MISSOURI GAMING COMMISSION REQUEST OF WAIVER FOR LICENSURE OF INSTITUTIONAL INVESTOR (Pursuant to 11 CSR 45-4.020)

Name of Individual/Entity Holding Interest

Entity (Licensee or Applicant) in which Interest is Held

Interest Held: percent of a publicly traded entity privately held entity (check one).

A holder of a ny direct or indirect legal or beneficial publicly traded interest of five percent (5%) or more, or privately held interest of one percent (1%) or more, in a Missouri gaming licensee or applicant, is required to obtain a key person or key person business entity license from the Missouri Gaming Commission.

A holder may, however, be exempted from the licensure requirements if such interest is held for passive investment purposes only. Individuals or entities holding up to ten percent (10%) may be exempted by the Executive Director, while the Commission may waive licensure for up to twenty percent (20%). Exemptions maybe granted: 1 in advance of interest acquisition, 2) for cumulative acquisitions up to twenty percent (20%), and/or 3) for acquisition of interest in multiple licensees or applicants. Exemptions shall be for a period of two (2) years unless renewed.

Waiver applications shall be submitted to the Executive Director with a copy to the Chief Financial Analyst at the Missouri Gaming Commission's Jefferson City office, 3417 Knipp Drive, Jefferson City, Missouri 65109. Requests for waiver must be accompanied by supporting documentation.

WAIVER TYPE: In Advance of Interest Acquisition; Cumulative Acquisitions up to 20%; Interest in Multiple Licensees (Check all that apply)

REQUEST OF WAIVER - The individual or entity named above, as holder of such interest hereby applies in writing in advance of acquiring said interest or within ten (10) days thereafter, certifying under oath as follows::

- a) The acquired interest is for passive investment purposes only:
- b) Holder does not and will not have any involvement in the management activities of the entity in which interest is acquired:
- c) Holder has no intention of controlling the entity in which interest is acquired, regardless of additional stock that may be acquired:
- d) The holder will within ten (10) days notify the commission of any purchase of stock in the entity which causes the total holding of the entity's outstanding stock to exceed the threshold for which the waiver is granted.
- e) That in the event an intention of controlling or participating in the management of the entity in which interest is acquired or held subsequently develops, holder shall notify

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the commission of said change and refrain from participating in management or exercising such control until approved for licensure by the commission:

- f) If the applicant for a waiver is an individual, then the home and business address, occupation, employer and title shall be provided:
 - Home Address:
 - Business Address:
 - Occupation:
 - Employer:
 - Title:

g) If the applicant for a waiver is a business entity, then it shall provide the following:

- Type of entity (corporation, partnership, limited partnership, LLC, LLP, etc.):
- State of charter
- The names a nd addresses (both home and business) must be included for the following officers: (I) chief executive officer; (II) chief financial officer; (III) chief operating officer; (IV) managing partner; (V) general partners; (VI) members of the board of directors; and (VII) the registered agent.
 ** Check all that apply ** (Use page 2a for information on additional officers)

Name and title:

Home address:

Business address:

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This page is used to include additional information required for section g.

Name and title:

Home address:

Business address:

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I, the undersigned, in my capacity as with attest that the foregoing information is accurate, and that nothing in the granting of this waiver shall prohibit the commission, at a future date, in its sole discretion, with or without cause, from requiring any owner of any interest in a licensee from becoming licensed by the commission or to divest itself of stock ownership.

Signed:

Notary:

Date:

Date:

Affix seal: