiliary Stores also acts as agent for the procurement of research equipment on behalf of the Center as authorized by Facility Planning and Control (FPC). The total amount billed by LSUHSC during the fiscal year ended June 30, 2015 was \$405,906.

Tulane University Health Sciences Center

Tulane University Health Sciences Center (TUHSC) is one of the four partner institutions that comprise the Center. The other institutions are LSU Health Sciences Center in New Orleans (LSUHSC), Xavier University of Louisiana (XULA) and Ochsner Health System (OHS).

As management, two members of TUHSC are on the governing board of the Center. TUHSC's Senior Vice President and Dean for the School of Medicine served as Chairman of the Board for the fiscal year ended June 30, 2015. The other TUHSC position was held by the Medical Director for the Tulane Cancer Center.

As grantee, TUHSC is allocated Center funding support for program development (part of the mission of the Center). Transfer of funds to TUHSC for the program is governed by a fully executed operating agreement which includes an annual budget submitted by TUHSC and approved by the Center's Board. The total amount billed to the Center for services rendered during the fiscal year ended June 30, 2015 was \$3,412,862.

Xavier University of Louisiana

Xavier University of Louisiana (XULA) is one of the four partner institutions that comprise the Center. The other institutions are the LSU Health Sciences Center in New Orleans (LSUHSC), Tulane University Health Sciences Center (TUHSC) and Ochsner Health System (OHS).

As management, one member of XULA is on the governing board of the Center. XULA's President served as Board member for the fiscal year ended June 30, 2015.

**LOUISIANA CANCER RESEARCH CENTER OF L.S.U.
HEALTH SCIENCES CENTER IN NEW ORLEANS/
TULANE HEALTH SCIENCES CENTER**

NOTES TO FINANCIAL STATEMENTS - Continued

June 30, 2015

NOTE M - RELATED PARTIES - Continued

As grantee, XULA is allocated Center funding support for program development (part of the mission of the Center). Transfer of funds to XULA for the program is governed by a fully executed operating agreement, which includes an annual budget submitted by XULA and approved by the Center's Board. The total amount billed to the Center for services rendered during the fiscal year ended June 30, 2015 was \$576,432.

Ochsner Health System

Ochsner Health System (OHS) is one of the four partner institutions that compromise the Center. The other institutions are the LSU Health Sciences Center in New Orleans (LSUHSC), Tulane University Health Sciences Center (TUHSC) and Xavier University of Louisiana (XULA).

As management, one member of Ochsner is on the governing Board of the Center. Ochsner's Senior Vice President and Chief Academic Officer served as Board member for the fiscal year ended June 30, 2015. There were no services rendered to the Center from OHS.

SUPPLEMENTAL INFORMATION

**LOUISIANA CANCER RESEARCH CENTER OF L.S.U.
HEALTH SCIENCES CENTER IN NEW ORLEANS/
TULANE HEALTH SCIENCES CENTER**

SCHEDULE OF REVENUES AND EXPENSES BY PROGRAM

For the Year Ended June 30, 2015

	<u>Cancer Research</u>	<u>Cessation</u>	<u>Fund Raising</u>	<u>Property and Equipment</u>	<u>Total</u>
REVENUE					
Grants	\$12,891,611	\$ 6,535,890	\$ -	\$ -	\$ 19,427,501
Lease income	1,522,067	-	-	-	1,522,067
Other	65,975	12,889	2,236	-	81,100
Fund-raising	<u>-</u>	<u>-</u>	<u>199,991</u>	<u>-</u>	<u>199,991</u>
 Total Revenue	 <u>14,479,653</u>	 <u>6,548,779</u>	 <u>202,227</u>	 <u>-</u>	 <u>21,230,659</u>
 EXPENSES					
Research expenses	5,955,257	-	-	-	5,955,257
Cessation expenses	-	5,970,124	-	-	5,970,124
Salaries and related expenses	759,972	151,460	-	-	911,432
Fund-raising expenses	-	-	68,868	-	68,868
Professional services	648,334	3,325	14,450	-	666,109
Operating services	2,311,061	83,563	20,400	-	2,415,024
Supplies	25,922	-	16,763	-	42,685
Travel & meeting expenses	5,279	-	9,431	-	14,710
Other expenses	13,743	-	1,000	-	14,743
Depreciation	-	-	-	2,830,202	2,830,202
Fund-raising distributions	<u>-</u>	<u>-</u>	<u>114,860</u>	<u>-</u>	<u>114,860</u>
 Total expenses	 <u>9,719,568</u>	 <u>6,208,472</u>	 <u>245,772</u>	 <u>2,830,202</u>	 <u>19,004,014</u>
 INCREASE (DECREASE) IN NET ASSETS					
	4,760,085	340,307	(43,545)	(2,830,202)	2,226,645
 Property and Equipment Capitalized	 (3,787,757)	 -	 -	 3,787,757	 -
 Net assets, beginning of year	 <u>19,647,236</u>	 <u>7,284,981</u>	 <u>637,410</u>	 <u>85,037,134</u>	 <u>112,606,761</u>
 Net assets, end of year	 <u>\$ 20,619,564</u>	 <u>\$ 7,625,288</u>	 <u>\$ 593,865</u>	 <u>\$ 85,994,689</u>	 <u>\$ 114,833,406</u>

LOUISIANA CANCER RESEARCH CENTER OF L.S.U.
HEALTH SCIENCES CENTER IN NEW ORLEANS/
TULANE HEALTH SCIENCES CENTER

SUPPLEMENTARY INFORMATION

SCHEDULE OF COMPENSATION, BENEFITS AND OTHER PAYMENTS
TO AGENCY HEAD

For the Year Ended June 30, 2015

Agency Head - Aaron Miscenich, Executive Director

Purpose	Amount
Salary	\$ 100,000
Benefits - Insurance	0
Benefits - Retirement	0
Benefits - Other	0
Car Allowance	0
Vehicle Provided by Government	0
Per Diem	0
Reimbursements, travel	575
Travel	0
Registration Fees	0
Conference Travel	0
Continuing Professional Education Fees	0
Housing	0
Unvouchered Expenses	0
Special Meals	0

REPORT REQUIRED BY GOVERNMENT AUDITING STANDARDS

**INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER
FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS
BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED
IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS***

To the Board of Directors
Louisiana Cancer Research Center of L.S.U. Health Sciences Center
in New Orleans/Tulane Health Sciences Center

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of Louisiana Cancer Research Center of L.S.U. Health Sciences Center in New Orleans/Tulane Health Sciences Center (a nonprofit organization) which comprise the statement of financial position as of June 30, 2015 and the related statements of activities, and cash flows and for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated August 24, 2015.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements we considered Louisiana Cancer Research Center of L.S.U. Health Sciences Center in New Orleans/Tulane Health Sciences Center's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of Louisiana Cancer Research Center of L.S.U. Health Sciences Center in New Orleans/Tulane Health Sciences Center's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of Louisiana Cancer Research Center of L.S.U. Health Sciences Center in New Orleans/Tulane Health Sciences Center's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in the internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether Louisiana Cancer Research Center of L.S.U. Health Sciences Center in New Orleans/Tulane Health Sciences Center's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the organization's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the organization's internal control and compliance. Accordingly, this communication is not suitable for an other purpose.

This report is intended for the information of the Board of Directors, management, the Louisiana Legislative Auditor, the State of Louisiana, and pass-through agencies, and is not intended to be, and should not be, used by anyone other than these specified parties. Under Louisiana Revised Statute 24:513, this report is distributed by the Louisiana Legislative Auditor as a public document.

Cassio V. Schmidt, LLC.

Metairie, Louisiana
August 24, 2015

**LOUISIANA CANCER RESEARCH CENTER OF L.S.U.
HEALTH SCIENCES CENTER IN NEW ORLEANS/
TULANE HEALTH SCIENCES CENTER**

SCHEDULE OF FINDINGS AND RESPONSES

For the Year Ended June 30, 2015

A. Summary of Auditor's Results

1. The auditor's report expresses an unmodified opinion on the financial statements.
2. No significant deficiencies relating to the audit of the financial statements are reported in the *Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with Government Auditing Standards*.
3. No instances of noncompliance material to the financial statements were noted.

B. Findings - Financial Statement Audit

There were no findings for the current year or the prior year.

C. Management Letter

A management letter was not issued for the current year or the prior year.

**OTHER SUPPLEMENTARY INFORMATION REQUIRED BY THE
STATE OF LOUISIANA**

Louisiana Cancer Research Center of L.S.U. Health Sciences Center
in New Orleans/Tulane Health Sciences Center
STATE OF LOUISIANA
Annual Financial Statements
June 30, 2015

C O N T E N T S

Affidavit		29
	Statements	
Statement of Net Position		A
Simplified Statement of Activities (including instructions)		C
Notes to the Financial Statements		
Notes	Note Name	Page No.
A.	Other Postemployment Benefits	33
B.	Due to/Due From and Transfers	34
C.	Government Combinations and Disposals of Government Operations	34
Schedules		
1	Schedule of Per Diem Paid to Board Members	37

The Appendices Packet is located as a separate packet on OSRAP's website at <http://www.doa.louisiana.gov/OSRAP/afrpackets.htm>.

STATE OF LOUISIANA
Annual Financial Statements
Fiscal Year Ended June 30, 2015

Louisiana Cancer Research Center of L.S.U. Health Sciences Center in
New Orleans/Tulane Health Sciences Center
1700 Tulane Avenue, 10th floor, New Orleans, LA 70112

Send to:
Division of Administration
Office of Statewide Reporting
and Accounting Policy
P. O. Box 94095
Baton Rouge, Louisiana 70804-9095

Send electronically to the
Louisiana Legislative Auditor:

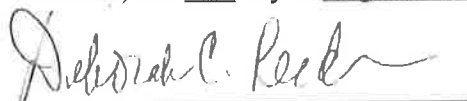
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Physical Address:
1201 N. Third Street
Claiborne Building, 6th Floor, Suite 6-130
Baton Rouge, Louisiana 70802


Address: (if unable to send electronically)
P.O. Box 94397
Baton Rouge, Louisiana 70804-9397

AFFIDAVIT

Personally came and appeared before the undersigned authority, DEBORAH C. REEDER, Vice President of Finance for the Louisiana Cancer Research Center of L.S.U. Health Sciences Center in New Orleans/Tulane Health Sciences Center who duly sworn, deposes and says, that the financial statements herewith given present fairly the financial position of Louisiana Cancer Research Center of L.S.U. Health Sciences Center in New Orleans/Tulane Health Sciences Center at June 30, 2015 and the results of operations for the year then ended in accordance with policies and practices established by the Division of Administration or in accordance with Generally Accepted Accounting Principles as prescribed by the Governmental Accounting Standards Board. Sworn and subscribed before me, this 28th day of August, 2015.



Signature of Agency Official



NOTARY PUBLIC
my commission expires at death

Prepared by: Ryan A. Graffagnini
Title: Fiscal Operations Manager
Telephone No.: (504) 210-1719
Date: 8/28/15
Email Address: rgraaffagnini@lcrc.info

STATE OF LOUISIANA
LOUISIANA CANCER RESEARCH CENTER OF L.S.U. HEALTH SCIENCES CENTER IN
NEW ORLEANS/TULANE HEALTH SCIENCES CENTER
STATEMENT OF NET POSITION
AS OF JUNE 30, 2015

Statement A

ASSETS

CURRENT ASSETS

Cash and Cash equivalents	\$	<u>15,443,649</u>
Restricted Cash and Cash Equivalents		<u>6,825,563</u>
Investments		<u>8,108,110</u>
Derivative Instruments		<u>15,100</u>
Receivables (net of allowance for doubtful accounts)		<u>30,528,322</u>
Due from other funds (Note B)		
Due from federal government		
Inventories		
Prepayments		<u>15,100</u>
Notes Receivable		
Other Current Assets		
Total current assets		<u>30,528,322</u>

NONCURRENT ASSETS

Restricted assets:		
Cash		<u>4,376,653</u>
Investments		
Receivables		
Investments		
Notes Receivable		
Capital assets, net of depreciation		
Land non-depreciable easements		<u>671,808</u>
Buildings and improvements		<u>78,616,006</u>
Machinery and equipment		<u>2,643,673</u>
Infrastructure		
Intangible assets		
Construction/Development-in-progress		<u>4,063,202</u>
Other noncurrent assets		<u>52,400</u>
Total noncurrent assets		<u>90,423,742</u>
Total assets	\$	<u>120,952,064</u>

DEFERRED OUTFLOWS OF RESOURCES

Accumulated decrease in fair value of hedging derivatives	\$	
Deferred amounts on debt refunding		
Adjustments of capital lease obligations		
Grants paid prior to meeting time requirements		
Intra-entity transfer of future revenues (transferee)		
Losses from sale-leaseback transactions		
Direct loan origination costs for mortgage loans held for sale		
Fees paid to permanent investors prior to sale of mortgage loans		
Deferred outflows related to pensions		
Total deferred outflow of resources	\$	<u>-</u>
Total assets and deferred outflow of resources	\$	<u>120,952,064</u>

LIABILITIES

CURRENT LIABILITIES:

Accounts payable and accruals	\$	<u>6,118,658</u>
Derivative instrument		
Due to other funds (Note B)		
Due to federal government		
Unearned revenues		
Amounts held in custody for others		
Other current liabilities		

STATE OF LOUISIANA
 LOUISIANA CANCER RESEARCH CENTER OF L.S.U. HEALTH SCIENCES CENTER IN
 NEW ORLEANS/TULANE HEALTH SCIENCES CENTER
 STATEMENT OF NET POSITION
 AS OF JUNE 30, 2015

Statement A

Liabilities Con't

Current portion of long-term liabilities	
Contracts payable	\$ _____
Compensated absences payable	_____
Capital lease obligations	_____
Claims and litigation payable	_____
Notes payable	_____
Pollution remediation obligation	_____
Bonds payable (include unamortized costs)	_____
Other long-term liabilities	_____
Total current liabilities	6,118,658
NONCURRENT LIABILITIES	
Contracts payable	_____
Compensated absences payable	_____
Capital lease obligations	_____
Claims and litigation payable	_____
Notes payable	_____
Pollution remediation obligation	_____
Bonds payable (include unamortized costs)	_____
Net pension liability	
OPEB payable	_____
Other long-term liabilities	_____
Total noncurrent liabilities	-
Total liabilities	6,118,658
DEFERRED INFLOWS OF RESOURCES	
Accumulated increase in fair value of hedging derivatives	\$ _____
Deferred amounts related to service concession arrangement	_____
Deferred amounts of debt refunding	_____
Adjustments of capital lease obligations	_____
Grants received prior to meeting time requirements	_____
Property taxes received before the period of which the taxes were levied	_____
Fines and penalties received in advance of meeting time requirements	_____
Sales/intra-entity transfers of future revenues (transferor)	_____
Gains from sale-leaseback transactions	_____
Points received on loan origination	_____
Loan origination fees received for mortgage loans held for sale	_____
Deferred inflows related to pensions	
Total deferred inflows of resources	-
NET POSITION	
Net investment in capital assets	85,994,689
Restricted	
Capital projects	_____
Debt Service	_____
Temporarily Restricted	28,048,150
Unrestricted	790,567
Total net position	114,833,406
Total liabilities, deferred inflows of resources, and net position	\$ 120,952,064

The accompanying notes are an integral part of this financial statement.

Statement C

STATE OF LOUISIANA
 LOUISIANA CANCER RESEARCH CENTER OF L.S.U. HEALTH SCIENCES
 CENTER IN NEW ORLEANS/TULANE HEALTH SCIENCES CENTER
 STATEMENT OF ACTIVITIES
 FOR THE YEAR ENDED JUNE 30, 2015

	Expenses	Program Revenues		Net (Expense) Revenue and Changes in Net Position	
		Charges for Services	Operating Grants and Contributions		Capital Grants and Contributions
Entity	\$ 19,004,014	\$	\$ 16,115,892	\$ 3,311,609	\$ 423,487
General revenues:					
Taxes					
State appropriations					
Grants and contributions not restricted to specific programs					
Interest					45,988
Miscellaneous					1,757,170
Special items					
Extraordinary item					
Transfers					
Total general revenues, special items, and transfers					1,803,158
Change in net assets					2,226,645
Net position - beginning as restated					112,606,761
Net position - ending					\$ 114,833,406

The accompanying notes are an integral part of this statement.

**STATE OF LOUISIANA
 LOUISIANA CANCER RESEARCH CENTER OF L.S.U. HEALTH SCIENCES
 CENTER IN NEW ORLEANS/TULANE HEALTH SCIENCES CENTER
 NOTES TO THE FINANCIAL STATEMENT
 FOR THE YEAR ENDED JUNE 30, 2015**

A. OTHER POSTEMPLOYMENT BENEFITS (OPEB) -- NOT APPLICABLE

GASB Statement 43, *Financial Reporting for Postemployment Benefit Plans Other Than Pension Plans* addresses accounting and financial reporting for OPEB trust and agency funds of the employer. GASB Statement 45, *Accounting and Financial Reporting by Employers for Postemployment Benefits Other than Pensions* establishes standards of accounting and financial reporting for OPEB expense/expenditures and related OPEB liabilities or OPEB assets, note disclosures, and required supplementary information (RSI) in the financial reports of governmental employers.

Calculation of Net OPEB Obligation

Complete the following table for only the net OPEB obligation (NOO) related to OPEB administered by the Office of Group Benefits. The ARC, NOO at the beginning of the year, interest, ARC adjustment, and Annual OPEB Expense have been computed for OGB participants (see OSRAP's website - <http://www.doa.louisiana.gov/OSRAP/afrpackets.htm>) and select "GASB 45 OPEB Valuation Report as of July 1, 2014, to be used for fiscal year ending June 30, 2015." Report note disclosures for other plans, not administrated by OGB, separately.

Fiscal year ending	Annual OPEB expense and net OPEB Obligation	6/30/2015
1. * ARC		_____
2. * Interest on NOO		_____
3. * ARC adjustment		_____
4. * Annual OPEB Expense (1. + 2. - 3.)		_____
5. Contributions (employer pmts. to OGB for retirees' cost of 2014 insurance premiums)		_____
6. Increase in Net OPEB Obligation (4. - 5.)		_____
7. *NOO, beginning of year (see actuarial valuation report on OSRAP's website)		_____
8. **NOO, end of year (6. + 7.)		=====

*This must be obtained from the OSRAP website on the spreadsheet "GASB 45 OPEB Valuation Report as of July 1, 2014, to be used for fiscal year ending June 30, 2015."

**This should be the same amount as that shown on the statement of net position for the year ended June 30, 2015 if your entity's only OPEB is administered by OGB.

For more information on calculating the net OPEB obligation, see the Appendices Packet, Appendix D, at <http://www.doa.louisiana.gov/OSRAP/afrpackets.htm>.

Note: If your only OPEB provider is OGB, your entity will have no OPEB note disclosures for OSRAP other than the OPEB calculation above; however, GASB Statement 45 note disclosures are required for separately issued GAAP financial statements. Please provide OSRAP with the applicable GASB Statements 45 note disclosures if your entity's OPEB group

**STATE OF LOUISIANA
 LOUISIANA CANCER RESEARCH CENTER OF L.S.U. HEALTH SCIENCES
 CENTER IN NEW ORLEANS/TULANE HEALTH SCIENCES CENTER
 NOTES TO THE FINANCIAL STATEMENT
 FOR THE YEAR ENDED JUNE 30, 2015**

insurance plan is administered by an entity other than OGB. See GASB's website at (<http://www.gasb.org/jsp/GASB/Page/GASBSectionPage&cid=1176160042391>) to view GASB Statement 45 and the note disclosure requirements.

B. DUE TO/DUE FROM - NOT APPLICABLE

1. List by fund type the amounts due from other funds detailed by individual fund at fiscal year end:

(Types of funds include general fund, statutory dedicated funds, discrete component unit funds, etc).

<u>Type of Fund</u>	<u>Name of Fund</u>	<u>Amount</u>
_____	_____	\$ _____
_____	_____	_____
_____	_____	_____
Total due from other funds		\$ _____

2. List by fund type the amounts due to other funds detailed by individual fund at fiscal year end:

<u>Type of Fund</u>	<u>Name of Fund</u>	<u>Amount</u>
_____	_____	\$ _____
_____	_____	_____
_____	_____	_____
Total due to other funds		\$ _____

C. GOVERNMENT COMBINATIONS & DISPOSALS OF GOVERNMENT OPERATIONS - NOT APPLICABLE

Government Combinations - Government combinations include a variety of transactions referred to as mergers, acquisitions, and transfers of operations as defined in GASB Statement No. 69, and that meet the service continuation requirement. For more information on GASB 69, see Appendix J on OSRAP's website at <http://www.doa.louisiana.gov/OSRAP/af packets.htm>.

1. Government Combinations

For all types of government combinations for the period in which the combination occurs, please provide the following:

a) The effective date of the combination. _____

STATE OF LOUISIANA
 LOUISIANA CANCER RESEARCH CENTER OF L.S.U. HEALTH SCIENCES
 CENTER IN NEW ORLEANS/TULANE HEALTH SCIENCES CENTER
 NOTES TO THE FINANCIAL STATEMENT
 FOR THE YEAR ENDED JUNE 30, 2015

b) A description of the government combination, including identification of the entities involved in the combination and whether the participating entities were included within the same financial reporting entity.

c) A brief description of the primary reasons for the combination.

2. Mergers and Transfers of Operations

Disclose the following information for the new government or continuing government, including the initial amounts recognized by the new or continuing government, and any adjustments or modifications to the carrying values.

	Initial Amount	Adjustments	Initial Adjusted Total
Assets			
Current assets	\$ _____	\$ _____	\$ _____
Capital assets	_____	_____	_____
Other assets	_____	_____	_____
Total assets	\$ _____ -	\$ _____ -	\$ _____ -
Total deferred outflows of resources	\$ _____	\$ _____	\$ _____
Liabilities			
Current liabilities	\$ _____	\$ _____	\$ _____
Long-term liabilities	_____	_____	_____
Total liabilities	\$ _____ -	\$ _____ -	\$ _____ -
Total deferred inflow of resources	\$ _____	\$ _____	\$ _____
Net position (by component)	\$ _____ -	\$ _____ -	\$ _____ -

Description of Adjustments - Provide a brief description of the nature of significant adjustments made to bring into conformity the individual accounting policies or to adjust for impairment of capital assets resulting from the merger or transfer.

STATE OF LOUISIANA
 LOUISIANA CANCER RESEARCH CENTER OF L.S.U. HEALTH SCIENCES
 CENTER IN NEW ORLEANS/TULANE HEALTH SCIENCES CENTER
 NOTES TO THE FINANCIAL STATEMENT
 FOR THE YEAR ENDED JUNE 30, 2015

3. Government Acquisitions

Provide a brief description of the consideration provided.

Provide the total amount of net position acquired as of the date of acquisition \$ _____ and a brief description of contingent consideration arrangements, including the basis for determining the amount of payments that are contingent.

4. Disposals of Government Operations

Identify the operations transferred or sold and provide a brief description of the facts and circumstances leading to the disposal of those operations.

Recognize a gain or loss on the disposal of operations, if applicable. Gains or losses on the disposal of operations should be reported as a special item.

Disclose the following information about the disposed government operations if not separately presented in its financial statements:

<u>ACCOUNT</u>	<u>AMOUNT</u>
<u>Revenues</u>	
Operating Revenues	\$ _____
Non-Operating Revenues	_____
Total Revenues	\$ _____ -

**STATE OF LOUISIANA
 LOUISIANA CANCER RESEARCH CENTER OF L.S.U. HEALTH SCIENCES
 CENTER IN NEW ORLEANS/TULANE HEALTH SCIENCES CENTER
 SCHEDULE OF PER DIEM PAID TO BOARD MEMBERS
 JUNE 30, 2015**

Name	Amount
NONE _____	\$ _____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
Total	\$ _____

Note: The per diem payments are authorized by Louisiana Revised Statute, and are presented in compliance with House Concurrent Resolution No. 54 of the 1979 Session of the Legislature.



Attachment 5

Exhibit A-14

Louisiana Cancer Research Consortium
 Tobacco Cessation/Tobacco Free Living (TFL)
 Comprehensive Budget Summary
 Fiscal Year 15-16

	State &				Total Cessation/ TFL
	G&A	Community Programs	Media Coordination	Evaluation	
State Grant Revenue	508,216	2,557,342	1,557,646	940,455	6,648,809
TOTAL SOURCES OF FUNDS	508,216	2,557,342	1,557,646	940,455	6,648,809
USES OF FUNDS					
Salaries & Related Expenses	199,456	1,368,887	272,114	300,075	2,140,532
Travel	1,500	60,000	4,000	4,000	69,500
Operating Services	17,960	384,435	123,170	32,180	566,895
Supplies	8,000	23,200	2,000	6,200	39,400
Prof. Svcs/Contracts	31,300	720,000	1,156,362	598,000	3,581,662
Minor Equipment	-	820	-	-	820
Reserved LCRC Admin/Oversight*	250,000	-	-	-	250,000
TOTAL USES OF FUNDS	508,216	2,557,342	1,557,646	940,455	6,648,809
NET SOURCES/USES OF FUNDS	7.6%	38.5%	23.4%	14.1%	16.3%

Comprehensive Budget for FY16: Cessation: OCR #604-300411:OSP #440007437 (CFMS #599454)

EXHIBIT B-14

LOUISIANA CAMPAIGN FOR TOBACCO-FREE LIVING (TFL) - Cessation

GOALS AND OBJECTIVES FY 2015-2016

GOAL 1: Prevent Initiation of Tobacco Use among Young People

Objective 1.1: By June 2016, increase the number of **2 and 4 year public colleges and universities** that have adopted a tobacco-free policy from 34 to 38

Objective 1.2: By June 2016, increase the number of **private colleges and universities**, including seminaries (N=14) that have adopted a tobacco-free policy from 5 to 9

Objective 1.3: By June 2016, increase the number of **technical colleges** (N=49) that have adopted a tobacco-free policy from 6 to 10

Objective 1.4: By June 2016, increase the number of **K-12 school districts** (N=70) that are certified 100% tobacco-free from 59 to 70

Objective 1.5: By June 2016, increase the number of **DEFY CounterPop participants** trained in conducting retail assessments from 125 to 200

Objective 1.6: By June 2016, increase the number of **stakeholders** engaged in discussing regulation of tobacco advertisement in retail environments by DEFY grantee groups

Objective 1.7: By June 2016, increase the reach of New Orleans Point of Sale **"It Could Cost You...Why Choices Matter!"** advocacy program from 1 middle school to 10

GOAL 2: Eliminate NonSmokers' Exposure to Secondhand Smoke

Objective 2.1: By June 2016, increase the number of **smoke-free hours** among bars and gaming facilities across the state

Objective 2.2: By June 2016, increase the number of **municipalities** (N=337) with public policies for tobacco-free workplaces and other indoor and outdoor public places that include bars and gaming facilities from 9 to 15.

