

# **Appendix D**

## **Greenhouse Gas Emissions Inventory and Reference Case Projections**

This appendix contains the full text of the Final Alaska Greenhouse Gas Inventory and Reference Case Projections, 1990-2025 (July 2009), also referred to as the Inventory and Forecast Report (I&F), which was used to provide detailed documentation on current and projected emissions. The inventory data contained in the I&F was reviewed by the MAG and its five Technical Work Groups (TWGs) and revised to address comments approved by the MAG. Forecasts and methodologies used in this report were not addressed by the MAG or TWGs.

The final report, incorporating the comments provided by the MAG and those comments from the TWGs which were approved by the MAG, is attached to this appendix and also available on the Alaska Climate Change Strategy [website](#).

A separate report titled [Alaska Department of Environmental Conservation Summary Report of Improvements to the Alaska Greenhouse Gas Emission Inventory, \(January 2008\)](#) was used throughout the Alaska Climate Change Strategy Mitigation Advisory Group (MAG) process as the basis for the above referenced I&F. It was reviewed and updated with more recent data by CCS Technical Experts working with representatives from the Alaska Department of Environmental Conservation.

The January 2008 report is a summary of the [Alaska Greenhouse Gas Inventory and Reference Case Projections, 1990–2020, \(July 2007\)](#).

# **Final Alaska Greenhouse Gas Inventory and Reference Case Projections, 1990-2025**

**Center for Climate Strategies  
July 2009**

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## Executive Summary

The Center for Climate Strategies (CCS) prepared the first draft of this report for the Alaska Department of Environment Conservation (DEC) under an agreement with the Western Governors' Association. The report presented an assessment of the State's greenhouse gas (GHG) emissions and anthropogenic sinks (carbon storage) from 1990 to 2025. The preliminary draft inventory and forecast estimates served as a starting point to assist the State, as well as the Alaska Climate Change Mitigation Advisory Group (MAG) and Technical Work Groups (TWGs), with an initial comprehensive understanding of Alaska's current and possible future GHG emissions, and thereby informed the identification and analysis of policy options for mitigating GHG emissions.<sup>1</sup> The MAG and TWGs have reviewed, discussed, and evaluated the draft inventory and methodologies as well as alternative data and approaches for improving the draft GHG inventory and forecast. The inventory and forecast as well as this report have been revised to address the comments provided and approved by the MAG

### Emissions and Reference Case Projections (Business-as-Usual)

Alaska's anthropogenic GHG emissions and sinks (carbon storage) were estimated for the period from 1990 to 2025. Historical GHG emission estimates (1990 through 2005)<sup>2</sup> were developed using a set of generally accepted principles and guidelines for state GHG emission estimates, with adjustments by CCS to provide Alaska-specific data and inputs when it was possible to do so. The reference case emission projections (2006-2025) are based on a compilation of various existing projections of electricity generation, fuel use, and other GHG-emitting activities for Alaska, along with a set of transparent assumptions described in the appendices of this report.

The inventory and projections cover the six types of gases included in the US Greenhouse Gas Inventory: carbon dioxide (CO<sub>2</sub>), methane (CH<sub>4</sub>), nitrous oxide (N<sub>2</sub>O), hydrofluorocarbons (HFCs), perfluorocarbons (PFCs), and sulfur hexafluoride (SF<sub>6</sub>). Emissions of these GHGs are presented using a common metric, CO<sub>2</sub> equivalence (CO<sub>2</sub>e), which indicates the relative contribution of each gas, per unit mass, to global average radiative forcing on a global warming potential- (GWP-) weighted basis.<sup>3</sup>

Table ES-1 provides a summary of historical (1990, 2000 and 2005) and reference case projection (2010, 2020, and 2025) GHG emissions for Alaska. Activities in Alaska accounted for approximately 50.6 million metric tons (MMt) of *gross*<sup>4</sup> carbon dioxide equivalent (CO<sub>2</sub>e)

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<sup>1</sup> "Alaska Greenhouse Gas Inventory and Reference Case Projections, 1990-2020," prepared by the Center for Climate Strategies for the Alaska Department of Environmental Conservation, July 2007.

<sup>2</sup> The last year of available historical data varies by sector; ranging from 2000 to 2005.

<sup>3</sup> Changes in the atmospheric concentrations of GHGs can alter the balance of energy transfers between the atmosphere, space, land, and the oceans. A gauge of these changes is called radiative forcing, which is a simple measure of changes in the energy available to the Earth-atmosphere system (IPCC, 2001). Holding everything else constant, increases in GHG concentrations in the atmosphere will produce positive radiative forcing (i.e., a net increase in the absorption of energy by the Earth), See: Boucher, O., et al. "Radiative Forcing of Climate Change." Chapter 6 in *Climate Change 2001: The Scientific Basis*. Contribution of Working Group 1 of the Intergovernmental Panel on Climate Change Cambridge University Press. Cambridge, United Kingdom. Available at: [http://www.grida.no/climate/ipcc\\_tar/wg1/212.htm](http://www.grida.no/climate/ipcc_tar/wg1/212.htm).

<sup>4</sup> Excluding GHG emissions removed (e.g., CO<sub>2</sub> sequestered) in forestry and other land uses.

emissions in 2005, an amount equal to about 0.7% of total U.S. gross GHG emissions. Alaska's gross GHG emissions grew at a faster rate than those of the nation as a whole (gross emissions exclude carbon sinks, such as forests). Alaska's gross GHG emissions increased 30% from 1990 to 2005, while national emissions rose by 16% during this period. The growth in Alaska's emissions from 1990 to 2005 is primarily associated with the transportation and the industrial fuel use/fossil fuel (FF) industry sectors.

Estimates of carbon sinks within Alaska's forests have also been included in this report. Estimates of carbon dioxide sequestered in Alaska's managed forests are -1.4 MMtCO<sub>2</sub>/yr ("managed forests" consist of the coastal maritime forests in Alaska; see Appendix H). This leads to *net* emissions of 49.2 MMtCO<sub>2</sub>e in Alaska in 2005.

Figure ES-1 illustrates the State's emissions per capita and per unit of economic output. On a per capita basis, Alaskans emitted about 79 metric tons (Mt) of CO<sub>2</sub>e in 2005, higher than the national average of 24 MtCO<sub>2</sub>e in 2005. The higher per capita emission rates in Alaska are driven by emissions from the industrial fuel use/FF industry and transportation sectors, which are much higher than the national average. Per capita emissions in Alaska have increased somewhat from 1990 to 2005, while economic growth exceeded emissions growth throughout the 1990-2005 period (leading to declining estimates of GHG emissions per unit of state product). From 1990 to 2005, emissions per unit of gross product dropped by 26% nationally, and by 17% in Alaska.

The principal source of Alaska's GHG emissions is the industrial fuel use/FF industry sector, accounting for 49% of total State gross GHG emissions in 2005. The industrial sector includes fossil fuel combustion at industrial sites as well as fossil fuel industry emissions associated with natural gas production, processing, transmission and distribution (T&D), flaring, and pipeline fuel use, as well as with oil production and refining and coal mining emission releases. The next largest contributor to total gross GHG emissions is the transportation sector, which accounted for 35% of the total State gross GHG emissions in 2005.

As illustrated in Figure ES-2 and shown numerically in Table ES-1, under the reference case projections, Alaska's gross GHG emissions continue to grow, and are projected to climb to 62.7 MMtCO<sub>2</sub>e per year by 2025, 61% above 1990 levels. As shown in Figure ES-3, emissions associated with industrial fuel use/FF industry sector are projected to be the largest contributor to future emissions growth, followed by emissions from the transportation sector.

Emissions of aerosols, particularly "black carbon" (BC) from fossil fuel combustion, could have significant climate impacts through their effects on radiative forcing. Estimates of these aerosol emissions on a CO<sub>2</sub>e basis were developed for Alaska based on 2002 data and 2018 projected data from the Western Regional Air Partnership (WRAP). Estimated BC emissions for the year 2002 were a total of 3.0 MMtCO<sub>2</sub>e, which is the mid-point of a range of estimated emissions (1.9 – 4.0 MMtCO<sub>2</sub>e). Based on an assessment of the primary contributors, it is estimated that BC emissions will decrease by 2018 after new engine and fuel standards take effect in the onroad and nonroad diesel engine sectors. Details of this analysis are presented in Appendix I to this report. These estimates are not incorporated into the totals shown in Table ES-1 below because a

global warming potential for BC has not yet been assigned by the Intergovernmental Panel on Climate Change (IPCC).

Some data gaps exist in this analysis, particularly for the reference case projections. Key tasks for future GHG inventory work in Alaska include review and revision of key emissions drivers. These include electricity, fossil fuel production, and transportation fuel use growth rates and future electricity generation source mix, which will be major determinants of Alaska's future GHG emissions. Appendices A through H provide the detailed methods, data sources, and assumptions for each GHG sector. Also included are descriptions of significant uncertainties in emission estimates or methods and suggested next steps for refinement of the inventory. Appendix J provides background information on GHGs and climate-forcing aerosols.

### **GHG Reductions from Recent Actions<sup>5</sup>**

The federal Energy Independence and Security Act (EISA) of 2007 was signed into law in December 2007. This federal law contains several requirements that will reduce GHG emissions as they are implemented over the next few years. During the MAG process, sufficient information was identified (e.g., implementation schedules) to estimate GHG emission reductions associated with implementing the Corporate Average Fuel Economy (CAFE) requirements in Alaska. The MAG also identified recent actions that Alaska has undertaken to control GHG emissions while at the same time conserving energy. One recent action related to weatherization bonding was identified for which data were available to estimate the emission reductions of the action relative to the business-as-usual reference case projections. Weatherization bonding reduced emissions relative to the BAU reference case projections slightly. This program is only funded from 2010 to 2014, and would account for a reduction of about 0.07 MMtCO<sub>2e</sub> in 2010. Future reductions were not quantifiable, since the program would be terminated after 2014. The GHG emission reductions projected to be achieved by the CAFE program are summarized in Table ES-2. This table shows a total reduction of about 0.7 MMtCO<sub>2e</sub> in 2025 from the business-as-usual reference case emissions, or a 1.1% reduction from the business-as-usual emissions in 2025 for all sectors combined.

