

CALIFORNIA HORSE RACING BOARD  
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## REGULAR MEETING

of the **California Horse Racing Board** will be held on **Tuesday, June 19, 2007**, commencing at **10:00 a.m.**, at the **Hollywood Park Sunset Room, 1050 S. Prairie Avenue, Inglewood, California.**

### AGENDA

#### Action Items:

1. Approval of the **minutes of the regular meeting of May 22, 2007.**
2. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the San Mateo County Fair (F) at Bay Meadows** from August 8 through August 19, 2007, inclusive.
3. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Humboldt County Fair (F) at Ferndale** from August 9 through August 19, 2007, inclusive.
4. Discussion and action by the Board on the **Application to Conduct a Horse Racing Meeting of the Sacramento Harness Association (H) at Cal-Expo** from August 1 through December 22, 2007, inclusive.
5. Update by representatives of Magna Entertainment Corporation (MEC) concerning the status of the **redevelopment of the barn area at the Santa Anita Park Race Track.**
6. Public hearing by the Board on the **proposed amendment of CHRB Rule 1690.1, Toe Grabs Prohibited**, to prohibit the use of toe grabs greater than four millimeters on the front shoes of quarter horses while racing.
7. Discussion and action by the Board regarding **mandating the use of safety reins at California racetracks.**
8. Report and discussion by the Board regarding the **Senate Committee on Governmental Organization's June 13, 2007 meeting concerning "California Horse Racing Board Oversight: Synthetic Tracks and Racing Dates."**
9. Discussion and action by the Board regarding the **status of Bay Meadows Race Track and the Northern California racing calendar.**
10. Discussion and action by the Board regarding the **status of the shortfall payable to the state by the racing associations and fairs pursuant to Business and Professions Code Section 19616.51.**

11. Discussion and action by the Board on the **distribution of race day charity proceeds of the Oak Tree Charitable Foundation in the amount of \$66,800 to 25 beneficiaries.**
12. Report of the **Medication Committee.**
13. Discussion and action by the Board on the **proposed amendment to Rule 1843.2, Classification of Drugs Substances,** to reclassify specified drug substances.
14. **Public Comment:** Communications, reports, requests for future actions of the Board.  
**Note:** Persons addressing the Board under this item will be restricted to **five (5) minutes** for their presentation.
15. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by Section 11126 of the Government Code.
  - A. Personnel
  - B. Board may convene a Closed Session to consider any of the attached pending litigation.
  - C. The Board may also convene a Closed Session to consider any of the attached pending administrative licensing and disciplinary hearings.

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at [www.chrb.ca.gov](http://www.chrb.ca.gov). \*Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

**CALIFORNIA HORSE RACING BOARD**

Richard B. Shapiro, Chairman  
John C. Harris, Vice Chairman  
John W. Amerman, Member  
John Andreini, Member  
Jesse H. Choper, Member  
Marie G. Moretti, Member  
Jerry Moss, Member  
Ingrid J. Fermin, Executive Director

**PROCEEDINGS** of the Regular Meeting of the **California Horse Racing Board** held at the **California Department of Water Resources Auditorium**, 1416 9<sup>th</sup> Street, Sacramento, California, on **May 22, 2007**.

Present: Richard B. Shapiro, Chairman  
John C. Harris, Vice-Chairman  
John W. Amerman, Member  
John Andreini, Member  
Jesse H. Choper, Member  
Marie G. Moretti, Member  
Ingrid J. Fermin, Executive Director  
Peter Kaufman, Deputy Attorney General

## **MINUTES**

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Chairman Shapiro asked for approval of the minutes of the Regular Meeting of April 19, 2007.

Vice-Chairman Harris stated he had a correction to page 13 of the minutes. He said he intended to say retired racehorses could be retrained "in other equine uses" rather than "in other aspects of racing." Chairman Shapiro stated the minutes would be so corrected.

Commissioner Amerman **motioned** to approve the minutes. Commissioner Moretti **seconded** the motion, which was **unanimously carried**.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT "SONOMA SOLANO WINE COUNTRY RACING," A COMBINED HORSE RACING MEETING OF THE SOLANO COUNTY FAIR (F) AT VALLEJO FROM JULY 11 THROUGH JULY 15, 2007, INCLUSIVE, AND THE SONOMA COUNTY FAIR (F) AT SANTA ROSA FROM JULY 18 THROUGH AUGUST 16, 2007, INCLUSIVE.**

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Jacqueline Wagner, CHRB staff, said the Solano County Fair Association (SCFA) and the Sonoma County Fair and Exposition (SCFE) filed an application to conduct Sonoma Solano Wine Country Racing, which would be a combined horse racing meeting. Ms. Wagner stated the first race meeting would commence at SCFA July 11 through July 15, 2007, and continue

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at the SCFE July 18 through August 6, 2007, for a total of 23 days of racing. The combined meeting would hold 244 races, or 55 at SCFA and 189 at SCFE. The first post time for SCFA would be 12:35 p.m. and the first post time for SCFE would be 12:45 p.m. Ms. Wagner stated the staff analysis indicated the vanning and stabling agreement was missing, and staff recommended the Board approve the application contingent upon receipt of the missing information. Vice-Chairman Harris said he was concerned the overall stakes program was fairly light. The fairs historically represented a lot of great stakes opportunities for California horsemen, so the problem needed to be worked out with the Thoroughbred Owners of California (TOC). In addition, it looked like the number of stakes races designated Cal-bred was below the 10 percent requirement. He added he hoped the fairs, in general, would revisit their stakes program. Chairman Shapiro asked how the \$2,746,000 in purse estimates compared with 2006 when the meetings were not combined. Jim Moore of SCFE said in 2006 there was an overpayment of approximately \$100,000, so the purses were down. The shortfall was primarily at SCFE. Vice-Chairman Harris stated it would seem that combining the meetings could generate more handle. He asked if that was factored into the equation. Mr. More said the fairs hoped that would be the case, but as they had never combined race meetings, the additional week at SCFE was not factored into the purse projections. The fairs believed if they gambled and ended up overpaying purses in 2007, the next year would be much more painful. Vice-Chairman Harris asked if the advertising program was energized to reflect the three weeks of racing at SCFE. Joe Barkett of SCFA said there would be more advertising in San Francisco to lure fans from the Bay Area. In addition, the local population would also see advertising. Chairman Shapiro stated he noticed a win-place-show competition

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after the eighth race. He asked what that was about. Mr. Barkett said there was a drawing to award cash prizes to on-track patrons. He stated the win-place-show drawings were popular over the last several years. Vice-Chairman Harris asked if there would be a difference in the price of admissions and parking during the third week of the SCFE meeting. Mr. Moore stated admissions to the fairgrounds and parking would be free, and the charge for the grandstand would be reduced. Chairman Shapiro asked if the stabling and vanning contract was complete. Chris Korby of the California Authority of Racing Fairs said the fairs would help Golden Gate Fields while it installed its synthetic surface by acting as auxiliary stabling. That required a complete restructuring of the stabling and vanning agreement, which involved a renegotiation of expenses, reimbursement to the fairs and other issues. The parties were near agreement, but a contract had not been signed. Mr. Korby added he expected a signed contract to be in place prior to the commencement of the combined fair meeting. Chairman Shapiro asked if all the advance deposit wagering (ADW) agreements were in place, and if there was anything about the agreements that would impede the ability of the signals to be sent, or cause the cessation of ADW wagering. Mr. Korby stated there were no such issues. Commissioner Moretti asked if a finalized copy of the marketing plan could be forwarded to the Board. Mr. Moore said the fairs would combine advertising with a budget of approximately \$325,000. He stated that due to personnel changes the promotional plans were still in a fluid state, but would soon be consolidated. Commissioner Moretti **motioned** to approve the application by SCFA and SCFE for license to conduct Sonoma Solano Wine Country Racing. Commissioner Andreini **seconded** the motion, which was **unanimously carried**.

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**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION TO CONDUCT A HORSE RACING MEETING OF THE DEL MAR THOROUGHBRED CLUB (T) AT DEL MAR FROM JULY 18 THROUGH SEPTEMBER 5, 2007, INCLUSIVE.**

Jacqueline Wagner, CHRB staff, said the Del Mar Thoroughbred Club (DMTC) proposed to run from July 18 through September 5, 2007, the same number of days as in 2006. The first post time would be 2:00 p.m., daily; and 1:00 p. m. August 19; 3:30 p.m. August 17, 24 and 31; and 4:00 p.m. on July 20 and 27, and August 3 and August 10. Ms. Wagner stated signed horsemen's and trainer's agreements were received, and staff recommended the Board approve the application as presented. Chairman Shapiro asked if there would be any interruption to advance deposit wagering (ADW) during the DMTC meeting. Joe Harper of DMTC said there would be no issues with ADW during the meeting. Vice-Chairman Harris asked if the money returned to California in purses and track commissions were the same in a TVG state versus a non-TVG state. Drew Couto of Thoroughbred Owners of California said the return from a non-TVG state was typically greater than the return from a TVG state. Chairman Shapiro asked if DMTC would use a Trakus system at its meeting. Mr. Harper said it was DMTC's intention to use such a system. Chairman Shapiro asked if DMTC would be rolling out new wagering technology after the expiration of the totalizator contract in September 2007. Mr. Harper said that was an ongoing process. DMTC introduced new technology in 2006 and would introduce more wagering technology in 2007. Chairman Shapiro asked about the status of DMTC's synthetic racing surface. Mr. Harper said the synthetic racing surface was installed and tested. He stated it was working well and there was certainly a difference in comparison with a traditional dirt surface. The track was currently being covered with a Hexideck to protect it from automobile traffic during the fair. Commissioner Amerman

commented he thought DMTC's marketing plan was the best he had ever seen in racing. He stated he was impressed with DMTC's customer training program. Vice-Chairman Harris asked if the increase in on-track attendance was mirrored in a growth in handle. Mr. Harper said the handle seemed to be growing. DMTC was attempting to attract patrons by marketing its venue. As long as patrons were attracted to the venue, they would learn about horse racing. Commissioner Amerman **motioned** to approve the application for license to conduct a horse racing meeting of DMTC. Commissioner Choper **seconded** the motion, which was **unanimously carried**.

**REPORT BY REPRESENTATIVES OF MAGNA ENTERTAINMENT CORPORATION (MEC) ON THE PROGRESS OF THE REDEVELOPMENT OF THE BARN AREA AT THE SANTA ANITA PARK RACE TRACK.**

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Chairman Shapiro commented the issue was the subject of many discussions. At the April 2007 Regular Meeting Santa Anita (SA) was asked to return with a time line and elevations and plans for the barn area redevelopment. Frank DeMarco of SA stated the Chief Executive Officer of Magna Entertainment Corporation (MEC) asked him to read a letter expressing the position of MEC regarding the issue. The letter was from Michael Neuman, was dated April 26, 2007, and was addressed to the City Manager of the City of Arcadia.

“Dear Sirs – This letter will clarify both our position and intent regarding the statement made to the California Horse Racing Board at their Regular Meeting on Thursday, April 19, 2007. Mr. DeMarco clearly stated that ‘until now we had no definite plan as to which barns to move and which ones we couldn’t move. We are now going to be preparing the plan and will keep

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you advised of what we are doing.’ The statement is self-explanatory. Mr. DeMarco clearly states we never had a plan and we currently do not have a plan to replace barns at Santa Anita. Mr. DeMarco went on to express his opinion as to what a future plan might entail. This statement does not represent the current intent of Santa Anita. It is also clear from other comments made by Messrs. Charles and DeMarco that there are no plans for new barns. These gentlemen expressed notions as to what new barns might look like, however, these musings do not in any way indicate an intent or formal plan by Santa Anita. The California Horse Racing Board has been urging Santa Anita to upgrade the stable area for some time and Santa Anita may, at some point in the future, decide to make changes to the stable area. If, as, and when that decision is made, a plan will indeed be made and the proper environmental analysis will be undertaken and the appropriate applications will be made to the appropriate authorities. In the meantime, we respectfully request that you disregard the claims made of Mr. Casey’s correspondence, dated April 20, 2007.

Mr. DeMarco stated the letter was the official position of MEC. Chairman Shapiro said at the October 2006 Regular Meeting the Board was informed that SA and MEC intended to rebuild at least ten barns. He stated he and other members of the Board made statements to the effect that if the work had not commenced by the end of 2007 it would be difficult to reward SA with the continuance in 2008 of the number of dates they received in 2007. Chairman Shapiro said California horsemen depended on habitable and safe barn areas for their horses. It was



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unacceptable for anyone to tell the Board they would redevelop the barns when they were not going to follow through. Mr. DeMarco said he could only convey the displeasure of the Board to the proper authorities. Commissioner Choper asked about the scope of the Board's authority to require rehabilitation of the barns, and the scope of the Board's power to take action if the association did not comply. Chairman Shapiro said the Board was entrusted with awarding race dates, and as part of that process it conducted racetrack inspections. He stated since MEC bought SA it promised to rebuild the barn area. Chairman Shapiro said he flew to Palm Meadows to tour its barn area and was told by MEC officials similar barns would be built at SA. He stated the withdrawal of the commitment – based on the MEC letter – came as a great surprise. Commissioner Choper said, as he understood it, the sole incentive the Board could use to seek improvement in the barns was to adjust the number of racing dates. Chairman Shapiro stated he believed the Board could also levy monetary sanctions. Commissioner Choper said it seemed the Board was entitled to a fuller explanation of why nothing had been done, and whether there were any specific plans. Vice-Chairman Harris said although it was not an excuse the letter may have been written to convince the City of Arcadia that SA did not need to redo its shopping center environmental impact report, which might be required if they worked on the barns. Commissioner Amerman said Mr. Neuman of MEC needed to appear before the Board to explain the letter. Chairman Shapiro stated he agreed with Commissioner Amerman and he asked Mr. DeMarco to request Mr. Neuman's presence at the June 2007 Regular Meeting. Commissioner Amerman asked when Mr. Neuman became a factor in the MEC/SA decision-making process. Mr. DeMarco said that was in February 2007. Chairman Shapiro asked Mr. DeMarco to provide Mr. Neuman with copies of Board transcripts wherein

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other MEC/SA employees appeared with respect to the issue. He commented Mr. Neuman might not have the background regarding the issue to understand the Board's concerns. Jerry Jamgotchian, a horse owner, spoke about his concerns regarding capital improvements at SA. Robert Pontelle of the law firm Weston Benschhoff, which represents Westfield Group, spoke about the redevelopment of the SA barn area, and the proposed shopping center at SA.

**REPORT BY THE RACING ASSOCIATIONS REGARDING THE PROCEDURES USED BY THE ASSOCIATIONS IN THE RECRUITMENT AND TRAINING OF BACKSTRETCH EVENT PERSONNEL.**

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Eual Wyatt of Hollywood Park stated he and George Haines of Los Angeles Turf Club worked to develop and formalize the criteria for selection, training, deployment and supervision of backstretch event personnel. He stated they did a self-evaluation regarding the procedures already in place, and they were surprised to see how far the associations had progressed. The associations were insisting that security contractors provide personnel who held California Guard Cards – notwithstanding the new requirement that the Board license such personnel. Mr. Wyatt stated the California Guard Cards were only issued to persons who had undergone a background check. In addition, the associations were given the last say on whom the security contractors provided. Mr. Wyatt said he and Mr. Haines felt they needed more time to develop a backstretch event personnel manual, which would be reviewed and updated after each race meeting. Mr. Haines stated he agreed with Mr. Wyatt regarding the manual, and he talked about the security measures employed at Santa Anita. Chairman Shapiro asked who would hold the backstretch event personnel licenses. Mr. Wyatt stated the associations would hold the licenses. David Stillwell of Service Employees International Union spoke about his

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union's concerns regarding the license classification and the procedures used for contracting with security firms.

**DISCUSSION AND ACTION BY THE BOARD ON THE PROPOSED AMENDMENT OF CHRB RULE 1486, TERM OF LICENSE, TO ADD "BACKSTRETCH EVENT PERSONNEL" TO THE CLASS OF LICENSES WHOSE TERM IS ONE YEAR.**

Jacqueline Wagner, CHRB staff, said at the February 2007 Regular Meeting the Board adopted an amendment to Rule 1481, Occupational Licenses and Fees, which added the license classification of backstretch event personnel. Since the new license classification was a one-year license, the amendment to Rule 1481 necessitated an amendment to Rule 1486, Term of License. Ms. Wagner stated the proposed amendment to Rule 1486 would add backstretch event personnel to the classes of license whose term is one year. She added staff recommended the Board direct staff to initiate a 45-day public comment period. Commissioner Moretti **motioned** to direct staff to initiate a 45-day public comment period. Commissioner Andreini **seconded** the motion, which was **unanimously carried**.

**DISCUSSION AND ACTION BY THE BOARD REGARDING MANDATING THE USE OF SAFETY REINS AT CALIFORNIA RACETRACKS.**

Chairman Shapiro stated the item was **deferred**.

**DISCUSSION AND ACTION BY THE BOARD ON THE TEMPORARY SUSPENSION OF CHRB RULE 1555, DUTIES OF HORSESHOE INSPECTOR, AND CHRB RULE 1853, EXAMINATION REQUIRED, TO ALLOW MULES TO RACE WITHOUT SHOES.**

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Chairman Shapiro said the Deputy Attorney General (DAG) advised the Board that it needed to amend its rules so mules could run barefoot. The purpose of the item was to create an exemption to allow mules to run barefoot until a regulation could be in place. DAG Peter Kaufman said the Board needed to make it clear the exemption was only for mules. Vice-Chairman Harris **motioned** to suspend Rule 1555 and Rule 1853 for mules only. Commissioner Moretti **seconded** the motion, which was **unanimously carried**.

**DISCUSSION AND ACTION BY THE BOARD TO CONSIDER POSTING ALL STEWARDS MINUTES ON THE CHRB WEBSITE.**

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Vice-Chairman Harris said the stewards' minutes were a way the public and racing participants could follow events at a given track from week to week. He stated the minutes were available through the Public Records Act (PRA), and if they were posted on the Board's website, there would be fewer requests for staff to handle. In addition, posting the minutes on the website would demonstrate that the CHRB was an open organization. Chairman Shapiro asked if the website had the necessary capacity. Executive Director Ingrid Fermin said there was enough capacity. It was simply a matter of the Board directing staff to post the minutes. Commissioner Moretti asked if posting the stewards' minutes would infringe on anyone's rights. Deputy Attorney General Peter Kaufman said if the documents were available under the PRA, they were public documents and there were no privacy issues. He stated he was assuming nothing in those minutes that was not available under the PRA would be made

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available on the Board's website. Chairman Shapiro **directed** staff to post the stewards' minutes on the Board's website.

**DISCUSSION AND ACTION BY THE BOARD REGARDING THE REPORT FROM THE THOROUGHBRED OWNERS OF CALIFORNIA CONCERNING ITS PROPOSAL DESIGNED TO PROVIDE SUPPORT FOR RETIREMENT FARMS FOR HORSES THAT HAVE RETIRED FROM RACING.**

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Marsha Naify of Thoroughbred Owners of California (TOC) stated the TOC proposed amending Rule 1467, Paymaster of Purses, to require that .3 percent of the net purse earned by any thoroughbred horse be deposited into a California Retirement Management Account (CRMA), which would be overseen by the TOC. Ms. Naify said the TOC calculated that would generate approximately \$480,000 per year. The TOC equine retirement committee would make recommendations to the TOC Board regarding distribution of CRMA funds; conduct due diligence by issuing guidelines to nonprofits that received CRMA monies; make annual visits to all recipients of funds to ensure the funds were spent on specific programs; and examine the nonprofit's audited financials and other relevant documents. Ms. Naify said the equine retirement committee had a lot of work to complete regarding parameters and operating guidelines, but its goals were clear. An "opt-out" form would be available for owners who did not wish to contribute to the CRMA. Ms. Naify commented the CRMA would not be the only source of monies for retired racehorses; however, it was a good beginning. The TOC hoped to raise money by soliciting private donations from owner and industry partners. She added the TOC believed its grants should not be subject to approval by the Board, as the monies would be collected from purses and private sources. Chairman Shapiro asked how many racehorses retired in California each year, or how many retired racehorses were in California. Ms. Naify

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said the committee had not had time to research the numbers of retired racehorses in California. Commissioner Moretti asked if the TOC was only looking at thoroughbreds. Ms. Naify stated the TOC was talking about thoroughbreds. Vice-Chairman Harris asked what qualified a retired thoroughbred for acceptance at a retirement farm; did the horse actually have to be raced? Ms. Naify said the committee was looking strictly at thoroughbred horses that had raced in California. Farms that took care of horses from other states, or non-racehorses would have to show the delineation between California racehorses and any other work they may do. However, if a thoroughbred was born or sired in California and did not make it to the racetrack, and needed to be retired, that horse would certainly qualify. Vice-Chairman Harris stated he would like to see the program run on a voluntary basis to get a feel for how it would work, as there could be a lot of initial confusion. Ms. Naify said the committee wanted to make the program voluntary, so it included the opt-out clause. She stated it was TOC's obligation to educate owners about the program, and owners' responsibilities regarding equine retirement. Commissioner Amerman asked if the committee knew how many retirement farms were in California, and what their total budgets were. He also asked if the committee had a feel for what might be the percentage of owners who would opt-out, as that could reduce the projected income of \$480,000. Ms. Naify said the committee did not have complete information regarding farms, or how many owners might opt-out. She stated that was why owner education regarding the program and equine retirement was important. Chairman Shapiro asked if there was a way to survey retirement farms to determine the daily cost of caring for a retired racehorse. He stated he did not know if .3 percent would be enough. Commissioner Amerman commented he did not want the proposed program to become a

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message to owners that the problem was solved. Regardless of what the TOC or the Board did, it would not solve the fact that owners needed to provide their own funds. Ms. Naify said she agreed. The TOC was not looking at its program like it was a panacea. The entire industry profited from horse racing, so TOC felt everyone should participate. She stated the committee felt .3 percent was a number owners could live with, and was a number that would also raise enough money for the program. Michael Power, a horse owner, spoke about his concerns regarding the proposed TOC equine retirement program. Jerry Jamgotchian, a horse owner spoke regarding retired racehorses.

**DISCUSSION REGARDING THE STATUS OF BAY MEADOWS RACE TRACK AND THE NORTHERN CALIFORNIA RACING CALENDAR. (THIS ITEM IS FOR DISCUSSION ONLY).**

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Drew Couto of Thoroughbred Owners of California (TOC) said Northern California racing interests met in Napa in early May 2007 to continue discussions regarding industry options for the 2008 racing calendar. The parties looked at several different various options for the 2008-racing calendar because everyone recognized the situation was subject to change. Mr. Couto stated the exercises were difficult because Bay Meadows Racing Association (BMRA) chose not to participate. The parties realized all they could do was make assumptions based on the best information at hand. However, TOC wanted the industry to know there were preliminary plans for 2008, and although they would need to be adjusted, there would be something in place that would benefit horseracing in all of Northern California. Chairman Shapiro said he appreciated the industry's initiative. He asked if Golden Gate Fields (GGF) could provide an update on the status of its synthetic racing surface. Robert Hartman of GGF said his

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organization was in the process of purchasing the materials needed to construct a synthetic racing surface; however, it was running into some problems with the municipal authorities. Mr. Hartman commented GGF remained confident the surface would be installed in 2007, but various community groups were stalling the process. Chairman Shapiro stated, as he understood Mr. Hartman, GGF was expecting a decision from the city staff, but the decision could be appealed. Mr. Hartman said that was correct. Commissioner Moretti asked if the civic groups asked for specific mitigation or did they simply want to stop any renovation work? Mr. Hartman said the concerns were very general. The opposition was worried about the ecology of the bay and they wanted to make sure all the facts were out before GGF went ahead with the project. Commissioner Amerman asked what the original timeline was for the installation and what would happen if the dates were missed. Mr. Hartman stated the original plan was to start the installation on June 12, 2007, and conclude the project by Labor Day. He said GGF had the benefit of not starting its racing again until November 2007, so there was room for it to be delayed up to two months. Problems would arise if the process were delayed longer due to continued appeals. Ben Kenney of the California Harness Horsemen's Association said the harness industry had serious issues. Mr. Kenney stated harness horsemen were looking for a long-term home, which would provide stability for standardbreds. He asked that the harness industry not be forgotten when the 2008 race dates were considered. Chairman Shapiro said he appreciated Mr. Kenney's comments and it was important to make sure there was a place for the harness industry to race and prosper. Jack Liebau of BMRA said BMRA racing in 2008 would depend on how many days BMRA received, as it needed a critical mass to be successful. BMRA believed it was hurt in 2007 because the schedule gave GGF ample



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time to install a synthetic track. Mr. Liebau stated he urged GGF to get its permits from the city, but that was not happening. He added there were rumors Santa Anita might not be installing a synthetic surface. If neither track installed a synthetic surface it would be difficult for the Board not to give waivers. Mr. Liebau suggested the Board suspend Subsection (b) of Rule 1433, Application for License to Conduct a Horse Racing Meeting, and he proceeded to outline the reasons he believed the rule was deficient. Commissioner Amerman said when BMRA applied for a waiver to Subsection (b) of Rule 1433, BMRA was asked if it would guarantee running in 2008 and 2009. He asked if BMRA would guarantee it would run in 2008 if it received equitable dates. Mr. Liebau said "yes" – depending on how many days BMRA received, and what the dates were. Commissioner Amerman stated the premise was that the dates would be consistent with past years; the specific weeks could be argued. Commissioner Amerman asked if BMRA was willing to race in 2008 if that were the case. Mr. Liebau said if BMRA received dates that made sense it would run, but he was not saying any more than that. Mr. Liebau added he thought it would be in the best interest of California racing if the Board considered suspending Subsection 1433(b). If Santa Anita did not install its synthetic track he would not place blame. There were a lot of problems with such surfaces, as no one knew the suitability and durability of synthetic tracks over an extended period of time. The tracks in the United States were receiving a lot more use than those in England, and the expected cost savings in maintenance was not happening. Commissioner Moretti asked if Mr. Liebau could be more specific regarding what he meant by dates that "made sense" to BMRA. Mr. Liebau said he could not be more specific. Commissioner Choper asked if BMRA would agree to submit any dispute regarding dates to a neutral third party that the relevant parties would agree

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to, and use the criterion that was stated: decent racing dates consistent with the past. Mr. Liebau said he did not want to make a commitment, but if a schedule was proposed that made sense to BMRA, it would run. He stated BMRA would not be applying for a waiver if it did not want to run. He added it might have been a mistake to ask for a two-year waiver, but there might have been an idea a compromise was going to be reached and BMRA would receive a year's waiver. If the right 2008 dates did not materialize, BMRA would not ask for a waiver. Commissioner Choper said he was trying to follow up in a helpful way. Mr. Liebau stated perhaps it would be helpful to the Board, but not to BMRA. Commissioner Choper said he was not sure there was no mutuality of interest. Mr. Liebau commented the mutuality of interest diverged with respect to the investment in BMRA. Money was invested on the basis of achieving certain returns, and to achieve those returns, the property would be developed. Commissioner Choper said he was only looking at how it might be possible for BMRA to run in 2008. If BMRA agreed to run in 2008, there could be an opportunity to extend a waiver. The racing calendar was not due to be set for another four or five months, and there was a benefit in resolving the issue before that time passed. Commissioner Choper stated he would be uncomfortable if BMRA said "yes" to a guarantee, but had a veto over the calendar five months later. One way to resolve that issue was for BMRA to agree to a neutral third party, which BRMA would have a hand in selecting, to resolve any dispute on the question of a decent racing calendar. If BMRA guaranteed racing in 2008 for a guaranteed series of dates, the issue could be resolved. Mr. Liebau suggested if BMRA and GGF met and arrived at a proposal for a 2008-racing calendar that was acceptable to both parties, there would not be any uncertainty. Vice-Chairman Harris said the fairs would have to be included in such

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negotiations. Mr. Liebau said he would have no problem with all the relevant parties being involved, but if an acceptable calendar was brought to the Board there would be no uncertainty and no time would be lost in arbitration. Commissioner Moretti asked if BMRA had any idea when the permitting process would be completed. Mr. Liebau said the real estate people were optimistic, and that was why he was only talking about a 2008 calendar. Commissioner Moretti said the Board was receiving a lot of mixed messages regarding BMRA's closure. Regardless of the closure date, would BMRA talk to trainers and other persons who worked at the racing facility, so they could be informed and be able to begin planning for the inevitable closure. Mr. Liebau stated the BMRA employees, per se, were informed through company meetings. The problem with making a plan for the closure of BMRA was there were only certain alternatives. Horsemen could go to GGF, or the fairs. Vice-Chairman Harris commented one or more of the fairs needed to make a large investment in upgrading its facilities, and that would take a multi-year race date commitment from the Board. Mr. Liebau stated that was correct, but a large commitment of funds needed to generate a return, and that would require improving the racing product. Chairman Shapiro asked if BMRA would commit to racing the entire year in 2008 if it was granted a waiver and some of its racing dates were in November and December. Mr. Liebau said he did not know about November or December. He stated that was why he suggested the parties should meet and arrive at an acceptable 2008 calendar. Chairman Shapiro said he had no problem with the parties returning in the near future with a calendar. He stated the issue arose because BMRA asked for a two-year waiver rather than a one-year waiver, and then it was unable to commit to racing. The Board was concerned about the people who worked at BMRA and the horsemen, and it wanted to ensure it

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could provide a succession plan. The Board was receptive to the parties creating a plan for 2008; however, it would want to know that BMRA was committed to see that plan through its entirety, and that was why the Board was asking if BMRA would make a commitment. Mr. Liebau said BMRA could not make such a commitment at the current time. Commissioner Shapiro asked if, after having experience with synthetic racing surfaces, Mr. Liebau was no longer a fan of the technology. Mr. Liebau said his concern was with the suitability and durability of such surfaces over an extended period of time. He stated there was no question they appeared safer and there were not as many fatalities. In addition, the surfaces helped field size and attracted horses to California. Michael Corbett of the County of San Mateo presented a resolution of the San Mateo County Board of Supervisors that requested live racing continue at BMRA, as well as simulcast racing. He also presented a second resolution that requested the Board reverse its decision regarding BMRA's request for a waiver of Rule 1433. Michael Power, a horse owner, spoke about his concerns regarding BMRA. Chris Korby of California Authority of Racing Fairs said his organization would welcome the opportunity to join in the proposed discussions regarding a 2008-racing calendar for Northern California. Jerry Jamgotchian, a horse owner, spoke about his concerns regarding BMRA and Northern California horse racing.