Objective 2.3: By June 2016, increase the number of **bars** with voluntary smoke-free policies from 533 to 700

Objective 2.4: By June 2016, increase the number of **healthcare facilities** (N=277) with voluntary smoke-free policies from 65 to 80

Objective 2.5: By June 2016, establish a baseline for the proportion of Louisianans who agree **"79% of Louisianans do not smoke"**

GOAL 3: Promote Quitting among Adults and Young People

Objective 3.1: By June 2016, increase adult call volume to Quitline from 2.2/10,000 persons in 2011 to 3.6/10,000 persons

Objective 3.2: By June 2016, increase adult rate of counseling and/or medication received from 0.5/1,000 callers to 0.75/1,000 callers

Objective 3.3: : By June 2016, increase the number of eligible Louisiana residents enrolled in the Smoking Cessation Trust program from 15,000 in 2015 to 25,000

Objective 3.4: By June 2016, increase adolescent and young adult call volume to Quitline from 450 in 2015 to 600

Objective 3.5: By June 2016, develop a standardized academic detailing intervention for tobacco cessation in state health care systems

GOAL 4: Eliminate Disparities related to Tobacco Use

Objective 4.1: By June 2016, identify potential **data sources** across the socio-ecological framework to monitor and document tobacco related disparities

Objective 4.2: By June 2016, identify effective **evidenced-based strategies** or **interventions** that have been implemented for the populations and regions identified

Objective 4.3: By June 2016, increase or enhance the diversity or parity of **membership** within the Healthy Community Coalitions

Objective 4.4: By June 2016, 100% TFL staff, grantees and partners will have participated in annual **diversity/cultural competency training**

Objective 4.5: By June 2016, create an external **Community Review Board (CRB)** to review cultural, linguistic and numeracy appropriate materials with priority populations

GOAL 5: Statewide Coordination

Objective 5.1: By June 2016, enhance **collaboration opportunities** among tobacco control partners throughout the state

Objective 5.2: By June 2016, incorporate a **project management tool** into the workflow for all partners to use to update on activities and progress toward Strategic Plan objectives

Objective 5.3: By June 2016, build **HCC capacity** to identify, support and utilize tobacco control and tobacco related best-practices and evidence-based policy, system, and environment changes

Exhibit A-14

**Louisiana Cancer Research Consortium
Tobacco Cessation/Tobacco Free Living (TFL)**

Comprehensive Budget Summary

Fiscal Year 15-16

	G&A	State & Community Programs	Media Coordination	Evaluation	Cessation Coordination	Total Cessation/TFL
SOURCES OF FUNDS						
State Grant Revenue	521,216	2,548,342	1,672,646	821,455	1,085,150	6,648,809
TOTAL SOURCES OF FUNDS	521,216	2,548,342	1,672,646	821,455	1,085,150	6,648,809
USES OF FUNDS						
Salaries & Related Expenses	212,456	1,359,887	276,114	296,075	-	2,144,532
Travel	1,500	60,000	4,000	4,000	-	69,500
Operating Services	17,960	384,435	119,170	32,180	9,150	562,895
Supplies	8,000	23,200	2,000	6,200	-	39,400
Prof. Svcs/Contracts	31,300	720,000	1,271,362	483,000	1,076,000	3,581,662
Minor Equipment	-	820	-	-	-	820
Reserved LCRC Admin/Oversight*	250,000	-	-	-	-	250,000
TOTAL USES OF FUNDS	521,216	2,548,342	1,672,646	821,455	1,085,150	6,648,809
NET SOURCES/USES OF FUNDS	7.8%	38.3%	25.2%	12.4%	16.3%	

Reduction Amount - \$210,273 - Salaries & Contracts)



Attachment 6

BOBBY JINDAL
GOVERNOR



STAFFORD OLIVIA PALMIERI
COMMISSIONER OF ADMINISTRATION

State of Louisiana
Division of Administration
Office of State Procurement

Ms. Mary Lapworth
Contract Management
533 Bolivar Street
Room 323
New Orleans, La 70112

Dear Ms. Lapworth:


Enclosed are approved copies of the following amendment submitted to us and received in our office on August 7, 2015..

LSU State University

Amendment # 13 LaGov # 440007437
Louisiana Cancer Research

We appreciate your continued cooperation.

Sincerely,


Pamela Bartfay Rice, Esq.
Assistant Director/Professional Contracts


State Contracts/Grants Officer

Enclosures

OCR# 604-300410
CFMS# 599454-13
Amend # 13

THIRTEENTH AMENDMENT TO THE COOPERATIVE ENDEAVOR
AGREEMENT

BETWEEN

BOARD OF SUPERVISORS OF LOUISIANA STATE UNIVERSITY
AND AGRICULTURE AND MECHANICAL COLLEGE
LOUISIANA STATE UNIVERSITY HEALTH SCIENCES CENTER
IN NEW ORLEANS

AND THE

LOUISIANA CANCER RESEARCH CENTER OF L.S.U. HEALTH SCIENCES
CENTER IN NEW ORLEANS/TULANE HEALTH SCIENCES CENTER
(CESSATION PROGRAM)

Change #1

On page 3, under Section IV Payments, add: The maximum amount payable, inception to date, for the cessation program is \$97,151,636.

Change #2

On page 3, under Section IV Payments, add: The appropriated funds for fiscal year 2015-2016 are \$6,859,082.

Change #3


Add Exhibit A-13 to show the comprehensive budget for fiscal year 2015-2016.

Change #4

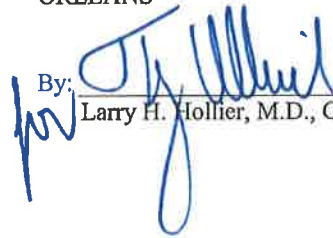
Add Exhibit B-13 to show the goals and objectives and performance indicators for the fiscal year 2015-2016.

IN WITNESS WHEREOF, the parties hereto have caused these present to be executed by their respective officers thereunto duly authorized as of July 1, 2015.


BOARD OF SUPERVISORS OF LOUISIANA
STATE UNIVERSITY & AGRICULTURE
AND MECHANICAL COLLEGE


By: 
F. King Alexander, Ph.D., President
Louisiana State University System

LOUISIANA STATE UNIVERSITY
HEALTH SCIENCES CENTER NEW
ORLEANS

By: 
Larry H. Hollier, M.D., Chancellor

LOUISIANA CANCER RESEARCH CENTER OF LSUHSC
IN NEW ORLEANS/TULANE HEALTH SCIENCES CENTER

By: 
Larry H. Hollier, MD
Chairman, LCRC

By: 
L. Lee Hamm, MD
Vice Chairman, LCRC
Senior Vice President & Dean, Tulane University School of Medicine

By: 
Mr. Aaron Miscenich,
Executive Director, LCRC

APPROVED
Office of the Governor
Office of Contractual Review

SEP 30 2015
SEP 30 2015


DIRECTOR
DIRECTOR

Exhibit A-13

**Louisiana Cancer Research Consortium
Tobacco Cessation/Tobacco Free Living (TFL)
Comprehensive Budget Summary
Fiscal Year 15-16**

	G&A	State & Community Programs	Media Coordination	Evaluation	Cessation Coordination	Total Cessation/TFL
SOURCES OF FUNDS						
State Grant Revenue	508,216	2,652,615	1,672,646	940,455	1,085,150	6,859,082
TOTAL SOURCES OF FUNDS	508,216	2,652,615	1,672,646	940,455	1,085,150	6,859,082
USES OF FUNDS						
Salaries & Related Expenses	199,456	1,464,160	272,114	300,075	-	2,235,805
Travel	1,500	60,000	4,000	4,000	-	69,500
Operating Services	17,960	384,435	123,170	32,180	9,150	566,896
Supplies	8,000	23,200	2,000	6,200	-	39,400
Prof. Svcs/Contracts	31,300	720,000	1,271,362	598,000	1,076,000	3,696,662
Minor Equipment	-	820	-	-	-	820
Reserved LCRC Admin/Oversight*	250,000	-	-	-	-	250,000
TOTAL USES OF FUNDS	508,216	2,652,615	1,672,646	940,455	1,085,150	6,859,082
NET SOURCES/USES OF FUNDS	7.4%	38.7%	24.4%	13.7%	15.8%	

EXHIBIT B-13

LOUISIANA CAMPAIGN FOR TOBACCO-FREE LIVING

(TFL) GOALS AND OBJECTIVES FY 2015-2016

GOAL 1: Prevent Initiation of Tobacco Use among Young People

Objective 1.1: By June 2016, increase the number of **2 and 4 year public colleges and universities** that have adopted a tobacco-free policy from 34 to 38

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Objective 2.1: By June 2016, increase the number of **smoke-free hours** among bars and gaming facilities across the state

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Objective 3.2: By June 2016, increase adult rate of counseling and/or medication received from 0.5/1,000 callers to 0.75/1,000 callers

Objective 3.3: : By June 2016, increase the number of eligible Louisiana residents enrolled in the Smoking Cessation Trust program from 15,000 in 2015 to 25,000

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Objective 4.1: By June 2016, identify potential **data sources** across the socio-ecological framework to monitor and document tobacco related disparities

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Objective 4.5: By June 2016, create an external **Community Review Board (CRB)** to review cultural, linguistic and numeracy appropriate materials with priority populations

GOAL 5: Statewide Coordination

Objective 5.1: By June 2016, enhance **collaboration opportunities** among tobacco control partners throughout the state

Objective 5.2: By June 2016, incorporate a **project management tool** into the workflow for all partners to use to update on activities and progress toward Strategic Plan objectives

Objective 5.3: By June 2016, build **HCC capacity** to identify, support and utilize tobacco control and tobacco related best-practices and evidence-based policy, system, and environment changes



Attachment 7

Louisiana Cancer Research Center

Smoking Cessation/Tobacco Free Living

OCR 604-300411: CFMS 599454



Semiannual Report

July 1, 2015 – December 31, 2015

A partnership of LSU Health Sciences Center in New Orleans, Tulane University Health Sciences Center, Xavier University of Louisiana, and Ochsner Health Systems

OVERVIEW

This narrative progress report highlights the last 6 months of programmatic activities for the performance period of July 1, 2015 - December 31, 2015. Programmatic activities are based on (1) Centers for Disease Control and Prevention (CDC) evidence-based practices; (2) the most current TFL Strategic Plan; and (3) advice provided by the TFL Scientific Advisory Board and Steering Committee. Programmatic progress to date within each of the 5 goal areas of TFL is provided along with relevant outcome trend data.

GOAL 1: PREVENTING INITIATION OF TOBACCO USE AMONG YOUNG PEOPLE

Best Practices: The theory of change associated with preventing young people from starting to use tobacco begins with increasing their knowledge of the dangers of tobacco use, changing their attitudes toward tobacco use, and increasing public support for policies that reduce the likelihood that young people will use tobacco. Such policies include increasing tobacco excise taxes, passing and enforcing strong laws that decrease young people's access to tobacco, and implementing tobacco-free school policies. Policies such as these eventually create an environment that supports a smoke-free lifestyle among young people.

TFL youth-focused activities contribute to these changes in key indicators through education and engagement of youth and young adults. In addition, TFL hired a Youth and Young Adult Policy Manager to support its community efforts to the targeted populations of this goal. Below are specific examples of activities during this reporting period.

TFL Highlighted Activities:

- **TFL Community Advocacy Grantees : Tobacco Prevention and Control with Youth, 11-17 years old**
 - TFL funded **9 youth advocacy grantees** from across the state this fiscal year in an ongoing effort to prevent initiation of tobacco use among youth by engaging youth in advocacy initiatives that reduces youth exposure to tobacco advertisements. This year each youth grantee focused on Point of Sales (POS) advocacy. POS advocacy efforts engaged Defy youth members with their local council, school board, or law enforcement agencies. The data collected in their communities will ultimately assist with changes in policies to reduce or eliminate the promotion, marketing and selling of tobacco products in particular retail venues (i.e. convenient stores, gas stations, supermarkets, pharmacies, etc.). These data were used by some of the youth groups to advocate for policy changes with their public officials. For example, in Region 9, the Defy youth grantees (Adapt) presented their findings to the Bogalusa Council, and as a result, there will be a committee to study the findings and work with the stores in that community to develop a policy change.

- **TFL Community Advocacy Grantees : Tobacco Prevention and Control with Young Adults in College**
 - **Tobacco-Free Campuses Promote Cessation Services**
 - Fresh Campus grantees continue to promote cessation resources to students, faculty and staff through campus-centered events to continue the tobacco control

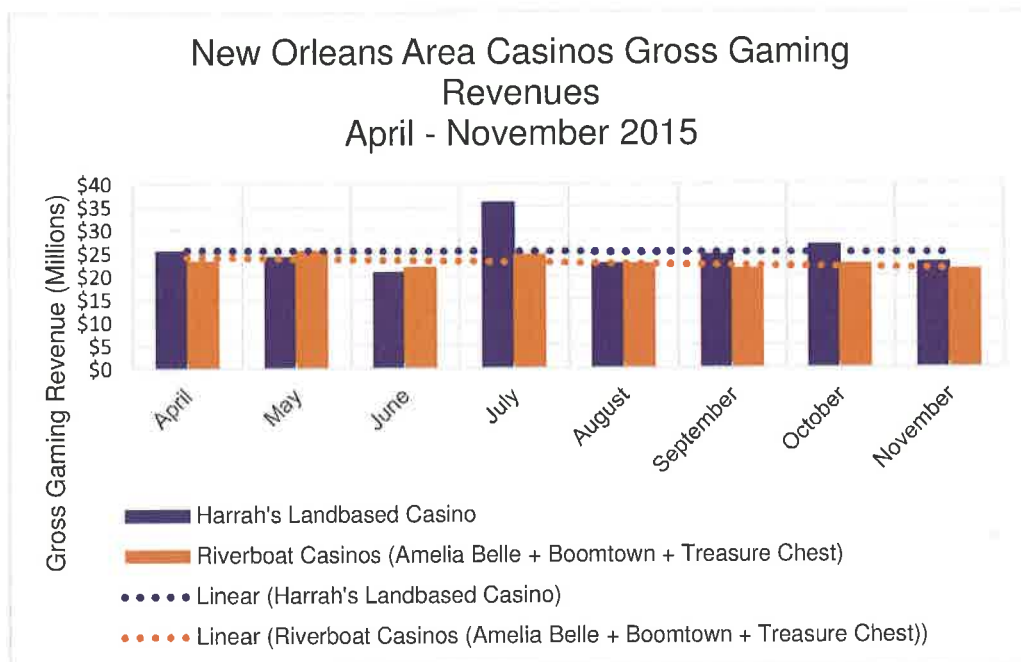
messages. The teams have developed direct links on their campus websites or set up campus designated locations to provide free cessation resources.

GOAL 2: ELIMINATING EXPOSURE TO SECONDHAND SMOKE

Best Practices: The theory of change associated with eliminating nonsmokers' exposure to secondhand smoke starts with increasing people's knowledge of the dangers of exposure to secondhand smoke, and increasing their support for passing and enforcing tobacco-free policies.

Following the passage of the New Orleans Smoke-free air ordinance that expanded the current state law to include bars and casinos, the evaluation team has been tracking revenue of bars and casinos both in New Orleans and statewide. During this reporting period, the TFL evaluation team developed a database that tracks Gross Gaming Revenues (GGR) for every casino operating within the State of Louisiana generated from the Louisiana Gaming Control Board monthly reports for land based and river boat casinos. Reports for Harrah's and other New Orleans area casinos are generated to observe monthly and yearly seasonal trends to better understand any upticks or declines in GGR to understand the effects of the Smoke-Free NOLA Ordinance, and to support upcoming smoke-free campaigns in other parts of the state. This data is used in press releases, legislator education, and in strategy development sessions with local and national partners.

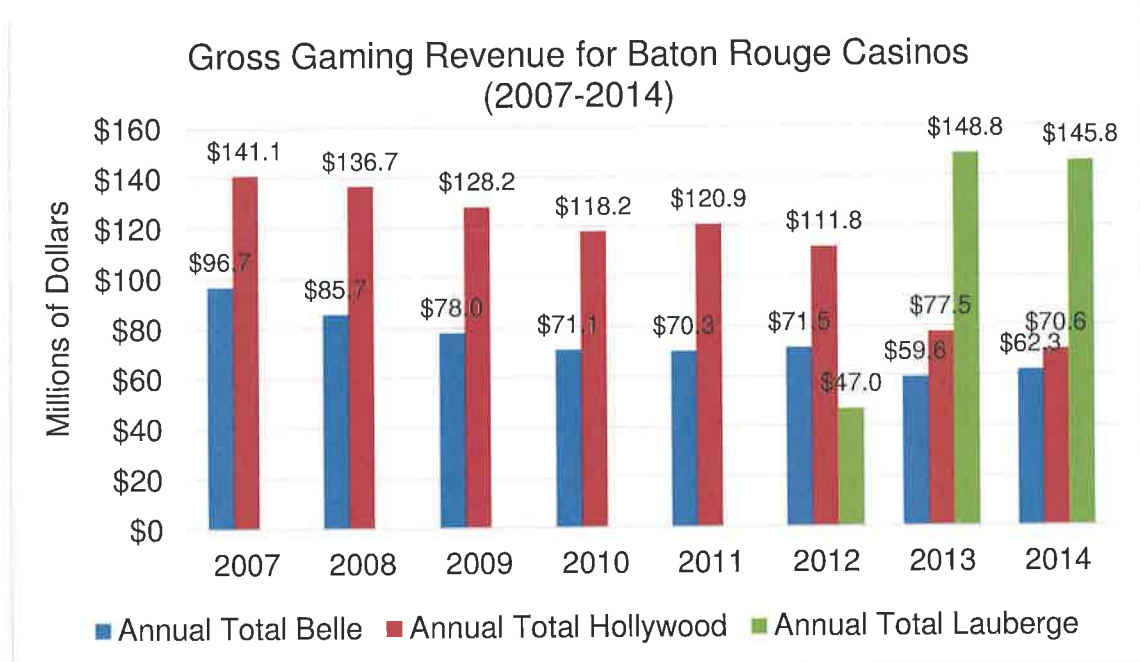
Below are 2 charts, representing the most recently available data for New Orleans and Baton Rouge Casinos. **Note: GGR data for December 2015 are not yet available.**



Source: Louisiana Gaming Control Board, Monthly Revenue Reports

- The **PURPLE** bars indicate Harrah's of New Orleans's GGR

- The purple dotted line labeled “linear” is a trend line which indicates that overall, Harrah’s GGR have remained constant and do not show declines from April through November of 2015.
- The **ORANGE** bars represent the combined GGR of the Amelia Belle, Boomtown, and Treasure Chest Casinos – Riverboat Casino’s located in Jefferson Parish
 - The orange dotted line labeled “linear” is a trend line which indicates that overall, riverboat casinos are experiencing a decline in GGR.
- These data do NOT support Harrah’s position that the Smoke-Free NOLA Ordinance caused them to loose revenue. The **PURPLE** dotted linear trend line shows Harrah’s GGR is relatively flat.
- These data do NOT support Harrah’s claim that patrons and revenue is going to the nearby riverboat casinos that allow smoking. The **ORANGE** dotted linear trend line shows that the nearby Jefferson Parish riverboat casinos have been losing money since the ordinance was implemented in Orleans Parish in April.



Source: Louisiana Gaming Control Board, Monthly Revenue Reports

- This chart illustrates the annual gross gaming revenue (GGR) for Baton Rouge’s 3 casinos- Belle, Hollywood, and Lauberge.
- The Lauberge Casino opened in 2012 and has dramatically affected the Hollywood’s GGR and the Belle to a lesser degree.

Tobacco Tax Tracking

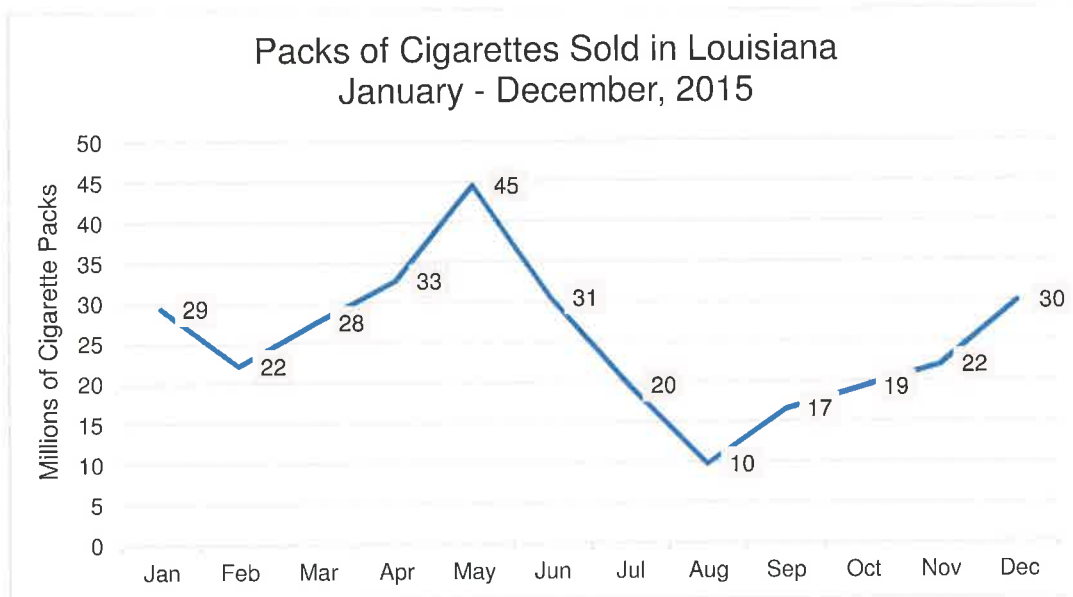
On July 1, 2015 the tobacco tax in the State of Louisiana increased by 50 cents and a new tax on vape liquids (5 cents/ml) was imposed. Over the current reporting period we revised TFL’s tax tracking system and worked

closely with the Louisiana Department of Revenue to clarify the reports and request an amendment to their tax report format, so that vape wholesale taxes can be tracked separately.

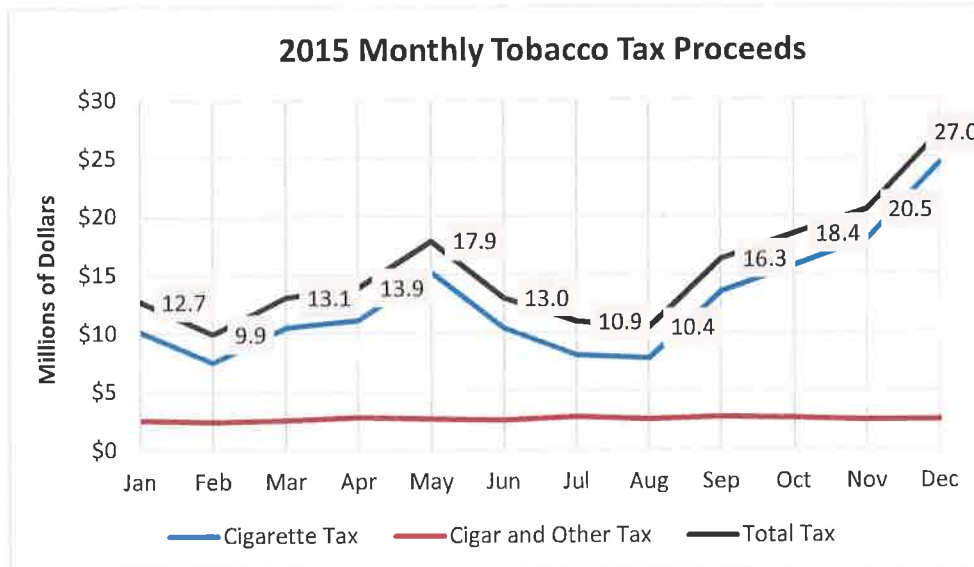
Below are two charts showing tobacco tax collections from January 2015 - December 2015.

There are 2 major metrics TFL tracks using data from the Department of Revenue: (1) number of cigarette packs sold and (2) tobacco tax collections. The data show that there was a spike in cigarette sales in the 2 months leading up to the July tax increase. This was mostly likely driven by stockpiling. Cigarette sales peaked in May (44 million packs) and bottomed out in August (9 million packs). From August to December 2015, sales climbed and returned to a more typical level of 29 million packs (see chart below).

While cigarette sales returned to more typical levels between August to December 2015, monthly tobacco tax collections **more than doubled** over the same period of time. In August, the State of Louisiana collected \$10.4 million dollars in cigarette taxes. In December, the State collected \$27 million dollars.



Source: Louisiana Department of Revenue, Monthly Tobacco Tax Reports



Source: Louisiana Department of Revenue, Monthly Tobacco Tax Reports

Monthly vape wholesale tax collections have not exceeded \$31,000 in any single month. These collections are included in the “Cigar and Other Tax” category in the chart above and also listed below.

Vape Wholesale Tax Collections

- August - \$249.68
- September - \$30,800.89
- October - \$29,378.02
- November - \$25, 200.82
- December - \$13,288.38

Numerous activities throughout the state have contributed to these changes through education and advocacy for clean indoor air. Below are specific examples of activities during this reporting period.

TFL Highlighted Activities:

- **6-Month Celebration of Smoke-Free NOLA**
 - There have been early impacts as a result of the Smoke-Free New Orleans ordinance. During a press conference held on October 22, 2015, bar owners, musicians, health department representatives, smoke-free advocates, and New Orleans council supporters gathered on the front steps of city hall to announce post-ordinance successes. A bar owner reported a 30% increase in business to his establishment, musicians commented on their excitement to book gigs at venues they would not have previously that allowed smoking, and more visible outcomes such as the significant decline in air pollution in bars and casinos (Figure 1), New Orleans voters overwhelmingly approve of the law prohibiting smoking inside all workplaces (Figure 2), and a significant increase in Orleans

- parish residents calling the Louisiana Tobacco Quitline. See charts below of these outcomes.
- The call volume of smokers registered through the Louisiana Tobacco Quitline in Orleans parish increased 51% between April to September 2015, compared to the same time period in 2014.

Figure 1. Indoor Air Pollution Declines in New Orleans Establishments

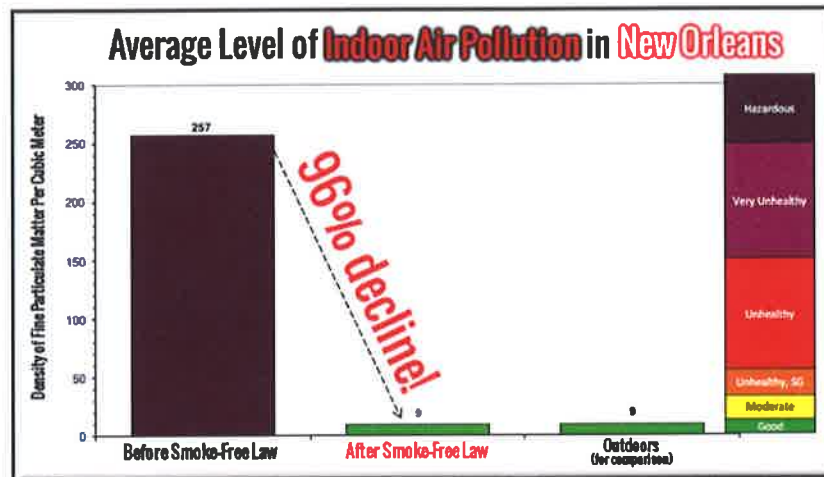


Figure 2. 6-Month Polling Data and Air Pollution Ad

After 6 months, breathing is easy in the Big Easy



78% of NOLA voters support the smoke-free law & air quality is 96% improved in bars and the city's casino.



#SmokeFreeNOLA TobaccoFreeKids.org

Note: A poll released in October 2015 found that New Orleans voters overwhelmingly approve of the law prohibiting smoking inside all workplaces, including bars and casinos, after six months in effect. The poll indicates that support for the law has increased as NOLA residents have experienced the benefits of a smoke-free environment.

- Nearly 8 out of 10 New Orleans voters support the new law, including 64 percent who strongly favor it.
- Support for the law has increased from 66 percent in December 2014 to 78 percent.

- **TFL Earned Media**
 - The 6-Month Smoke-Free New Orleans celebration generated more than **35 media stories**, mentions, and features through local, state and regional media. The press conference was attended by all local television stations, the *Times-Picayune* and *The New Orleans Advocate*. In addition, there was increased traffic to the “Healthier Air for All” Facebook and Twitter, new visitors to the “Healthier Air for All” website.

- **Tobacco Prevention and Control Media and Communications**
 - Social media continues to add value to TFL’s grassroots advocacy efforts. Media & Communications (M&C) staff continues to engage advocates via Facebook and Twitter while promoting smoke-free venues and events.
 - This reporting period there were **23,858** followers a.k.a. “Likes” on the “**Healthier Air for All**” Facebook page. The majority of followers live in New Orleans, followed by Shreveport, Baton Rouge, and Lafayette respectively. The demographic makeup of Facebook followers are 71% female, 29% male between the ages of 25-34.
 - There were also **3.237 Twitter followers** on the “*Healthier Air For All*” Twitter account. According to the Klout Score & Analysis, our *Healthier Air for All* campaign is in the “networker” category meaning we “*know how to connect the right people and share what’s important to our audience.*”
 - This reporting period there was a publicity value of **\$3,222,057.45** for all earned media placements regarding the “Healthier Air For All” and TFL websites, and other overarching TFL tobacco prevention and control messaging.

GOAL 3: PROMOTING CESSATION AMONG YOUTH AND YOUNG ADULTS

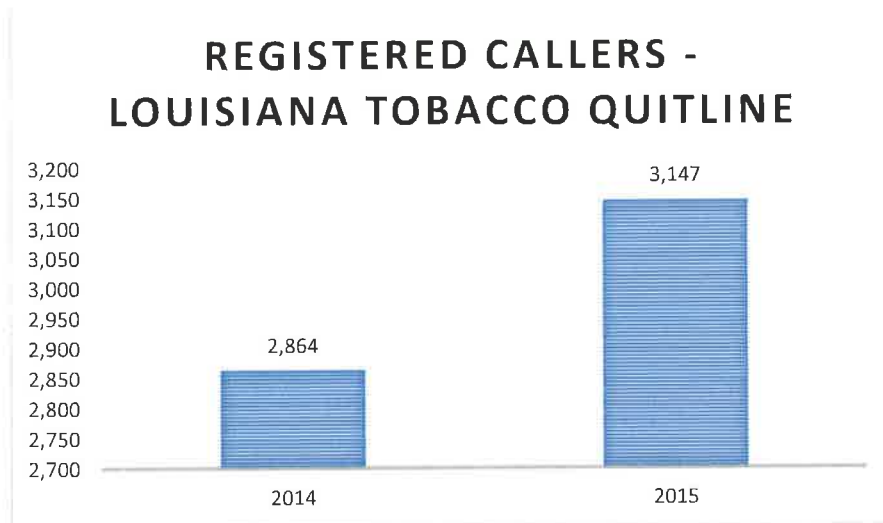
Best Practices: Tobacco is highly addictive. Although it is possible to quit without help, evidence shows that the chance of success is much higher with the use of support services. State-supported telephone Quitlines overcome many of the barriers to smoking cessation classes because they are free and available at smokers’ convenience. They also bring services to smokers in areas that have few resources. Group cessation programs and workplace cessation programs also improve the likelihood of success. Integrated services – which link Quitlines, provider services, workplace cessation initiatives, and approved pharmacotherapies – offer smokers several help options and lead to greater cessation services and more success.

A number of activities have contributed to this increase in successful quitting through education and promotion of cessation with youth and young adults. Below are specific examples of cessation-focused activities during this reporting period.

TFL Highlighted Activities:

- **Louisiana Tobacco Quitline**
 - From July 1, 2015 to December 31, 2015, the total registered call volume to the LA Tobacco Quitline was **3,147** compared to 2,864 enrolled during this time period last year.

Quitline Call Volume Comparison (2014 v. 2015)

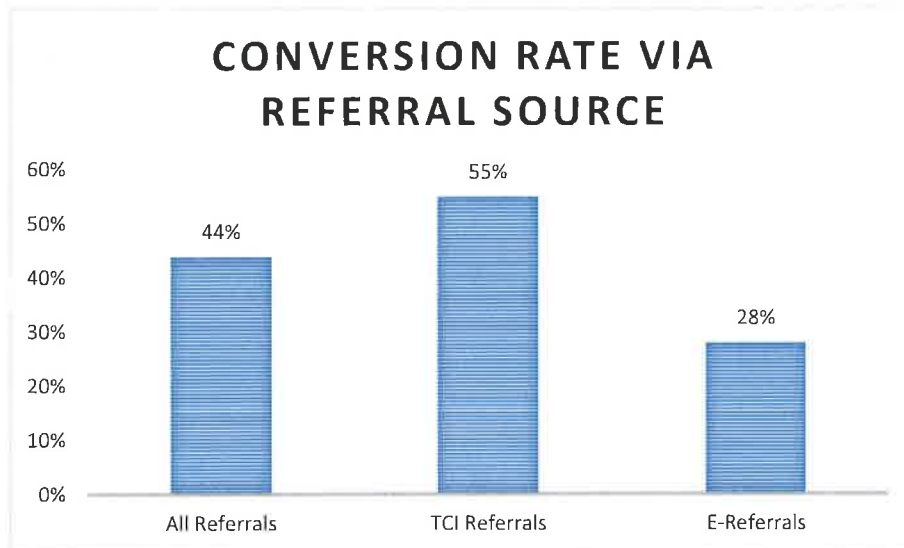


Source: Quitline Data

- **Web Coach®**
 - There were **1,199** callers that received web accounts for Web Coach® and generated **412** first time logins. Through the Web Coach® services, Well-Ahead and TFL are aiming to

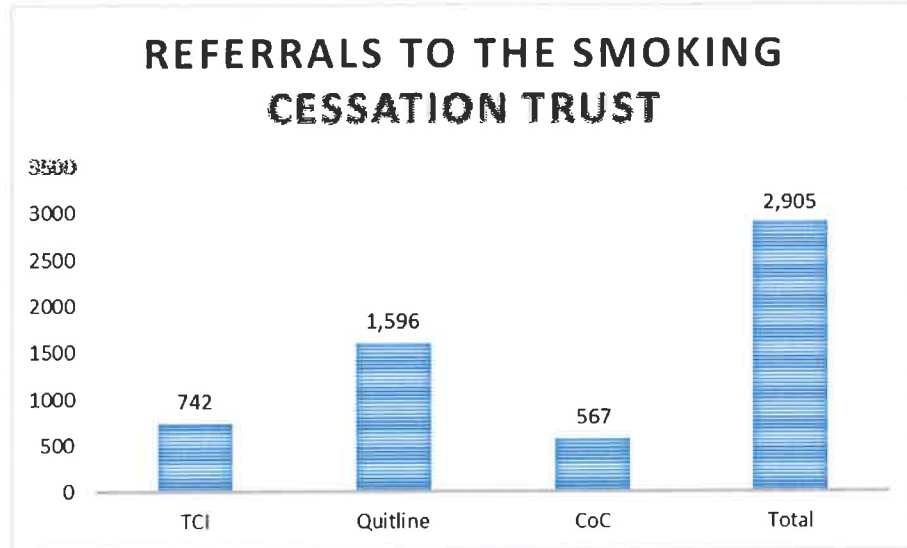
broaden the reach to target youth and young adults with the tobacco cessation interventions.

- **Fax-to-Quit Referrals for the Louisiana Tobacco Quitline**
 - During the reporting period there were a total of **747** fax referrals to the Quitline compared to 332 fax referrals to the Quitline last year. **473 faxes resulted in successful enrollment into counseling compared to 159 fax referrals last year.**
 - During this quarter the **TCI submitted to Alere 344** quit-line referrals. The TCI staff sent **319 referrals via fax**, while the Interactive Voice Response (IVR) Quit Manager system at Lallie Kemp Medical Center (LAK) sent **25 e-referrals**. A total of **183 (57%) fax referred patients received counseling**, compared to **7 (28%) e-referred patients**.