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<sup>5</sup> Note that actions recently adopted by the state of Alaska have also been referred to as “existing” actions.

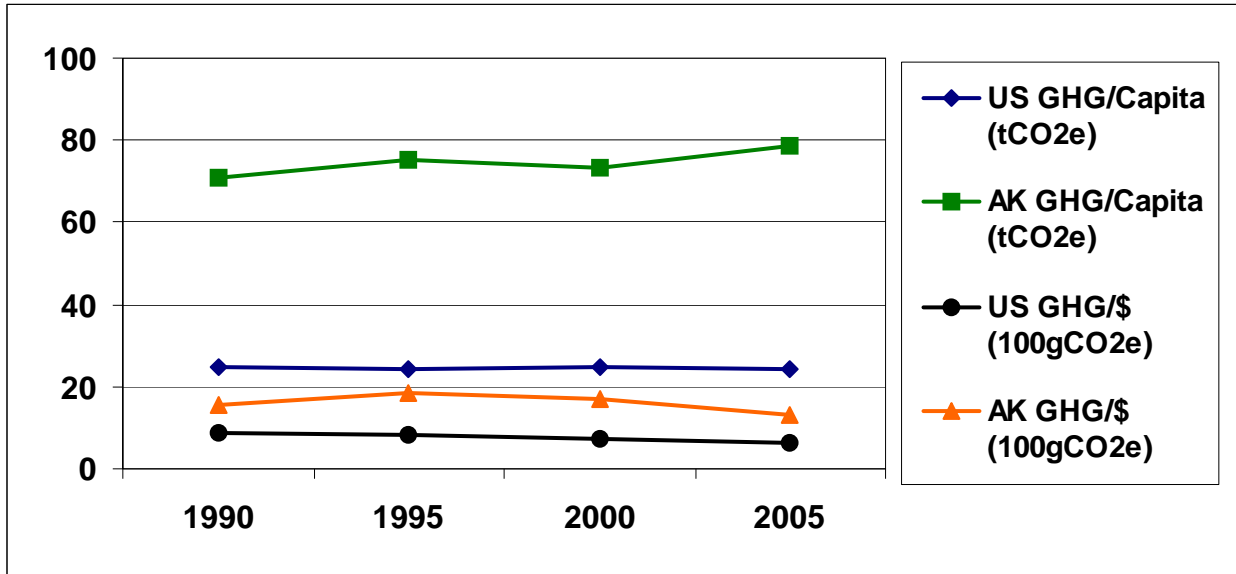
**Table ES-1. Alaska Historical and Reference Case GHG Emissions, by Sector (MMtCO<sub>2</sub>e)<sup>a</sup>**

MMtCO <sub>2</sub> e	1990	2000	2005	2010	2020	2025	Explanatory Notes for Projections
<b>Energy Use (CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O)</b>	<b>38.6</b>	<b>45.3</b>	<b>49.6</b>	<b>52.5</b>	<b>58.7</b>	<b>60.8</b>	
<b>Electricity Use (Consumption)</b>	<b>2.76</b>	<b>3.19</b>	<b>3.20</b>	<b>3.58</b>	<b>3.74</b>	<b>4.02</b>	
Electricity Production (in-state)	2.76	3.19	3.20	3.58	3.74	4.02	See electric sector assumptions
Coal	0.40	0.42	0.48	0.50	0.79	0.79	in appendix A.
Natural Gas	2.00	2.29	2.14	2.22	2.36	2.36	
Oil	0.37	0.48	0.57	0.86	0.58	0.86	
Imported/Exported Electricity	0.00	0.00	0.00	0.00	0.00	0.00	
<b>Residential/Commercial Fuel Use</b>	<b>3.77</b>	<b>4.33</b>	<b>3.88</b>	<b>3.91</b>	<b>4.12</b>	<b>4.07</b>	
Coal	0.76	0.79	0.70	0.69	0.67	0.66	Based on US DOE regional projections
Natural Gas	1.79	2.22	1.87	1.91	2.09	2.13	Based on US DOE regional projections
Petroleum	1.21	1.30	1.29	1.29	1.34	1.26	Based on US DOE regional projections
Wood (CH <sub>4</sub> and N <sub>2</sub> O)	0.012	0.013	0.023	0.023	0.023	0.023	Based on US DOE regional projections
<b>Industrial Fuel Use/Fossil Fuel Industry</b>	<b>20.5</b>	<b>22.9</b>	<b>24.7</b>	<b>26.5</b>	<b>30.8</b>	<b>31.6</b>	
Coal/Coal Mining	0.009	0.010	0.009	0.009	0.009	0.010	Based on US DOE regional projections
Natural Gas/Natural Gas Industry	13.4	17.7	19.2	20.5	25.0	26.0	Based on US DOE regional projections
Petroleum/Oil Industry	7.10	5.18	5.57	5.98	5.78	5.60	Based on US DOE regional projections
Wood (CH <sub>4</sub> and N <sub>2</sub> O)	0.012	0.000	0.000	0.000	0.000	0.000	Based on US DOE regional projections
<b>Transportation</b>	<b>11.5</b>	<b>14.9</b>	<b>17.8</b>	<b>18.5</b>	<b>20.1</b>	<b>21.1</b>	
Aviation	7.15	10.6	12.9	13.1	13.4	13.7	FAA aircraft operations forecasts
Marine Vessels	0.83	0.48	0.61	0.72	1.00	1.17	DEC commercial marine growth factors
On-road Vehicles	3.41	3.71	4.19	4.55	5.57	6.20	WRAP inventory VMT projections
Rail and Other	0.082	0.075	0.056	0.057	0.062	0.063	Historical trends and USDOE regional projections
<b>Industrial Processes</b>	<b>0.051</b>	<b>0.20</b>	<b>0.33</b>	<b>0.45</b>	<b>0.75</b>	<b>0.96</b>	
Limestone and Dolomite Use (CO <sub>2</sub> )	0.000	0.000	0.008	0.008	0.009	0.009	Alaska manufacturing employment growth
Soda Ash (CO <sub>2</sub> )	0.006	0.006	0.006	0.006	0.007	0.007	National projections for 2004-2009 (USGS)
ODS Substitutes (HFC, PFC)	0.001	0.17	0.30	0.42	0.72	0.94	EPA 2004 ODS cost study report
Electric Power T&D (SF <sub>6</sub> )	0.044	0.025	0.024	0.017	0.010	0.008	Based on national projections (USEPA)
<b>Waste Management</b>	<b>0.32</b>	<b>0.53</b>	<b>0.63</b>	<b>0.52</b>	<b>0.73</b>	<b>0.86</b>	
Solid Waste Management	0.26	0.46	0.56	0.45	0.65	0.78	Projected based on 1995-2005 trend
Wastewater Management	0.057	0.067	0.068	0.071	0.076	0.079	Projected based on population
<b>Agriculture</b>	<b>0.053</b>	<b>0.054</b>	<b>0.053</b>	<b>0.056</b>	<b>0.066</b>	<b>0.073</b>	
Manure Management	0.001	0.002	0.004	0.005	0.009	0.012	USDA livestock projections
Enteric Fermentation	0.013	0.015	0.020	0.023	0.029	0.034	USDA livestock projections
Agricultural Soils	0.039	0.037	0.030	0.029	0.028	0.028	Projected based on historical trend
<b>Gross Emissions (Consumption Basis)</b>	<b>39.0</b>	<b>46.1</b>	<b>50.6</b>	<b>53.5</b>	<b>60.2</b>	<b>62.7</b>	
increase relative to 1990		18%	30%	37%	55%	61%	
<b>Emissions Sinks</b>	<b>-0.3</b>	<b>-1.4</b>	<b>-1.4</b>	<b>-1.4</b>	<b>-1.4</b>	<b>-1.4</b>	
Forestry and Land Use	-0.3	-1.4	-1.4	-1.4	-1.4	-1.4	Projections held constant at 2000 level
<b>Net Emissions (Consumption Basis) (Including Forestry and Land Use Sinks)</b>	<b>38.7</b>	<b>44.7</b>	<b>49.2</b>	<b>52.1</b>	<b>58.8</b>	<b>61.3</b>	
increase relative to 1990		15%	27%	35%	52%	58%	

MMtCO<sub>2</sub>e = million metric tons of carbon dioxide equivalent; CH<sub>4</sub> = methane; CO<sub>2</sub> = carbon dioxide; N<sub>2</sub>O = nitrous oxide; ODS = ozone-depleting substance; HFC = hydrofluorocarbon; PFC = perfluorocarbon; SF<sub>6</sub> = sulfur hexafluoride; T&D = transmission and distribution.