**REPORT ON THE STATUS OF CHRB'S CURRENT AND 2007-08 FISCAL YEAR BUDGETS.**

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Richard "Bon" Smith, CHRB staff, said the CHRB received \$10.3 million to fund all operations for the 2006-2007 fiscal year, which would end June 31, 2007. Mr. Smith stated the CHRB would remain within its budget, and explained how the funds were spent. Mr.

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Smith said the Governor's support budget proposal for the 2007-2008 fiscal year included \$10,800,000 for the CHRB. On May 9, 2007, the Senate Budget Subcommittee voted to eliminate the CHRB's 2007-2008 budget. On May 22, 2007, the Assembly Budget Subcommittee passed the 2007-2008 proposal in full. The Legislature would consider the CHRB budget in Conference Committee in late May or early June.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPROVAL OF FISCAL YEAR 2007-08 CONTRACTS FOR STEWARD, OFFICIAL VETERINARIAN AND OUT OF COMPETITION TESTING.**

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Richard "Bon" Smith, CHRB staff, said the State Contracting Manual requires boards with contracts in excess of \$5,000 to provide a copy of a motion approving and authorizing execution of those contracts. CHRB contracts that would be executed for the 2007-2008 fiscal year included stewards and official veterinarian contracts and a contract with the University of California at Davis for out of competition testing. Mr. Smith stated staff recommended the Board approve the contracts as presented. Commissioner Andreini **motioned** to approve the contracts for the 2007-2008 fiscal year. Commissioner Moretti **seconded** the motion, which was **unanimously carried**.

**DISCUSSION AND ACTION BY THE BOARD ON THE PROGRESS REPORT FROM STAFF ON THE STATUS OF THE JOCKEY GUILD'S HEALTH AND WELFARE PROGRAM AND THE APPROVAL OF AN EXTENSION OF THE CURRENT AGREEMENT UNTIL A NEW AGREEMENT IS EXECUTED.**

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Richard "Bon" Smith, CHRB staff, said on May 21, 2007, the Thoroughbred Owners of California (TOC) and the Jockey's Guild (Guild) met to discuss the Guild's health and welfare

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program. Mr. Smith commented the discussions were fruitful, and an agreement should be forthcoming. An audit of the current plan for the calendar year 2006 was in process. Mr. Smith stated there were difficulties with previous attempts at an audit, and a new firm was engaged. He said concrete results might soon be forthcoming. Mr. Smith said under Business and Professions Code Section 19612.9(a)(3) the Board needed to consider extending the current agreement so that it could remain in place until a new agreement was reached. He stated staff recommended the Board approve such an extension. Chairman Shapiro **motioned** to extend the current agreement. Commissioner Choper **seconded** the motion. Drew Couto of TOC spoke about the meeting between his organization and the Guild. He stated legal issues regarding the validity of the existing program were discussed. Mr. Couto also summarized discussions regarding third-party insurers and the current self-insured program. Jerry Jamgotchian, a horse owner, read a statement by Chris Gibbs into the record. The motion was **unanimously carried**.

**REPORT OF THE LEGISLATIVE COMMITTEE**

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Commissioner Moretti said the Legislative Committee (committee) met on May 22, 2007. She stated 2007 was the beginning of a two-year session and there were approximately 30 horse racing related bills introduced. Currently, there were around 21 bills that were active. Commissioner Moretti said the committee meeting was for purposes of information only and no positions were taken on any of the legislation. The CHRB was sponsoring three bills, which dealt with the use of stewards and hearing officers; terminology regarding official veterinarians; and Racing Medication and Testing Consortium penalty guidelines.

Commissioner Moretti stated the committee also heard about advance deposit wagering (ADW) legislation. She said the law enabling ADW was about to expire, so such legislation was important. Commissioner Moretti reviewed the other, various, pieces of legislation that would affect horse racing, which included a trust fund for the economic stabilization of racing fairs; the creation of a racing facility improvement fund; expansion of satellite wagering; and a jockey minimum wage bill.

**STAFF REPORT ON THE FOLLOWING CONCLUDED RACE MEET:**

**A. LOS ANGELES TURF CLUB AT SANTA ANITA FROM DECEMBER 26, 2006 THROUGH APRIL 22, 2007**

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Robert Zavala, CHRB staff, said the Los Angeles Turf Club (LATC) ran from December 26, 2006, through April 22, 2007. The average daily handle increased by 4.72 percent, and the average daily on-track attendance was up .66 percent. Allen Gutterman of LATC said the growth in on-track attendance was up about 1 percent over the previous year. For the first time in ten years LATC produced five race days with 30,000 or more on-track fans, and on the Santa Anita Derby Day, the total attendance was 56,810, the largest derby crowd since 1984. Chairman Shapiro congratulated LATC on its successful meeting.

**GENERAL BUSINESS**

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Vice-Chairman Harris stated he received several email messages regarding the quality of the web cast's sound. He stated there needed to be a standard set for the web casts, so those watching would not have problems. Jerry Jamgotchian, a horse owner, spoke about his concerns regarding horse racing related items.

**MEETING ADJOURNED AT 5:10 P.M.**

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, suite 300, Sacramento, California, and therefore made a part hereof.

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Chairman

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Executive Director



STAFF ANALYSIS  
June 19, 2007

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE SAN MATEO COUNTY FAIR AT SAN MATEO AUGUST 8-19, 2007.

San Mateo County Fair filed its application to conduct a horse racing meeting at San Mateo:

- August 8-19, 2007, or 11 days, 1 less than in 2006. The fair proposes to race a total of 103 races, 11 less than the previous year.
- The proposed race dates are the approved dates allocated to the fair.

August - 2007						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
	13	14	15	16	17	18
	20	21	22	23	24	25
26	27	28	29	30	31	

- Racing Wednesday through Sunday with 8 or 9 races per day Wednesday, 8 races Monday, Thursday and Friday and 9 races Saturday and Sunday.
  - Number of horses available determines the number of daily races programmed by breed.
  - 2006 Race Meeting: Average number of runners per race (TB): 6.85
  - 2006 Race Meeting: Average number of runners per race (Arabian): 7.25
  - 2006 Race Meeting: Average number of runners per race (Quarterhorse): 5
  - 2006 Race Meeting: Average number of runners per race (Mules): 6.50
- Racing concurrently with Humboldt Fair 8/9-13 and 8/15-19 and Del Mar 8/8-13, 8/15-19. 8/20.
- First post 1:45 p.m. daily and 1:15 p.m. August 19<sup>th</sup> on Pacific Classic Day.
  - Post times may be adjusted for the coordination of California races.
- Specific changes from the 2006 license application:
  - Program increase of twenty-five cents for on-track and off-track.
- Request Patrick Kealy be appointed horse identifier pursuant to CHRB Rule 1525.
- Track safety requirements have been fulfilled.
- Wagering program will use CHRB rules.
  - Early wagering will be offered for Breeders' Cup Future wagering – date to be determined.
  - Will offer a \$1 place pick (n) on first 10 races of each card, \$1 pick (n) pool (pick four) on

first four and final four races and a \$2 pick (n) pool (pick 6) on final six races.

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- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Inspection of backstretch worker housing completed.

Specific information **still needed** to complete this application includes:

FROM CARF:

1. Contract for stabling and vanning - in negotiations

RECOMMENDATION:

Staff recommends the Board approve the application conditioned upon receipt of the stabling and vanning agreement.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT FAIR ASSOCIATION**

A. Name, mailing address, telephone and fax numbers of fair:  
**San Mateo County Exposition and Fair Association** Telephone: (650) 574-3247  
**P.O. Box 1027, San Mateo, CA 94403-0627** Fax: (650) 574-3985

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  
 California Exposition and State Fair  Other qualified fair

**NOTICE TO APPLICANT:** Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

A. Inclusive dates of race meeting: **August 8, 9, 10, 11, 12, 13, 15, 16, 17, 18, 19**  
 B. Dates racing will NOT be held: **Tuesday, August 14<sup>th</sup>**  
 C. Total number of racing days: **11**

**3. RACING PROGRAM**

A. Total number of races: **103**  
 B. Number of races by breed:  
 Thoroughbreds  Quarter Horses  Appaloosas  
 Arabians  Paints  Mules  
 C. Number of races daily:

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Thoroughbred	9	8		8	8	8	9
Other Breeds	1	1		1	1	1	1
Total	10	9		9	9	9	10

<b>CHRB CERTIFICATION</b>	
Application received: <i>5/10/07</i>	Hearing date: <i>6/19/07</i>
Reviewed: <i>apw</i>	Approved date:
	License number:

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## SAN MATEO COUNTY FAIR 2007 STAKES SCHEDULE

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### **ARABIANS**

Saturday, August 11, 2007-Overnight Handicap

**California Classic Oaks-\$16,000 estimated\***

\*(Includes \$2,500 from ARAC race fund)

Fillies & Mares, Four Year Olds

Six Furlongs

Saturday, August 18, 2007-Overnight Handicap

**California Classic Derby-\$16,000 estimated\***

\*(Includes \$2,500 from ARAC race fund)

Four Year Olds

Six Furlongs

### **QUARTER HORSES**

Sunday, August 19, 2007-Overnight Handicap

**Jack Clifford-\$15,000 guaranteed\*\***

\*\* (Includes \$2,500 from PCQHRA)

Three Years Old & Upward

350 Yards

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D. Total number of stakes races by breed:

<input type="text"/>	Thoroughbreds	<input type="text" value="1"/>	Quarter Horses	<input type="text"/>	Appaloosas
<input type="text" value="2"/>	Arabians	<input type="text"/>	Paints	<input type="text"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. **Attached**

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No      If no, what racing colors are to be used:

G. List all post times for the daily racing program:

<u>Race#</u>	<u>Daily</u>	<u>Pacific Classic Day</u>
1	1:45	1:15
2	2:16	1:45
3	2:48	2:15
4	3:20	2:48
5	3:50	3:20
6	4:20	3:50
7	4:50	4:25
8	5:20	5:00
9	5:50	5:30
10	6:18	6:00

**Post times may be adjusted to facilitate coordination of California races**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

**4. FAIR ASSOCIATION**

- A. Names of the fair directors: **Jack Olsen, President, Melanie Hildebrand, Vice President, Peter Chartz, Secretary-Treasurer, Tony Clifford, Immediate Past President-Director, Larry Buckmaster, Director, Steve Karp, Director, Mark Norris, Director, John Zirelli, Director**
- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: **Chris Carpenter, Director of Racing**
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, **other than those listed in 9B**, who will be listed in the official program:

**5. PURSE PROGRAM**

A. Current Meeting estimated Purse Distribution:

- 1. All races other than stakes:
 

Current meet estimate:	<b>\$1,447,232 plus starter purses per Section 19605.75</b>
Prior meet actual:	<b>\$1,581,234 plus starter purses per Section 19605.75</b>

- 2. Overnight stakes:
  - Current meet estimate: **\$ 47,000 (includes supplements & fees)**
  - Prior meet actual: **\$ 53,079 (includes supplements & fees)**
  
- 3. Non-overnight stakes:
  - Current meet estimate: **-0-**
  - Prior meet actual: **-0-**

- B. Funds to be generated for all California-bred incentive awards:
  - Current meet estimate: **\$117,917**
  - Prior meet actual: **\$131,174**

- C. Payment to each recognized horsemen's organization contracting with the fair:

	Current meet estimate:	Prior meet actual:
CTT	4,010	4,855
TOC	8,020	9,710
NTRA	7,266	7,266
PCQHRA	1,300	1,387
CWAR	0	0
ARAC	4,000	4,582
AMRA	0	889
CHBPAPEN	12,030	14,565
CTHF	<u>12,030</u>	<u>14,565</u>
<b>Total Estimated Payments</b>	<b>\$ 48,656</b>	<b>\$ 57,819</b>

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (5A+5B+5C):
  - Current meet estimate: **\$1,660,805 plus starter purses per Section 19605.75**
  - Prior meet actual: **\$1,823,306 plus starter purses per Section 19605.75**

- E. Purse funds to be generated from on-track handle and intrastate off-track handle:  
**The amounts listed below do not include prior year carryovers, performance purses, stake supplements and nomination/entry/starter fees. Projected generation for the 2007 meet reflects the effect of there being one less live race day, a non-overlap Wednesday, than was the case in 2006.**

- Current meet estimate: **\$1,159,935**
  - Prior meet actual: **\$1,297,254**

- F. Purse funds to be generated from interstate handle:
  - Current meet estimate: **\$ 171,080**
  - Prior meet actual: **\$ 179,711**

- G. Bank and account number for the Paymaster of Purses' purse account:  
**San Mateo County Fair Horsemen's Account – Wells Fargo, account # On file**

- H. Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting:  
**Disher Accountancy Corp., 1816 Maryal Drive, Sacramento, CA 95864; 916-482-4224**

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 6. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **900**
- B. Minimum number of stalls believed necessary for the meeting: **1,900**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **1,548**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **Alameda County Fair, Pleasanton, CA—684, Solano County Fair, Vallejo, CA --864**
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. **In Negotiation**

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip to Section 7.

- F. Total number of usable stalls made available **on-site** for the **1986** meeting: **n/a**
- G. Estimated cost to provide **off-site** stalls for this meeting. Show cost per-day per stall:  
**Per the 2007 Northern California Stabling and Vanning Agreement**
- H. Estimated cost to provide **vanning** from off-site stalls for this meeting. Show fees to be paid for vanning **per-horse**: **n/a**

## 7. PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to B&P Code Section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP

for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Example Race	TYPE OF WAGERS	APPLICABLE RULES
Race #	\$1 E; \$1 Double	CHRB #1959; RCI #VE
1	\$1E, \$2Q, \$1TRI, \$1PK3, \$2DD, \$.10SF, \$1PPN, \$1PNP(4)**	CHRB#1959, #1958, #1979, #1977, #1957, #1979.1, #1976.8, #1976.9
2	\$1E, \$2Q, \$1TRI, \$1PK3, \$2DD, \$.10SF	CHRB#1959, #1958, #1979, #1977, #1957, #1979.1
3	\$1E, \$2Q, \$1TRI, \$1PK3, \$2DD, \$.10SF, \$2PNP(6)*	CHRB#1959, #1958, #1979, #1977, #1957, #1979.1, #1976.9
4	\$1E, \$2Q, \$1TRI, \$1PK3, \$2DD, \$.10SF	CHRB#1959, #1958, #1979, #1977, #1957, #1979.1
5	\$1E, \$2Q, \$1TRI, \$1PK3, \$2DD, \$.10SF, \$1PNP(4)**	CHRB#1959, #1958, #1979, #1977, #1957, #1979.1, #1976.9
6	\$1E, \$2Q, \$1TRI, \$1PK3, \$2DD, \$.10SF	CHRB#1959, #1958, #1979, #1977, #1957, #1979.1
7	\$1E, \$2Q, \$1TRI, \$1PK3, \$2DD, \$.10SF	CHRB#1959, #1958, #1979, #1977, #1957, #1979.1
8	\$1E, \$2Q, \$1TRI, \$1PK3, \$2DD, \$.10SF	CHRB#1959, #1958, #1979, #1977, #1957, #1979.1
9	\$1E, \$2Q, \$1TRI, \$1PK3, \$2DD, \$.10SF	CHRB#1959, #1958, #1979, #1977, #1957, #1979.1
10	\$1E, \$2Q, \$1TRI, \$1PK3, \$2DD, \$.10SF	CHRB#1959, #1958, #1979, #1977, #1957, #1979.1

- B. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **August 19, 2007**
- C. List any options requested with regard to exotic wagering:
  - \*\$2 Pick (n) Pool (Pick 6) will be offered on the final six races of each card, with 70% to the major pool or carryover, and 30% to the minor pool.
  - \*\*\$1 Pick (n) Pool (Pick 4) will be offered on the first four and final four races of each card
  - \*\*\*\$1 PPN (Place Pick (n) Pool will be offered on the first ten races of each card
- D. Will "advance" or "early bird" wagering be offered?  Yes  No  
 If yes, when will such wagering begin:  
**Breeders' Cup Future wagering, date(s) to be determined**
- E. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:  
**Scientific Games Racing, Terry McWilliams, 09/07**

**8. SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the fair to conduct simulcast wagering: **NCOTWINC**
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. **On File**
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal: **All California facilities authorized to accept the signal, including:**

**NORTHERN CALIFORNIA**

Alameda County Fair, Pleasanton  
 Bay Meadows, San Mateo  
 Big Fresno Fair, Fresno  
 California State Fair & Exposition, Sacramento  
 Club One, Fresno

**SOUTHERN CALIFORNIA**

Barona Casino, Lakeside  
 Fantasy Springs Casino (Cabazon), Indio  
 Del Mar Thoroughbred Club, Del Mar  
 Hollywood Park, Inglewood  
 Desert ExpoCentre, Indio



Golden Gate Fields, Albany  
 Kern County Fair, Bakersfield  
 Monterey County Fair, Monterey  
 Redwood Acres Fair, Eureka  
 San Mateo County Fair, San Mateo  
 San Joaquin County Fair, Stockton  
 Santa Clara County Fair, San Jose  
 Shasta District Fair, Anderson  
 Solano County Fair, Vallejo  
 Sonoma County Fair, Santa Rosa  
 Stanislaus County Fair, Turlock  
 Tulare County Fair, Tulare

The Farmers Fair, Perris  
 Antelope Valley Fair, Lancaster  
 Santa Barbara County Fair, Santa Maria  
 Los Alamitos Racecourse, Los Alamitos  
 Mid-State Fair, Paso Robles  
 National Orange Show, San Bernardino  
 Fairplex Park, Pomona  
 Santa Anita, Arcadia  
 Viejas Casino & Turf Club, Alpine  
 Earl Warren Showgrounds, Santa Barbara  
 Sycuan Gaming Center, El Cajon  
 Ventura County Fair, Ventura  
 San Bernardino County Fair, Victorville

- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal: **Attached**
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair: **Attached**
- F. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

**NOTICE TO APPLICANT:** B&P Code Section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 imported thoroughbred races statewide. The limitation of 23 imported thoroughbred races per day statewide does not apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Arlington Park	8/8/07-8/19/07	Full or Partial Cards
Assiniboia Downs	8/8/07-8/19/07	Full or Partial Cards (International)
Calder	8/8/07-8/19/07	Full or Partial Cards
Canterbury	8/8/07-8/19/07	Full or Partial Cards
Delaware Park	8/8/07-8/19/07	Full or Partial Cards
Ellis Park	8/8/07-8/19/07	Full or Partial Cards
Emerald Downs	8/8/07-8/19/07	Full or Partial Cards
Fort Erie	8/8/07-8/19/07	Full or Partial Cards (International)
Hastings Park	8/8/07-8/19/07	Full or Partial Cards (International)
Louisiana Downs	8/8/07-8/19/07	Full or Partial Cards
Monmouth	8/8/07-8/19/07	Full or Partial Cards
Northlands Park	8/8/07-8/19/07	Full or Partial Cards (International)
NYRA (Saratoga)	8/8/07-8/19/07	Full or Partial Cards
Penn National	8/8/07-8/19/07	Full or Partial Cards
Philadelphia Park	8/8/07-8/19/07	Full or Partial Cards
Prairie Meadows	8/8/07-8/19/07	Full or Partial Cards
Remington Park	8/8/07-8/19/07	Full or Partial Cards
River Downs	8/8/07-8/19/07	Full or Partial Cards

N. CA Racing Fairs/CARF  
August 8-19, 2007

SAN MATEO COUNTY FAIR

Common Pool Locations	Common Pool Locations	Common Pool Locations
AmercaTAB	Ellis Park	Northfield Park
AmWest Entertainment	Emerald Downs	Cedar Downs OTB
Cypress Bayou(LA)	Evangeline Downs	Northville Downs
Riders Up(SD)	Fair Grounds	Oaklawn Park
The Sports Center (SD)	Fair Meadows	Ocean Downs
Time Out Lounge (SD)	Finger Lakes	Penn National
Arapahoe Park	Fonner Park	Philadelphia Park
Arlington Park	Freehold Raceway	Plainridge Race Course
Atlantic City Race Course	Gillespie County Fair	Plainridge Race Course - acct wagering
Atokad Downs	Great Lakes Downs	Pocono Downs
Balmoral Park/Maywood Park	Greenetrack	Portland Meadows
Bangor Historic Track/Millers OTB	Gulf Greyhound Park at Post Falls	Prairie Meadows
Beulah Park	Gulf Greyhound (Texas Hub)	Raceway Park
Birmingham Race Course	Harrah's Chester Downs	Racing & Gaming Services (RGS)
Blue Ribbon Downs	Harrington Raceway	Racing World
Bluff's Run Greyhound Track	Hawthorne Race Course	Victor Chandler Int'l
Buffalo Raceway	Hazel Park	Raynham Taunton Greyhound
Calder Race Course	Hinsdale Greyhound Park (NO ADW)	Raynham Taunton Greyhound-Acct Wager.
Canadian Associations	Hoosier Park @ Anderson	Remington Park
Assiniboia downs	Horsemen's Park	Retama Park
Clinton Raceway	Indiana Downs	River Downs
Connaught Park-Aylmer		Rockingham Park
Elmira Raceway	Clarksville OTB	Rockingham Park Account Wagering
Ex Park IVR	Evansville OTB	Seabrook Greyhound
Flamboro Downs	IRG	Rosecroft Raceway
Fort Erie Racetrack	Jackson Harness Raceway	Royal River Racing
Fraser Downs	Keeneland	Rudoso Downs
Georgian Downs	Kentucky OTB	Sam Houston Race Park
Hanover Raceway	Las Vegas Dissemination	Valley Greyhound Park
Hastings Park	Lebanon Raceway	Saratoga Raceway
Hippodrome de Montreal	Les Bois Park	Scarborough Downs
Hippodrome de Quebec	Lewiston Raceway/OTB ME	Scioto Downs
Kawartha Downs	Lien Games	Southland Racing Corporation
Marquis Downs		Sports Creek Raceway
Northlands	North Dakota Horse Park	Suffolk Downs
Picov Downs	Clubhouse Lounge	Suffolk Downs OTB's
Quinte Raceway	SkyDancer Casino	Suffolk Regional OTB (NY)
Rideau Carleton Raceway	Chips Lounge and Casino	Sunland Park
Royal Britannia Hub	El Rancho Motor Hotel OTB	SunRay Park
Stampede Park	Idaho Falls Racing OTB	Tampa Bay Downs
Sudbury Downs	Rumors OTB	The Meadows (PA Only)
TBC Teletheatres	Aberdeen Racing OTB (SD)	Thistledown
Western Fair Raceway	Mitch's Grandstand OTB	Tioga Downs
Windsor Raceway	Lincoln Greyhound Park	Tn-State Greyhound
Woodbine	Lodge at Belmont	Turf Paradise
Canterbury Park	Lodge at Belmont - account wagering	Turfway Park
Capital Distinct Regional OTB	Lone Star Park	TVG
Catskill Regional OTB	Louisiana Downs	US OFF-TRACK
Charles Town Race Course	LVDC	Western Region OTB
Chester Downs	Atlantis Paradise Casino	Wheeling Downs
Choctaw Racing Services	Cities of Gold/Pojoaque	Wichita Greyhound
Comanche Nation Games	Crystal Palace Casino	Will Rogers Downs
Oneida Bingo	Elite Turf Club #1	Wonderland Greyhound
Red River Casino	Elite Turf Club #2	Woodlands
Churchill Downs	Fire Lake	Wyoming OTB (NO ADW)
Coeur d'Alene Casino	Foxwoods Resort and Casino	Xpressbet
Coeur d'Alene Acct Wagering	Meskwaki Bingo & Casino	Yavapai Downs
Colonial Downs	Potawatomi	Yonkers Raceway
Columbus Races	Spint Mountain Casino	Youbet
Connecticut OTB	Stables, The	International Racing Group (IRG)
Divi Carina Bay Casino	Magna International	Zia Park
Ho-Chunk Casino	Manor Downs	
John Martin's Manor	Maryland Jockey Club	
Mohegan Sun Casino	Meadowlands/Monmouth	
Randall James Racetrack	Mobile Greyhound	
Royal Beach Casino	Montana Simulcast Partners	
Shoreline Star Greyhound	Monticello Raceway	
Tote Investment Racing Service	Mountaineer Park	
Corpus Christi Greyhound	Mount Pleasant Meadows	
Dairyland Greyhound Park	Nassau Regional OTB	
Delaware Park	Nebraska State Fair Park	
Delta Downs	Nevada Pan-Mutuel Assn.	
Dover Downs	New Jersey Casinos	
Downs @ Albuquerque	Newport Jai-Alai	
	New York City OTB	
	New York Racing Association	

**Separate Pool Locations**

Hipodromo Presidente Remon  
LVDC (NV)  
MIR/Caliente

<b>South America</b>	<b>8/8/07-8/19/07</b>	<b>Full or Partial Cards (International)</b>
<b>Suffolk Downs</b>	<b>8/8/07-8/19/07</b>	<b>Full or Partial Cards</b>
<b>Thistledown</b>	<b>8/8/07-8/19/07</b>	<b>Full or Partial Cards</b>
<b>Woodbine</b>	<b>8/8/07-8/19/07</b>	<b>Full or Partial Cards (International)</b>

- G. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:  
**n/a**

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

<b>Name of Host Track</b>	<b>Breed of Horse</b>	<b>Race Dates</b>	<b>Number of Races to be Imported.</b>
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- H. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s). **n/a**

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of B&P Code Sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

**9. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:

<b>Association Veterinarian(s)</b>	<b>Diane Isbell, Forrest Franklin</b>
<b>Clerk of Scales</b>	<b>Ken Sjoldal</b>
<b>Clerk of the Course</b>	<b>Tina Walker</b>
<b>Film Specialist</b>	<b>Ross Allardyce</b>
<b>Horse Identifier</b>	<b>Patrick Kealy</b>
<b>Horseshoe Inspector</b>	<b>Jack Hammonds</b>
<b>Paddock Judge</b>	<b>Joe Gibson</b>
<b>Patrol Judges</b>	<b>Joe Gibson, Myra Truitt</b>
<b>Placing Judges</b>	<b>Steve Martinelli, Greg Brent</b>
<b>Starter</b>	<b>Chuck Burkes</b>
<b>Timer</b>	<b>Richard Somers</b>

- B. Management officials in the racing department:

<b>Director of Racing</b>	<b>Chris Carpenter</b>
<b>Racing Secretary</b>	<b>Tom Doutrich</b>
<b>Assistant Racing Secretary</b>	<b>Greg Brent &amp; Linda Anderson</b>

<b>Paymaster of Purses</b>	<b>Peggy Morsi</b>
<b>Others (identify by name and title)</b>	
<b>Main Track Superintendent</b>	<b>Robert Turman</b>
<b>Turf Course Superintendent</b>	<b>Bernie Eastridge</b>
<b>Price Maker</b>	<b>Richard Somers</b>
<b>Stable Superintendent</b>	<b>Jerry Lynn Hunter</b>

- C. Name, address and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

**Christine Niccoli, Niccoli Reporting Associates  
619 Pilgrim Drive, Foster City, CA 94404 (650) 573-9339**

- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

**Plusmic Corporation USA (Bill O'Brien), expires 12/07**

- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

**Bay Meadows Video Department, lead by Jim Fetter, supplies video equipment to record all races. Seven color cameras, twenty-one videotape recorders, and nine video monitors are utilized.**

**Cameras for dirt and turf tracks include:**

**Pan camera #1 (tight pan) aligned with finish lines, just above photo finish camera on grandstand roof**

**Pan Camera #2 (wide pan) located next to tight pan camera**

**3/8 tower camera aligned with backstretch of dirt track**

**1/4 tower camera aligned with front stretch of turf course (rear view)**

**7/8 tower camera aligned with front stretch of dirt track**

**7/8 pan/tilt/zoom remote controlled camera aligned with turf course front stretch (head on)**

**5/16 pan/tilt/zoom remote controlled camera**

**Highlight camera follows lead horses down the stretch from near-ground level**

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

**Electronic timer, installed and maintained by Bay Meadows personnel**

## 10. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

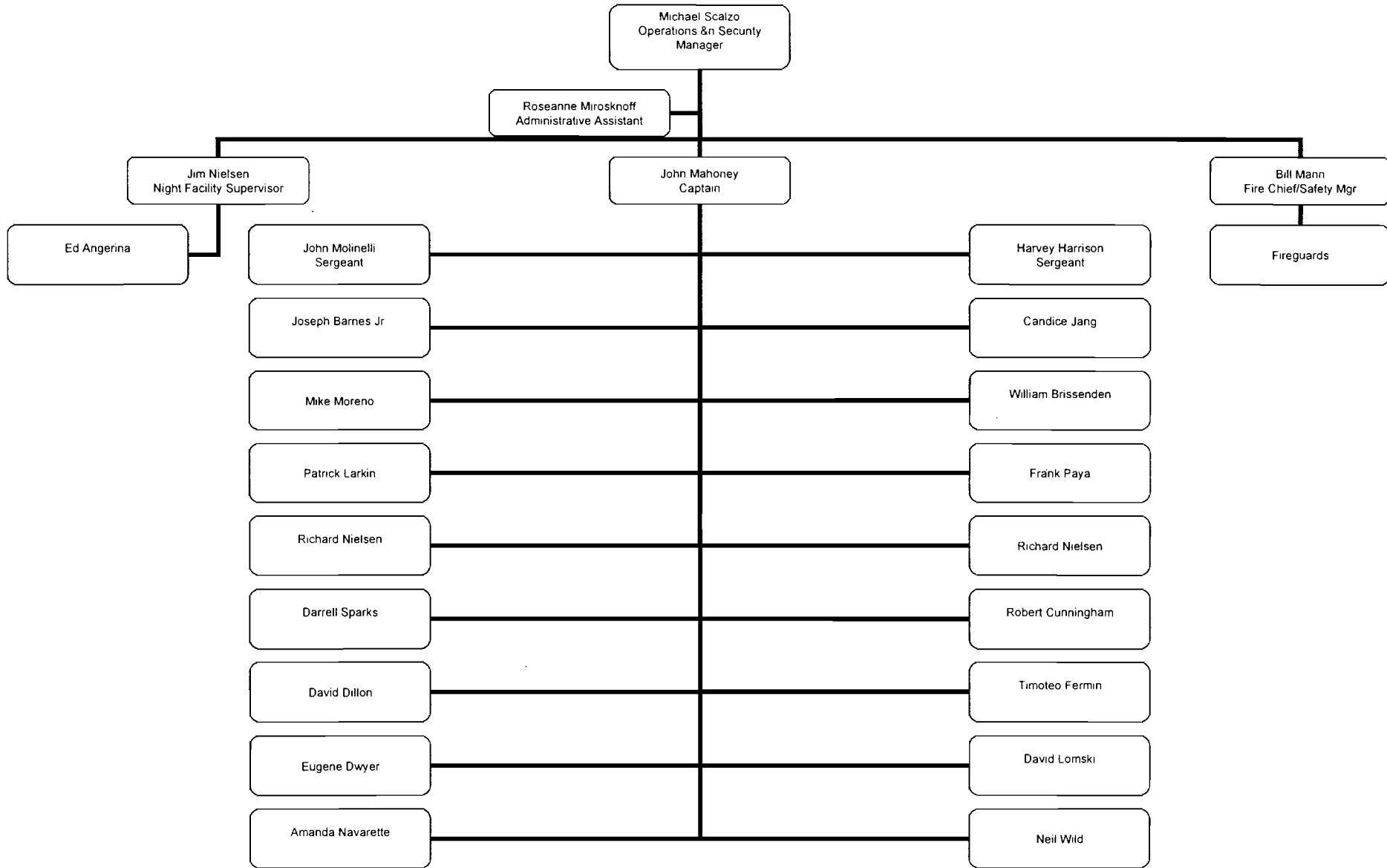
**Michael Scalzo, (650) 573-4543**

**Organizational chart and list of security personnel attached**

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **21 uniformed guards, 2 plain clothes, 5 stable area guards**

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns. **San Mateo County Fair does not offer either graded stakes or races of**

# San Mateo County Fair @ Bay Meadows – Security Department Organizational Structure



**Attachment to SMCF 2007 License Application****10. SECURITY CONTROLS**

B-2

**Detention Barn Plan & Number and Location of surveillance cameras in detention barn area:**

Barn One, which is the barn mostly frequently utilized by horses shipping in to run at Bay Meadows, serves as the Detention Barn. Barn One is 360' long by 180' wide, and has ten exits and entrances. It contains approximately 162 stalls, and houses the receiving barn area, transit and detention stalls. Surveillance equipment in Barn One includes a 16 camera, 180 GB digital recording system. The installation of surveillance equipment in Barn One enables staff to better monitor the activity of horses and persons entering and exiting Barn One and the stable gate area, which is roughly fifty feet away.

One camera has been strategically placed to monitor the stable gate entrance, two cameras monitor designated detention stalls, and the balance have been placed to provide surveillance of the entrances and exits to the barn.

The recorder is kept in a secure housing in a locked communications room in the Barn building. A password is required before any action can be taken on the recording system.

The cameras are powered from a common power supply located, with the recorder, in the communications room. The entire system is powered with a UPS power back-up, and will continue to operate during a power failure.

All cameras generate their own infra-red light source, so they provide a viewable image even in "total" darkness. They operate in color during the day, and convert to black-and-white in dark lighting conditions.

The digital recorder records all cameras at full frame. Recording options include resolution quality and motion detection. In motion detection mode, video frame recording commences only when motion is detected within selected areas, which saves on hard-drive storage space. The amount of time that can be stored before re-recording over existing material occurs is dependant upon the amount of barn activity. If all cameras recorded 24 hours a day, 7 days a week, at the highest resolution setting, the 180 GB drive would begin re-writing over existing data within about 48 hours. With standard resolution and motion detection engaged, recording time falls between 5 and 10 days before re-writing begins.

**San Mateo Fair @ Bay Meadows – 2007 Security Personnel**

Security Office Phone #           (650) 573-4535  
Stable Gate Phone #               (650) 573-4577

Scalzo, Michael  
    Office# (650) 573-4540  
    Cell # (650) 222-8153

Staff Members:

Nielsen, James  
Angerina, Ed  
Barnes Jr, Joseph  
Bottari, Robert  
Brissenden, William  
Cook, Robert  
Cunningham, Robert  
Dillon, David  
Dwyer, Eugene  
Eitel, Calvin  
Ferman, Tomoteo  
Harrison, Harvey  
Hart, Gregory  
Jang, Candice  
Larkin, Patrick  
Lomski, David  
Mahoney, John  
Mann, Bill  
Mirosnkoff, Roseanne  
Molinelli, John  
Moreno, Michael  
Navarrete, Amanda  
Nielsen, Richard  
Paya, Frank  
Plunkett, Todd  
Sparks, Darrell  
Wild, Neil

**\$100,000 or more. Detention barn plan is attached**

2. Detention Barns:

A. Attach a plan for use of graded stakes or overnight races. **Attached**

B. Number of security guards in the detention barn area during a 24-hour period. **4**

C. Describe number and location of surveillance cameras in detention barn area. **Attached**

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.  
**Applicant will follow CHRB staff directives to insure compliance with CHRB rule #1843.6**

B. Plan for enhanced surveillance for trainers with high-test results.  
**Applicant will follow CHRB staff directives to insure compliance with CHRB rule #1843.6**

C. Plan for detention barns for repeat offenders.  
**Applicant will follow CHRB staff directives to insure compliance with CHRB rule #1843.6**

D. Number of security personnel assigned to the TCO2 program.  
**As needed per advice of CHRB staff.**

C. Describe the electronic security system.

**Security alarms systems, including cameras, motion and contact sensors, monitored by Hue & Cry, cover the administrative offices, mutuel, catering and operations money rooms. Surveillance systems with time-lapse recorders monitor key locations throughout the plant and parking lot. Fire alarms throughout the grandstand and stable areas are monitored by Diversified Alarm Company.**

1. Location and number of video surveillance cameras for the detention barn and stable gate.  
**One camera is dedicated to monitor the stable gate entrance, two cameras monitor the stalls designated as detention stalls within Barn One, and thirteen cameras monitor entrances and exits within Barn One. Surveillance equipment includes a 180 GB digital recording system.**

## 11. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

**Bayshore Ambulance, Box 4622, Foster City, CA 94404, (650) 525-9700**

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

**Pleasanton-Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546, (510) 581-8470  
Vallejo-**

C. Attach a fire clearance from the fire authority having jurisdiction over the premises. **On file**





**FIRE DEPARTMENT**  
Bureau of Fire Protection and Life Safety  
www.cityofsanmateo.org

1900 O'Farrell, Suite 140  
San Mateo, California 94403-1382  
(650) 522-7940  
FAX: (650) 522-7941  
TDD: (650) 522-7047

December 18, 2006

Ms. Burnie Thurman  
Bay Meadows Land Company  
2600 S. Delaware St.  
San Mateo, California 94403

Dear Ms. Thurman:

This letter is to serve as the San Mateo Fire Department Fire Clearance for Bay Meadows Land Company at 2600 S. Delaware Street, San Mateo, California. The Fire Clearance is in effect starting January, 2007 to December 2007.

Your staff's continued attention to correcting the listed fire code violations is greatly appreciated. As long as these efforts show progress, this Fire Clearance will remain valid through December 31, 2007. All fire and life safety issues are to be brought to compliance and/or stay in compliance to assure public safety.

Should you have any questions, please feel free to contact me at the above telephone number.

Sincerely,

Michael Leong  
Fire Marshal

Gary Devincenzi

Fire Inspector

- D. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation. **Attached**

## 12. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Armored Car Service	Loomis Armored, Inc.-Dept 2120, Pasadena, CA 91185-1206
Catering Service	Trackside Catering, Box 5050, San Mateo, CA 94403
Jockey's Laundry	Lorene Dutton, 3263 Vineyard Avenue, Pleasanton, CA 94566
Program Printer	Del Mar Graphics, 7806 Honors Court, Pleasanton, CA 94588
Starting Gate	Mike Costello-United/Puett Starting Gate Co., Box 18, S. Salem, NY 10590
Tip Sheets	Lisa Wasserman-Jack's Blue Card, 127 Sun Avenue, Hayward, CA 94544
Track Photographer	Bill Vassar, 5075 Double Point Way, Discovery Bay, CA 94514

## 13. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Describe any promotional plans: **Attached**
- B. Number of hosts and hostesses employed for meeting: **Seven group sales hosts and hostesses, one handicapping 101 staffer, two tour guides, two greeters, ten mutuel customer service aides, four mutuel information windows and five marketing aides**
- C. Describe facilities set aside for new fans:

### Newcomer tours

New fans can log on to the updated Bay Meadows web site, [www.baymeadows.com](http://www.baymeadows.com), to learn about Bay Meadows in a unique manner. From the homepage, fans who have never attended the races can sign up for a personalized tour of the facility. The special tour includes free admission and programs, a look at the entire facility, and seating in the Clubhouse boxes which overlook the outside paddock and finish line. Fans arrange for their tours at their convenience. Newcomers are met in front of the facility, at the admission entrances, and receive an explanation as to how they would return to the races on their own. The tour includes trips to various areas of the plant, from the mainline to the Turf Club, and attendees are familiarized with where to buy a program or Form, where to purchase reserved seats, etc., during the tour. At the end of the tour, attendees are escorted to a seating area reserved for newcomers which overlooks the finish line. The tour guide walks them through a few simple handicapping techniques and visits them occasionally throughout the remainder of the day to make sure they are comfortable.

### Newcomer Mutuel Windows

In the mainline, mezzanine, clubhouse and Turf Terrace areas, betting windows designated as "Newcomers Welcome" are staffed with friendly and helpful mutuel clerks trained to accommodate the needs of novice fans.

### Handicapping 101 Center

A large information area on the mainline has been designated as a "Handicapping 101" center. The area features a large banner, and is staffed by friendly marketing aides that are trained to answer questions for any and all fans, including how to read the program, explain odds and payoffs, provide directions, etc.



APR. 24. 2007

8:30AM

CAL FAIR SRVCS AUTH.

NO. 8410 PAGE 2-19

TO: San Mateo o r n i a F a i r S e r v i c e s A u t h o r i t y

P.O. Box 15518, Sacramento, CA 95852

tel 916.921.2213

fax 916.263.6159

www.cfsa.org

April 24, 2007

To: California Horse Racing Board (CHRB)  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Re: San Mateo County Fair  
Attn: Diane Baumann  
2495 South Delaware Street  
San Mateo, CA 95825

Please be advised that the San Mateo County Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

#### I. COMPREHENSIVE GENERAL LIABILITY PROGRAM

Limit \$10,000,000 CSL per occurrence  
Coverage continuous until cancelled

#### II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY

- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority  
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: \$199,500,000 in excess of \$500,000  
(b) Employers' Liability: \$4,500,000 in excess of \$500,000  
Coverage provided by CSAC Excess Insurance Authority  
Term: 07/01/2006 to 07/01/2007

CFSA represents to CHRB that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the San Mateo County Fair as set forth in CHRB's "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

Lianne Lewellen  
Risk Analyst



## 2007 San Mateo County Fair Promotion Schedule

Wednesday, August 8

- Opening Day of the San Mateo Fair Racing Meet – Free Admission for Winners Circle Members

Thursday, August 9

- Senior Thursday--Free Grandstand Admission for seniors 62 and older, \$3 upgrade to Clubhouse. Specials include a discounted spaghetti lunch plate and a beginner's seminar at the Horseracing 101 booth in the Grandstand

Friday, August 10

- Double Points for Winners Circle Members

Saturday, August 11

- Dollar Day - \$1 General Admission, \$1 Programs, \$1 Sodas, \$1 Hot Dogs and \$1 Draft Beer until 3:00 PM

Sunday, August 12

- Examiner Family Day at Bay Meadows--Advertisement in the Examiner will encourage people to bring the ad to Bay Meadows to receive free admission, soda, hot dog and bag of chips for two adults and three children. There will be infield activities for children, including pony rides, a rock climbing wall and bounce houses.
- Classic Cars--Teaming with a local car club, we will feature classic cars in the infield
- Infield "Meet and Greet" with Luche Libres Mexican Wrestlers

Monday, August 13

Wednesday, August 15

- Mystery Mutuel Voucher giveaway at the Bay Meadows Fair Booth – Good for Redemption on Saturday, August 18

Thursday, August 16

- Kid's Day at the Fair – Coloring Book Giveaway
- Coloring Contest at Fair Booth—Children attending the fair can enter one of their coloring book pages to win prizes. Parents will receive free grandstand admission passes to Bay Meadows for Saturday, August 18<sup>th</sup>. The pictures will be on display at Bay Meadows on Saturday, August 18<sup>th</sup>, and randomly drawn pictures from each age group will earn prizes for those in attendance at Bay Meadows.
- Junior Jockey Club event and kids activities in the North Park Lawn from 12 to 3 PM
- Senior Thursday--Free Grandstand Admission for seniors 62 and older, \$3 upgrade to Clubhouse. Specials include a discounted spaghetti lunch plate and a beginner's seminar at the Horseracing 101 booth in the Grandstand
- Mystery Mutuel Voucher giveaway at the Bay Meadows Fair Booth – Good for Redemption on Saturday, August 18

Friday, August 17

- Double Points for Winners Circle Members.
- Mystery Mutuel Voucher giveaway at the Bay Meadows Fair Booth Booth – Good for Redemption on Saturday, August 18

Saturday, August 18

- Mystery Mutuel Voucher giveaway at the Bay Meadows Fair Booth – Redeemable that day at the races
- Coloring contest
- Meet the jockeys in the North Park Lawn
- Junior Jockey Club Barn Tours

Sunday, August 19

- T shirt giveaway – Free with paid admission, while supplies last. Promotion of t-shirt will be via postcard sent to Bay Meadows database and via local ads.

## **Activities taking place each day of the meet**

### **SHUTTLE SERVICE**

On weekdays, two shuttle vans will transport fairgoers from the San Mateo Expo Center to the Bay Meadows Grandstand. Shuttles will operate starting 45 minutes prior to the first post and will continue until 30 minutes after the last race is official. Three shuttles will operate on weekends. Signage will be placed at both locations to notify visitors of this free service. In addition, each van will be equipped with "Bay Meadows Shuttle" magnet signage.

In addition to the Expo and Grandstand stops, the shuttles will all make pick-ups and drop-offs at the Hillsdale CalTrain station.

### **FAIR BOOTH**

Bay Meadows will have a booth inside the Expo Center that will focus upon:

- 1) General Horse Racing education  
The booth will feature video of past days races. A Horse Racing 101 representative will answer horse racing related questions. Beginner's Guide brochures will be available.
- 2) Brand name recognition  
Brochures from Group Events, Winners Circle, Junior Jockey Club, and other items that describe Bay Meadows will be available
- 3) Drawing fair visitors to Bay Meadows  
Starting on Wednesday, August 15, all fairgoers will have the opportunity to receive a Mystery Mutuel Voucher valid at Bay Meadows on Sunday, August 19 (Pacific Classic Day). Mystery Mutuel Vouchers will be given away at the Bay Meadows Fair Booth. The vouchers are worth a minimum of \$2, and the grand prize will be \$1,000.
- 4) Attracting new Junior Jockey Club members.  
The fair booth will also host 'Speedy', a life-size replica of a thoroughbred. Children will be able to "ride" Speedy and have their pictures taken in racing silks. Children can also sign up for the Junior Jockey Club at the Bay Meadows Booth.

### **ADVERTISING**

All ads (TV, radio, print & billboard, etc) placed by the San Mateo County Fair will mention the race meet.

- B. Number of rooms used for housing on the backstretch of the racetrack:
 

<b>Barn Area</b>	<b>69</b>
<b>Dormitory Area</b>	<b>53</b>
<b>Total</b>	<b>122</b>
  
- C. Number of restrooms available on the backstretch of the racetrack:
 

<b>Barn Area</b>	<b>10 restrooms, 35 toilets, 26 sinks</b>
<b>Dormitory area</b>	<b>6 restrooms, (3 each for men and women)</b>
  
- D. Estimated ratio of restrooms to the number of backstretch personnel: **1 for every 7 people**

**17. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 

5,290
-------

 feet.
  
- B. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Inner rail is a gooseneck Fontana safety rail, 42" in height from track to top of rail**  
**Outer rail is a metal rounded pipe**
  
- C. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Bob Turman**
  
- D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
  
- E. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **n/a**

**18. DECLARATIONS**

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):  
**Contract negotiations with HERE (Local 2) are underway**
  
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. **On file.**
  
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):  
**No exceptions**

- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): **No exceptions**

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**19. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

CHRIS A. CARPENTER  
Print Name

GENERAL MANAGER  
Print Title

  
Signature

5/8/07  
Date



**STAFF ANALYSIS**

June 19, 2007

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE HUMBOLDT COUNTY FAIR AT FERNDALE AUGUST 9-19, 2007.

Humboldt County Fair filed its application for license to conduct a horse racing meeting at Ferndale:

- August 9-19, 2007, or 10 days, the same as 2006. The fair proposes to race 75 races, the same as 2006.
- The proposed race dates are the approved dates allocated to the fair.

<b>August - 2007</b>						
<b>Sun</b>	<b>Mon</b>	<b>Tue</b>	<b>Wed</b>	<b>Thu</b>	<b>Fri</b>	<b>Sat</b>
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

- Racing Thursday through Monday the first week and Wednesday through Sunday the second week. Six races Wednesday, 7 Monday and Thursday, 8 Friday and Saturday and 7 or 9 Sunday.
  - Number of horses available determines the number of daily races programmed by breed.
  - 2006 Race Meeting: Average number of runners per race (TB): 6.42
  - 2006 Race Meeting: Average number of runners per race (Arabian): 5.78
  - 2006 Race Meeting: Average number of runners per race (Quarterhorse): 6
  - 2006 Race Meeting: Average number of runners per race (Mules): 6.21
- Racing concurrently with San Mateo Fair 8/9-13 and 8/15-19 and Del Mar 8/9-13 and 8/15-19.
- First post 1:55 p.m. Saturday and Sunday, 2:25 p.m. Monday, Wednesday and Thursday and 2:55 p.m. Friday.
- Specific changes from the 2006 license application:
  - Racing admission increased \$1 from \$2 to \$3.
  - Preferred parking increased \$4 from \$6 to \$10.
  - On-track programs increased \$ 1 from \$1 to \$2.
  - Box seats increased \$25 from \$175 to \$200.
- Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525.
- Track safety requirements have been fulfilled.

- Wagering program will use CHRB rules.
  - Request the option to offer a \$1 wager on any exotic wager.
  - Request to allow horses entered on one day to be listed on overnight sheets for races scheduled to be run 72 hours from that day.
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Inspection of backstretch worker housing completed.

Specific information **still needed** to complete this application includes:

FROM CARF:

1. Contract for stabling and vanning - in negotiations

RECOMMENDATION:

Staff recommends the Board approve the application conditioned upon receipt of the stabling and vanning agreement.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT FAIR ASSOCIATION**

A. Name, mailing address, telephone and fax numbers of fair:  
**Humboldt County Fair Association (707)786-9511**  
**1250 5<sup>th</sup> Street (707)786-9450**  
**Ferndale, CA 95536 humcofair@frontiernet.net**

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  
 California Exposition and State Fair  Other qualified fair

**NOTICE TO APPLICANT:** Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

A. Inclusive dates of race meeting: **August 9-19, 2007**  
 B. Dates racing will NOT be held: **August 14, 2007**  
 C. Total number of racing days: **10**

**3. RACING PROGRAM**

A. Total number of races: **75**  
 B. Number of races by breed:  
 Thoroughbreds  Quarter Horses  Appaloosas  
 Arabians  Paints  Mules  
 C. Number of races daily:

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Thoroughbred	3 or 5	4	0	3	4	5	4
Other Breeds	4	3	0	3	3	3	4
Total	7 or 9	7	0	6	7	8	8

**CHRB CERTIFICATION**

Application received: **5/7/07**  
 Reviewed: *apa*

Hearing date: **6/19/07**  
 Approved date:  
 License number:

D. Total number of stakes races by breed:

<input type="text" value="5"/>	Thoroughbreds	<input type="text"/>	Quarter Horses	<input type="text"/>	Appaloosas
<input type="text" value="2"/>	Arabians	<input type="text"/>	Paints	<input type="text" value="2"/>	Mules

E. Provide a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

<b>The Ferndale Dash – For 3 year old mules 220 yards</b>	<b>\$4,500 Added</b>	<b>Fri. August 10</b>
<b>Charlie Palmer Starter Handicap – Fillies and Mares 3 year olds and upward – Six and One-Half Furlong</b>	<b>\$6,500 Guar.</b>	<b>Sat. August 11</b>
<b>Victorian Village Arabian Distaff – Fillies and Mares 3 year olds and upward – Five Furlong</b>	<b>\$6,500 Guar.</b>	<b>Sun. August 12</b>
<b>Paul Cacci Eel River Sprint, Starter Stake – 3 year 12 olds and upward – Five Furlong</b>	<b>\$6,500 Guar.</b>	<b>Sun. August</b>
<b>Land of Jazz Starter Stake – 3 year olds and upward Seven Furlong</b>	<b>\$6,500 Guar.</b>	<b>Fri. August 17</b>
<b>Les Mademoiselle Stakes – Fillies and Mares 3-year olds and upward – One and One-sixteenth Mile</b>	<b>\$10,000 Added</b>	<b>Sat. August 18</b>
<b>Ferndale Arabian Stakes – 3 year olds and upward Seven Furlong</b>	<b>\$6,500 Added</b>	<b>Sun. August 19</b>
<b>Cream City Mule Handicap – 3 year olds and upward Six hundred Sixty yards</b>	<b>\$5,500 Added</b>	<b>Sun. August 19</b>
<b>Humboldt County Marathon – 3 year olds and upward One mile and Five Furlong</b>	<b>\$15,000 Added</b>	<b>Sun. August 19</b>

F. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No      If no, what racing colors are to be used:

G. List all post times for the daily racing program:

<b>Race Number</b>	<b>Monday/Wednesday/Thursdays</b>	<b>Fridays</b>	<b>Saturdays/Sundays</b>
<b>Race # 1</b>	<b>2:25</b>	<b>2:55</b>	<b>1:55</b>
<b>Race # 2</b>	<b>2:55</b>	<b>3:25</b>	<b>2:25</b>
<b>Race # 3</b>	<b>3:25</b>	<b>3:55</b>	<b>2:55</b>
<b>Race # 4</b>	<b>3:55</b>	<b>4:25</b>	<b>3:25</b>
<b>Race # 5</b>	<b>4:25</b>	<b>4:55</b>	<b>3:55</b>
<b>Race # 6</b>	<b>4:55</b>	<b>5:25</b>	<b>4:25</b>
<b>Race # 7</b>	<b>5:25</b>	<b>5:55</b>	<b>4:55</b>
<b>Race # 8</b>			<b>5:25</b>
<b>Race #9</b>			<b>5:55</b>

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

**4. FAIR ASSOCIATION**

A. Names of the fair directors:

<b>Don Becker</b>	<b>Valerie Davis</b>	<b>Gene Lucas</b>	<b>Tim Renner</b>
<b>Bill Branstetter</b>	<b>Jeff Farley</b>	<b>Jack Macdonald</b>	<b>Johanna Rodoni</b>
<b>Clarence Bugenig</b>	<b>Don Giacomini</b>	<b>Cindy Olsen</b>	<b>Wayne Wilson</b>
<b>John Burger</b>	<b>Sandy Hanks</b>	<b>Irv Parlato</b>	
<b>Ken Christen</b>	<b>Jay Hight</b>	<b>Herb Peterson</b>	
<b>Al Cooper</b>	<b>Travis Low</b>	<b>Robert Prior</b>	

B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:

<b>Don Becker</b>	<b>John Burger</b>	<b>Jack Macdonald</b>	<b>Irv Parlato</b>
<b>Clarence Bugenig</b>	<b>Jeff Farley</b>	<b>Cindy Olsen</b>	<b>Wayne Wilson</b>

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 9B, who will be listed in the official program:

<b>Stuart Titus, General Manager and Director of Racing</b>
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**5. PURSE PROGRAM**

A. Purse distribution:

1. All races other than stakes:
  - Current meet estimate: \$274,000**
  - Prior meet actual: \$273,688**
  
2. Overnight stakes:
  - Current meet estimate: -0-
  - Prior meet actual: -0-
  
3. Non-overnight stakes:
  - Current meet estimate: \$ 67,500**
  - Prior meet actual: \$ 64,500**

B. Funds to be generated for all California-bred incentive awards:

- Current meet estimate: \$ 10,700**
- Prior meet actual: \$ 10,702**

C. Payment to each recognized horsemen's organization contracting with the fair:

	<b>Current Meet Estimate</b>	<b>Prior Meet Actual</b>
<b>CTT</b>	\$ 720	\$ 719
<b>TOC</b>	1,440	1,438
<b>NTRA</b>	945	944
<b>PCQHRA</b>	390	391
<b>CWAR</b>	0	0
<b>ARAC</b>	4,600	4,610
<b>AMRA</b>	10,700	10,702
<b>CHBPAPEN</b>	2,160	2,157
<b>CTHF</b>	2,160	2,157
<b>TOTAL</b>	<b>\$23,115</b>	<b>\$23,118</b>

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (5A+5B+5C):

**Current meet estimate: \$375,315**  
**Prior meet actual: \$372,008**

E. Purse funds to be generated from on-track handle and intrastate off-track handle:

**Current meet estimate: \$173,315**  
**Prior meet actual: \$172,008**

F. Purse funds to be generated from interstate handle:

Current meet estimate: **-0-**  
 Prior meet actual: **-0-**

G. Bank and account number for the Paymaster of Purses' purse account:

**West America Bank (CARF) Account # On file**

H. Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting:

**Disher Accountancy Corp., 1816 Maryal Dr. Sacramento, CA 95864, (916-482-4224)**

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.



- B. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **N/A**
- C. List any options requested with regard to exotic wagering: **Request option of \$1 wager on any exotic wager. Request to all horses entered on one day to also be listed in overnight sheets for races scheduled to be run 72 hours from that day.**
- D. Will "advance" or "early bird" wagering be offered?  Yes  No  
If yes, when will such wagering begin:
- E. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:  
**Scientific Games Racing (George Branner). Expiration: September 30, 2007.  
Equipment description on file with Board.**

**8. SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:  
**California Authority of Racing Fairs (Northern California Off-Track Wagering, Inc.)**
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. **On file**
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:  
**All California facilities authorized to accept the signal.**

**NORTHERN CALIFORNIA**

Alameda County Fair, Pleasanton  
 Bay Meadows, San Mateo  
 Big Fresno Fair, Fresno  
 California State Fair & Exposition, Sacramento  
 Club One, Fresno  
 Golden Gate Fields, Albany  
 Kern County Fair, Bakersfield  
 Monterey County Fair, Monterey  
 Redwood Acres Fair, Eureka  
 San Mateo County Fair, San Mateo  
 San Joaquin County Fair, Stockton  
 Santa Clara County Fair, San Jose  
 Shasta District Fair, Anderson  
 Solano County Fair, Vallejo  
 Sonoma County Fair, Santa Rosa  
 Stanislaus County Fair, Turlock  
 Tulare County Fair, Tulare

**SOUTHERN CALIFORNIA**

Barona Casino, Lakeside  
 Fantasy Springs Casino (Cabazon), Indio  
 Del Mar Thoroughbred Club, Del Mar  
 Hollywood Park, Inglewood  
 Desert ExpoCentre, Indio  
 The Farmers Fair, Perris  
 Antelope Valley Fair, Lancaster  
 Santa Barbara County Fair, Santa Maria  
 Los Alamitos Racecourse, Los Alamitos  
 Mid-State Fair, Paso Robles  
 National Orange Show, San Bernardino  
 Fairplex Park, Pomona  
 Santa Anita, Arcadia  
 Viejas Casino & Turf Club, Alpine  
 Earl Warren Showgrounds, Santa Barbara  
 Sycuan Gaming Center, El Cajon  
 Ventura County Fair, Ventura  
 San Bernardino County Fair, Victorville



- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:  
**Attached**
  
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:  
**Attached**
  
- F. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:  
**Attached**

**NOTICE TO APPLICANT:** B&P Code Section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 imported thoroughbred races statewide. The limitation of 23 imported thoroughbred races per day statewide does not apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Arlington Park	10/3/07-10/14/07	Full or Partial Cards
Assiniboia Downs	10/3/07-10/14/07	Full or Partial Cards (International)
Calder	10/3/07-10/14/07	Full or Partial Cards
Canterbury	10/3/07-10/14/07	Full or Partial Cards
Delaware Park	10/3/07-10/14/07	Full or Partial Cards
Ellis Park	10/3/07-10/14/07	Full or Partial Cards
Emerald Downs	10/3/07-10/14/07	Full or Partial Cards
Fort Erie	10/3/07-10/14/07	Full or Partial Cards (International)
Hastings Park	10/3/07-10/14/07	Full or Partial Cards (International)
Lone Star	10/3/07-10/14/07	Full or Partial Cards
Louisiana Downs	10/3/07-10/14/07	Full or Partial Cards
Monmouth	10/3/07-10/14/07	Full or Partial Cards
NYRA (Belmont/Saratoga)	10/3/07-10/14/07	Full or Partial Cards
Northlands Park	10/3/07-10/14/07	Full or Partial Cards (International)
Penn National	10/3/07-10/14/07	Full or Partial Cards
Philadelphia Park	10/3/07-10/14/07	Full or Partial Cards
Prairie Meadows	10/3/07-10/14/07	Full or Partial Cards
Remington Park	10/3/07-10/14/07	Full or Partial Cards
River Downs	10/3/07-10/14/07	Full or Partial Cards
South America	10/3/07-10/14/07	Full or Partial Cards (International)
Suffolk Downs	10/3/07-10/14/07	Full or Partial Cards
Thistledown	10/3/07-10/14/07	Full or Partial Cards
Woodbine	10/3/07-10/14/07	Full or Partial Cards (International)
Yavapai Downs	10/3/07-10/14/07	Full or Partial Cards

- G. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

N/A

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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Common Pool Locations	Common Pool Locations	Common Pool Locations
AmericaTAB	Ellis Park	Northfield Park
AmWest Entertainment	Emerald Downs	Cedar Downs OTB
Cypress Bayou(LA)	Evangeline Downs	Northville Downs
Riders Up(SD)	Fair Grounds	Oaklawn Park
The Sports Center (SD)	Fair Meadows	Ocean Downs
Time Out Lounge (SD)	Finger Lakes	Penn National
Arapahoe Park	Fonner Park	Philadelphia Park
Arlington Park	Freehold Raceway	Plainndge Race Course
Atlantic City Race Course	Gillespie County Fair	Plainndge Race Course - acct. wagering
Alokad Downs	Great Lakes Downs	Pocono Downs
Balmoral Park/Maywood Park	Greenetrack	Portland Meadows
Bangor Historic Track/Millers OTB	Gulf Greyhound Park at Post Falls	Prairie Meadows
Beulah Park	Gulf Greyhound (Texas Hub)	Raceway Park
Birmingham Race Course	Harrah's Chester Downs	Racing & Gaming Services (RGS)
Blue Ribbon Downs	Harrington Raceway	Racing World
Bluff's Run Greyhound Track	Hawthorne Race Course	Victor Chandler Int'l.
Buffalo Raceway	Hazel Park	Raynham Taunton Greyhound
Calder Race Course	Hinsdale Greyhound Park (NO ADW)	Raynham Taunton Greyhound-Acct Wager
Canadian Associations	Hoosier Park @ Anderson	Remington Park
Assiniboia downs	Horsemen's Park	Retama Park
Clinton Raceway	Indiana Downs	River Downs
Connaught Park-Aylmer		Rockingham Park
Elmira Raceway	Clarksville OTB	Rockingham Park Account Wagening
Ex Park IVR	Evansville OTB	Seabrook Greyhound
Flamboro Downs	IRG	Rosecroft Raceway
Fort Erie Racetrack	Jackson Harness Raceway	Royal River Racing
Fraser Downs	Keeneland	Ruidoso Downs
Georgian Downs	Kentucky OTB	Sam Houston Race Park
Hanover Raceway	Las Vegas Dissemination	Valley Greyhound Park
Hastings Park	Lebanon Raceway	Saratoga Raceway
Hippodrome de Montreal	Les Bois Park	Scarborough Downs
Hippodrome de Quebec	Lewiston Raceway/OTB ME	Scioto Downs
Kawartha Downs	Lien Games	Southland Racing Corporation
Marquis Downs		Sports Creek Raceway
Northlands	North Dakota Horse Park	Suffolk Downs
Picov Downs	Clubhouse Lounge	Suffolk Downs OTB's
Quinte Raceway	SkyDancer Casino	Suffolk Regional OTB (NY)
Rideau Carleton Raceway	Chips Lounge and Casino	Sunland Park
Royal Bnttania Hub	El Rancho Motor Hotel OTB	SunRay Park
Stampede Park	idaho Falls Racing OTB	Tampa Bay Downs
Sudbury Downs	Rumors OTB	The Meadows (PA Only)
TBC Teletheatres	Aberdeen Racing OTB (SD)	Thistledown
Western Fair Raceway	Mitch's Grandstand OTB	Tioga Downs
Windsor Raceway	Lincoln Greyhound Park	Tn-State Greyhound
Woodbine	Lodge at Belmont	Turf Paradise
Canterbury Park	Lodge at Belmont - account wagering	Turfway Park
Capital District Regional OTB	Lone Star Park	TVG
Catskill Regional OTB	Louisiana Downs	US OFF-TRACK
Charles Town Race Course	LVDC	Western Region OTB
Chester Downs	Atlantis Paradise Casino	Wheeling Downs
Choctaw Racing Services	Cities of Gold/Pojoaque	Wichita Greyhound
Comanche Nation Games	Crystal Palace Casino	Will Rogers Downs
Oneida Bingo	Elite Turf Club #1	Wonderland Greyhound
Red River Casino	Elite Turf Club #2	Woodlands
Churchill Downs	Fire Lake	Wyoming OTB (NO ADW)
Coeur d'Alene Casino	Foxwoods Resort and Casino	Xpressbet
Coeur d'Alene Acct Wagering	Meskwaki Bingo & Casino	Yavapai Downs
Colonial Downs	Potawatomi	Yonkers Raceway
Columbus Races	Spirit Mountain Casino	Youbet
Connecticut OTB	Stables, The	International Racing Group (IRG)
Divi Carina Bay Casino	Magna International	Zia Park
Ho-Chunk Casino	Manor Downs	
John Martin's Manor	Maryland Jockey Club	
Mohegan Sun Casino	Meadowlands/Monmouth	
Randall James Racetrack	Mobile Greyhound	
Royal Beach Casino	Montana Simulcast Partners	
Shoreline Star Greyhound	Monticello Raceway	
Tole Investment Racing Service	Mountaineer Park	
Corpus Christi Greyhound	Mount Pleasant Meadows	
Dairyland Greyhound Park	Nassau Regional OTB	
Delaware Park	Nebraska State Fair Park	
Delta Downs	Nevada Pari-Mutuel Assn.	
Dover Downs	New Jersey Casinos	
Downs @ Albuquerque	Newport Jai-Alai	
	New York City OTB	
	New York Racing Association	

Separate Pool Locations
Hipodromo Presidente Remon
LVDC (NV)
MIR/Caliente

- H. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s). N/A

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific **written** approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of B&P Code Sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

**9. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:
 

<b>Association Veterinarian(s)</b>	<b>Dr. Henry Cook</b>
<b>Clerk of Scales</b>	<b>Cheryl White</b>
<b>Clerk of the Course</b>	<b>Dolores Collins</b>
<b>Film Specialist</b>	<b>Matt Nichols</b>
<b>Horse Identifier</b>	<b>Darrell Sparks</b>
<b>Horseshoe Inspector</b>	<b>Mike Walker</b>
<b>Paddock Judge</b>	<b>Darrell Sparks</b>
<b>Patrol Judges</b>	<b>Lisa Jones, Matt Nichols</b>
<b>Placing Judges</b>	<b>Ward, Dreyer and Nicolo</b>
<b>Starter</b>	<b>Bob Mooneyhan</b>
<b>Timer</b>	<b>Melody Truitt</b>
  
- B. Management officials in the racing department:
 

<b>Director of Racing</b>	<b>Stuart Titus</b>
<b>Racing Secretary</b>	<b>Ella Robinson</b>
<b>Assistant Racing Secretary</b>	<b>Lisa Jones</b>
<b>Paymaster of Purses</b>	<b>Vicky Layne</b>
<b>Mutual Manager</b>	<b>Dominick DePrenzio</b>
  
- C. Name, address and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Summer Boyce, PO Box 1295 Romoland, CA 92585**
  
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:  
**Plusmic Corp., USA, Bill O'Brien (Expires 12/7/07)**
  
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pagagus Communication, Inc. (Jim Porep)(Expires April 30, 2008).**  
**Pagagus will have cameras in towers at the top of each turn, if a fixed location on roof of**

**grandstands and a hand-held unit at the finish line.**

PAGE 3 – 12

- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

**Pagaus Communication, Inc. (Jim Porep) (Expires April 30, 2008)**

## 10. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

**Pacific Coast Security, Gene Bass, Owner (707) 786-9511**

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

**1-2 Security Guards in Grandstands**

**2-3 Security Rovers**

**3 Licensed Gatemen based on 8-hour shifts**

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns. **N/A**

2. Detention Barns: **The Fair is not running graded Stakes.**

A. Attach a plan for use of graded stakes or overnight races.

B. Number of security guards in the detention barn area during a 24-hour period.

C. Describe number and location of surveillance cameras in detention barn area.

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

**All thoroughbred races and all horses**

B. Plan for enhanced surveillance for trainers with high-test results.

**Trainer with high test results will be moved to the detention area.**

C. Plan for detention barns for repeat offenders.

**Ten (10) stalls adjacent to Test Barn, which are under 24-hour surveillance.**

D. Number of security personnel assigned to the TCO2 program.

**One(1) 24-hour security guard when detention stalls are occupied.**

- C. Describe the electronic security system.

**Monitored electronic surveillance .**

1. Location and number of video surveillance cameras for the detention barn and stable gate.

**One surveillance camera at or near detention stalls**

## 11. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

**City Ambulance of Eureka, 135 7<sup>th</sup> Street, Eureka, CA 95501 (707)445-4907**

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: **N/A**
- C. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**Please see attached.**
- D. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.  
**Attached**

## 12. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

**Food Concession & Non-alcoholic Beverage Vendor**  
Perfect Palate Catering, Ferndale, CA 95536

**Winner's Circle Photo**  
Vassar Photography, 5075 Double Point Way, Discovery Bay, CA 94514

**Racing Grandstand Sound System**  
Universal Balance, 2163 Park Avenue, McKinleyville, CA 95519

**Armored Car Service**  
NOTWINC, 11875 Dublin Blvd. , Dublin, CA 94568

**Portable Stalls**  
Silver Bar Leasing, 3445 S. Fruit, Fresno, CA 93706

## 13. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Describe any promotional plans: Free admission on Monday and Wednesday, sale of popular HCF hats, giveaway promotion on opening day.
- B. Number of hosts and hostesses employed for meeting: **Between 2-25 hosts and hostesses.**
- C. Describe facilities set aside for new fans: **Satellite tent area where the weekend seminars and guest speaker appearances occur.**
- D. Describe any improvements to the physical facility in advance of the meeting that directly benefits:
  1. Horsemen- New racing and entry office
  2. Fans – Nothing new this year. We do have plans for the future.
  3. Facilities in the restricted areas – New racing office, entry office and jockey quarters.

## 14. SCHEDULE OF CHARGES

- A. Proposed charges, note any changes from previous year:

## BOARD MEMBERS

Bill Branstetter  
*President*

Valerie Davis  
*1st Vice President*

Jay Hight, D.V.M.  
*2nd Vice President*

Don Becker  
Clarence Bugenig  
John Burger

Ken Christen  
Al Cooper

Jeff Farley  
Don Giacomini

Sandy Hanks

Travis Low

Eugene Lucas

Jack Macdonald

Cindy Olsen

Irv Parlato

Herb Peterson

Robert Prior

Tim Renner

Johanna Rodoni

Wayne Wilson

Stuart Titus  
*General Manager*

May 2, 2007

Andrea Ogden  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Andrea:

Please accept this letter as confirming my inspection of the horse barn facilities at the Humboldt County Fair Association, 1250 5<sup>th</sup> Street, in Ferndale.

As in previous years, the permanent stalls in Ferndale contain fire sprinklers, all of which are in working order.

Our department conducts annual training sessions on the property of the Humboldt County Fairgrounds. We are familiar with the general character of the facilities, as it relates to our responsibility to respond to any emergency that may arise during the annual event.

A separate letter will be provided in July, following the installation of the 200 portable stalls the Association utilizes during the fair. Based upon past practices, those barns are located in close proximity to fire hydrants, so that an appropriate response is possible.

Sincerely,



Rich Leonardo, Chief  
Ferndale Volunteer Fire Department

March 13, 2007

To: California Horse Racing Board (CHRB)  
Attn: Andrea  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Re: Humboldt County Fair Association  
1250 5th Street  
Ferndale, CA 95536

Please be advised that the Humboldt County Fair Association is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

**I. COMPREHENSIVE GENERAL LIABILITY PROGRAM**

Limit \$10,000,000 CSL per occurrence  
Coverage continuous until cancelled

**II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY**

- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority  
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: \$199,500,000 in excess of \$500,000  
(b) Employers' Liability: \$4,500,000 in excess of \$500,000  
Coverage provided by CSAC Excess Insurance Authority  
Term: 07/01/2006 to 07/01/2007

CFSA represents to CHRB that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Humboldt County Fair Association as set forth in CHRB's "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

  
Lianne Lewellen  
Risk Analyst

Admission (general)	\$ 7.00
Admission (racing)	\$ 3.00
Reserved seating (general)	
Reserved seating (clubhouse)	
Parking (general)	\$ 2.00 per day
Parking (preferred)	\$ 10.00 per day
Parking (valet)	
Programs (on-track)	\$ 2.00
(off-track)	

- B. Describe any "Season Boxes" or other special accommodation fees:  
\$200.00 for box seats
- C. Describe any "package" plans such as combined parking, admission and program:  
**Discounted admissions for general, senior and children. Daily discount of carnival rides.**

**15. JOCKEYS' QUARTERS**

- A. Check the applicable amenities available in the jockeys' quarters:  

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="12"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

- B. Describe the quarters to be used for female jockeys: **Separate, but equal to the boys.**

**16. BACKSTRETCH EMPLOYEE HOUSING**

- A. Inspection of backstretch housing was completed by **Anne Glasscock on May 23, 2007.**
- B. Number of rooms used for housing on the backstretch of the racetrack: **Trailer unit with 10 bunks.**
- C. Number of restrooms available on the backstretch of the racetrack: **Six (6)**
- D. Estimated ratio of restrooms to the number of backstretch personnel: **Not known.**

**17. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.
- B. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. **Outer rail comprised of 3" aluminum railing on top of posts, 40" in height. Fontana inner rail, made up of offset galvanized posts, with extended aluminum failing on top of gooseneck posts, 42" in height, with an overhang of 24".**
- C. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Alphonso Collins, Track Master**



- D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file.**
- E. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **N/A**

**18. DECLARATIONS**

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No exception**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. **On file**
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): **No exceptions**

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**19. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Stuart Titus *Stuart Titus*  
Print Name

*Stuart Titus*  
Signature

General Manager/Director of Racing  
Print Title

May 1, 2007  
Date

STAFF ANALYSIS

June 22, 2007

ISSUE: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF SACRAMENTO HARNESS ASSOCIATION AUGUST 1, 2007 THROUGH DECEMBER 22, 2007.

Sacramento Harness Association filed its application to conduct a harness horse racing meeting at Cal Expo:

- August 1 through December 22, 2007. The association proposes to race 77 nights with 1046 races. In 2006 they were allocated to race 74 nights the (actual) average daily purse for the meet was \$62,303. The (estimated) average daily purse for this meet is \$59,649. The California Exposition and State Fair has elected not to run a meet in 2007.

August - 2007						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

September - 2007						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3 H	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29

October - 2007						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

November - 2007						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22 H	23	24
25	26	27	28	29	30	

December - 2007						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23/30	24/31	25 H	26	27	28	29

- Racing Wednesday through Saturday.
- First live post 5:35 p.m. Wednesday and Thursday, 6:20 p.m. Friday and Saturday through September 30 and then 5:35 p.m. beginning October 3 through the end of the meet.
  - Will coordinate post times with Los Alamitos Quarter Horse races, thoroughbred twilight and evening races and all racing fairs.
- Request Carl Sobey be appointed horse identifier pursuant to CHRB Rule 1525.
- Track safety requirements have been fulfilled.
- Wagering program will use CHRB rules.
  - Early wagering 11:00 a.m. to 5:00 p.m.
  - Request \$10,000 guaranteed gross Pick-Four pool each Friday and Saturday races 9, 10, 11 and 12.
  - Request to continue Bal-Cal Pick-Four, and pursue other multi-track wagering opportunities. Specific permission will be requested.
- The Advance Deposit Wagering (ADW) providers are TVG, and Youbet.

- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Inspection of backstretch worker housing completed.

RECOMMENDATION:

Staff recommends the Board approve the application.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT ASSOCIATION**

- A. Name, mailing address, telephone and fax numbers of association:  
**Sacramento Harness Association, Incorporated**  
**2335 American River Drive, Suite #406**  
**Sacramento, CA 95825**  
**916-239-4040 V**  
**916-239-4048 F**
- B. Breed of horse: **HARNESS**
- C. Racetrack name: **California Exposition & State Fair Race Course, (Cal Expo)**
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to B&P Code Section 19490. **On File**

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF MEETING**

- A. Inclusive dates for the entire meeting: **August 1, 2007-December 22, 2007**
- B. Actual dates racing will be held: **See Schedule I – 2007 Racing Calendar**
- C. Total number of days or nights of racing: **77 NIGHTS**
- D. Days or nights of the week races will be held: **Wednesday through Saturday nights,**  
**Exceptions: Dark: Wednesdays 9/5, 9/12, 9/19,**  
**9/26. Dark: Thursday September 6; Thursday**  
**September 20<sup>th</sup>; Thursday November 22<sup>nd</sup>**  
**(Thanksgiving)**
- E. Number of days or nights of racing per week: **4 (Four) Nights**

**3. RACING PROGRAM**

- A. Total number of races: **1046**

<b>CHRB CERTIFICATION</b>	
Application received: <i>6/6/07</i>	Hearing date: <i>6/19/07</i>
Deposit received: <i>on file</i>	Approved date:
Reviewed: <i>apw</i>	License number:

Schedule 1

SACRAMENTO HARNESS ASSOCIATION  
2007 Racing Calendar

August 1, 2007 thru December 22, 2007  
77 Racing Days

S	M	T	W	TH	F	S
			<del>CAL</del> 1	<del>CAL</del> 2	<del>CAL</del> 3	<del>CAL</del> 4
5	6	7	<del>CAL</del> 8	<del>CAL</del> 9	<del>CAL</del> 10	<del>CAL</del> 11
12	13	14	<del>CAL</del> 15	<del>CAL</del> 16	<del>CAL</del> 17	<del>CAL</del> 18
19	20	21	<del>CAL</del> 22	<del>CAL</del> 23	<del>CAL</del> 24	<del>CAL</del> 25
26	27	28	<del>CAL</del> 29	<del>CAL</del> 30	<del>CAL</del> 31	

S	M	T	W	TH	F	S
	1	2	<del>CAL</del> 3	<del>CAL</del> 4	<del>CAL</del> 5	<del>CAL</del> 6
7	8	9	<del>CAL</del> 10	<del>CAL</del> 11	<del>CAL</del> 12	<del>CAL</del> 13
14	15	16	<del>CAL</del> 17	<del>CAL</del> 18	<del>CAL</del> 19	<del>CAL</del> 20
21	22	23	<del>CAL</del> 24	<del>CAL</del> 25	<del>CAL</del> 26	<del>CAL</del> 27
28	29	30	<del>CAL</del> 31			

September						
S	M	T	W	TH	F	S
						<del>CAL</del> 1
2	3	4	5	6	<del>CAL</del> 7	<del>CAL</del> 8
9	10	11	12	<del>CAL</del> 13	<del>CAL</del> 14	<del>CAL</del> 15
16	17	18	19	20	<del>CAL</del> 21	<del>CAL</del> 22
23	24	25	26	<del>CAL</del> 27	<del>CAL</del> 28	<del>CAL</del> 29
30						

S	M	T	W	TH	F	S
				<del>CAL</del> 1	<del>CAL</del> 2	<del>CAL</del> 3
4	5	6	<del>CAL</del> 7	<del>CAL</del> 8	<del>CAL</del> 9	<del>CAL</del> 10
11	12	13	<del>CAL</del> 14	<del>CAL</del> 15	<del>CAL</del> 16	<del>CAL</del> 17
18	19	20	<del>CAL</del> 21	22	<del>CAL</del> 23	<del>CAL</del> 24
25	26	27	<del>CAL</del> 28	<del>CAL</del> 29	<del>CAL</del> 30	

S	M	T	W	TH	F	S
						<del>CAL</del> 1
2	3	4	<del>CAL</del> 5	<del>CAL</del> 6	<del>CAL</del> 7	<del>CAL</del> 8
9	10	11	<del>CAL</del> 12	<del>CAL</del> 13	<del>CAL</del> 14	<del>CAL</del> 15
16	17	18	<del>CAL</del> 19	<del>CAL</del> 20	<del>CAL</del> 21	<del>CAL</del> 22
23	24	25	26	27	28	29
30	31					

B. Number of races for each day or night:

**Wednesday up to 11 races per night.**  
**Wednesday 11/21 up to 14 races.**  
**Thursday up to 13 races per night**  
**Friday and Saturday up to 14 races per night**

C. Total number of stakes races: **32**

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

**See attached Schedule II – Stakes Races**

E. Will provisions be made for owners and trainers to use their own registered colors?

Yes  No If no, what racing colors are to be used: **Driver's Colors**

F. List all post times for the daily racing program: **5:35 pm All Wednesdays and Thursdays**  
**6:20 pm Fridays and Saturdays thru 9/29**  
**5:35 pm Fridays and Saturdays beginning**  
**10/5 thru the balance**

**See attached Schedule III**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to B&P Code Section 19568(b).

**4. RACING ASSOCIATION**

A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **On file**

**C. CORPORATION**

1. Registered name of the corporation: **Sacramento Harness Association**  
2. State where incorporated: **California**  
3. Registry or file number for the corporation: **File # C2716543**  
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:

<b>Ralph Scurfield</b>	<b>President</b>	<b>James Kouretas</b>	<b>Director</b>
<b>Jack Coffey</b>	<b>Vice-President</b>	<b>R. Burnett Miller</b>	<b>Director</b>
<b>Vickie Desomer</b>	<b>Secretary</b>	<b>Ivan Axelrod</b>	<b>Director</b>
<b>Lou Blanas</b>	<b>Director</b>	<b>Herb Jackson</b>	<b>Director</b>

## SCHEDULE II

**Sacramento Harness Association**  
August 1, 2007 through December 22, 2007

**Stakes Schedule**

August 31	California Standardbred Sires Stake	2-year-old Trotting Fillies .....	\$22,500
August 31	California Standardbred Sires Stake	2-year-old Trotting Colts/Geldings .....	\$22,500
Sept 1	California Standardbred Sires Stake	2-year-old Pacing Fillies.....	\$22,500
Sept 1	California Standardbred Sires Stake	2-year-old Pacing Colts/Geldings.....	\$22,500
Sept 14	California Standardbred Sires Stake	2-year-old Trotting Fillies .....	\$22,500
Sept 14	California Standardbred Sires Stake	2-year-old Trotting Colts/Geldings .....	\$22,500
Sept 15	California Standardbred Sires Stake	2-year-old Pacing Fillies.....	\$22,500
Sept 15	California Standardbred Sires Stake	3-year-old Pacing Colts/Geldings.....	\$22,500
Sept 28	California Standardbred Sires Stake	2-year-old Trotting Fillies .....	\$22,500
Sept 28	California Standardbred Sires Stake	2-year-old Trotting Colts/Geldings .....	\$22,500
Sept 29	California Standardbred Sires Stake	2-year-old Pacing Fillies.....	\$22,500
Sept 29	California Standardbred Sires Stake	2-year-old Pacing Colts/Geldings.....	\$22,500
Oct 13	CA Stndbrd Sires Stake Championship	2-year-old Trotting Fillies .....	\$32,500
Oct 13	CA Stndbrd Sires Stake Championship	2-year-old Trotting Colts/Geldings .....	\$32,500
Oct 13	CA Stndbrd Sires Stake Championship	2-year-old Pacing Fillies.....	\$32,500
Oct 13	CA Stndbrd Sires Stake Championship	2-year-old Pacing Colts/Geldings.....	\$32,500
Oct 26	California Standardbred Sires Stake	3-year-old Trotting Fillies .....	\$22,500
Oct 26	California Standardbred Sires Stake	3-year-old Trotting Colts/Geldings .....	\$22,500
Oct 27	California Standardbred Sires Stake	3-year-old Pacing Fillies.....	\$22,500
Oct 27	California Standardbred Sires Stake	3-year-old Pacing Colts/Geldings.....	\$22,500
Nov 9	California Standardbred Sires Stake	3-year-old Trotting Fillies .....	\$22,500
Nov 9	California Standardbred Sires Stake	3-year-old Trotting Colts/Geldings .....	\$22,500
Nov 10	California Standardbred Sires Stake	3-year-old Pacing Fillies.....	\$22,500
Nov 10	California Standardbred Sires Stake	3-year-old Pacing Colts/Geldings.....	\$22,500
Nov 23	California Standardbred Sires Stake	3-year-old Trotting Fillies .....	\$22,500
Nov 23	California Standardbred Sires Stake	3-year-old Trotting Colts/Geldings .....	\$22,500
Nov 24	California Standardbred Sires Stake	3-year-old Pacing Fillies.....	\$22,500
Nov 24	California Standardbred Sires Stake	3-year-old Pacing Colts/Geldings.....	\$22,500
Dec 8	CA Stndbrd Sires Stake Championship	3-year-old Trotting Fillies .....	\$32,500
Dec 8	CA Stndbrd Sires Stake Championship	3-year-old Trotting Colts/Geldings .....	\$32,500
Dec 8	CA Stndbrd Sires Stake Championship	3-year-old Pacing Fillies.....	\$32,500
Dec 8	CA Stndbrd Sires Stake Championship	3-year-old Pacing Colts/Geldings.....	\$32,500

**SCHEDULE III****Sacramento Harness Association**

August 1, 2007 through December 22, 2007

**Post Time Schedule**

<b>Wednesday</b> <b>(*11/21/07)</b>	<b>Thursday</b>	<b>Friday</b> <b>(08/01 thru 09/29)</b>	<b>Friday</b> <b>(10/03 thru 12/21)</b>
1 5:35 pm	1 5:35 pm	1 6:20 pm	1 5:35 pm
2 5:57 pm	2 5:58 pm	2 6:45 pm	2 5:58 pm
3 6:19 pm	3 6:21 pm	3 7:08 pm	3 6:22 pm
4 6:41 pm	4 6:46 pm	4 7:30 pm	4 6:45 pm
5 7:03 pm	5 7:07 pm	5 7:54 pm	5 7:06 pm
6 7:25 pm	6 7:30 pm	6 8:18 pm	6 7:30 pm
7 7:47 pm	7 7:54 pm	7 8:44 pm	7 7:54 pm
8 8:09 pm	8 8:18 pm	8 9:08 pm	8 8:18 pm
9 8:30 pm	9 8:42 pm	9 9:34 pm	9 8:42 pm
10 8:51 pm	10 9:06 pm	10 10:00 pm	10 9:06 pm
11 9:12 pm	11 9:31 pm	11 10:24 pm	11 9:30 pm
	12 9:57 pm	12 10:48 pm	12 9:54 pm
		13 11:09 pm	13 10:18 pm
		14 11:29 pm	14 10:42 pm
	<b>Saturday</b> <b>(08/01 thru 09/30)</b>	<b>Saturday</b> <b>(10/5 thru 12/22)</b>	
	1 6:20 pm	1 5:35 pm	
	2 6:45 pm	2 5:58 pm	
	3 7:11 pm	3 6:21 pm	
	4 7:39 pm	4 6:45 pm	
	5 8:02 pm	5 7:11 pm	
	6 8:26 pm	6 7:36 pm	
	7 8:50 pm	7 8:01 pm	
	8 9:14 pm	8 8:25 pm	
	9 9:38 pm	9 8:49 pm	
	10 10:02 pm	10 9:13 pm	
	11 10:26 pm	11 9:37 pm	
	12 10:52 pm	12 10:01 pm	
	13 11:13 pm	13 10:25 pm	
	14 11:34 pm	14 10:49 pm	

**Post Time Notes:**

- ◆ Cal Expo will coordinate post times with Los Alamitos Quarter Horse Racing
- ◆ Cal Expo will coordinate with thoroughbred twilight and evening thoroughbred racing.
- ◆ Cal Expo will coordinate post times with all racing fairs.



5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:  
**None**
6. Number of outstanding shares in the corporation: **None**
7. Are the shares listed for public trading?  Yes  No  
If yes, on what exchange and how is the stock listed:  
**N/A**
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:  
**N/A**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:  
**N/A**
10. Attach the most recent audited annual financial statement for the licensee, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission. The licensee may submit the audited consolidated annual financial statements of its parent owner if the parent owner is a publicly traded company and guarantees the obligations of the licensee. **On file**

D. LLC

1. Registered name of the LLC:
2. State where articles of organization are filed:
3. Registry or file number for the LLC:
4. Names of all officers and directors, titles, and the number of shares of the LLC held by each:
5. Names (true names) of all members, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the LLC and the number of shares held by each:
6. Are the shares listed for public trading?  Yes  No  
If yes, on what exchange and how is the stock listed:
7. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
8. Attach the most recent audited annual financial statement for the licensee, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the California Corporations Commission.

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. Management and Staff

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 10B, who will be listed

in the official program:

<b>Dick Feinberg</b>	<b>General Manager</b>
<b>Wayne Short</b>	<b>Director Backstretch Operations</b>
<b>J.R. Williams</b>	<b>Track Superintendent</b>
<b>Steve Carpenito</b>	<b>Director of Marketing</b>
<b>Marty Bridges</b>	<b>Program Director</b>
<b>Scott Ehrlich</b>	<b>Announcer/Director of Publicity</b>
<b>Sandee Baker</b>	<b>Director of Group Sales Director</b>
<b>Sandee Baker</b>	<b>Owner Relations/New Owner Development</b>
<b>Gary Gelfand</b>	<b>Anchor/TV Host</b>
<b>Donna Newman</b>	<b>Controller/Paymaster</b>
<b>Glen Harris</b>	<b>Mutuel Manager/Paymaster</b>

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing address of such person(s) if other than the mailing address of the association:

<b>Dick Feinberg</b>	<b>General Manager</b>
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**5. PURSE PROGRAM**

A. Purse distribution:

1. All races other than stakes:
 

Current meet estimate:	<b>\$3,530,000</b>
Prior meet actual:	<b>\$3,292,000</b>
  
2. Overnight stakes:
 

Current meet estimate:	<b>\$ 0</b>
Prior meet actual:	<b>\$240,000</b>
  
3. Non-overnight stakes:
 

Current meet estimate:	<b>\$800,000 – California Sires Stakes</b>
Prior meet actual:	<b>\$808,000- California Sires Stakes</b>

B. Stakes races:

1. Purse distribution for all stakes races:
 

Current meet estimate:	<b>\$800,000</b>
Prior meet actual:	<b>\$808,000</b>
  
2. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:
 

Current meet estimate:	<b>18%</b>
Prior meet actual:	<b>9%</b>

C. Funds to be generated for all California-bred incentive awards:

Current meet estimate:	<b>\$51,000</b>
Prior meet actual:	<b>\$48,480</b>

D. Payment to each recognized horsemen’s organization contracting with the association and the name(s) of the organization(s): **California Harness Horsemen’s Association**

Recognized Horsemen’s Organization

Current meet estimate: **\$212,000**

Prior meet actual: **\$222,000**

**CA Harness Horsemen’s Assn.**

Total

Total

E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (5A+5C+5D):

Current meet estimate: **\$4,593,000**

Prior meet actual: **\$4,610,480**

F. Purse funds to be generated from on-track handle and intrastate off-track handle:

Current meet estimate: **\$764,000 On Track \$3,280,220 Intrastate**

Prior meet actual: **\$402,000 On Track \$2,230,000 Intrastate**

G. Purse funds to be generated from interstate handle:

Current meet estimate: **\$895,000**

Prior meet actual: **\$613,000**

H. Bank and account number for the Paymaster of Purses' purse account:

**Stockmans Bank; Account Number # On file**

I. Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting:

**Disher Accountancy Corp., 1816 Maryal Drive, Sacramento, CA 95864, 916/482-4224, Fax 916/482-4225**

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

**6. STABLE ACCOMMODATIONS**

A. Number of usable stalls available for racehorses at the track where the meeting is held: **1016**

B. Minimum number of stalls believed necessary for the meeting: **1016**

C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: **none**

- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **none**
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. **N/A**

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip to Section 7.

- F. Total number of usable stalls made available on-site for the **1986** meeting:
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: **N/A**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: **N/A**

**7. PARI-MUTUEL WAGERING PROGRAM**

- A. Pursuant to B&P Code Section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	<b>TYPE OF WAGERS</b>	<b>APPLICABLE RULES</b>
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
	<b>See Schedule IV – Types of Wagers</b>	

- B. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: **Final night of race meet December 22<sup>nd</sup>**
- C. List any options requested with regard to exotic wagering:  
**Request permission for \$10,000 guaranteed gross Pick-Four pool each Friday & Saturday, races 9, 10, 11, & 12**

**Request permission to continue the Bal-Cal Pick-Four, and pursue other multi track wagering opportunities. Specific permission will be requested.**

- D. Will "advance" or "early bird" wagering be offered?  Yes  No  
 If yes, when will such wagering begin: **11 am to 5 pm**

- E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract:  
**Scientific Games, Inc., Terry McWilliams, equipment on file with Board, contract thru 9/07 on file**

**SCHEDULE IV****Sacramento Harness Association**

August 1, 2007 through December 22, 2007

**Proposed Wagering Format**

The following CHRB wagering rules will be in affect during the 2007 Sacramento Harness meeting.

<b>Type</b>		<b>Rule Number</b>
Exacta	(E)	1959
Trifecta	(TRI)	1979
Daily Double	(DD)	1957
Superfecta	(SF)	1979.1
PK 3		1977
PK 4	(PNP)	1976.9
PK 5	(PNP)	1976.9

<b>Wednesday</b> <b>(*Wednesday 11/21)</b>	<b>Thursday</b>	<b>Friday &amp; Saturday</b>
Race 1: 1E, 1TRI, 1DD	Race 1: 1E, 1TRI, 1DD	Race 1: 1E, 1TRI, 1DD
Race 2: 1E, 1TRI, 1PK4	Race 2: 1E, 1TRI, 1PK4	Race 2: 1E, 1TRI, 1PK4
Race 3: 1E, 1TRI, 1SF	Race 3: 1E, 1TRI, 1SF	Race 3: 1E, 1TRI, 1SF
Race 4: 1E, 1TRI, 2PK5	Race 4: 1E, 1TRI, 2PK5	Race 4: 1E, 1TRI, 2PK5
Race 5: 1E, 1TRI, 1PK3	Race 5: 1E, 1TRI, 1PK3	Race 5: 1E, 1TRI, 1PK3
Race 6: 1E, 1TRI, 1PK3	Race 6: 1E, 1TRI, 1PK3	Race 6: 1E, 1TRI, 1PK3
Race 7: 1E, 1TRI, 1PK3	Race 7: 1E, 1TRI, 1PK3	Race 7: 1E, 1TRI, 1PK3
Race 8: 1E, 1TRI, 1PK3, 1SF	Race 8: 1E, 1TRI, 1PK3, 1SF	Race 8: 1E, 1TRI, 1PK3, 1SF
Race 9: 1E, 1TRI, 1PK3	Race 9: 1E, 1TRI, 1PK4	Race 9: 1E, 1TRI, 1PK4
Race 10: 1E, 1TRI, 1SF	Race 10: 1E, 1TRI, 1PK3	Race 10: 1E, 1TRI, 1PK3
Race 11: 1E, 1TRI, 1SF	Race 11: 1E, 1TRI, 1SF	Race 11: 1E, 1TRI, 1PK3
*Race 12: 1E, 1TRI, 1PK3	Race 12: 1E, 1TRI, 1SF	Race 12: 1E, 1TRI, 1PK3
*Race 13: 1E, 1TRI, 1SF		Race 13: 1E, 1TRI, 1SF
*Race 14: 1E, 1TRI, 1SF		Race 14: 1E, 1TRI, 1SF

**Wagering Notes:**

\$1PK4 (CHRB 1976.9) If no ticket correctly selects all four winners, 25% of net pool paid to winner(s) correctly selecting the most winners, 75% carryover to next pick four wager.

\$2PK5 (CHRB 1976.9) If no ticket correctly selects all five winners, 25% of net pool paid to winner(s) correctly selecting the most winners, 75% carryover to the next racing program.

Every night the early pick four, the pick five, and the late pick four will have a guaranteed pool of \$10,000 dollars.

**8. SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the association to conduct simulcast wagering:  
**Southern California Off Track Wagering Inc. (Scotwinc)**
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. **On file**
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:  
**All licensed California simulcast locations**
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:  
**See Schedule V – Simulcast Locations**
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association:  
**ALL**
- F. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”: **N/A**

**NOTICE TO APPLICANT:** B&P Code Section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 imported thoroughbred races statewide. The limitation of 23 imported thoroughbred races per day statewide does not apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- G. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”: **N/A**

**QUARTER HORSE SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state “selected feature and/or stakes races”:

**HARNESS SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

**Six (6) out-of-state harness or quarter horse races per live racing program on nights with QH overlap; twelve (12) out-of-state harness or quarter horse races on nights without QH overlap, with races predominantly from harness tracks in Illinois, Ohio, Kentucky, and New Jersey, but also may include selected events from tracks in other CHRB approved jurisdictions. A full card of simulcast races to**

## Schedule V

### OUT OF STATE SIMULCAST WAGERING LOCATIONS

American Tab, Oregon	New Jersey Casinos, New Jersey
Atlantic City, New Jersey	New York City OTB, New York
Balmoral Park, Illinois	Northfield Park, Ohio
Capitol District OTB, New York	Northlands Park, BC, CAN
Catskill Region OTB, New York	Northville Downs, Michigan
Connecticut OTB, Connecticut	Ocean Downs, Maryland
Diaryland Greyhound, Wisconsin	Penn National Gaming, Pennsylvania
Downs at Albuquerque, New Mexico	Philadelphia Park, Pennsylvania
ExpressBet, California	Plainridge Racecourse, Massachusetts
Euro Off Track, NA	Pompano Park, Florida
European Wagering Services, LTD. Oregon	Racing Channel, Oregon
Fire Lake Casino, Oklahoma	Raceway Park, Ohio
Foxwoods Casino, Connecticut	Racing & Gaming Services, St. Kitts
Fraser Downs, BC, CAN	Rosecroft Raceway, Maryland
Freehold Raceway, New Jersey	Sam Houston Park, Texas
Harrington Raceway, Delaware	Saratoga Harness, New York
Hastings Park, BC, CAN	Scioto Downs, Ohio
Hawthorne Racecourse, Illinois	Southland Greyhound, Arkansas
Hazel Park, Indiana	Sports Creek Raceway, Michigan
Hippodrome de Montreal, QUE, CAN	Suffolk Downs, MA
Hoosier Park, Indiana	Suffolk Regional OTB, New York
Illinois OTB, Illinois	Sunland Park, New Mexico
Indianapolis Downs, Indiana	Television Games Network (TVG)
International Racing Group, Curacao	Thistledown, Ohio
Ladbrokes at Meadows, Pennsylvania	The Downs at Albuquerque, New Mexico
Las Vegas Dissemination, Nevada	The Red Mile, Kentucky
Les Bois Park, Idaho	Tri-State Greyhound, WV
Lone Star Park, Texas	Western Fair, ONT, CAN
Maywood Park, Illinois	Western Region OTB, New York
Meadowlands Race Track, New Jersey	Windsor Raceway, ONT, CAN
Mesquaki Casino, Iowa	Woodbine Entertainment. Group, ONT, CAN
Mohawk Racetrack, ONT, CAN	Yonkers Raceway, New York
Nassau Region OTB, New York	YouBet.com, Inc., California
Nevada Pari-mutuel Association, Nevada	YouBet, Oregon

**be imported on Saturday August 4<sup>th</sup> (Hambletonian from Meadowlands) and the Breeders Crown September 1<sup>st</sup> from Mohawk Raceway**

- I. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported: **On occasion quarter horse races may be imported from approved CHRB jurisdictions.**

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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- J. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **N/A**

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of B&P Code Sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

**9. CHARITY RACING DAYS**

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: **Sacramento Regional Community Foundation, 5555 Capitol Mall, Suite 550; Sacramento, CA 95814**
- B. Names and addresses of the trustees or directors of the distributing agent: **2007 Board of Directors attached**
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)?  Yes

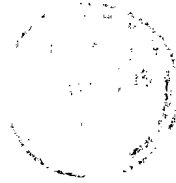
**NOTICE TO APPLICANT:** Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of B&P Code Section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 20% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of B&P Code Section 19556(b).