Smoking Cessation Trust

- Starting October 1, 2012, The Smoking Cessation Trust (SCT) began to cover all quit line counseling to all callers that were classified during the intake call as “Class Members” and approved by the presiding Judge.
- For the reporting period, there were **2,905 referrals made to SCT**. Referral sources included (see chart below):
 - **The Quitline**, which classified and referred **1,596** quit line callers as class members compared to 1,569 quit line callers last year during this time period;
 - **The TCI**, which submitted **742** membership applications to SCT during this reporting period. Of these, TCI staff submitted 403 applications, the LAK IVR system submitted 52 applications, and the Daughters of Charity Health Clinics (DCHC) IVR system submitted 287 applications; and
 - The **Communities of Color**, TFL’s community grassroots partners, which recruited **567** members to the SCT through several hosted cessation social justice presentations.



- **Business Agreements with Partner Healthcare Systems Executed**
 - During this reporting period, TCI finalized its business agreement with Leonard J. Chabert Medical Center (Houma, LA), now a member of the Ochsner Healthy System. Agreements with the private or partner healthcare delivery systems that accepted management of hospitals to continue providing cessation services. They include:
 - Leonard J. Chabert Medical Center (Houma, LA)
 - University Health Conway (Monroe)
 - University Hospital & Clinics (Lafayette)
 - Interim Louisiana Hospital (New Orleans)
 - Our Lady of the Angels (Bogalusa)
 - Lallie Kemp Medical Center (Independence)
 - University Health Shreveport (Shreveport, LA)
 - Our Lady of the Lake (Baton Rouge, LA)

- **Cessation Publicity Value**
 - This reporting period there was a publicity value of **\$4,366,142.24** for all earned media placements regarding cessation (quit smoking). This coverage is due to the promotions of the Quit With Us LA cessation website, the Louisiana Quitline (1-800-Quit-Now), Healthier Air for All website, New Orleans Pelicans promotions, and the Smoking Cessation Trust.

GOAL 4: IDENTIFYING AND ELIMINATING TOBACCO-RELATED DISPARITIES

Best Practices: In an effort to identify and eliminate tobacco-related disparities, state programs should ensure that disparity issues are an integral part of state and local tobacco control strategic plans, provide funding to organizations that can effectively reach, involve, and mobilize identified specific populations, and provide culturally competent technical assistance and training to grantees and partners.

Several activities were continued and recently implemented focusing on eliminating tobacco-related disparities in Louisiana. Below are specific examples during this reporting period.

TFL Highlighted Activities:

- **Communities of Color (CoC) Network's 100% Tobacco-Free Church Initiative**
 - CoC continued the implementation of the 100% Tobacco-Free Church initiative. During this reporting period **7 new churches** completed the tobacco-free church curriculum and are now **100% tobacco-free**. This added to the **149 churches statewide** that are participating in this initiative. The focus of this initiative is to incorporate policy changes within the cornerstone of the African-American community – the church. A series of activities are conducted with various segments within the church population including youth and women. Upon completion of the program, the churches adopt tobacco control into its health ministry as a systems change to educate and build awareness within the congregation about the dangers of tobacco use and exposure.

- **Annual Bayou Classic Outreach**
 - TFL promoted its cessation services via a national televised commercial and in-game to attendees during the 42nd Annual Bayou Classic on November 28, 2015. A general danger of tobacco use message, along with the promotion of the 1-800-Quit-Now (Quitline) aired during the game to urge fans and viewers to quit smoking. The Bayou Classic reaches a predominantly African American audience. In-game attendance was approximately 62,907, and television viewership was 3.2 million people.

GOAL 5: FACILITATING STATEWIDE COORDINATION OF ALL TOBACCO CONTROL INITIATIVES

Best Practices: Statewide programs can provide the skills, resources, and information needed for the coordinated, strategic implementation of effective community programs. State experience has shown the importance of having all of the programs' components working together both internally and externally. A key activity is creating an effective communication system externally across programs, coalitions and partners.

The following highlights statewide coordination efforts during this past reporting period.

TFL Highlighted Activities:

- **Professional Development, Presentations, and Research**
 - **APHA Annual Meeting Presentations**
During this reporting period the TFL staff gave **two posters and three oral presentations** at the American Public Health Association's 143rd Annual Meeting and Expo which was held in Chicago, IL. These include:
 - **Moore T.,** Rodas C., Smith O., Cofer J. "Breathing easy in the Big Easy: review of a comprehensive campaign for smoke-free bars and casinos in New Orleans." (Oral Presentation)
 - **Farb H.,** S. Mukherjee, J. Vanderselt, M. Brown, T. Moore. "[Cessation] is a battle, a fight": Factors influencing tobacco initiation and cessation patterns (Oral Presentation)
 - **Arnold D.,** Canterbury M., Gerig K., Hurst A., Carton T., Brown, L., Moore T., "Post Ordinance: Early Trends Following the Implementation of the Smoke-Free NOLA Ordinance." (Oral Presentation)
 - **Arnold D.,** Priebe A., Canterbury M., Gerig J., Mukherjee S., Vanderselt J., Ledford C., McCormick-Ricket I., Conrad L., Nolan A., Czaplicki L., Tulikangas M., Moore T., Carton T., Brown L. "Pre-Ordinance: Using Local Data to Support the Smoke-Free NOLA Ordinance." (Poster Presentation)
 - **Moore T.,** Conrad L. "Healthier air for all campaign: Now serving clean air in New Orleans." (Poster Presentation)
 - **Warner Series: Smoke-Free New Orleans**
On December 8, 2015, TFL Associate Director Tonia Moore, along with Smoke-Free New Orleans coalition members participated in a panel discussion highlighting how diverse community leaders, public health advocates and local activists worked together to achieve a new law that will save the lives of residents and visitors of New Orleans. This panel discussion took place at the National Portrait Gallery in Washington DC, and was hosted by the *Truth Initiative*, as well as streamed live.
 - **TCI/TFL Represented at National Tobacco Control Annual Meeting with CDC**
 - In August 2015, Dr. Sarah Mood-Thomas (Director, TCI Program), Tonia Moore (Associate Director, TFL), and Alex Hurst (TFL Cessation Manager) all received invitations to present at the Centers for Disease Control and Prevention Office of



LCRC NARRATIVE SUMMARY PROGRESS REPORT
FY 2015-2016 6-Month Report
Performance Period: July 1 – December 31, 2015

Smoking and Health (CDC-OSH) annual grantee meeting titled “Retooling and Recommitting: Policy, Systems and Environmental Approaches in Tobacco Control” in Atlanta, GA. The meeting brought together tobacco control professionals from states, U.S. Pacific Island Jurisdictions, territories, tribes, and national networks to translate advances in evidence and practice into strengthened implementation of policy, systems, and environmental strategies and activities.

- Dr. Thomas presented on TCI’s work at leveraging health systems change to increase tobacco cessation.
- Tonia presented on the smoke-free New Orleans ordinance and laying the groundwork, advocacy and post-ordinance efforts.
- Alex and Tonia presented jointly on the African-American Male Cessation Initiative launched at the beginning of 2015.

Louisiana Cancer Research Center - Tobacco Cessation (TFL) Program
Semi-annual Report Expenditure Summary
 Fiscal Year 2014-2015: Period: 7/1/15 - 12/31/15

	General & Admin		State & Community		Media Coordination		Evaluation		Cessation Coordination		Total	
	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget	Actual	Budget
SOURCES OF FUNDS												
State Grant Revenue	-	254,108	-	1,326,308	-	836,323	-	470,228	-	542,575	-	3,429,541
Total Sources of Funds	-	254,108	-	1,326,308	-	836,323	-	470,228	-	542,575	-	3,429,541
USES OF FUNDS												
Salaries & Related	99,621	99,728	614,925	732,080	132,017	136,057	136,698	150,038	-	-	983,262	1,117,903
Travel	493	750	24,850	30,000	2,005	2,000	3,288	2,000	-	-	30,635	34,750
Operating Services	9,548	8,980	160,415	192,218	28,126	61,585	15,823	16,090	4,012	4,575	217,924	283,448
Supplies	1,466	4,000	8,634	11,600	1,842	1,000	1,650	3,100	6	-	13,598	19,700
Equipment (Small)	-	-	-	410	-	-	-	-	-	-	-	410
Grants/Contracts	14,071	15,650	182,425	360,000	239,242	635,681	17,946	299,000	355,714	538,000	809,398	1,848,331
Other Charges	11,002	125,000	-	-	-	-	-	-	-	-	11,002	125,000
Total Uses of Funds	136,201	254,108	991,249	1,326,308	403,233	836,323	175,404	470,228	359,731	542,575	2,065,818	3,429,541
Net Sources/Uses of Funds												
		117,907		335,059		433,090		294,823		182,844		1,363,723
Percent of Budget Available		46%		25%		52%		63%		34%		40%