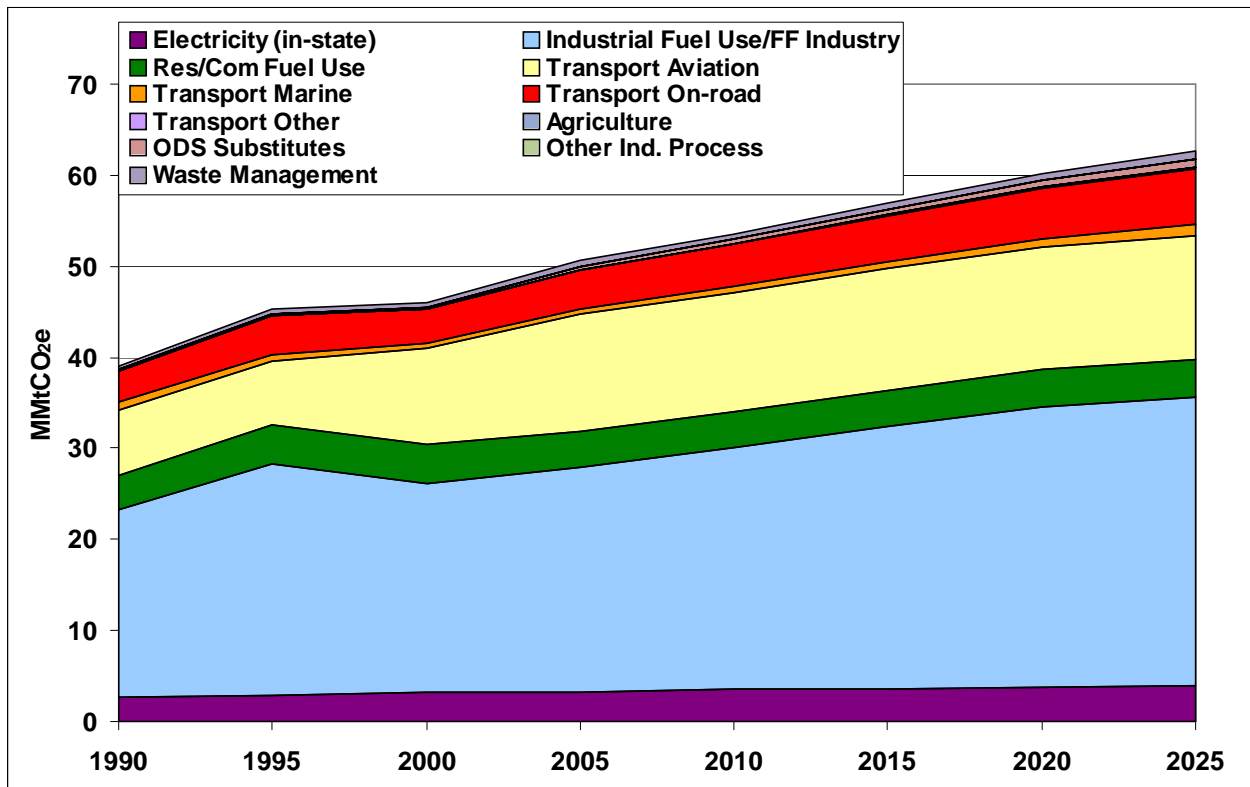
<sup>a</sup> Totals may not equal exact sum of subtotals shown in this table due to independent rounding.

Figure ES-1. Historical Alaska and U.S. GHG Emissions, Per Capita and Per Unit Gross Product



AK = Alaska; g = gram; GHG = greenhouse gas; tCO<sub>2</sub>e = metric tons of carbon dioxide equivalent; g = grams.

Figure ES-2. Alaska Gross GHG Emissions by Sector, 1990-2025: Historical and Projected

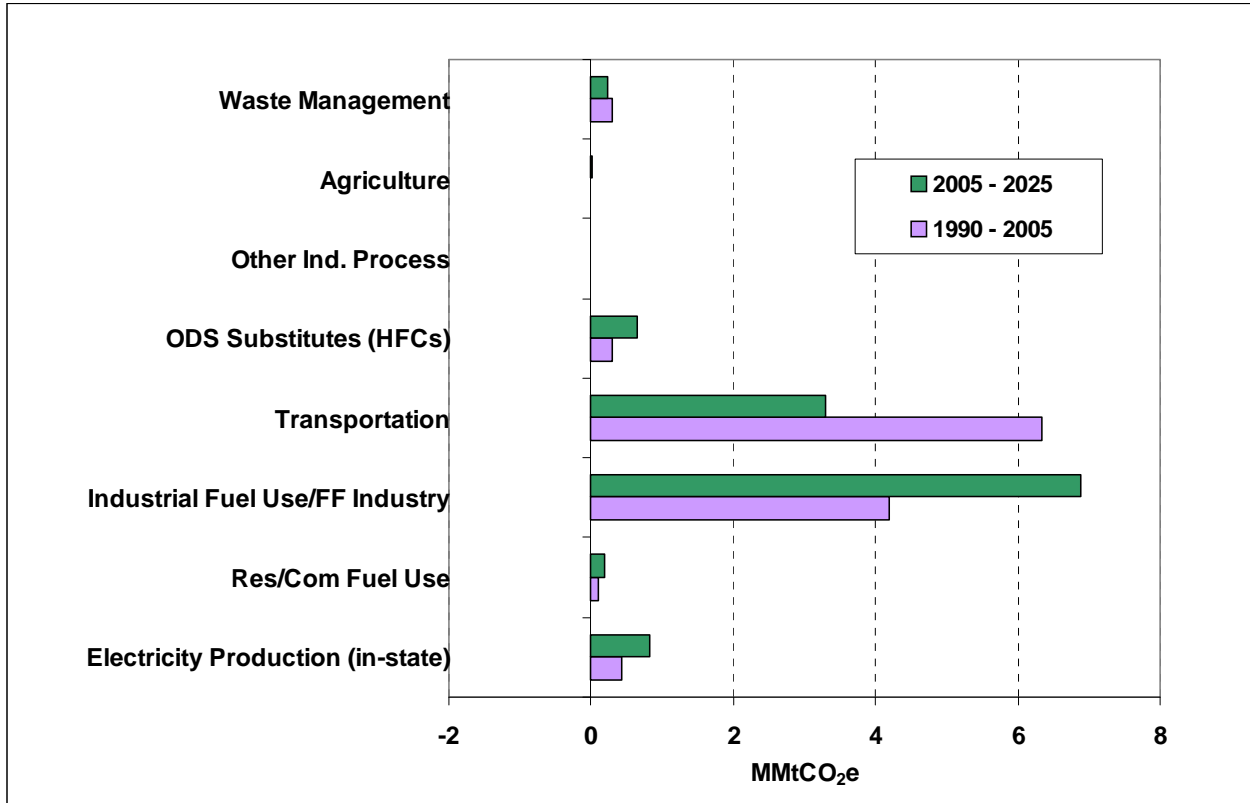


GHG = greenhouse gas; MMtCO<sub>2</sub>e = million metric tons of carbon dioxide equivalent; FF=fossil fuel; Res/Com = direct fuel use in the residential and commercial sectors; ODS = ozone-depleting substance; Ind. = industrial. The Industrial Fuel Use/FF Industry category accounts for direct fuel combustion in the industrial sector as well as fugitive



methane that occurs from leaks and venting during the production, processing, transmission, and distribution of fossil fuels.

**Figure ES-3. Sector Contributions to Emissions Growth in Alaska, 1990-2025: Reference Case Projections**



MMtCO<sub>2</sub>e = million metric tons of carbon dioxide equivalent; Ind. = industrial; ODS = ozone-depleting substance; HFCs = hydrofluorocarbons; FF= fossil fuel; Res/Com = residential and commercial sectors.

**Table ES-2. Emission Reduction Estimates Associated with the Effect of Recent Actions in Alaska (Consumption-Basis, Gross Emissions)**

Sector/Recent Action	GHG Reductions (MMtCO <sub>2</sub> e)		GHG Emissions (MMtCO <sub>2</sub> e)	
			Business as Usual	With Recent Actions
	2015	2025	2025	2025
Residential/Commercial/Industrial (RCI) Fuel Use/Fossil Fuel Industry				
Weatherization Bonding	0	0	35.7	35.7
Transportation and Land Use (TLU)				
Federal Corporate Average Fuel Economy (CAFE) Requirements	0.22	0.73	21.1	20.4
<b>Total (RCI + TLU Sectors)</b>			<b>56.8</b>	<b>56.1</b>
<b>Alaska Total (All Sectors)</b>			<b>62.7</b>	<b>62.0</b>

MMtCO<sub>2</sub>e = million metric tons of carbon dioxide equivalent.

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## Acronyms and Key Terms

AEO – *Annual Energy Outlook*

Ag – Agriculture

ADEC – Alaska Department of Environmental Conservation

bbls – Barrels

BC – Black Carbon

Bcf – Billion cubic feet

BLM – United States Bureau of Land Management

BOC – Bureau of Census

BTU – British thermal unit

C – Carbon

CaCO<sub>3</sub> – Calcium Carbonate

CBM – Coal Bed Methane

CCS – Center for Climate Strategies

CFCs – chlorofluorocarbons

CH<sub>4</sub> – Methane\*

CO<sub>2</sub> – Carbon Dioxide\*

CO<sub>2</sub>e – Carbon Dioxide equivalent\*

CRP – Federal Conservation Reserve Program

EC – Elemental Carbon

eGRID – U.S. EPA's Emissions & Generation Resource Integrated Database

EIA – U.S. DOE Energy Information Administration

EIIP – Emissions Inventory Improvement Project (US EPA)

FIA – Forest Inventory Analysis

GHG – Greenhouse Gases\*

GSP – Gross State Product

GWh – Gigawatt-hour

GWP - Global Warming Potential\*

HFCs – Hydrofluorocarbons\*

HNO<sub>3</sub> – Nitric acid

HWP – Harvested Wood Products

IPCC – Intergovernmental Panel on Climate Change\*

kWh – Kilowatt-hour  
LFGTE – Landfill Gas Collection System and Landfill-Gas-to-Energy  
LMOP – Landfill Methane Outreach Program  
LNG – Liquefied Natural Gas  
LPG – Liquefied Petroleum Gas  
Mg – Megagrams (equivalent to one metric ton)  
Mt - Metric ton (equivalent to 1.102 short tons)  
MMt – Million Metric tons  
MPO – Metropolitan Planning Organization  
MSW – Municipal solid waste  
MW – Megawatt  
N – Nitrogen  
N<sub>2</sub>O – Nitrous Oxide\*  
NO<sub>2</sub> – nitrogen dioxide\*  
NAICS – North American Industry Classification System  
NASS – National Agricultural Statistics Service  
NO<sub>x</sub> – Nitrogen oxides  
NSCR – Non-selective catalytic reduction  
ODS – Ozone-Depleting Substances  
OM – Organic Matter  
PADD – Petroleum Administration for Defense Districts  
PFCs – Perfluorocarbons\*  
PM – Particulate Matter  
ppb – parts per billion  
ppm – parts per million  
ppt – parts per trillion  
PV – Photovoltaic  
RCI – Residential, Commercial, and Industrial  
RPA – Resources Planning Act Assessment  
RPS – Renewable Portfolio Standard  
SAR – Second Assessment Report  
SCR- Selective catalytic reduction  
SED – State Energy Data

SF<sub>6</sub> – Sulfur Hexafluoride\*

SGIT – State Greenhouse Gas Inventory Tool

Sinks – Removals of carbon from the atmosphere, with the carbon stored in forests, soils, landfills, wood structures, or other biomass-related products.