**10. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT**

- A. Racing officials nominated:
 

Association Veterinarian(s)	<b>Dr. Henry Cook</b>
Clerk of Scales	<b>N/A</b>





SACRAMENTO REGION  
COMMUNITY FOUNDATION

## The Foundation's Board and Staff

### 2007 Board of Directors

*President*                    **Larry Gilzean**; *Vice-President*, Spare Time, Inc.  
*Vice-President*            **Robert M. Earl**; *President*, Earl Consulting Co.  
*Treasurer*                 **Jeanne Reaves**; *President & CEO*, River City Bank  
*Secretary*                 **Donald C. Poole**; *Shareholder*, McDonough, Holland & Allen

**Nicholas Alexander**; *Principal Owner*, NG Alexander Real Estate Development LLC  
**Ralph Andersen**; *President*, REA Enterprises  
**Stephen F. Boutin**; *Partner*, Boutin, Dentino, Gibson, DiGiusto, Hodell & West, Inc.  
**Stephen A. Brandenburger**; *Resort Proprietor*, Loch Leven Lodge  
**Patricia Cochran**; *CFO*, Vision Service Plan  
**Dan Cole**; *Partner*, The Evergreen Company  
**Michael Dunlavey**; *President*, The Dunlavey Studio, Inc.  
**Scott Hanson**; *Senior Financial Advisor*, Hanson McClain  
**William G. Hegg**; *Chairman of the Board*, Alleghany Properties, Inc.  
**Janice Heaphy**; *Publisher and President*, The Sacramento Bee  
**Oleta Lambert**; *Owner, CEO*, Pacific Neon Company  
**Robert L. Lorber**; *CEO*, Lorber Kamai Consulting  
**Dave Lucchetti**; *President/CEO*, Pacific Coast Building Products  
**Melena A. Ose**; *Vice President*, Ose Properties, Inc.  
**Daniel I. Parrish**; *President*, Dan Parrish Insurance Services, Inc.  
**Elizabeth Rindskopf Parker**; *Dean*, University of the Pacific McGeorge School of Law  
**Fred Teichert**; *Executive Director*, Teichert Foundation  
**Eleni Tsakopoulos-Kounalakis**; *President*, AKT Development Corporation  
**Stephen Tse**; *Vice President and Wealth Management Advisor*, Merrill Lynch  
**Mike Ziegler**; *President & CEO*, Pride Industries

### Staff

**Ruth Blank**, *Chief Executive Officer*  
**Sonja Jarvis**, *Assistant Controller*  
**Nela Reyes Lee**, *Philanthropic Services Officer*  
**Suzanne Mayes**, *Program Officer*  
**Jim McCallum**, *Chief Financial Officer*  
**Renee Mungas**, *Program Associate*  
**Lorili Ostman**, *Director of Planned Gifts*  
**De Aun Tollefson**, *Administrative Coordinator*  
**Arlene Wilson-Grant**, *Program Officer*

Clerk of the Course	<b>Patricia Petersen</b>
Film Specialist	<b>Brent Bridges</b>
Horse Identifier	<b>Carl Sobey</b>
Horseshoe Inspector	<b>John Walker</b>
Paddock Judge	<b>Jack Williams</b>
Patrol Judges	<b>Bill Vallandingham</b>
Placing Judges	<b>Brent Bridges</b>
Starter	<b>Bill Vallandingham</b>
Timer	<b>Brent Bridges</b>

- B. Management officials in the racing department:
  - Director of Racing **N/A**
  - Racing Secretary **Fred Kuebler**
  - Assistant Racing Secretary **Joe Nefsky/Brent Bridges**
  - Paymaster of Purses **Glen Harris/ Donna Newman**
  - Others (identify by name and title)
  
- C. Name, address and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
  - Esther Schwartz, Capitol Reporters**
  - 1300 Ethan Way, Ste. 225, Sacramento, CA 95825, 916/923-5447**
  
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
  - Plusmic Corp USA – CDV – 210 System – Bill O’Brien – contact thru 2007 season.**
  
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. (7) **Pegasus Communications – Jim Porep, President – contract thru 7/08**
  - Upper Pan Camera**
  - Lower Pan Camera**
  - 1/8 Pole Tower Camera.**
  - 5/8 Pole Tower Camera**
  - Infield Warm Up Camera**
  - Paddock Camera**
  - Winners Circle/Finish Line Ground Level Camera**
  
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **Cal Expo owned timer: PC, Controllers, Frannie Packard Display on Tote Board Infield Displays located at ¼, ½, and ¾ poles. Maintenance and service by Pegasus Communications. Contract thru 7/08**

**11. SECURITY CONTROLS**

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **Attached**
  
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **18**

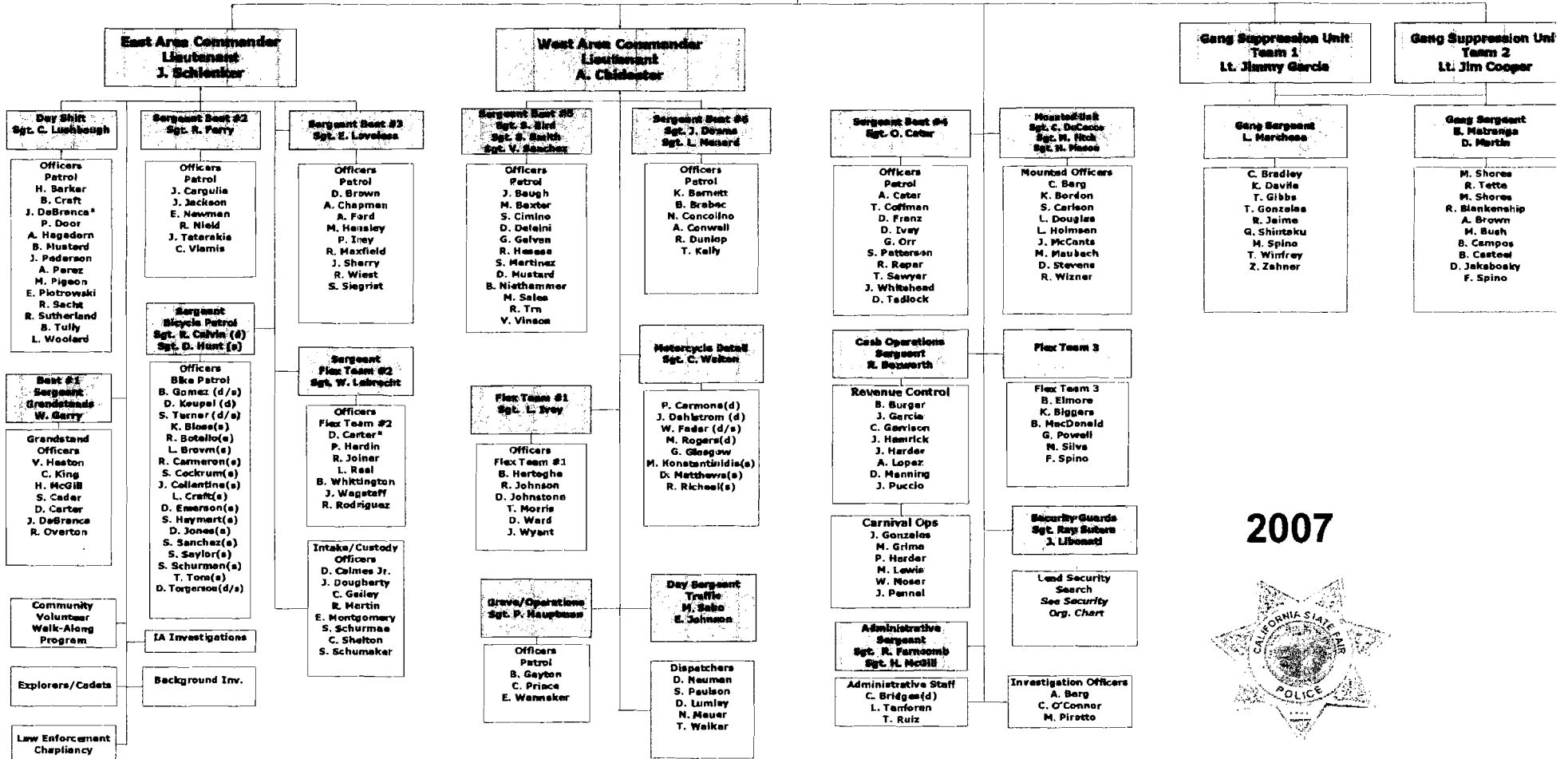


**General Manager  
Norb Bartosik**

**Deputy General Manager  
Brian May**

**Robert L. Craft  
Chief of Police**

Cathy Purcell  
Staff Services Analyst



2007



**California  
Exposition & State Fair  
Police Department**

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns. **N/A**
2. Detention Barns: **All Items Attached**
  - A. Attach a plan for use of graded stakes or overnight races.
  - B. Number of security guards in the detention barn area during a 24-hour period.
  - C. Describe number and location of surveillance cameras in detention barn area.
3. TCO2 Testing: **All Items Attached**
  - A. Number of races to be tested, and number of horses entered in each race to be tested.
  - B. Plan for enhanced surveillance for trainers with high-test results.
  - C. Plan for detention barns for repeat offenders.
  - D. Number of security personnel assigned to the TCO2 program.
- C. Describe the electronic security system:
  1. Location and number of video surveillance cameras for the detention barn and stable gate.
- D. For night racing associations. Describe emergency lighting system:

## 12. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: **American Medical Response, 1779 Tribute Road, Sacramento, CA 95815, Contact Steve Guicy, 916/563-0600**
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: **N/A**
- C. Attach a fire clearance from the fire authority having jurisdiction over the premises. **Fire safety inspection scheduled for June 11, 2007.**
- D. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): **State Fund Compensation, Policy #On file expiration 4/1/2008**
- E. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation. **Attached**

## 13. CONCESSIONAIRES AND SERVICE CONTRACTORS

## **Detention Barn Policies & Procedures**

1. All horses must report to the detention barn by 12:00 noon race day. Horses late for detention will be requested to be scratched by the Stewards and CHRB.
2. A valid CHRB license is required for detention barn admission. License must be displayed to detention barn security prior to admittance.
3. Only the licensed trainer and the assigned licensed groom are permitted in the detention barn enclosure.
4. CHRB licensed veterinarians will be permitted within the enclosure for lasix administration. Vet must be accompanied by trainer or assigned groom for lasix administration.
5. No therapeutic aids are permitted in the detention barn enclosure.
6. Warmups are permitted on both the front and back track. Horses must return directly to the detention barn or to the paddock following warmup. Horses warming up on back track must use main road only. Violation of any of the above will result in request for scratch.

**These policies have been agreed to by contract between the Sacramento Harness Association & California Harness Horseman Association.**

## Section 11. Security Controls

A. & B.

### Cal Expo Police Department

The Cal Expo Police Department is headed up by Chief Robert Craft. Chief Craft is a retired captain of the Sacramento Sheriffs Department and is one of the highest decorated officers in the history of the department. The Cal Expo police department enjoys a great working and professional relationship with the CHRB staff.

The grandstand and backstretch areas of the Cal Expo facility will be served by our police department. A minimum of 6 police officers, 2 mobile patrol units, 1 armed officer in the detention barn and 4 gatemen will be assigned exclusively to the racetrack, grandstand, and backstretch areas. Our backstretch and detention barn will also be served by unarmed Cal Expo Security Guards.

An organizational chart of our police department is attached and the contact phone numbers are: 916-263-3050 and 916-263-3120

### 2. Detention Barn-

Sacramento Harness has constructed a new detention barn. All California Sire Stake races and Overnight Stake races with purse of \$15,000 will be a detention barn race. All horses in those races must report for detention by 12 noon. Those horses will be in detention from then until race time.

Each horse in the detention barn will be limited to two caretakers (one groom and trainer) at a time and each person will be identified by CHRB license, checked, and all equipment will be checked. All functions in the detention barn will be documented and the documentation will be securely filed.

In addition, trainers having high TCO2 test(s) will be required to race all of their horses out of the detention barn for thirty days. Those horses must also report by 12 noon.

### 3. TCO2 Testing

Sacramento Harness will adhere to all CHRB guidelines in conjunction with TCO2 testing. In addition, horses that have previously tested high will be detained and placed under surveillance cameras each race day for no less than 30 days. The number of security personnel assigned to the TCO2 program will be determined on a day-to-day basis.

C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention barn and stable gate. **Surveillance cameras on all stalls for detained horses with prior TCO2 offenses.**

D. For night racing associations: Describe emergency lighting system

**Sacramento Harness Association will employ the use of four generators that will be strategically placed in locations around the racetrack and be turned on continuously while night racing is occurring. In the event of a racetrack light failure, the generators will provide enough light to ensure the safety of all participants. Also, the patrol judge in the starter's car that tracks each race will illuminate the racetrack with their headlights.**





<b>ACORD CERTIFICATE OF LIABILITY INSURANCE</b>		DATE (MM/DD/YYYY) 6/8/2007
PRODUCER (916)988-0214 FAX: (916)989-4719 Albano-Dale-Dunn & Lewis, Inc 9197 Greenback Lane Suite E P. O. Box 2470 Lic#0442296 Orangevale CA 95662	THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.	
INSURED Sacramento Harness Association 2335 American River Drive, Suite 406 Sacramento CA 95825	INSURERS AFFORDING COVERAGE INSURER A: <b>Lexington Insurance</b> INSURER B: <b>Progressive</b> INSURER C: <b>State Compensation</b> INSURER D: INSURER E:	NAIC #

**COVERAGES**  
 THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. AGGREGATE LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR ADD'L LTR INSRD	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YY)	POLICY EXPIRATION DATE (MM/DD/YY)	LIMITS
A	GENERAL LIABILITY <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS MADE <input checked="" type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC		9/18/2006	9/18/2007	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 300,000 MED EXP (Any one person) \$ EXCLUDED PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 2,000,000 PRODUCTS - COMP/DP AGG \$ 2,000,000
B	AUTOMOBILE LIABILITY <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input checked="" type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTOS <input checked="" type="checkbox"/> NON-OWNED AUTOS	1	3/20/2007	3/20/2008	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$
	GARAGE LIABILITY <input type="checkbox"/> ANY AUTO				AUTO ONLY - EA ACCIDENT \$ OTHER THAN AUTO ONLY: EA ACC \$ AGG \$
A	EXCESS/UMBRELLA LIABILITY <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS MADE  DEDUCTIBLE RETENTION \$	1	9/18/2006	9/18/2007	EACH OCCURRENCE \$ 2,000,000 AGGREGATE \$ 2,000,000
C	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? If yes, describe under SPECIAL PROVISIONS below OTHER	07	4/1/2007	4/1/2008	<input checked="" type="checkbox"/> WC STATU-TORY LIMITS <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES/EXCLUSIONS ADDED BY ENDORSEMENT/SPECIAL PROVISIONS  
 \*10 Days notice of cancellation applies for non-payment of premium. Proof of Insurance

<b>CERTIFICATE HOLDER</b>  California Horse Racing Board 1010 Hurley Way Suite 210 Sacramento, CA 95825	<b>CANCELLATION</b> SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL *30 DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO DO SO SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES.  AUTHORIZED REPRESENTATIVE Arthur Dunn/JODY
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- B. Describe the quarters to be used for female jockeys/drivers: **Same type separated quarters**

#### 17. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing is scheduled for June 12, 2007 by **Investigator Martin Snezek**.
- B. Number of rooms used for housing on the backstretch of the racetrack: **92**
- C. Number of restrooms available on the backstretch of the racetrack: **8**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: **8/160**

#### 18. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 

One mile
----------

 feet.
- B. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Pylons are to be used instead of inner hub rail, an Aluminum Steriliner Racing Rail, in full compliance of track safety regulation.**
- C. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:  
**Wayne Short, Director Backstretch Operations & J.R. Williams, Track Superintendent**
- D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- E. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Attached**

#### 19. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): **No Exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. **On file**
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). **On file**

# ACORD CERTIFICATE OF LIABILITY INSURANCE

DATE: 6/8/2007

<b>PRODUCER</b> (916)988-0214 FAX: (916)989-4719 Albano-Dale-Dunn & Lewis, Inc 9197 Greenback Lane Suite E P. O. Box 2470 Lic#0442296 Orangevale CA 95662	THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.												
<b>INSURED</b> Sacramento Harness Association 2335 American River Drive, Suite 406 Sacramento CA 95825	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:70%;">INSURERS AFFORDING COVERAGE</th> <th style="width:30%;">NAIC #</th> </tr> <tr> <td>INSURER A: <b>Lexington Insurance</b></td> <td></td> </tr> <tr> <td>INSURER B: <b>Progressive</b></td> <td></td> </tr> <tr> <td>INSURER C: <b>State Compensation</b></td> <td></td> </tr> <tr> <td>INSURER D:</td> <td></td> </tr> <tr> <td>INSURER E:</td> <td></td> </tr> </table>	INSURERS AFFORDING COVERAGE	NAIC #	INSURER A: <b>Lexington Insurance</b>		INSURER B: <b>Progressive</b>		INSURER C: <b>State Compensation</b>		INSURER D:		INSURER E:	
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INSURER A: <b>Lexington Insurance</b>													
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INSURER D:													
INSURER E:													

**COVERAGES**  
 THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. AGGREGATE LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	ADD'L INSRD	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YY)	POLICY EXPIRATION DATE (MM/DD/YY)	LIMITS
A		GENERAL LIABILITY		9/18/2006	9/18/2007	EACH OCCURRENCE \$ 1,000,000
		<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY				DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 300,000
		<input type="checkbox"/> CLAIMS MADE <input checked="" type="checkbox"/> OCCUR				MED EXP (Any one person) \$ EXCLUDED
						PERSONAL & ADV INJURY \$ 1,000,000
GEN'L AGGREGATE LIMIT APPLIES PER:						GENERAL AGGREGATE \$ 2,000,000
<input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PROJ-JECT <input type="checkbox"/> LOC						PRODUCTS - COMP/OP AGG \$ 2,000,000
B		AUTOMOBILE LIABILITY	1	3/20/2007	3/20/2008	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000
		<input type="checkbox"/> ANY AUTO				BODILY INJURY (Per person) \$
		<input checked="" type="checkbox"/> SCHEDULED AUTOS				BODILY INJURY (Per accident) \$
		<input checked="" type="checkbox"/> HIRED AUTOS				PROPERTY DAMAGE (Per accident) \$
<input checked="" type="checkbox"/> NON-OWNED AUTOS						
		GARAGE LIABILITY				AUTO ONLY - EA ACCIDENT \$
		<input type="checkbox"/> ANY AUTO				OTHER THAN EA ACC \$
						AUTO ONLY: AGG \$
A		EXCESS/UMBRELLA LIABILITY	1	9/18/2006	9/18/2007	EACH OCCURRENCE \$ 2,000,000
		<input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS MADE				AGGREGATE \$ 2,000,000
		<input type="checkbox"/> DEDUCTIBLE				
<input type="checkbox"/> RETENTION \$						
C		WORKERS COMPENSATION AND EMPLOYERS' LIABILITY	07	4/1/2007	4/1/2008	<input checked="" type="checkbox"/> WC STATU-TORY LIMITS <input type="checkbox"/> OTH-ER
		ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED?				E.L. EACH ACCIDENT \$ 1,000,000
		If yes, describe under SPECIAL PROVISIONS below				E.L. DISEASE - EA EMPLOYEE \$ 1,000,000
OTHER						E.L. DISEASE - POLICY LIMIT \$ 1,000,000

DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES/EXCLUSIONS ADDED BY ENDORSEMENT/SPECIAL PROVISIONS  
 \*10 Days notice of cancellation applies for non-payment of premium. Proof of Insurance

<b>CERTIFICATE HOLDER</b>  California Horse Racing Board 1010 Hurley Way Suite 210 Sacramento, CA 95825	<b>CANCELLATION</b>  SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL *30 DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO DO SO SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES.  AUTHORIZED REPRESENTATIVE Arthur Dunn/JODY
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D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):  
**No Exceptions**

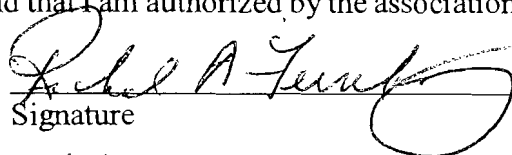
E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No Exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**20. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

RICHARD A FEINBERG  
Print Name

  
Signature

GM  
Print Title

6/6/07  
Date

**CALIFORNIA HORSE RACING BOARD**

**June 19, 2007**

**REGULAR BOARD MEETING**

**There is no board package material for item 5**

STAFF ANALYSIS  
PROPOSED AMENDMENT OF  
RULE 1690.1. TOE GRABS PROHIBITED

Regular Board Meeting  
June 19, 2007

## BACKGROUND

Business and Professions (B&P) Code Section 19420 provides that jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings is vested in the California Horse Racing Board (Board). B&P Code Section 19562 states the Board may prescribe rules, regulations and conditions under which all horse races with wagering on their results shall be conducted in California. In 1996 The California Veterinary Diagnostic Laboratory System (CVDLS) at the University of California, Davis, concluded a three-year study of shoeing and catastrophic injury in racehorses. The study compared the shoes of injured horses to those of uninjured horses to identify types of shoes associated with increased risk of injury. The toe grab was the type of traction device found on 90% of the injured horses and 80% of uninjured horses while rim shoes were found on 12% of injured horses and 30% of uninjured horses. The conclusion was that there appeared to be an association between toe grabs and increased risk of catastrophic injury in thoroughbred racehorses. The higher the toe grabs - the greater the risk. The three-year study of shoeing and catastrophic injury released in 1996 was not considered conclusive, so a second study was initiated in late 1999. The study was not complete when the Board heard the issue of toe grabs at its January 2000 Regular Board Meeting. The result of the discussion was a third CVDLS study that would record the type of shoes worn during competition of all thoroughbred racehorses in California for the year 2000. The information would be correlated with injuries sustained to determine patterns related to shoe type. In addition, the CVDLS would collect data from foreign jurisdictions that race on dirt without toe grabs. Subsequent to its initiation, the study was extended for a second year, to be completed in the spring of 2002. In February 2006 the Board added Rule 1690.1, Toe Grabs Prohibited, which prohibited the use of toe grabs over four millimeters in height on the front shoes of thoroughbreds while racing. However, the implementation of the regulation was delayed. At the February 2007 Regular Board Meeting the Board determined it would enforce the regulation, and that quarter horses should be added to the provisions of Rule 1690.1

## ANALYSIS

The proposed amendment to Rule 1690.1 would prohibit the use of toe grabs with a height greater than four millimeters on the front shoes of quarter horses while racing. In initiating the requirement to prohibit high toe grabs on thoroughbreds, the Board relied on scientific research conducted on thoroughbreds. Staff is unaware of similar research regarding the effects of high toe grabs on quarter horses that would provide scientific justification for adding this breed to the regulation.

**RECOMMENDATION**

No comments were received during the 45-day public comment period. Staff recommends the Board adopt the amendment to Rule 1690.1 as presented.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 8. RUNNING THE RACE  
RULE 1690.1. TOE GRABS PROHIBITED

Regular Board Meeting  
June 19, 2007

1690.1. Toe Grabs Prohibited.

(a) Toe grabs with a height greater than four millimeters worn on the front shoes of thoroughbred horses and quarter horses while racing are prohibited.

Authority: Sections 19420 and 19562,  
Business and Professions Code.

Reference: Section 19481,  
Business and Professions Code.



STAFF ANALYSIS  
DISCUSSION REGARDING MANDATING THE USE  
OF  
SAFETY REINS AT CALIFORNIA RACETRACKS

Regular Board Meeting  
June 19, 2007

## BACKGROUND

Business and Professions Code Section 19504 provides that the Board shall determine whether the use of safety reins would provide jockeys and exercise riders greater protection from accidents and injuries than conventional reins. If the Board determines safety reins provide greater protection, it shall adopt a regulation mandating the use of approved safety reins whenever a racehorse is ridden at a racetrack. The Board shall approve any model of mandatory safety rein, if required, in use at a racetrack.

## ANALYSIS

Safety reins are essentially a rein within a rein. Typical reins are made of leather or nylon and attach to the bit. Reins provide jockeys and drivers with control of the horse; when reins break, control is lost. With safety reins, a nylon cord is stitched into the traditional leather or nylon reins during the manufacturing process, and the safety cord attaches to the bit independently of the conventional reins. Should the outer leather or nylon reins break, the safety reins allow the jockey or driver to maintain control. However, the safety feature is intended to break if a horse or rider should become entangled in the dangling ends. This is the reason nylon is used instead of wire. Additionally, the nylon only goes as far back as the end of the grip for the same reason. Arthur Gray designed the Sure Lines safety reins. Sure Line reins have a nylon cord that emerges from the outer reins and attaches to the bit using a metal clasp. Brian and Lisa Peck designed a second (loop) type of safety rein. The "Peck" safety reins have a nylon cord that remains inside of the outer reins throughout and can be seen. Both the nylon and outer reins are looped around the bit. It should be noted that while the safety rein designers can provide supporting materials, including laboratory reports on the testing of their reins, there are currently no safety standards established for safety reins.

Nylon reins retail for between \$28.00 and \$31.00 while reins using American leather cost around \$71.00 and \$74.00. Imported English leather reins can cost \$180 and more. Both types of safety reins will add from \$10 to \$15 to the cost of typical leather reins, including the cost of the patent fee, which ranges from \$2.70 to \$9.00 per set. Sure Lines has stated it expects safety reins to increase retail prices for reins by 25 percent.

The Sure Lines states its patent is available to all manufacturers. Manufacturers who have processed orders for Sure Lines safety reins and lines include:

Champion Turs, Los Angeles, CA  
Walsh Manufacturing, Brookfield, WI  
Stitching Horse Tack, Hemet, CA  
Yonie's Harness shop Honey Brook, PA  
Feather-Weight Turf, Sheboygan, WI  
Smith Worthington, Hartford, CT  
Brubacher Tack, Wallenstein, Ontario, Canada  
Rich Tack, Oviedo, Fl

Champion Turn, a manufacturer of reins in California, stated a sufficient quantity of Safety Lines safety reins could be produced within six months to meet any demand in this State. The Safety Lines safety feature would add approximately \$13.00 to \$19.00 to the cost of the reins (\$10.00 plus the cost of the patent fee).

The Pecks have a patent pending on their reins. They do not deal with manufacturers other than their own provider, Jason Wade, because they feel that is the best way for them to maintain quality control. Mr. Wade stated he could produce 3,000 sets of reins in six months with the safety feature adding \$15.00 to the cost of the reins.

Two racing jurisdictions have considered mandating safety reins. The Indiana Racing Commission rejected the mandate in 2006 due to opposition from harness horsemen. The standardbred horsemen stated individual riders and trainers should accept the responsibility for ensuring the quality and condition of reins they use. The Ohio Racing Commission adopted a rule requiring the use of safety reins effective July 1, 2008; however, Ohio will reconsider its decision because of opposition by horsemen. Ohio horsemen object to the cost of safety reins, they state they are unnecessary and they state the Ohio rule favors the Safety Lines type of safety rein over the "Peck" safety rein.

The Jockey's Guild has informed staff that it supports mandating the use of safety reins. The California Horsemen's Safety Alliance (CSHA), which oversees the workers' compensation program at California thoroughbred racetracks, has ordered a thousand sets of Sure Line safety reins to distribute to horsemen to use voluntarily as an experiment to determine their effectiveness and to identify any problems. The CSHA has asked that the Board delay mandating safety reins until after the experiment has been completed and evaluated.

#### RECOMMENDATION

This item is presented for Board discussion and action.

**CALIFORNIA HORSE RACING BOARD**

**June 19, 2007**  
**REGULAR BOARD MEETING**

**There is no board package material for item 8**

**CALIFORNIA HORSE RACING BOARD**

**June 19, 2007**  
**REGULAR BOARD MEETING**

**There is no board package material for item 9**

**STAFF ANALYSIS**  
**STATUS OF THE \$1.5 MILLION DOLLAR SHORTFALL**

REGULAR BOARD MEETING  
JUNE 19, 2007

**BACKGROUND:**

Horseracing revenue comes primarily from license fees imposed on the amounts wagered by the public. The state's share of horseracing revenue is either deposited to the state General Fund or to a Special Fund (e.g., the Fairs and Expositions Fund). Special funds consist of governmental cost funds used to account for taxes and revenues, which are restricted by law for particular functions/activities. These Special Funds include the Fairs and Expositions (F&E) Fund 191, the Satellite Wagering Account Fund 192 and the Equine Research Fund 881.

**ANALYSIS:**

Pursuant to Section 19616.51 of the Business and Professions Code, cash receipts for calendar years 2005 and 2006 to the State of California have fallen short of the \$40 million referenced in Section 19616.51 by a combined total of \$1,528,187. On January 26, 2007, the California Horse Racing Board (CHRB) sent letters to each racing association and fair regarding the shortfall for calendar years 2005 and 2006. As such, and in accordance with the code's mandate, each racing association and fair was requested to submit to the CHRB its pro rata share of the shortfall by March 1, 2007. As of this notice, CHRB has received six remittances totaling \$101,367.

Some associations have questioned the dollar amounts reported. They have cited the CHRB Annual Reports that show amounts (based on audited CHRIMS reports) above the \$40 million baseline. Those reports include receipts other than amounts deposited to the F&E, Satellite Wagering, and Equine Research Funds. The intent of the legislation as to what should/must be included in the receipts calculation may be the deciding factor as to the specific liability the racing associations and fairs must bear.

**RECOMMENDATION:**

Discussion on the F&E Fund shortfall for the 2005 and 2006 calendar years pursuant to Section 19616.51 of the Business and Professions Code. Action may await final determination and legal opinion as to all amounts that should/must be included in the calculation of the \$40 million baseline.

**STAFF ANALYSIS**  
**REQUEST FOR APPROVAL OF**  
**CHARITY DISTRIBUTION**

**REGULAR BOARD MEETING**  
**JUNE 19, 2007**

**Background:**

The Oak Tree Racing Association is requesting that the Board approve its proposed distribution of charity race day proceeds in the amount of \$66,800. The list of 27 beneficiaries is attached for your review. The distribution will give more than 83% to industry-related organizations. Staff finds this request to be in order.

**Recommendation:**

Staff recommends that the Board approve this request.



May 29, 2007

Sherwood C. Chillingworth  
Executive Vice-President

Ms. Ingrid Fermin  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Fax: 916-263-6042

Dear Ingrid:

The audited net proceeds from Charity Racing Days during the 2006 Oak Tree meet came to \$66,843.51.

Therefore the Directors of the Oak Tree Charitable Foundation respectfully request CHRB approval at the June 19, 2007 regular meeting to disburse a total of \$66,800 to the attached schedule of eligible beneficiaries.

Contributions to thoroughbred industry charities total 83.08% of the distribution. We also contribute additional monies for equine related purposes from our Foundation and Racing Association funds.

Should you wish to review them, copies of grant applications from the selected organizations are available in this office.

Sincerely,

OAK TREE CHARITABLE FOUNDATION

A handwritten signature in black ink, appearing to read "Dhillp", is written over the printed name of the sender.

Sherwood C. Chillingworth  
Executive Vice-President

Attachment.

**OAK TREE RACING ASSOCIATION  
CHARITY DAY FUNDS - \$66,800  
2007 (2006 MEET)**

California Equine Retirement Foundation	\$ 5,000.00
CA. Thoroughbred Horsemen's Foundation	\$ 5,000.00
Disabled Jockeys' Endowment	\$ 14,000.00
Don MacBeth Memorial Jockey Fund	\$ 5,000.00
Jolene's Horse Rescue	\$ 1,000.00
Race Track Chaplaincy of America, S. California Council	\$ 6,000.00
Tranquility Farm (Harry A. Biszantz Mem. Center)	\$ 11,000.00
United Pegasus Foundation	\$ 3,500.00
Winners Foundation	\$ 5,000.00
<b>Industry Contributions - 83.08%</b>	<b>\$ 55,500.00</b>
Arcadia Child Health Council	\$ 500.00
Arcadia Community Coordinating Council	\$ 500.00
Arcadia Presbyterian Lay Counseling Center	\$ 1,000.00
Arcadia Public Library Foundation	\$ 500.00
Kare Youth League, Arcadia	\$ 500.00
Santa Anita Family YMCA, Monrovia	\$ 1,000.00
Boys and Girls Club of San Gabriel Valley	\$ 500.00
Casa de las Amigas, Pasadena	\$ 500.00
Door of Hope	\$ 500.00
El Centro de Accion Social	\$ 500.00
Five Acres, The Boys' & Girls' Aid Society, Altadena	\$ 1,000.00
Pasadena Humane Society, Pasadena	\$ 500.00
Pasadena Playhouse State Theatre of California	\$ 500.00
Special Olympics, San Gabriel Valley	\$ 500.00
Union Station	\$ 500.00
Villa Esperanza	\$ 500.00
American Cancer Fund for Children, Inc., Los Angeles	\$ 1,000.00
REINS Therapeutic Horsemanship Program, Bonsall	\$ 800.00
<b>TOTAL</b>	<b>\$ 66,800.00</b>



**CALIFORNIA HORSE RACING BOARD**

**June 19, 2007**  
**REGULAR BOARD MEETING**

**There is no board package material for item 12**

STAFF ANALYSIS  
PROPOSED AMENDMENT OF  
RULE 1843.2, CLASSIFICATION OF DRUG SUBSTANCES

Regular Board Meeting  
June 19, 2007

**BACKGROUND**

Business and Professions Code (B&P Code) Section 19440 specifies that the Board shall have all powers, including but not limited to adopting rules and regulations for the protection of the public and the control of horseracing and pari-mutuel wagering. B&P Code 19580 states that the Board shall adopt regulations to establish policies, guidelines and penalties relating to equine medication to preserve and enhance the integrity of horse racing in this State. Section 19581 of the B&P Code specifies that no substance of any kind shall be administered by any means to a horse after it has been entered to race in a horse race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof. B&P Code Section 19582 provides that violations of Section 19581, as determined by the Board, are punishable in regulations adopted by the Board, and that the Board may classify violations based upon each class of prohibited drug substances, prior violations within the previous three years and prior violations within the violator's lifetime.

At the January 2007 Regular Board Meeting staff was instructed to initiate a 45-day public comment period regarding a proposed amendment to Rule 1843.2. The proposed amendment would delete Rule 1843.2's seven drug classifications and reference the document "CHRB Penalty Categories Listing by Classification." The CHRB drug classifications are based on the ARCI recommendations with modifications recommended by the Equine Medical Director. The proposed amendment to Rule 1843.2 is currently at the Office of Administrative Law where it is undergoing a 30-day review process. At the June 18, 2007, Medication Committee meeting an amendment to Rule 1843.2 was discussed.

**ANALYSIS**

The document "CHRB Penalty Categories Listing by Classification" is incorporated by reference into Rule 1843.2. This means that every time a drug classification is changed, the regulation must be amended. The Board's Equine Medical Director has proposed a number of changes to the CHRB Penalty Categories Listing by Classification, as well as the addition of a new "P-1" classification. Therefore, it is necessary to initiate a rulemaking file if the Board wishes to adopt the new classifications.

**RECOMMENDATION**

This item is presented for discussion and action by the Board. Staff recommends the Board direct staff to initiate a 45-day public comment period.

CALIFORNIA HORSE RACING BOARD  
TITLE 4. CALIFORNIA CODE OF REGULATIONS  
ARTICLE 15. VETERINARY PRACTICES  
PROPOSED AMENDMENT  
OF  
RULE 1843.2. CLASSIFICATION OF DRUG SUBSTANCES

Regular Board Meeting  
June 19, 2007

1843.2. Classification of Drug Substances.

The stewards, the Administrative Law Judge or the hearing officer, when adjudicating a hearing for the finding of a drug substance(s) in a test sample taken from a horse participating in a race, shall consider the classification of the substance as referenced in the California Horse Racing Board (CHRB) Penalty Category Listing By Classification (~~64~~/07), hereby incorporated by reference, which is based on the Association of Racing Commissioners International (ARCI) Uniform Classification Guidelines for Foreign Substances (4/05).

Authority: Sections 19580, 19581 and 19582,  
Business and Professions Code.

Reference: Sections 19580, 19581 and 19582,  
Business and Professions Code.

## Proposed Drug Substances to be Revised

Foreign Substance	Current Classification	Proposed Classification	Penalty Category
19-Norandrostenediol	Not classified	3	B
19-Norandrostenedione	Not classified	3	B
4-Hydroxytestosterone	Not classified	3	B
Alclofenac	4	2	B
Benoxaprofen	4	2	B
Bolasterone	Not classified	3	B
Boldenone	4	4	D
Boldione	Not classified	3	B
Bufexamac	Not classified	3	B
Calusterone	4	3	B
Carprofen	4	4	C
Celecoxib	4	3	B
Clanobutin	Not classified	4	C
Clostebol	Not classified	3	B
Danazol	4	3	B
Dehydrochloromethyl- testosterone	Not classified	3	B
Deracoxib <sup>2</sup>	4	3	C
Desoxymethyl- testosterone	Not classified	3	B
Diclofenac	4	4	C
Diffunisal	4	3	C
Eltenac	4	4	C
Epibatidine	Not classified	2	A
Ergoloid mesylates	Not classified	3	C
Ethanol	Not classified	2	B
Ethylestrenol	4	3	B
Etodolac	4	3	C
Fenbufen	4	3	B
Fenclozic Acid	4	2	B
Fenoprofen	4	3	B
Fentiazac	Not classified	3	B
Firocoxib	Not classified	4	C
Flufenamic Acid	4	3	B
Flunixin	4	4	C
Fluoxymesterone	4	3	B
Flurbiprofen	4	3	B
Formebolone	Not classified	3	B
Furazabol	Not classified	3	B
Gestrinone	Not classified	3	B
Ibuprofen	4	4	B or C
Indomethacin	4	3	B
Isoxicam	4	2	B
Kebuzone	Not classified	3	B
Ketoprofen	4	4	C
Ketorolac	3	3	B
Loperamide	4	2	B
Meclofenamic Acid	4	4	C
Mefenamic Acid	4	3	B
Meloxicam	3,4	4	C
Mestanolone	Not classified	3	B

## Proposed Drug Substances to be Revised

Foreign Substance	Current Classification	Proposed Classification	Penalty Category
Mesterolone	Not classified	3	B
Metenolone	Not classified	3	B
Methandienone	Not classified	3	B
Methandriol	4	3	B
Methasterone	Not classified	3	B
Methyl-1-testosterone	Not classified	3	B
Methylidienolone	Not classified	3	B
Methylnortestosterone	Not classified	3	B
Methyltestosterone	4	3	B
Mibolerone	Not classified	3	B
Nabumetone	4	3	B
Nandrolone	4	3	D
Naproxen	4	4	C
Niflumic Acid	4	3	B
Nimesulide	4	3	B
Norbolethone	Not classified	3	B
Norclostebol	Not classified	3	B
Norethandrolone	Not classified	3	B
Oxabolone	Not classified	3	B
Oxandrolone	4	3	B
Oxymesterone	Not classified	3	B
Oxymetholone	4	3	B
Phenylbutazone	*	4	C
Piroxicam	4	3	B
Prostanazol	Not classified	3	B
Quinbolone	Not classified	3	B
Rofecoxib	4	2	B
Stanozolol	4	4	D
Stenbolone	Not classified	3	B
Sulindac	4	3	B
Tenoxicam	4	3	B
Tepoxalin	Not classified	3	C
Testosterone	4	4	D
Tetrahydrogestrinone	Not classified	3	B
Tiaprofenic Acid	4	3	B
Tolmetin	4	3	B
Trenbolone	4	3	B
Valdecoxib	Not classified	2	B
Valerenic acid	Not classified	3	C
Vedaprofen	4	4	C
Zomepirac	4	2	B
$\Delta$ -1-androstene-3,17-diol	Not classified	3	B
$\Delta$ -1-androstene-3,17-dione	Not classified	3	B
$\Delta$ -1-dihydrotestosterone	Not classified	3	B

rh-erythropoetin	Not classified	1P	A
darb-erythropoetin	Not classified	1P	A
rh-Growth Hormone	Not classified	1P	A
recombinant equine-Growth Hormone	Not classified	1P	A

## California Horse Racing Board (CHRB) Proposed Drug Substances to be Revised

**Class 1:** Stimulant and depressant drugs that have the highest potential to affect performance and that have no generally accepted medical use in the racing horse. Many of these agents are Drug Enforcement Agency (DEA) schedule II substances. These include the following drugs and their metabolites: Opiates, opium derivatives, synthetic opioids and psychoactive drugs, amphetamines and amphetamine-like drugs as well as related drugs, including but not limited to apomorphine, nikethamide, mazindol, pemoline, and pentylenetetrazol. Drug substances, their metabolites and analogs prohibited in horses under all circumstances under Rule 1843(b) are designated by (P).

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Alfentanil	Alfenta	1	A	Metaraminol	Aramine	1	A
Amphetamine		1	A	Methadone	Dolophine	1	A
Anileridine	Leritine	1	A	Methamphetamine	Desoxyn	1	A
Apomorphine		1	A	Methaqualone	Quaalude	1	A
Benzylpiperazine (BZP)		1	A	Methylphenidate	Ritalin	1	A
Carfentanil		1	A	Metopon (methyldihydromorphinone)		1	A
Cocaine		1	B	Morphine		1	B
Dextromoramide	Palfium, Narcolo	1	A	Nikethamide	Coramine	1	A
Diamorphine		1	A	Oxycodone	Percodan	1	A
Endorphins		1	A	Oxymorphone	Numorphan	1	A
Enkephalins		1	A	Pemoline	Cylert	1	A
Ethylmorphine	Dionin	1	A	Pentylenetetrazol	Metrazol, Nioric	1	A
Etorphine HCl	M99	1	A	Phenazocine	Narphen	1	A
Fentanyl	Sublimaze	1	A	Phencyclidine (PCP)	Sernylan	1	A
Hydromorphone	Dilaudid	1	A	Phendimetrazine	Bontril, etc.	1	A
Hydroxyamphetamine	Paradrine	1	A	Phenmetrazine	Preludin	1	A
Levorphanol	Levo-Dremoran	1	A	Picrotoxin		1	A
Lofentanil		1	A	Piritramide		1	A
Mazindol	Sanorex	1	A	Remifentanil	Ultiva	1	A
Meperidine	Demerol	1	A	Strychnine		1	B
Mephentermine		1	A	Sufentanil	Sufenta	1	A



## Listing by Classification

**Class 1:** Stimulant and depressant drugs that have the highest potential to affect performance and that have no generally accepted medical use in the racing horse. Many of these agents are Drug Enforcement Agency (DEA) schedule II substances.

These include the following drugs and their metabolites: Opiates, opium derivatives, synthetic opioids and psychoactive drugs, amphetamines and amphetamine-like drugs as well as related drugs, including but not limited to apmorphine, nikethamide, mazindol, pemoline, and pentylenetetrazol. Drug substances, their metabolites and analogs prohibited in horses under all circumstances under Rule 1843(b) are designated by (P).

<u>Drug</u>	<u>Trade Name</u>	<u>RCI Class</u>	<u>Penalty Class</u>
<u>rh-erythropoetin</u>		<u>1P</u>	<u>A</u>
<u>darb-erythropoetin</u>		<u>1P</u>	<u>A</u>
<u>rh-Growth Hormone</u>		<u>1P</u>	<u>A</u>
<u>recombinant equine-Growth Hormone</u>		<u>1P</u>	<u>A</u>



## Listing by Classification

**Class 2:** Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Acecarbromal		2	A	Benzazepam	Tiadipona	2	A
Acetophenazine	Tindal	2	A	Benzactizine	Deprol, Bronchodiletten	2	A
Adinazolam		2	A	Benzocetamine		2	A
Alcuronium	Alloferin	2	A	Benzphetamine	Didrex	2	A
Alphaprodine	Nisentil	2	A	Benztropine	Cogentin	2	A
Alpidem	Anaxyl	2	A	Biriperone		2	A
Alprazolam	Xanax	2	A	Bromazepam	Lexotan, Lectopam	2	A
Althesin	Saffan	2	A	Bromisovalum	Diffucord, etc.	2	A
Amisulpride	Solian	2	A	Bromocriptine	Parlodol	2	A
Amitriptyline	Elavil, Amitril, Endep	2	A	Bromperidol	Bromidol	2	A
Amobarbital	Amytal	2	A	Brotizolam	Brotocol	2	A
Amoxapine	Asendin	2	A	Bupivacaine	Marcaine	2	A
Amperozide		2	A	Buprenorphine	Temgesic	2	A
Anilopam	Anisine	2	A	Buspirone	Buspar	2	A
Aprobarbital	Alurate	2	A	Buspropion	Wellbutrin	2	A
Azacylonol	Frenque	2	A	Butabarbital (Secbutobarbitone)	Butacaps, Butasol, etc.	2	A
Azaperone	Stresnil, Suicalm, Fentaz (with Fentanyl)	2	A	Butalbital (Talbutal)	Fiorinal	2	A
Barbital	Veronal	2	A	Butanilcaine	Hostacain	2	A
Bemegrade	Megimide, Mikedimide	2	A	Butaperazine	Repoise	2	A
Benperidol		2	A	Butoctamide	Listomin	2	A

## Listing by Classification

**Class 2:** Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Caffeine		2	B	Clocapramine		2	A
Camazepam	Paxor	2	A	Clomethiazole		2	A
Captodiamine	Covatine	2	A	Clomipramine	Anafranil	2	A
Carbidopa + levodopa	Sinemet	2	A	Clonazepam	Klonopin	2	A
Carbromol	Mifudorm	2	A	Clorazepate	Tranxene	2	A
Carphenazine	Proketazine	2	A	Clothiapine	Entermin	2	A
Carpipramine	Prazinil	2	A	Clotiazepam	Trecalmo, Rize	2	A
Chloralose (Alpha-Chloralose)		2	A	Cloxazolam	Enadel, Sepazon, Tolestan	2	A
Chloral betaine	Beta-Chlor	2	A	Clozapine	Clozaril, Leponex	2	A
Chloral hydrate	Nactec, Oridrate, etc.	2	A	Codeine		2	B
Chloraldehyde (chloral)		2	A	Conorphone		2	A
Chlordiazepoxide	Librium	2	A	Corticaine	Ultracain	2	A
Chlormezanone	Trancopal	2	A	Crotetamide		2	A
Chloroform		2	A	Cyamemazine	Tercian	2	A
Chlorhexidol		2	A	Cyclobarbitol	Phanodorm	2	A
Chloroprocaine	Nesacaine	2	A	Decamethonium	Syncurine	2	A
Chlorproethazine	Newiplege	2	A	Demoxepam		2	A
Chlorpromazine	Thorazine, Largactil	2	A	Desipramine	Norpromine, Pertofrane	2	A
Chlorprothixene	Taractan	2	A	Dezocine	Dalgan®	2	A
Citalopram	Celex	2	A	Diazepam	Valium	2	B
Clobazam	Urbanyl	2	A	Dichloralphenazone	Febenol, Isocom	2	A

## Listing by Classification

**Class 2:** Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Diethylpropion	Tepanil, etc.	2	A	Ethylisobutrazine	Diquel	2	A
Diethylthiambutene	Themalon	2	A	Etidocaine	Duranest	2	A
Dihydrocodeine	Parcodin	2	A	Etifoxin	Stresam	2	A
Dilorazepam	Briantum	2	A	Etizolam	Depas, Pasaden	2	A
Diprenorphine	M50/50	2	A	Etodroxizine	Indunox	2	A
Dixyrazine	Esucos	2	A	Etomidate		2	A
Dopamine	Intropin	2	A	Fenarbamate	Tymium	2	A
Doxapram	Dopram	2	A	Fenfluramine	Pondimin	2	A
Doxefazepam	Doxans	2	A	Fluanisone	Sedalande	2	A
Doxepin	Adapin, Sinequan	2	A	Fludiazepam	Erispam	2	A
Droperidol	Inapsine, Droleptan, Innovar-Vet (with Fentanyl)	2	A	Flunitrazepam	Rohypnol, Narcozep, Darkene, Hypnodorm	2	A
Enciprazine		2	A	Fluopromazine	Psyquil, Siquil	2	A
Ephedrine		2	A	Fluoresone	Caducid	2	A
<b>Epibatidine</b>		<b>2</b>	<b>A</b>	Fluoxetine	Prozac	2	A
Epinephrine		2	A	Flupenthixol	Depixol, Fluanxol	2	A
Erythropoietin (EPO)	Epogen, Procrit, etc.	2	A	Fluphenazine	Prolixin, Permitil, Anatensol	2	B
Estazolam	Domnamid, Eurodin, Nuctalon	2	A	Flurazepam	Dalmane	2	A
Ethamivan		2	A	Fluspirilene	Imap, Redeptin	2	A
<b>Ethanol</b>		<b>2</b>	<b>B</b>	Flutoprazepam	Restas	2	A
Ethchlorvynol	Placidyl	2	A	Fluvoxamine	Dumirox, Faverin, etc.	2	A
Ethinamate	Valmid	2	A	Gallamine	Flaxedil	2	A
Ethopropazine	Parsidol	2	A	Gepirone		2	A

## Listing by Classification

**Class 2:** Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Glutethimide	Doriden	2	A	Lithium	Lithizine, Duralith, etc.	2	A
Halazepam	Paxipam	2	A	Lobeline		2	A
Haloperidol	Haldol	2	A	Loflazepate, Ethyl	Victan	2	A
Haloxazolam	Somelin	2	A	Loprazolam	Dormonort, Havlane	2	A
Hemoglobin glutamers	Oxyglobin, Hemopure	2	A	Lorazepam	Ativan	2	A
Hexafluorenum	Myalexen	2	A	Lormetazepam	Noctamid	2	A
Hexobarbital	Evipal	2	A	Loxapine	Laxitane	2	A
Homophenazine	Pelvichthol	2	A	Maprotiline	Ludiomil	2	A
Hydrocodone (dihydrocodeinone)	Hycodan	2	A	Mebutamate	Axiten, Dormate, Capla	2	A
Hydroxyzine	Atarax	2	B	Meclofenoxate	Lucidiril, etc.	2	A
Ibomal	Noctal	2	A	Medazepam	Nobrium, etc.	2	A
Imipramine	Imavate, Presamine, Tofranil	2	A	Melperone	Eunerpan	2	A
Isapirone		2	A	Meparfynol	Oblivon	2	A
Isocarboxazid	Marplan	2	A	Mepazine	Pacatal	2	A
Isomethadone		2	A	Mephenoxalone	Control, etc.	2	A
Isoproterenol	Isoprel	2	A	Mephenytoin	Mesantoin	2	A
Ketamine	Ketalar, Ketaset, Vetalar	2	B	Mephobarbital (Methylphenobarbital)	Mebaral	2	A
Ketazolam	Anxon, Laftram, Solatran, Loftran	2	A	Mepivacaine	Carbocaine	2	B
Lenperone	Elanone-V	2	A	Meproamate	Equanil, Miltown	2	B
Levomethorphan		2	A	Mesoridazine	Serentil	2	A
Lidocaine	Xylocaine	2	B	Metaclazepam	Talis	2	A

## Listing by Classification

**Class 2:** Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Metazocine		2	A	Nortriptyline	Aventyl, Pamelor	2	A
Metharbital	Gemonil	2	A	Olanzapine	Zyprexa	2	A
Methohexital	Brevital	2	A	Oxazepam	Serax	2	A
Methotrimeprazine	Levoprome, Neurocil, etc.	2	A	Oxazolam	Serenal	2	A
Methyprylon	Noludar	2	A	Oxyperitine	Forit, Integrin	2	A
Metocurine	Metubine	2	A	Pancuronium	Pavulon	2	A
Metomidate	Hypnodil	2	A	Paraldehyde	Paral	2	A
Mexazolam	Melex	2	A	Paroxetine	Paxil, Seroxat	2	A
Midazolam	Versed	2	A	Penfluridol	Cyperon	2	A
Mirtazepine	Remeron	2	A	Pentobarbital	Nembutal	2	A
Modafinil	Provigil	2	A	Perazine	Taxilan	2	A
Molindone	Moban	2	A	Periciazine	Alodept, etc.	2	A
Moperone	Luvatren	2	A	Perlapine	Hypnodin	2	A
Mosaprimine		2	A	Perphenazine	Trilafon	2	A
Nalbuphine	Nubain	2	A	Phenaglycodol	Acalo, Alcamid, etc.	2	A
Nalorphine	Nalline, Lethidrone	2	A	Phenelzine	Nardelzine, Nardil	2	A
Nefazodone	Serzone	2	A	Phenobarbital	Luminal	2	A
Nimetazepam	Erimin	2	A	Phentermine	Iomamin	2	A
Nitrazepam	Mogadon	2	A	Piminodine	Alvodine, Cimadon	2	A
Nordiazepam	Calmday, Nordaz, etc.	2	A	Pimozide	Orap	2	A
Norepinephrine		2	A	Pinazepam	Domar	2	A

## Listing by Classification

**Class 2:** Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Pipamperone	Dipiperon	2	A	Quetiapine	Seroquel	2	A
Pipequaline		2	A	Racemethorphan		2	A
Piperacetazine	Psymod, Quide	2	A	Racemorphan		2	A
Piperocaine	Metycaine	2	A	Raclopride		2	A
Pipotiazine	Lonseren, Piportil	2	A	Remoxipride	Roxiam	2	A
Pipradrol	Dataril, Gerondyl, etc.	2	A	Reserpine	Serpasil	2	B
Piquindone		2	A	Rilmazafone		2	A
Prazepam	Verstran, Centrax	2	A	Risperidone		2	A
Prilocaine	Citanest	2	A	Ritanserin		2	A
Prochlorperazine	Darbazine, Compazine	2	A	Rivastigmine	Exelon	2	A
Propanidid		2	A	Romifidine	Sedivet	2	B
Propiomazine	Largon	2	A	Ropivacaine	Naropin	2	A
Propionylpromazine	Tranvet	2	B	Secobarbital (Quinalbarbitone)	Seconal	2	A
Propiram		2	A	Selegiline	Eldepryl, Jumex	2	A
Propofol	Diprivan, Disoprivan	2	A	Sertraline	Lustral, Zoloft	2	A
Propoxycaine	Ravocaine	2	A	Snake Venoms		2	A
Prothipendyl	Dominal	2	A	Spiclomazine		2	A
Protriptyline	Concordin, Triptil	2	A	Spiperone		2	A
Proxibarbital	Axeen, Centralgol	2	A	Succinylcholine	Sucostrin, Quelin, etc.	2	A
Pyrithyldione	Hybersulfan, Sonodor	2	A	Sulfondiethylmethane		2	A
Quazipam	Doral	2	A	Sulfonmethane		2	A

