# APPLICATION FOR A SERVICE PROVIDER LICENSE <br> (CLASS 2) <br> BY CORPORATION/PARTNERSHIP/LIMITED LIABILITY COMPANY 

The undersigned hereby makes application for a gaming license/approval:

1. Name of Applicant entity

Address of service provider $\qquad$
(a) Trade name to be used $\qquad$
2. TYPE OF LICENSE: <br> Geo-Location Service Provider}
$\square$ Patron Identification Service Provider
$\square$ Payment Processing Service Provider
$\square$ Information Technology Service Provider
$\square \quad$ Cash Access and Wagering Instrument Service Provider
$\square \quad$ Other (Please specify) $\qquad$

A general description of the nature of the business. (Attach a separate page if necessary.)
3. Indicate whether applicant is a: $\square$ Corporation $\square$ Partnership $\square$ Limited Liability Company

Federal I.D. No.
4. Complete the following (if the applicant is a partnership, limited liability company, or other form of business organization, furnish similar information as that requested below):
(a) State of incorporation/organization __ Date $\qquad$
Date of qualification to do business in the State of Nevada
(b) A certified copy of the Articles of Incorporation, Articles of Organization, or a true copy of the Partnership Agreement is attached: $\square$ Yes $\square$ No

If no, state reasons
(c) A complete list of all stockholders, partners, or members showing the number of shares/interest held of record by each is filed herewith: $\square$ Yes $\square$ No

If no, state reasons
(d) List below the following information with respect to all partners, members, directors, officers, and shareholders. Each of the persons named below may be required to complete and file a Personal History Record/Disclosure and other related application documents.

> FULL NAME

TITLE
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
(e) If applicable, the terms, position, rights, and privileges of the different classes of securities outstanding:
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
(f) Options existing or to be created in respect of their securities or other interest:

| NAME |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| $\square$ | $—$ | $\square$ | - |  |

5. The terms on which its securities are to be, and during the preceding 3 years have been, offered to the public or otherwise:

$$
\begin{array}{lll}
\text { SECURITY TERMS } & \text { DATE }
\end{array}
$$

$\qquad$
6. The terms and conditions of all outstanding loans, mortgages, trust deeds, pledges, or any other indebtedness or security device pertaining to the gaming applicant:

SECURITY DEVICE
$\qquad$
AMOUNT
$\qquad$
INTEREST
TERMS
$\qquad$
$\qquad$
$\qquad$
7. The extent of the security holding or other interest in the applicant of all officers, employees, directors, underwriters, partners, members, principals, trustees, or any direct or beneficial owner, whether corporate or otherwise, and any remuneration as compensation for their services, in the form of salary, wages, fees, or by contract, pertaining to the applicant:

NAME
TITLE
OWNERSHIP \% OR
OTHER INTEREST
REMUNERATION
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
$\qquad$
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$\qquad$
8. Remuneration to others than members, directors, and officers exceeding $\$ 50,000$ per annum, and those who will receive, directly or indirectly, any compensation or rents based on a percentage of share of the proceeds of gaming by the applicant:
NAME TITLE REMUNERATION
9. Bonus and profit-sharing arrangements $\qquad$
$\qquad$
$\qquad$
10. Management and service contracts:


## ATTACH ADDITIONAL SHEETS, IF NECESSARY

## 11.The applicant agrees, if required:

(a) To maintain an office on the licensed gaming premises.
(b) To maintain a ledger in the principal office of the business in Nevada, which shall:
(1) At all times reflect the ownership of every class of security issued by the corporation, partnership, or limited liability company; and
(2) Be available for inspection by the Licensing Agency and their authorized agents at all reasonable times without notice.
(c) To provide any further financial data or other information which may be deemed necessary or appropriate.
(d) To submit herewith balance sheets and profit and loss statements, certified by independent certified public accounts, certified or registered in the State of Nevada, for not more than the 3 preceding fiscal years, or, if the entity has not been in existence more than 3 years, such balance sheets and profit and loss statements from the time of its establishment.
(e) To provide to the Licensing Agency an annual profit and loss statement and balance sheet, and a copy of its annual federal income tax return within 30 days after such return is filed with the Federal Government.
(f) To provide to the Licensing Agency, at least annually, a complete list of all stockholders, partners, or members after this registration is approved, and in all cases within 30 days after the annual meeting of stockholders of the corporation showing the number of shares held by each.
(g) That every security issued by it shall bear a statement, on both sides of the certificate, as is required by NRS 463 and the Regulations of the Nevada Gaming Control Board and Nevada Gaming Commission.
(h) To report to the Licensing Agency any change in corporation personnel who have been designated by the Licensing Agency as key executives.
(i) To terminate the employment of any person employed by it found unsuitable to hold a gaming license in the State of Nevada.
(j) To disallow the sale, assignment or transfer, pledge, or other disposition of any new issue of security, unless registered in advance with the Licensing Agency. A duly executed Certificate of Resolution of the Board of Directors of the corporation to this effect shall be filed herewith.
(k) To assist the Licensing Agency in requiring the individual owner of any security who has been found unsuitable to continue as a gaming licensee in this State, to offer the stock for sale to the issuing entity, and that such entity will purchase the stock if it is able to do so; and in the vent the stock is retained by the unsuitable owner, the entity will not pay any dividend or interest on such security, allow it to be voted, or pay to the owner any remuneration in any form.
12. Officers and other key employees of the applicant when requested shall execute an application and file same with the Licensing Agency in accordance with their request.
13. NRS $463.150(\mathrm{f})$ requires any applicant to pay all or any part of the fees or costs of investigation of such applicant as may be determined by the Licensing Agency.
14. $\operatorname{FEE}(\mathrm{S})$ TO ACCOMPANY THIS APPLICATION (To be paid to Nevada Gaming Control Board):

## Class 2: Service Provider:

Entity and one individual - \$3,000 (\$500 Application Fee/ $\$ 2,500$ Investigative Fee)
Entity and up to three individuals - $\$ 5,500$ ( $\$ 500$ Application Fee $/ \$ 5,000$ Investigative Fee)
Entity and up to six individuals - $\$ 8,000$ ( $\$ 500$ Application Fee $\$ 7,500$ Investigative Fee)
Entity and more than six individuals \$10,500 (\$500 Application Fee/\$10,000 Investigative Fee requested by Investigations Division after application is filed. See below)

In accordance with NRS 353.1467, all payments of money owed to a state agency for taxes, interest, penalties or any other obligations that, in the aggregate, amount to $\$ 10,000$ or more, must be made by electronic transfer in a method allowed by the state agency.

## THE OBLIGATIONS HEREIN CONTAINED ARE NOT INTENDED TO BE COMPLETE. CITY, COUNTY AND NEVADA LAWS AND REGULATIONS MUST BE FOLLOWED AND ARE APPLICABLE AT ALL TIMES.



I, $\qquad$ , being duly sworn, depose and say that the above statements are true and correct to the best of my knowledge and belief and that this statement is executed with the knowledge that misrepresentation or failure to reveal information requested may be deemed sufficient cause for the refusal to issue a gaming license by a municipality, or by a county or by the State of Nevada. Further, that I am aware that later discovery of an omission or misrepresentation made in the above statements may be grounds for the revocation of a gaming license. Further, that I am voluntarily submitting this application under oath with full knowledge that the Gaming Control Act (NRS 463.140(5)) provides that "Any person making false oath in any matter before either the board or commission is guilty of perjury." I am voluntarily submitting this application under oath with full knowledge that I may be required to submit this application to appropriate municipal and county authorities charges by law with granting gaming licenses.


Subscribed and Sworn to Before Me
THIS $\qquad$ DAY OF $\qquad$ ,