TAR – Third Assessment Report

T&D – Transmission and Distribution

TWh – Terawatt-hours

UNFCCC – United Nations Framework Convention on Climate Change

U.S. EPA – United States Environmental Protection Agency

U.S. DOE – United States Department of Energy

USDA – United States Department of Agriculture

USFS – United States Forest Service

USGS – United States Geological Survey

VMT – Vehicle-Miles Traveled

WAPA – Western Area Power Administration

WECC – Western Electricity Coordinating Council

W/m<sup>2</sup> – Watts per Square Meter

WMO – World Meteorological Organization\*

WRAP – Western Regional Air Partnership

\* - See Appendix I for more information.

## Acknowledgements

CCS appreciates all of the time and assistance provided by numerous contacts throughout Alaska, as well as in other western states and at federal agencies. Thanks go to the many staff at several Alaska state agencies and universities for their inputs, and in particular to: Alice Edwards, Clint Farr and Tom Chapple of the Alaska Department of Environment Quality, Division of Air Quality who provided key guidance and review for this analytical effort; Peter Crimp of the Alaska Energy Authority, Scott Goldsmith of the Institute of Social and Economic Research, and Mark Foster of MAFA Consulting for information in the electricity sector; and David McGuire and Michael Balshi of the University of Fairbanks, Alaska for their information and review of the forestry sector inventory.

The authors would also like to express their appreciation to the additional CCS reviewers: Katie Bickel, Michael Lazarus, Lewison Lem, and David Von Hippel.

## Summary of Findings

### Introduction

The Center for Climate Strategies (CCS) prepared the first draft of this report for the Alaska Department of Environment Conservation (DEC) under an agreement with the Western Governors' Association. The report presented an assessment of the State's greenhouse gas (GHG) emissions and anthropogenic sinks (carbon storage) from 1990 to 2020. The preliminary draft inventory and forecast estimates served as a starting point to assist the State, as well as the Alaska Climate Change Mitigation Advisory Group (MAG) and Technical Work Groups (TWGs), with an initial comprehensive understanding of Alaska's current and possible future GHG emissions, and thereby informed the identification and analysis of policy options for mitigating GHG emissions.<sup>6</sup> The MAG and TWGs have reviewed, discussed, and evaluated the draft inventory and methodologies as well as alternative data and approaches for improving the draft GHG inventory and forecast. The inventory and forecast as well as this report have been revised to address the comments provided and approved by the MAG

### Emissions and Reference Case Projections (Business-as-Usual)

Historical GHG emissions estimates (1990 through 2005)<sup>7</sup> were developed using a set of generally accepted principles and guidelines for state GHG emissions inventories, as described in the "Approach" section below, relying to the extent possible on Alaska-specific data and inputs. The initial reference case projections (2006-2025) are based on a compilation of various existing projections of electricity generation, fuel use, and other GHG-emitting activities, along with a set of simple, transparent assumptions described in the appendices of this report.

This report covers the six gases included in the U.S. Greenhouse Gas Inventory: carbon dioxide (CO<sub>2</sub>), methane (CH<sub>4</sub>), nitrous oxide (N<sub>2</sub>O), hydrofluorocarbons (HFCs), perfluorocarbons (PFCs), and sulfur hexafluoride (SF<sub>6</sub>). Emissions of these GHGs are presented using a common metric, CO<sub>2</sub> equivalence (CO<sub>2</sub>e), which indicates the relative contribution of each gas to global average radiative forcing on a Global Warming Potential- (GWP-) weighted basis.<sup>8</sup> The final appendix to this report provides a more complete discussion of GHGs and GWPs. Emissions of black carbon were also estimated. Black carbon (BC) is an aerosol species with a positive climate forcing potential (that is, the potential to warm the atmosphere, as GHGs do); however, black carbon currently does not have a GWP defined by the IPCC due to uncertainties in both the direct and indirect effects of BC on atmospheric processes (see Appendices H and I for more details). Therefore, except for Appendix H, all of the summary tables and graphs in this report cover emissions of just the six GHGs noted above.

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<sup>6</sup> "Alaska Greenhouse Gas Inventory and Reference Case Projections, 1990-2020," prepared by the Center for Climate Strategies for the Alaska Department of Environmental Conservation, July 2007.

<sup>7</sup> The last year of available historical data varies by sector; ranging from 2000 to 2005.

<sup>8</sup> Changes in the atmospheric concentrations of GHGs can alter the balance of energy transfers between the atmosphere, space, land, and the oceans. A gauge of these changes is called radiative forcing, which is a simple measure of changes in the energy available to the Earth-atmosphere system (IPCC, 1996). Holding everything else constant, increases in GHG concentrations in the atmosphere will produce positive radiative forcing (i.e., a net increase in the absorption of energy by the Earth), <http://www.ipcc-nggip.iges.or.jp/public/2006gl/index.htm>.

It is important to note that the emission estimates for the electricity sector reflect the *GHG emissions associated with the electricity sources used to meet Alaska's demands*, corresponding to a *consumption-based* approach to emissions accounting (see "Approach" section below). Another way to look at electricity emissions is to consider the *GHG emissions produced by electricity generation facilities in the State*. Because Alaska has very limited electricity imports or exports, the GHG emissions on a production-basis are the same as GHG emissions from a consumption-basis. CCS introduces this concept of consumption- versus production-based emissions, since in other states, electricity imports and exports are an important issue.



## Alaska Greenhouse Gas Emissions: Sources and Trends

Table 1 provides a summary of GHG emissions estimated for Alaska by sector for the years 1990, 2000, 2005, 2010, 2020, and 2025. Details on the methods and data sources used to construct these estimates are provided in the appendices to this report. In the sections below, we discuss GHG emission sources (positive, or *gross*, emissions) and sinks (negative emissions) separately in order to identify trends, projections and uncertainties for each.

The next section of the report provides a summary of the historical emissions (1990 through 2005) followed by a summary of the reference case projection year emissions (2006 through 2025), key uncertainties, and suggested next steps. We also provide an overview of the general methodology, principles, and guidelines followed for preparing the inventories. Appendices A through G provide the detailed methods, data sources, and assumptions for each GHG sector.

Appendix H provides information on 2002 and 2018 BC estimates for Alaska. CCS estimated that BC emissions in 2002 ranged from 1.9 – 4.0 MMtCO<sub>2e</sub> with a mid-point estimate of 3.0 MMtCO<sub>2e</sub>. A range is estimated based on the uncertainty in the global modeling analyses that serve as the basis for converting BC mass emissions into their carbon dioxide equivalents (see Appendix I for more details). Since the IPCC has not yet assigned a global warming potential for BC, CCS has excluded these estimates from the GHG summary shown in Table 1 below. Based on an assessment of 2018 forecasted emissions for the primary BC contributors from the Western Regional Air Partnership (WRAP), it is estimated that BC emissions will decrease by 2018 after new engine and fuel standards take effect in the onroad and nonroad diesel engine sectors. Appendix I contains a detailed breakdown of emissions contribution by source sector.

Appendix I provides background information on GHGs and climate-forcing aerosols.

**Table 1. Alaska Historical and Reference Case GHG Emissions, by Sector (MMtCO<sub>2</sub>e)<sup>a</sup>**

MMtCO <sub>2</sub> e	1990	2000	2005	2010	2020	2025	Explanatory Notes for Projections
<b>Energy Use (CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O)</b>	<b>38.6</b>	<b>45.3</b>	<b>49.6</b>	<b>52.5</b>	<b>58.7</b>	<b>60.8</b>	
<b>Electricity Use (Consumption)</b>	<b>2.76</b>	<b>3.19</b>	<b>3.20</b>	<b>3.58</b>	<b>3.74</b>	<b>4.02</b>	
Electricity Production (in-state)	2.76	3.19	3.20	3.58	3.74	4.02	See electric sector assumptions
Coal	0.40	0.42	0.48	0.50	0.79	0.79	in appendix A.
Natural Gas	2.00	2.29	2.14	2.22	2.36	2.36	
Oil	0.37	0.48	0.57	0.86	0.58	0.86	
Imported/Exported Electricity	0.00	0.00	0.00	0.00	0.00	0.00	
<b>Residential/Commercial Fuel Use</b>	<b>3.77</b>	<b>4.33</b>	<b>3.88</b>	<b>3.91</b>	<b>4.12</b>	<b>4.07</b>	
Coal	0.76	0.79	0.70	0.69	0.67	0.66	Based on US DOE regional projections
Natural Gas	1.79	2.22	1.87	1.91	2.09	2.13	Based on US DOE regional projections
Petroleum	1.21	1.30	1.29	1.29	1.34	1.26	Based on US DOE regional projections
Wood (CH <sub>4</sub> and N <sub>2</sub> O)	0.012	0.013	0.023	0.023	0.023	0.023	Based on US DOE regional projections
<b>Industrial Fuel Use/Fossil Fuel Industry</b>	<b>20.5</b>	<b>22.9</b>	<b>24.7</b>	<b>26.5</b>	<b>30.8</b>	<b>31.6</b>	
Coal/Coal Mining	0.009	0.010	0.009	0.009	0.009	0.010	Based on US DOE regional projections
Natural Gas/Natural Gas Industry	13.4	17.7	19.2	20.5	25.0	26.0	Based on US DOE regional projections
Petroleum/Oil Industry	7.10	5.18	5.57	5.98	5.78	5.60	Based on US DOE regional projections
Wood (CH <sub>4</sub> and N <sub>2</sub> O)	0.012	0.000	0.000	0.000	0.000	0.000	Based on US DOE regional projections
<b>Transportation</b>	<b>11.5</b>	<b>14.9</b>	<b>17.8</b>	<b>18.5</b>	<b>20.1</b>	<b>21.1</b>	
Aviation	7.15	10.6	12.9	13.1	13.4	13.7	FAA aircraft operations forecasts
Marine Vessels	0.83	0.48	0.61	0.72	1.00	1.17	DEC commercial marine growth factors
On-road Vehicles	3.41	3.71	4.19	4.55	5.57	6.20	WRAP inventory VMT projections
Rail and Other	0.082	0.075	0.056	0.057	0.062	0.063	Historical trends and USDOE regional projections
<b>Industrial Processes</b>	<b>0.051</b>	<b>0.20</b>	<b>0.33</b>	<b>0.45</b>	<b>0.75</b>	<b>0.96</b>	
Limestone and Dolomite Use (CO <sub>2</sub> )	0.000	0.000	0.008	0.008	0.009	0.009	Alaska manufacturing employment growth
Soda Ash (CO <sub>2</sub> )	0.006	0.006	0.006	0.006	0.007	0.007	National projections for 2004-2009 (USGS)
ODS Substitutes (HFC, PFC)	0.001	0.17	0.30	0.42	0.72	0.94	EPA 2004 ODS cost study report
Electric Power T&D (SF <sub>6</sub> )	0.044	0.025	0.024	0.017	0.010	0.008	Based on national projections (USEPA)
<b>Waste Management</b>	<b>0.32</b>	<b>0.53</b>	<b>0.63</b>	<b>0.52</b>	<b>0.73</b>	<b>0.86</b>	
Solid Waste Management	0.26	0.46	0.56	0.45	0.65	0.78	Projected based on 1995-2005 trend
Wastewater Management	0.057	0.067	0.068	0.071	0.076	0.079	Projected based on population
<b>Agriculture</b>	<b>0.053</b>	<b>0.054</b>	<b>0.053</b>	<b>0.056</b>	<b>0.066</b>	<b>0.073</b>	
Manure Management	0.001	0.002	0.004	0.005	0.009	0.012	USDA livestock projections
Enteric Fermentation	0.013	0.015	0.020	0.023	0.029	0.034	USDA livestock projections
Agricultural Soils	0.039	0.037	0.030	0.029	0.028	0.028	Projected based on historical trend
<b>Gross Emissions (Consumption Basis)</b>	<b>39.0</b>	<b>46.1</b>	<b>50.6</b>	<b>53.5</b>	<b>60.2</b>	<b>62.7</b>	
increase relative to 1990		18%	30%	37%	55%	61%	
<b>Emissions Sinks</b>	<b>-0.3</b>	<b>-1.4</b>	<b>-1.4</b>	<b>-1.4</b>	<b>-1.4</b>	<b>-1.4</b>	
Forestry and Land Use	-0.3	-1.4	-1.4	-1.4	-1.4	-1.4	Projections held constant at 2000 level
<b>Net Emissions (Consumption Basis) (Including Forestry and Land Use Sinks)</b>	<b>38.7</b>	<b>44.7</b>	<b>49.2</b>	<b>52.1</b>	<b>58.8</b>	<b>61.3</b>	
increase relative to 1990		15%	27%	35%	52%	58%	

MMtCO<sub>2</sub>e = million metric tons of carbon dioxide equivalent; CH<sub>4</sub> = methane; CO<sub>2</sub> = carbon dioxide; N<sub>2</sub>O = nitrous oxide; ODS = ozone-depleting substance; HFC = hydrofluorocarbon; PFC = perfluorocarbon; SF<sub>6</sub> = sulfur hexafluoride; T&D = transmission and distribution.

<sup>a</sup> Totals may not equal exact sum of subtotals shown in this table due to independent rounding.

## Historical Emissions

### Overview

In 2005, activities in Alaska accounted for approximately 50.6 million metric tons (MMt) of *gross*<sup>9</sup> CO<sub>2</sub>e emissions, an amount equal to 0.7% of total U.S. gross GHG emissions. Alaska's gross GHG emissions grew at a faster rate than those of the nation as a whole (gross emissions exclude carbon sinks, such as forests). Alaska's gross GHG emissions increased 30% from 1990 to 2005, while national emissions rose by 16% during this period. The growth in Alaska's emissions from 1990 to 2005 is primarily associated with the transportation and the industrial sectors.

Figure 1 illustrates the State's emissions (metric tons) per capita and per dollar of economic output. On a per capita basis in 2005, Alaska activities emitted about 79 metric tons (Mt) of CO<sub>2</sub>e annually; significantly higher the national average of 24 MtCO<sub>2</sub>e. The higher per capita emission rates in Alaska are driven by emissions from the industrial fuel combustion and transportation sectors, which are much higher than the national average. Figure 1 also shows that per capita emissions have increased somewhat in Alaska through the 1995-2005 period. Like the nation as a whole, Alaska's economic growth exceeded emissions growth throughout the 1990-2005 period (leading to declining estimates of GHG emissions per unit of state product). From 1990 to 2005, emissions per unit of gross product dropped by 26% nationally, and by 17% in Alaska.<sup>10</sup>

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<sup>9</sup> Excluding GHG emissions removed due to forestry and other land uses and excluding GHG emissions associated with exported electricity.

<sup>10</sup> Based on real gross domestic product (millions of chained 2000 dollars) that excludes the effects of inflation, available from the US Bureau of Economic Analysis (<http://www.bea.gov/regional/gsp/>). The national emissions used for these comparisons are based on 2005 emissions from the 2008 version of EPA's GHG inventory report. (<http://www.epa.gov/climatechange/emissions/usinventoryreport.html>).

**Figure 1. Alaska and US Gross GHG Emissions, Per Capita and Per Unit Gross Product**

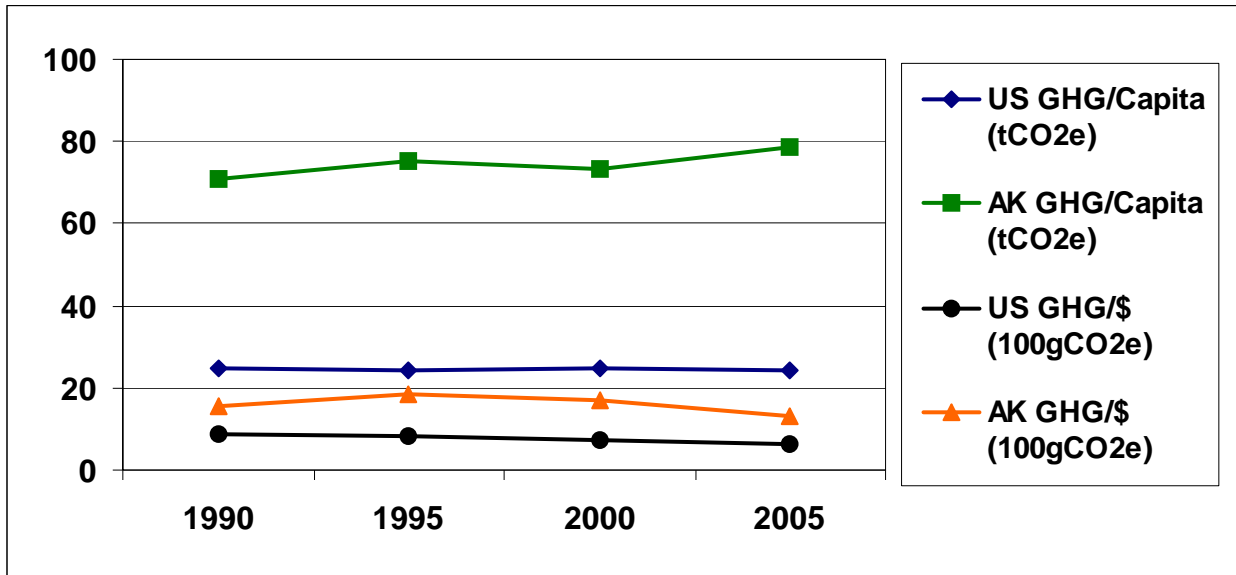
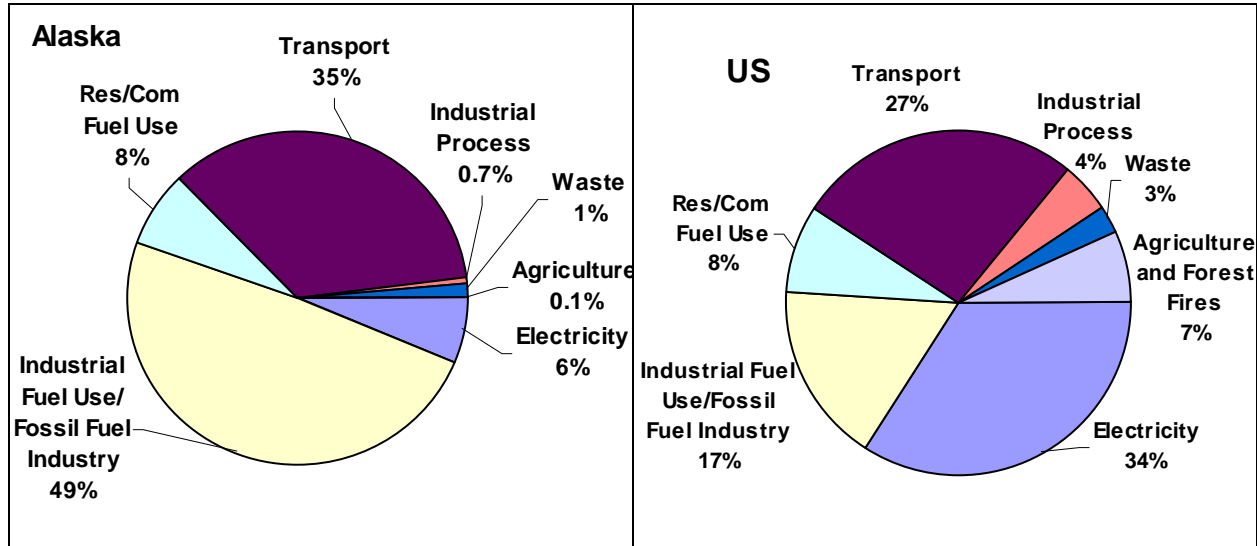