## Listing by Classification

**Class 2:** Drugs that have a high potential to affect performance, but less of a potential than Class 1. These drugs are 1) not generally accepted as therapeutic agents in racing horses, or 2) they are therapeutic agents that have a high potential for abuse.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Sulforidazine	Inofal	2	A	Tribromethanol		2	A
Sulpiride	Aiglonyl, Sulpitil	2	A	Tricaine methanesulfonate	Finquel	2	A
Sultopride	Barnetil	2	A	Trichloroethanol		2	A
Talbutal	Lotusate	2	A	Trichloethylene	Trilene, Trimar	2	A
Tandospirone		2	A	Triclofos	Triclos	2	A
Temazepam	Restoril	2	A	Trifluomeprazine	Nortran	2	A
Tetrabenazine	Nitoman	2	A	Trifluoperazine	Stelazine	2	A
Tetracaine	Pontocaine	2	B	Trifluperidol	Triperidol	2	A
Tetrazepam	Musaril, Myolastin	2	A	Triflupromazine	Vetame, Vesprin	2	A
Thebaine		2	A	Trimipramine	Surmontil	2	A
Thialbarbital	Kemithal	2	A	Tubocurarine (Curare)	Metubin	2	A
Thiamylal	Surital	2	A	Tybamate	Benvil, Nospan, etc.	2	A
Thiethylperazine	Torecan	2	A	Urethane		2	A
Thiopental	Pentothal	2	A	<b>Valdecoxib</b>		<b>2</b>	<b>B</b>
Thiopropazate	Dartal	2	A	Valnoctamide	Nirvanyl	2	A
Thiopropazine	Majeptil	2	A	Venlafaxine	Efflexor	2	A
Thioridazine	Mellaril	2	A	Veralipride	Accional, Veralipril	2	A
Thiothixene	Navane	2	A	Vercuronium	Norcuron	2	A
Tiapride	Italprid, Luxoben, etc.	2	A	Viloxazine	Catatrol, Vivalan, etc.	2	A
Tiletamine	Component of Telazol	2	A	Vinbarbital	Delvinol	2	A
Timiperone	Tolopelon	2	A	Vinylbital	Optanox, Speda	2	A
Tofisopam	Grandaxain, Seriel	2	A	Yohimbine		2	A
Topirimate	Topamax	2	A	Zolazepam		2	A
Tramadol	Ultram	2	A	Zolpidem	Ambien, Stilnox	2	A
Tranylcypromine	Parnate	2	A	Zopiclone	Imovan	2	A
Trazodone	Desyrel	2	A	Zotepine	Lodopin	2	A
Triazolam	Halcion	2	A	Zuclopenthixol	Ciatyl, Cesordinol	2	A

## Listing by Classification

**Class 3:** Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
<b>19-Norandrostenediol</b>		<b>3</b>	<b>B</b>	Bumetanide	Bumex	3	B
<b>19-Norandrostenedione</b>		<b>3</b>	<b>B</b>	Butorphanol	Stadol, Torbugesic	3	B
<b>4-Hydroxytestosterone</b>		<b>3</b>	<b>B</b>	Candesartan	Atacand	3	B
Acebutolol	Sectral	3	B	Captopril	Capolen	3	B
Acepromazine	Atrovet, Notensil, PromAce®	3	B	Carazolol	Carbacef, Conducton	3	A
Albuterol (Salbutamol)	Proventil, Ventolin	3	B	Carbachol	Lentin, Doryl	3	B
Alprenolol		3	A	Carbamezapine	Tegretol	3	B
Ambenonium	Mytelase, Myeuran	3	B	Carbinoxamine	Clistin	3	B
Aminophylline	Aminophyllin, etc.	3	B	Carteolol	Cartrol	3	B
Amlodipine	Norvasc	3	A	Carvedilol	Coreg	3	B
Amyl nitrite		3	A	<b>Clostebol</b>		<b>3</b>	<b>B</b>
Arecoline		3	A	Cimeterol		3	A
Atenolol	Tenormin	3	B	Clenbuterol	Ventipulmin	3	B
Atropine		3	B	Clonidine	Catapres	3	B
Betaxolol	Kerlone	3	B	Cyclandelate	Cyclospasmol	3	A
Bethanidine	Esbatal	3	A	Cycrimine	Pagitane	3	B
Biperiden	Akineton	3	A	<b>Dehydrochloromethyl - testosterone</b>		<b>3</b>	<b>B</b>
Bisoprolol	Zebeta, Bisobloc, etc.	3	B	<b>Desoxymethyl-testosterone</b>		<b>3</b>	<b>B</b>
Bitolterol	Effectin	3	B	Detomidine	Dormosedan	3	B
<b>Bolasterone</b>		<b>3</b>	<b>B</b>	Dextropropoxyphene	Darvon	3	B
<b>Boldione</b>		<b>3</b>	<b>B</b>	Diazoxide	Proglycem	3	B
Bretylum	Bretylol	3	B	Dimeflin		3	A
Brimonidine	Alphagan	3	B	Diphenhydramine	Benadryl	3	B
Bromfenac	Duract	3	A	Dipyridamole	Persantine	3	B
Bromodiphenhydramine		3	B	Dobutamine	Dobutrex	3	B
Bufexamac		3	B				



## Listing by Classification

**Class 3:** Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Doxylamine	Decapryn	3	B	Irbesarten	Avapro	3	A
Dyphylline		3	B	Isoetharine	Bronkosol	3	B
Edrophonium	Tensilon	3	B	Isosorbide dinitrate	Isordil	3	B
Enalapril (metabolite enalaprilat)	Vasotec	3	B	<b><u>Kebuzone</u></b>		<b><u>3</u></b>	<b><u>B</u></b>
<b><u>Ergoloid mesylates</u></b>		<b><u>3</u></b>	<b><u>C</u></b>	Ketorolac	Toradol	3	B
Erthryl tetranitrate	Cardilate	3	A	Labetalol	Normodyne	3	B
Esmolol	Brevibloc	3	B	Losartan	Hyzaar	3	B
Etamiphylline		3	B	Mabuterol		3	A
Ethacrynic acid	Edecrin	3	B	Mecamylamine	Inversine	3	B
Ethylnorepinephrine	Bronkephrine	3	A	Medetomidine	Domitor	3	B
Fenoldopam	Corlopam	3	B	<b><u>Mestanolone</u></b>		<b><u>3</u></b>	<b><u>B</u></b>
Fenoterol	Berotec	3	B	<b><u>Mesterolone</u></b>		<b><u>3</u></b>	<b><u>B</u></b>
Fenspiride	Respiride, Respan, etc	3	B	Metaproterenol	Alupent, Metaprel	3	B
<b><u>Fentiazac</u></b>		<b><u>3</u></b>	<b><u>B</u></b>	<b><u>Metenolone</u></b>		<b><u>3</u></b>	<b><u>B</u></b>
Flupirtine	Katadolone	3	A	Methacholine		3	A
<b><u>Formebolone</u></b>		<b><u>3</u></b>	<b><u>B</u></b>	<b><u>Methandienone</u></b>		<b><u>3</u></b>	<b><u>B</u></b>
Formoterol	Altram	3	B	<b><u>Methasterone</u></b>		<b><u>3</u></b>	<b><u>B</u></b>
<b><u>Furazabol</u></b>		<b><u>3</u></b>	<b><u>B</u></b>	Methixene	Trest	3	A
Gabapentin	Neurontin	3	B	Methoxamine	Vasoxyl	3	A
<b><u>Gestrinone</u></b>		<b><u>3</u></b>	<b><u>B</u></b>	Methoxyphenamine	Orthoxide	3	A
Glycopyrrolate	Robinul	3	B	<b><u>Methyl-1-testosterone</u></b>		<b><u>3</u></b>	<b><u>B</u></b>
Guanadrel	Hylorel	3	A	Methylatropine		3	B
Guanethidine	Ismelin	3	A	<b><u>Methyldienolone</u></b>		<b><u>3</u></b>	<b><u>B</u></b>
Guanabenz	Wytensin	3	B	Methyldopa	Aldomet	3	A
Heptaminol	Corofundol	3	B	<b><u>Methylnortestosterone</u></b>		<b><u>3</u></b>	<b><u>B</u></b>
Homatropine	Homapin	3	B	Metolazone		3	B
Hydralazine	Apresoline	3	B	Metoprolol	Lopressor	3	B
Ipratropium		3	B	Mibefradil	Posicor	3	B

## Listing by Classification

**Class 3:** Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
<b>Mibolerone</b>		<b>3</b>	<b>B</b>	Phenylpropanolamine	Propadrine	3	B
Midodrine	Pro-Amiline	3	B	Physostigmine	Eserine	3	A
Minoxidil	Loniten	3	B	Pindolol	Viskin	3	B
Moexipril (metabolite moexiprilat)	Uniretic	3	B	Pirbuterol	Maxair	3	B
Muscarine		3	A	Piretanide	Arelix, Tauliz	3	B
Nadolol	Corgard	3	B	Prazosin	Minipress	3	B
Naratriptan	Amerge	3	B	Primidone	Mysoline	3	B
Nefopam		3	A	Procaine		3	B
Neostigmine	Prostigmine	3	B	Procaterol	Pro Air	3	A
Nitroglycerin		3	B	Procyclidine	Kemadrin	3	B
<b>Norbolethone</b>		<b>3</b>	<b>B</b>	Promazine	Sparine	3	B
<b>Norclostebol</b>		<b>3</b>	<b>B</b>	Promethazine	Phenergan	3	B
<b>Norethandrolone</b>		<b>3</b>	<b>B</b>	Propentophylline	Karsivan	3	B
<b>Oxabolone</b>		<b>3</b>	<b>B</b>	Propranolol	Inderal	3	B
Oxprenolol	Trasicor	3	B	Prostanazol		3	B
<b>Oxymesterone</b>		<b>3</b>	<b>B</b>	Protokylol	Ventaire	3	A
Papaverine	Pavagen, etc.	3	A	Pseudoephedrine	Cenafed, Novafed	3	B
Paramethadione	Paradione	3	A	Pyridostigmine	Mestinon, Regonol	3	B
Pargyline	Eutonyl	3	A	Pyrimamine	Neoantergan, Equihist	3	B
Penbutolol	Levatol	3	B	Quinbolone		3	B
Pentaerythritol tetranitrate	Duotrate	3	A	Ractopamine	Raylean	3	B
Pentazocine	Talwin	3	B	Ritodrine	Yutopar	3	B
Phenoxybenzamine	Dibenzylamine	3	B	Rizatriptan	Maxalt	3	B
Phentolamine	Regitine	3	B	Salmeterol		3	B
Phenylephrine	Isophrin, Neo-Synephrine	3	B	Scopolamine (Hyoscine)	Triptone	3	B

## Listing by Classification

**Class 3:** Drugs that may or may not have generally accepted medical use in the racing horse, but the pharmacology of which suggests less potential to affect performance than drugs in Class 2.

Drug	Trade Name	RCI Class	Penalty Class
Sibutramine	Meridia	3	B
Sotalol	Betapace, Sotacor	3	B
<b>Stenbolone</b>		<b>3</b>	<b>B</b>
Sumatriptan	Imitrex	3	B
Telmisartin	Micardis	3	B
<b>Tepoxalin</b>		<b>3</b>	<b>C</b>
Terbutaline	Brethine, Bricanyl	3	B
Testolactone	Teslac	3	B
<b>Tetrahydrogestrinone</b>		<b>3</b>	<b>B</b>
Theophylline	Aqualphyllin, etc.	3	B
Timolol	Blocadrin	3	B
Tolazoline	Priscoline	3	B
Torsemide (Torasemide)	Demadex	3	B
Trandolapril (and metabolite, Trandolaprilat)	Tarka	3	B
Trihexylphenidyl	Artane	3	A
Trimethadione	Tridione	3	B
Trimethaphan	Arfonad	3	A
Tripelennamine	PBZ	3	B
<b>Valerenic acid</b>		<b>3</b>	<b>C</b>
Valsartan	Diovan	3	B
Xylazine	Rompun, Bay Va 1470	3	B
Zolmitriptan	Zomig	3	B
<b><u>Δ-1-androstene-3,17-diol</u></b>		<b>3</b>	<b>B</b>
<b><u>Δ-1-androstene-3,17-dione</u></b>		<b>3</b>	<b>B</b>
<b><u>Δ-1-dihydrotestosterone</u></b>		<b>3</b>	<b>B</b>
Zonisamide	Zonegran	3	B

## Listing by Classification

**Class 4:** This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Acetaminophen (Paracetamol)	Tylenol, Tempra, etc.	4	C	Baclofen	Lioresal	4	B
Acetanilid		4	B	Beclomethasone	Propaderm	4	C
Acetazolamide	Diamox, Vetamox	4	B	Benazepril	Lotrel	4	B
Acetophenetidin (Phenacetin)		4	B	Bendroflumethiazide	Naturetin	4	B
Acetylsalicylic acid (Aspirin)		4	C	<b>Benoxaprofen</b>		<b>2</b>	<b>B</b>
<b>Aiclofenac</b>		<b>2</b>	<b>B</b>	Benoxinate	Dorsacaine	4	C
Acclomethasone	Aclovate	4	C	Benzocaine		4	C
Aldosterone	Aldocortin, Electro cortin	4	B	Benzthiazide		4	B
Ambroxol	Ambril, etc.	4	B	Bepidil	Bepadin	4	B
Amcinonide	Cyclocort	4	C	Betamethasone	Betasone, etc.	4	C
Aminocaproic acid	Amicar, Caprocid	4	C	Bethanechol	Urecholine, Duvoid	4	C
Aminodarone		4	B	Boldenone	Equipoise	4	D
2-Aminoheptaine	Tuamine	4	B	Bromhexine	Oletor, etc.	4	C
Aminopyrine		4	B	Brompheniramine	Dimetane, Disomer	4	B
Amisometradine	Rolictron	4	B	Budesonide	Pulmacort, Rhinocort	4	C
Amlopidine	Norvasc, Ammivin	4	B	Butacaine	Butyn	4	B
Amrinone		4	B	Butamben (butyl aminobenzoate)	Butesin	4	C
Anisotropine	Valpin	4	B	Butoxycaine	Stadacain	4	B
Antipyrine		4	B	<b>Calusterone</b>	<b>Methosorb</b>	<b>3</b>	<b>B</b>
Apazone (Azapropazone)	Rheumox	4	B	Camphor		4	C
Aprindine		4	B	Carisoprodol	Relo, Soma	2	B

## Listing by Classification

**Class 4:** This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
<b>Carprofen</b>		<b>4</b>	<b>C</b>	Cyproheptadine	Periactin	4	C
<b>Celecoxib</b>	<b>Celebrex</b>	<b>3</b>	<b>B</b>	<b>Danazol</b>	<b>Danocrine</b>	<b>3</b>	<b>B</b>
Chlormerodrin	Neohydrin	4	B	Dantrolene	Dantrium	4	C
Chlorophenesin	Maolate	4	C	Dembroxol (Dembrexine)	Sputolysin	4	C
Chloroquine	Avloclor	4	C	Deoxycorticosterone	Percortin, DOCA, Descotone, Dorcostrin	4	C
Chlorothiazide	Diuril	4	B	<b>Deracoxib<sup>2</sup></b>		<b>3</b>	<b>C</b>
Chlorpheniramine	Chlortrimeton, etc.	4	B	Desonite	Des Owen	4	C
Chlorthalidone	Hydroton	4	B	Desoximetasone	Topicort	4	C
Chlorzoxazone	Paraflex	4	B	Dexamethasone	Azium, etc.	4	C
Cinchocaine	Nupercaine	4	C	Dextromethorphan		4	C
Clanobutin		4	C				
Clibucaine	Batrax	4	C	Dibucaine	Nupercainal, Cinchocaine	4	C
Clidinium	Quarezan, Clindex, etc.	4	B	Dichlorphenamide	Daramide	4	C
Clobetasol	Temovate	4	C	Diclofenac	Voltaren, Voltarol	4	C
Clocortolone	Cloderm	4	C	Diflorasone	Florone, Maxiflor	4	C
Clofenamide		4	B	Diflucortolone	Flu-Cortinest, etc.	4	C
Clormecaine	Placacid	4	C	<b>Diflunisal</b>		<b>3</b>	<b>C</b>
Colchicine		4	B	Digitoxin	Crytodigin	4	B
Cortisone	Cortone, etc.	4	C	Digoxin	Lanoxin	4	B
Cyclizine	Merazine	4	B	Dihydroergotamine		4	C
Cyclobenzaprine	Flexeril	4	B	Diltiazem	Cardizem	4	B
Cyclomethylcaine	Surfacaine	4	C	Dimethisoquin	Quotane	4	B
Cyclothiazide	Anhydron, Renazide	4	B	Diphenoxylate	Difenoxin, Lomotil	4	B

## Listing by Classification

**Class 4:** This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Dipyron	Novin, Methampyrone	4	C	Floctafenine	Idalon, Idarac	4	B
Disopyramide	Norpace	4	B	Flucinolone	Synalar, etc.	4	C
Dromostanolone	Drolban	4	C	Fludrocortisone	Alforone, etc.	4	C
Dyclonine	Dyclone	4	C	<b>Flufenamic acid</b>		<b>3</b>	<b>B</b>
Eltenac		4	C	Flumethasone	Flucort, etc.	4	C
Ergonovine	Ergotrate	4	C	Flumethiazide	Ademol	4	B
Ergotamine	Gynergen, Cafegot, etc.	4	C	Flunarizine	Sibelium	4	B
Etanercept	Enbrel	4	B	Flunisolide	Bronilide, etc.	4	C
Ethoheptazine	Zactane	4	B	Flunixin	Banamine	4	C
Ethosuximide	Zarontin	4	B	Fluocinolone	Synalar	4	C
Ethotoin	Peganone	4	B	Fluocinonide	Licon, Lidex	4	C
Ethoxzolamide	Cardrase, Ethamide	4	C	Fluoroprednisolone	Predef-2X	4	C
Ethylaminobenzoate (Benzocaine)	Semets, etc.	4	C	<b>Fluoxymesterone</b>	<b>Halotestin</b>	<b>3</b>	<b>B</b>
<b>Ethylestrenol</b>	<b>Maxibolin, Organon</b>	<b>3</b>	<b>B</b>	Fluprednisolone	Alphadrol	4	C
<b>Etodolac</b>	<b>Lodine</b>	<b>3</b>	<b>C</b>	Flurandrenolide	Cordran	4	C
Felodipine	Plendil	4	B	<b>Flurbiprofen</b>	<b>Froben</b>	<b>3</b>	<b>B</b>
<b>Fenbufen</b>	<b>Cincopal</b>	<b>3</b>	<b>B</b>	Fluticasone	Flixonase, Flutide	4	C
<b>Fenclozic acid</b>	<b>Myalex</b>	<b>2</b>	<b>B</b>	Guaifenesin (glycerol guiacolate)	Gecolate	4	C
<b>Fenoprofen</b>	<b>Nalfon</b>	<b>3</b>	<b>B</b>	Halcinonide	Halog	4	C
Fexofenadine	Allegra	4	C	Halobetasol	Ultravate	4	C
<b>Firocoxib</b>		<b>4</b>	<b>C</b>	Hexocyclium	Tral	4	B
Flecainide	Idalon	4	B				

## Listing by Classification

**Class 4:** This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Hexylcaine	Cyclaine	4	C	<b>Meloxicam</b>	<b>Mobic</b>	<b>3,4</b>	<b>C</b>
Hydrochlorothiazide	Hydrodiuril	4	C	Mepenzolate	Cantil	4	B
Hydrocortisone (Cortisol)	Cortef, etc.	4	C	Mephenesin	Tolserol	4	B
Hydroflumethiazide	Saluron	4	B	Meralluride	Mercurhydrin	4	B
<b>Ibuprofen</b>	<b>Motrin, Advil, Nurpin, etc.</b>	<b>4</b>	<b>B or C</b>	Merbaphen	Novasural	4	B
<b>Indomethacin</b>	<b>Indocin</b>	<b>3</b>	<b>B</b>	Mercaptomerin	Thiomerin	4	B
Infliximab	Remicade	4	B	Mercumalilin	Cumertilin	4	B
Isoflupredone	Predef	4	C	Mersalyl	Salyrgan	4	B
Isometheptene	Octin, Octon	4	B	Metaxalone	Skelaxin	4	B
Isopropamide	Darbid	4	B	<b>Methandriol</b>	<b>Probolic</b>	<b>3</b>	<b>B</b>
<b>Isoxicam</b>	<b>Maxicam</b>	<b>2</b>	<b>B</b>	Methandrostenolone	Dianabol	4	C
Isoxsuprine	Vasodilan	4	C	Methantheline	Banthine	4	B
Isradipine	DynaCirc	4	B	Methapyrilene	Histadyl, etc.	4	B
Ketoprofen	Orudis	4	C	Methazolamide	Naptazane	4	C
Letosteine	Viscotiol, Visiotal	4	C	Methdilazine	Tacaryl	4	B
<b>Loperamide</b>	<b>Imodium</b>	<b>2</b>	<b>B</b>	Methocarbamol	Robaxin	4	C
Loratidine	Claritin	4	B	Methotrexate	Folex, Nexate, etc.	4	B
Meclizine	Antivert, Bonine	4	B	Methscopolamine	Pamine	4	B
Meclofenamic acid	Arquel	4	C	Methsuximide	Celontin	4	B
Medrysone	Medriusar, etc.	4	C	Methylchlorthiazide	Enduron	4	B
<b>Mefenamic acid</b>	<b>Ponstel</b>	<b>3</b>	<b>B</b>	Methandrostenolone	Dianabol	4	C

## Listing by Classification

**Class 4:** This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Methylergonovine	Methergine	4	C	Orphenadrine	Norlflex	4	B
Methylprednisolone	Medrol	4	C	<b>Oxandrolone</b>	<b>Anavar</b>	<b>3</b>	<b>B</b>
<b>Methyltestosterone</b>	<b>Metandren</b>	<b>3</b>	<b>B</b>	Oxaprozin	Daypro, Deflam	4	C
Methysergide	Sansert	4	B	Oxymetazoline	Afrin	4	B
Metiamide		4	B	<b>Oxymetholone</b>	<b>Adroyd, Anadrol</b>	<b>3</b>	<b>B</b>
Metoclopramide	Reglan	4	C	Oxyphenbutazone	Tandearil	4	C
Mexilitine	Mexilil	4	B	Oxyphencyclimine	Daricon	4	B
Milrinone		4	B	Oxyphenonium	Antrenyl	4	B
Mometasone	Elocon	4	C	Paramethasone	Haldrone	4	C
Montelukast	Singulair	4	C	Pentoxifylline	Trental, Vazofirin	4	C
<b>Nabumetone</b>	<b>Anthraxan, Relafen, Reliflex</b>	<b>3</b>	<b>B</b>	Phenacemide	Phenurone	4	B
Naepaine	Amylsine	4	C	Phensuximide	Milontin	4	B
<b>Nandrolone</b>	<b>Nandrolin, Laurabolin, Durabolin</b>	<b>3</b>	<b>D</b>	<b>Phenylbutazone</b>		<b>4</b>	<b>C</b>
Naphazoline	Privine	4	B	Phenytoin	Dilantin	4	B
Naproxen	Equiproxen, Naprosyn	4	C	<b>Piroxicam</b>	<b>Feldene</b>	<b>3</b>	<b>B</b>
Nicardipine	Cardine	4	B	Polythiazide	Renese	4	B
Nifedipine	Procardia	4	B	Pramoxine	Tronothaine	4	C
<b>Niflumic acid</b>	<b>Nifluril</b>	<b>3</b>	<b>B</b>	Prednisolone	Delta-Cortef, etc.	4	C
<b>Nimesulide</b>		<b>3</b>	<b>B</b>	Prednisone	Meticorten, etc.	4	C
Nimodipine	Nemotop	4	B	Probenecid		4	C
Norethandrone		4	C	Procainamide	Pronestyl	4	B
Nortestosterone		4	C				



## Listing by Classification

**Class 4:** This class includes therapeutic medications that would be expected to have less potential to affect performance than those in Class 3.

Drug	Trade Name	RCI Class	Penalty Class	Drug	Trade Name	RCI Class	Penalty Class
Propafenone	Rythmol	4	B	Tocainide	Tonocard	4	B
Proprantheline	Pro-Banthine	4	B	<b>Tolmetin</b>	<b>Tolectin</b>	<b>3</b>	<b>B</b>
Proparacaine	Ophthaine	4	C	Tranexamic acid		4	C
Propylhexedrine	Benzedrex	4	B	<b>Trenbolone</b>	<b>Finoplix</b>	<b>3</b>	<b>B</b>
Quinidine	Quinidex, Quinicardine	4	B	Triamcinolone	Vetalog, etc.	4	C
<b>Rofecoxib</b>	<b>Vioxx</b>	<b>2</b>	<b>B</b>	Triamterene	Dyrenium	4	B
Salicylamide		4	C	Trichlormethiazide	Naqua, Naquasone	4	C
Salicylate		4	C	Tolmetin	Tolectin	4	B
Spirolactone	Aldactone	4	B	Tridihexethyl	Pathilon	4	B
Stanozolol	Winstrol-V	4	D	Trimeprazine	Temaril	4	B
Sulfasalazine	Azulfidine, Azaline	4	C	Tripolidine	Actidil	4	B
<b>Sulindac</b>	<b>Clinoril</b>	<b>3</b>	<b>B</b>	Tuaminoheptane	Tuamine	4	C
<b>Tenoxicam</b>	<b>Alganex, etc.</b>		<b>B</b>	Vedaprofen		4	C
Terfenadine	Seldane, Triludan	4	B	Verapamil	Calan, Isoptin	4	B
Testosterone		4	D	Xylometazoline	Otrivin	4	B
Tetrahydrozoline	Tyzine	4	B	Zafirlukast	Accolate	4	C
Theobromine		4	C	Zeranol	Ralgro	4	C
Thiosalicylate		4	C	Zileuton	Zyflo	4	C
Thiphenamil	Trocinate	4	B	<b>Zomepirac</b>	<b>Zomax</b>	<b>2</b>	<b>B</b>
<b>Tiaprofenic acid</b>	<b>Surgam</b>	<b>3</b>	<b>B</b>				

## Listing by Classification

**Class 5:** This class includes those therapeutic medications for which concentration limits have been established by the racing jurisdictions as well as certain miscellaneous agents such as DMSO and other medications as determined by the regulatory bodies.

Drug	Trade Name	RCI Class	Penalty Class
Anisindione		5	D
Cilostazol	Pletal	5	D
Cimetidine	Tagamet	5	D
Cromolyn	Intel	5	D
Dicumarol	Dicumarol	5	D
Dimethylsulfoxide (DMSO)	Domoso	5	D
Dimethylsulphone (MSM)		5	D
Diphenadione		5	D
Famotidine	Gaster, etc.	5	D
Lansoprazole		5	D
Misoprostel	Cytotec	5	D
Nedocromil	Tilade	5	D
Nizatidine	Axid	5	D
Omeprazole	Prilosec, Losec	5	D
Phenindione	Hedulin	5	D
Phenprocoumon	Liquamar	5	D
Pirenzapine	Gastrozepin	5	D
Ranitidine	Zantac	5	D
Warfarin	Coumadin, Coufarin	5	D