Figure 2 compares the contribution of gross GHG emissions by sector estimated for Alaska to emissions for the U.S. for year 2005. The principal sources of Alaska’s GHG emissions in 2005 are the industrial and transportation sectors, accounting for 49% and 35% of Alaska’s gross GHG emissions, respectively. The industrial sector includes fossil fuel combustion at industrial sites as well as fossil fuel industry emissions associated with natural gas production, processing, transmission and distribution (T&D), flaring, and pipeline fuel use, as well as with oil production and refining and coal mining emission releases. The next-largest contributor is the combustion of fossil fuel by the residential and commercial sectors, accounting for 8% of gross GHG emissions in 2005. Electricity production accounted for 6% of gross GHG emissions in 2005. The remaining sectors—agriculture, landfills and wastewater management facilities, and industrial processes—accounted for about 2% of the state’s emissions in 2005. Industrial process emissions comprised only 0.7% of state GHG emissions in 2005, but these emissions are rising due to the increasing use of HFCs as substitutes for ozone-depleting chlorofluorocarbons.<sup>11</sup> Other industrial process emissions result from CO<sub>2</sub> released during soda ash, limestone, and dolomite use. In addition, SF<sub>6</sub> is released due to the use of electric power T&D equipment.

Forestry activities in Alaska are estimated to be net sinks for GHG emissions. Forested lands are a net sink of about 1.4 MMtCO<sub>2</sub>e in 2005.

<sup>11</sup> Chlorofluorocarbons are also potent GHGs. However, they are not included in GHG estimates because of concerns related to implementation of the Montreal Protocol on Substances That Deplete the Ozone Layer. See Appendix J in the Final Inventory and Projections report for Alaska, available at [http://www.akclimatechange.us/Inventory\\_Forecast\\_Report.cfm](http://www.akclimatechange.us/Inventory_Forecast_Report.cfm).

**Figure 2. Gross GHG Emissions by Sector, Alaska and US – 2005 Data**



Notes: Res/Com = Residential and commercial fuel use sectors. Emissions for the residential and commercial fuel use sectors are associated with the direct use of fuels (natural gas, petroleum, coal, and wood) to provide space heating, water heating, process heating, cooking, and other energy end uses. The commercial sector accounts for emissions associated with the direct use of fuels by, for example, hospitals, schools, government buildings (local, county, and state), and other commercial establishments.

The industrial fuel use/fossil fuel industry sector accounts for direct fuel combustion in the industrial sector as well as fugitive methane that occurs from leaks and venting during the production, processing, transmission, and distribution of fossil fuels. The industrial processes sector accounts for emissions associated with manufacturing and excludes emissions included in the industrial fuel use/fossil fuel industry sector.

The transportation sector accounts for emissions associated with fuel consumption by all on-road and non-highway vehicles. Non-highway vehicles include jet aircraft, gasoline-fueled piston aircraft, railway locomotives, boats, and ships. Emissions from non-highway agricultural and construction equipment are included in the industrial sector.

Electricity = Electricity generation sector emissions on a consumption basis. In Alaska, the electricity consumed is assumed to be the same as the electricity produced in the state.

## A Closer Look at the Two Major Sources: Industrial Sector and Transportation

### *Industrial Sector*

As shown in Figure 2, the industrial sector, comprised of industrial fuel combustion as well as emissions associated with the production, processing, transmission, and distribution of fossil fuels, accounted for 49% of Alaska’s gross GHG emissions in 2005 (about 25 MMtCO<sub>2</sub>e), which was much higher than the national average share of emissions from the industrial sector (17%). Activities in the industrial<sup>12</sup> sector produce GHG emissions when fuels are combusted to provide space heating, process heating, and other applications. This sector also includes emissions released during the production, processing, transmission, and distribution of fossil fuels. Known as fugitive emissions, these are methane and carbon dioxide gases released via leakage and venting at coal mines, oil and gas fields, processing facilities, and pipelines. A majority of the industrial sector emissions resulted from the use of natural gas and the natural gas industry (19.2

<sup>12</sup> The industrial sector includes emissions associated with agricultural energy use and fuel used by the fossil fuel production industry.

MMtCO<sub>2</sub>e). Industrial oil combustion and the oil industry together contributed 5.6 MMtCO<sub>2</sub>e of GHG emissions in 2005. An insignificant amount of the industrial sector emissions was contributed by coal use and coal mining. GHG emissions for the industrial sector (excluding those associated with electricity consumption) are expected to increase by 28% between 2005 and 2025, reaching 31.6 MMtCO<sub>2</sub>e by 2025.<sup>13</sup>

### ***Transportation Sector***

The transportation sector accounted for 35% (17.8 MMtCO<sub>2</sub>e) of Alaska's gross GHG emissions in 2005. Emissions are projected to increase to 21.1 MMtCO<sub>2</sub>e (34% of gross GHG emissions) in 2025. Jet fuel consumption accounts for the largest share of transportation GHG emissions. Emissions from jet fuel consumption increased by about 84% from 1990 to 2005 to account for 72% of total transportation emissions in 2005. Emissions from onroad gasoline grew by 15% between 1990 and 2005 and onroad diesel grew by 37% during this period. In 2005, onroad gasoline and diesel accounted for 14% and 10% of total transportation emissions, respectively. GHG emissions from marine fuel consumption decreased by 44% from 1990 to 2005, and in 2005 accounted for 3% of GHG emissions from the transportation sector. Emissions from all other categories combined (aviation gasoline, locomotives, natural gas and LPG, and oxidation of lubricants) contributed slightly over 0.3% of total transportation emissions in 2005.

From 2005 to 2025, emissions from transportation fuels are projected to rise by 0.85% per year. This leads to an increase of 3.3 MMtCO<sub>2</sub>e in transportation emissions from 2005 to 2025, for a total of 21.1 MMtCO<sub>2</sub>e in 2025. The largest percentage increase in emissions over this time period is seen in onroad diesel fuel consumption, which is projected to increase by 92% from 2005 to 2025.

It is important to note that the jet fuel emissions include fuel that is purchased in-state but is not necessarily consumed within Alaska's airspace. This accounting issue is also present in the inventories of other states prepared by CCS, where international passenger and cargo transportation emissions are concerned. On the other hand, fuel purchased outside of the state for aircraft that enter the state are not included in the emission estimates presented in this report. The size of the contribution from the transportation - aviation sector shown in Figure 3 reflects the importance of this industry in Alaska.

### **Reference Case Projections (Business as Usual)**

Relying on a variety of sources for projections, as noted below and in the appendices, we developed a simple reference case projection of GHG emissions through 2025. Figure 3 provides both the historical and projected gross emission estimates for all source sectors. Figure 4 is a chart showing the contribution for each sector to emissions growth both historically (1990-2005) and for the reference case forecast (2005-2025). As illustrated in Figure 3 and shown

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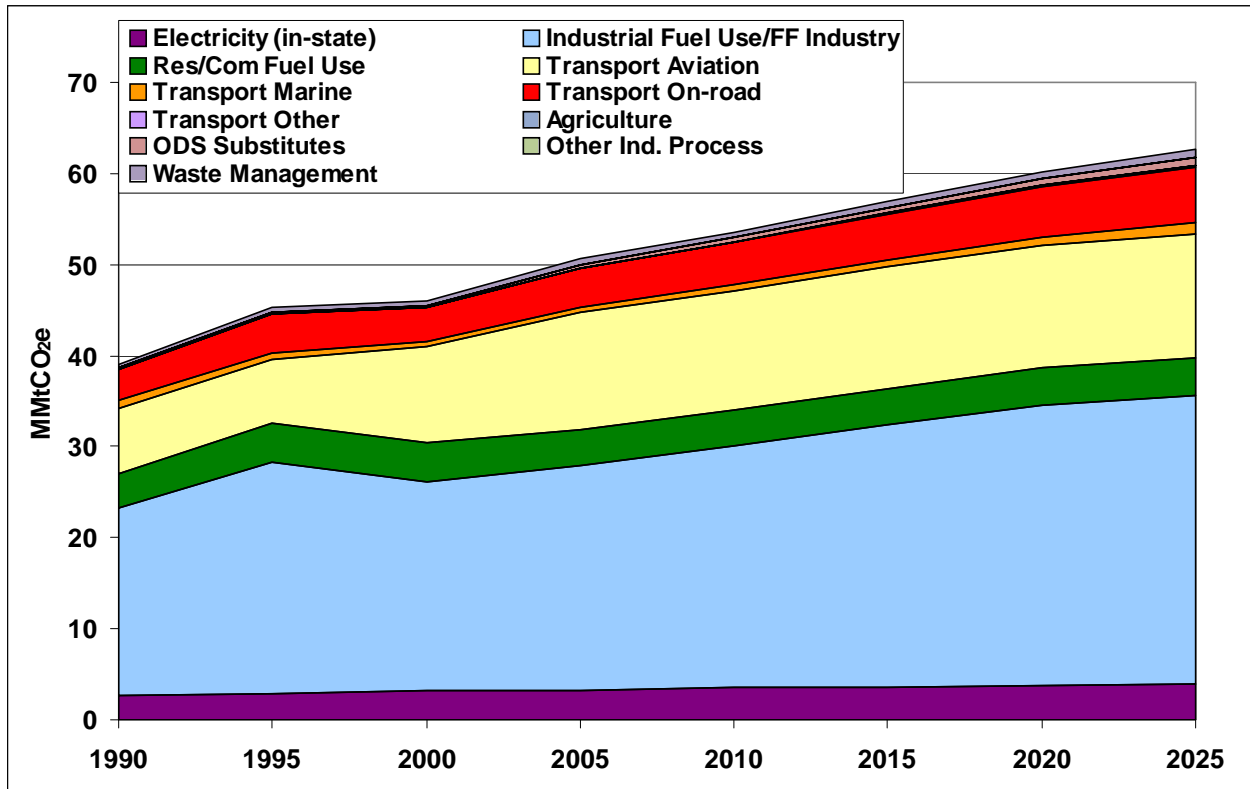
<sup>13</sup> See Appendix B for more details. Given the forecasted decline in non-combustion emissions for the fossil fuel industry, the increase in the industrial fossil fuel consumption seems odd; however, DEC contacts indicate that natural gas combustion is expected to increase significantly in future years, since more fuel is consumed to extract oil and gas as the production in existing fields declines. This is an area that should be investigated further during future work. The industrial fossil fuel consumption projections are based on the regional Energy Information Administration *Annual Energy Outlook 2006* forecast data for the Pacific Region ([http://www.scag.ca.gov/rcp/pdf/publications/1\\_2006AnnualEnergyOutlook.pdf](http://www.scag.ca.gov/rcp/pdf/publications/1_2006AnnualEnergyOutlook.pdf)).

numerically in Table 1, under the reference case projections, Alaska gross GHG emissions continue to grow steadily, climbing to 62.7 MMtCO<sub>2</sub>e by 2025, 61% above 1990 levels. This equates to an annual growth rate of 1.1% per year from 2005 to 2025. Relative to 2005, the share of emissions associated with the industrial sector, industrial processes, and waste management all increase slightly to 50%, 1.5%, and 1.4%, respectively, in 2025. The shares of emissions from the transportation and residential and commercial fuel use sectors both decrease slightly by 2025, relative to 2005, to 34% and 6%, respectively. The share of emissions from the electricity and the agricultural sectors both remain the same in 2025 as their shares in 2005.

As shown in Figure 4, both the industrial and transportation sectors are important contributors to emissions growth, both historically and in the future projected emissions. Emissions associated with the industrial sector are projected to be the largest contributor to future GHG emissions growth, with a total increase in GHG emissions from 2005 to 2025 of 7.0 MMtCO<sub>2</sub>e, as shown in Figure 4. The next-largest source of emissions growth in this time period is the transportation sector, with an increase of 3.3 MMtCO<sub>2</sub>e. Other sources of future emissions growth include the electricity production, ozone-depleting substance substitutes, waste management, residential and commercial fuel use, and agriculture sectors. Details on the assumptions used to estimate future GHG emissions are provided in the applicable technical appendices to this report.

Table 2 summarizes the growth rates that drive the growth in the Alaska reference case projections as well as the sources of these data.

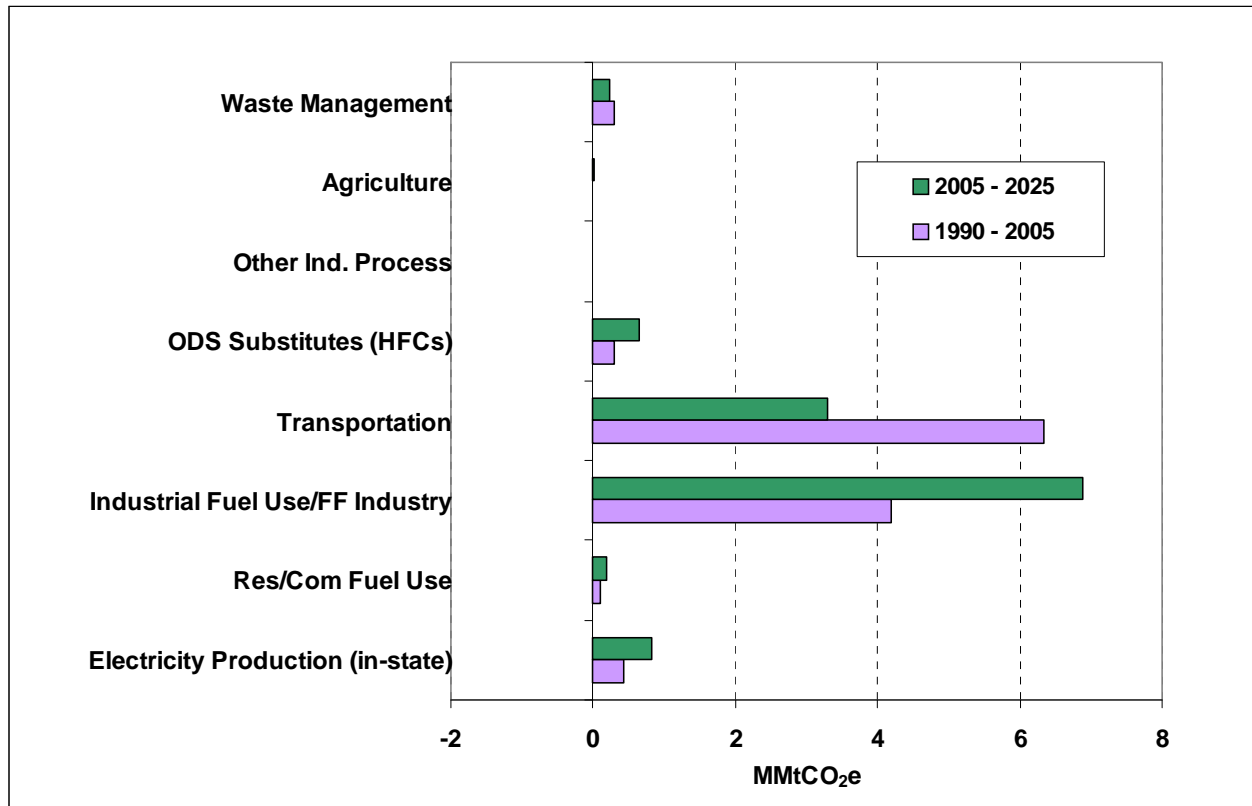
Figure 3. Alaska Gross GHG Emissions by Sector, 1990-2025: Historical and Projected



GHG = greenhouse gas; MMtCO<sub>2e</sub> = million metric tons of carbon dioxide equivalent; FF=fossil fuel; Res/Com = direct fuel use in the residential and commercial sectors; ODS = ozone-depleting substance; Ind. = industrial. The Industrial Fuel Use/FF Industry category accounts for direct fuel combustion in the industrial sector as well as fugitive methane that occurs from leaks and venting during the production, processing, transmission, and distribution of fossil fuels.



**Figure 4. Sector Contributions to Emissions Growth in Alaska, 1990-2025: Historical and Reference Case Projections**



MMtCO<sub>2</sub>e = million metric tons of carbon dioxide equivalent; Ind. = industrial; ODS = ozone-depleting substance; HFCs = hydrofluorocarbons; FF= fossil fuel; Res/Com = residential and commercial sectors.

**Table 2. Key Annual Growth Rates for Alaska, Historical and Projected**

Key Parameter	1990-2005	2005-2025	Sources
Population	1.0%	0.6%	Alaska Department of Labor and Workforce Development
Employment Goods Services	2.1% 1.7%	0.9% 1.1%	Alaska Department of Labor and Workforce Development, 2004-2014 Forecast trend assumed to continue through 2025
Electricity Sales	2.2%	0.8%	Historic rates are from EIA data, projections are CCS assumptions as described in Appendix A.
Vehicle Miles Traveled	1.7%	1.3%	Alaska Department of Transportation and Public Facilities, Western Region Air Partnership (WRAP) Mobile Source Inventory

\* Population and employment projections for Alaska were used together with the U.S. Department of Energy's Energy Information Administration *Annual Energy Outlook 2006* projections of changes in fuel use per capita and per employee, as relevant for each sector ([http://www.scag.ca.gov/rcp/pdf/publications/1\\_2006AnnualEnergyOutlook.pdf](http://www.scag.ca.gov/rcp/pdf/publications/1_2006AnnualEnergyOutlook.pdf)). For instance, growth in Alaska's residential natural gas use is calculated as the Alaska population growth times the change in per-capita natural gas use for the Pacific region.

EIA = Energy Information Administration; CCS = Center for Climate Strategies.

## **Mitigation Advisory Group Revisions**

Following are the revisions that the MAG made to the inventory and reference case projections, thus explaining the differences between this final Inventory and Projections report and the initial assessment completed in July 2007:

### ***All Sectors***

The initial assessment included GHG emission projections to 2020. This was revised to extend the GHG projections to 2025 for all sectors.

### ***Electric Supply***

The Energy Supply and Demand TWG generated forecasts for RCI fuel and electricity consumption for the purposes of deriving sub-sector emission reductions from various policies. Historical RCI uses, growing at regional rates, were used to estimate future non-oil and gas use. Electricity-sector emissions were designed to be consistent with the current fuel mix in Alaska, as well as specific expected changes in the fuel mix based on expert opinion in the TWG. It is expected that, in absence of new infrastructure, new demand in the future would be met through petroleum combustion. The 60-megawatt Healy Clean Coal Project is expected to be brought on line in 2013 (displacing natural gas), and Fairbanks is expected to obtain natural gas delivery service by 2019 (displacing petroleum consumption), according to panel experts.

### ***Transportation***

The Transportation and Land Use TWG recommended that the marine emissions inventory exclude emissions from vessels that pass through Alaskan waters but do not call on Alaskan ports. This approach is consistent with the treatment of aviation emissions, which exclude emissions from aircraft that pass through Alaskan airspace but do not stop in Alaska. It was estimated that the offshore marine emissions previously calculated consisted largely of emissions from vessels that do not call on Alaska ports. Approximately 1%–2% of ships passing through Alaska's Exclusive Economic Zone, which extends 200 miles from the shore, actually stop at an Alaska port. In addition, some of those offshore emissions are already accounted for in the nearshore emissions component. As a result, the offshore emissions have been removed from the GHG I&F. Historical fuel consumption data and vehicle miles traveled through 2005 were added, where available. In addition, several minor errors were corrected, including the baseline on-road fuel economy values.

### ***Waste Management***

The Forestry, Agriculture, and Waste Management (FAW) TWG recommended that open-burning emissions be assumed to occur based on 50% of all waste received at Class III landfills. In addition, open-burning emissions were removed from the controlled burning category. Controlled burning was then updated based on input from DEC. The 2005 and future year emission totals for the controlled burning category were also adjusted to account for the fact that Juneau no longer used controlled burning as a waste management practice. For municipal solid waste (MSW) landfills, the total tonnage disposed of in Class II and Class III landfills was adjusted based on the population of the areas served by those landfills and an assumed 5.9 pounds MSW/person/day. The initial I&F overestimated the number of Class III landfills by 78

and the number of Class II landfills by 36. The allocation of potential landfill gas emissions among uncontrolled, flared, and landfill-gas-to-energy (LFGTE) landfills was adjusted, based on TWG input that the Anchorage and Juneau landfills began flaring in 2006 and 2008, respectively, and the Anchorage Regional Landfill will begin an LFGTE project in 2015. All revised landfill data were provided by members of the FAW TWG.

## Reference Case Projections with Recent Actions<sup>14</sup>

The federal Energy Independence and Security Act (EISA) of 2007 was signed into law in December 2007. This federal law contains several requirements that will reduce GHG emissions as they are implemented over the next few years. During the MAG process, sufficient information was identified (e.g., implementation schedules) to estimate GHG emission reductions associated with implementing the Corporate Average Fuel Economy (CAFE) requirements in Alaska.

The MAG also identified recent actions that Alaska has undertaken to control GHG emissions while at the same time conserving energy. One recent action related to weatherization bonding was identified for which data were available to estimate the emission reductions of the action relative to the business-as-usual reference case projections.

The GHG emission reductions projected to be achieved by these recent State and Federal actions are summarized in Table 3. This table shows a total reduction of about 0.7 MMtCO<sub>2</sub>e in 2025 from the business-as-usual reference case emissions, or a 1.1% reduction from the business-as-usual emissions in 2025 for all sectors combined.

The following provides a brief summary of the component of the EISA that was analyzed as a recent federal action.

**Federal Corporate Average Fuel Economy Requirements:** Subtitle A of Title I of EISA imposes new CAFE standards beginning with the 2011 model year vehicles. The average combined fuel economy of automobiles will be at least 35 mpg by 2020, with separate standards applying to passenger and non-passenger automobiles. The standard will be phased in, starting with the 2011 model year, so that the CAFE increases each year until the average fuel economy of 35 mpg is reached by 2020.

The following provides a brief summary of the Alaska recent action.

**Weatherization Bonding:** Weatherization bonding reduced emissions relative to the BAU reference case projections slightly. This program is only funded from 2010 to 2014, and would account for a reduction of about 0.07 MMtCO<sub>2</sub>e in 2010. Future reductions were not quantifiable, since the program would be terminated after 2014.

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<sup>14</sup> Note that actions recently adopted by the state of Alaska have also been referred to as “existing” actions.

**Table 3. Emission Reduction Estimates Associated with the Effect of Recent Actions in Alaska (Consumption-Basis, Gross Emissions)**

Sector/Recent Action	GHG Reductions (MMtCO <sub>2</sub> e)		GHG Emissions (MMtCO <sub>2</sub> e)	
			Business as Usual	With Recent Actions
	2015	2025	2025	2025
Residential/Commercial/Industrial (RCI) Fuel Use/Fossil Fuel Industry				
Weatherization Bonding	0	0	35.7	35.7
Transportation and Land Use (TLU)				
Federal Corporate Average Fuel Economy (CAFE) Requirements	0.22	0.73	21.1	20.4
<b>Total (RCI + TLU Sectors)</b>			<b>56.8</b>	<b>56.1</b>
<b>Alaska Total (All Sectors)</b>			<b>62.7</b>	<b>62.0</b>

MMtCO<sub>2</sub>e = million metric tons of carbon dioxide equivalent.

### Key Uncertainties and Next Steps

Some data gaps exist in this inventory, and particularly in the reference case projections. Key tasks that should be performed in future updates include review and revision of key drivers, such as the industrial and transportation fuel use growth rates that will be major determinants of Alaska’s future GHG emissions (See Table 2). These growth rates are driven by uncertain economic, industrial, demographic, and land use trends (including growth patterns and transportation system impacts), all of which deserve closer review and discussion.

Perhaps the variables with the most important implications for the State’s GHG emissions are the assumptions on air travel and industrial sector growth. Finally, uncertainty remains regarding the estimates for historic GHG sinks from forestry, and projections for these emissions may affect the net GHG emissions in Alaska.

Emissions of aerosols, particularly black carbon from fossil fuel combustion, could have significant impacts in terms of radiative forcing (that is, climate impacts). Methodologies for conversion of black carbon mass estimates and projections to global warming potential involve significant uncertainty at present, but CCS has developed and used a recommended approach for estimating black carbon emissions based on methods used in other States. Current estimates suggest a 6% CO<sub>2</sub>e contribution overall from BC emissions, as compared to the CO<sub>2</sub>e contributed from the gases (see Appendix I).

## Approach

The principal goal of compiling the inventories and reference case projections presented in this document is to provide the State, with a general understanding of Alaska's historical, current, and projected (expected) GHG emissions. The following explains the general methodology and the general principles and guidelines followed during development of these GHG inventories for Alaska.

### General Methodology

CCS prepared this analysis in close consultation with Alaska agencies, in particular, with the DEC staff. The overall goal of this effort is to provide simple and straightforward estimates, with an emphasis on robustness, consistency and transparency. As a result, we rely on reference forecasts from best available state and regional sources where possible. Where reliable forecasts are lacking, we use straightforward spreadsheet analysis and linear extrapolations of historical trends rather than complex modeling.

In most cases, we follow the same approach to emissions accounting for historical inventories used by the US EPA in its national GHG emissions inventory<sup>15</sup> and its guidelines for States.<sup>16</sup> These inventory guidelines were developed based on the guidelines from the Intergovernmental Panel on Climate Change, the international organization responsible for developing coordinated methods for national GHG inventories.<sup>17</sup> The inventory methods provide flexibility to account for local conditions. The key sources of activity and projection data are shown in Table 4. Table 4 also provides the descriptions of the data provided by each source and the uses of each data set in this analysis.

### General Principles and Guidelines

A key part of this effort involves the establishment and use of a set of generally accepted accounting principles for evaluation of historical and projected GHG emissions, as follows:

- **Transparency:** We report data sources, methods, and key assumptions to allow open review and opportunities for additional revisions later based on input from others. In addition, we will report key uncertainties where they exist.
- **Consistency:** To the extent possible, the inventory and projections were designed to be externally consistent with current or likely future systems for state and national GHG emission reporting. We have used the EPA tools for state inventories and projections as a starting point. These initial estimates were then augmented and/or revised as needed to conform with state-based inventory and base-case projection needs. For consistency in

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<sup>15</sup> *Inventory of US Greenhouse Gas Emissions and Sinks: 1990-2006*, April 15, 2008, US EPA #430-R-08-005, (<http://www.epa.gov/climatechange/emissions/usinventoryreport.html>).

<sup>16</sup> <http://yosemite.epa.gov/oar/globalwarming.nsf/content/EmissionsStateInventoryGuidance.html>.

<sup>17</sup> <http://www.ipcc-nggip.iges.or.jp/public/gl/invs1.htm>.

making reference case projections<sup>18</sup>, we define reference case actions for the purposes of projections as those *currently in place or reasonably expected over the time period of analysis*.

- **Priority of Existing State and Local Data Sources:** In gathering data and in cases where data sources conflicted, we placed highest priority on local and state data and analyses, followed by regional sources, with national data or simplified assumptions such as constant linear extrapolation of trends used as defaults where necessary.
- **Priority of Significant Emissions Sources:** In general, activities with relatively small emissions levels may not be reported with the same level of detail as other activities.
- **Comprehensive Coverage of Gases, Sectors, State Activities, and Time Periods.** This analysis aims to comprehensively cover GHG emissions associated with activities in Alaska. It covers all six GHGs covered by U.S. and other national inventories: CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O, SF<sub>6</sub>, HFCs, and PFCs and black carbon. The inventory estimates are for the year 1990, with subsequent years included up to most recently available data (typically 2002 to 2005), with projections to 2010, 2020, and 2025.
- **Use of Consumption-Based Emissions Estimates:** To the extent possible, we estimated emissions that are caused by activities that occur in Alaska. For example, we reported emissions associated with the electricity consumed in Alaska. The rationale for this method of reporting is that it can more accurately reflect the impact of State-based policy strategies such as energy efficiency on overall GHG emissions, and it resolves double counting and exclusion problems with multi-emissions issues. This approach can differ from how inventories are compiled, for example, on an in-state production basis, in particular for electricity. *As mentioned previously, since there are no significant electricity imports to or exports from Alaska, the production-based estimates are the same as the consumption-based estimates.*

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<sup>18</sup> “Reference case” refers to a projection of the current or “base year” inventory to one or more future years under business-as-usual forecast conditions (for example, existing control programs and economic growth).

**Table 4. Key Sources for Alaska Data, Inventory Methods, and Growth Rates**

Source	Information provided	Use of Information in this Analysis
<b>US EPA State Greenhouse Gas Inventory Tool (SIT)</b>	US EPA SIT is a collection of linked spreadsheets designed to help users develop State GHG inventories. US EPA SIT contains default data for each State for most of the information required for an inventory. The SIT methods are based on the methods provided in the Volume 8 document series published by the Emissions Inventory Improvement Program ( <a href="http://www.epa.gov/ttn/chief/eiip/techreport/volume08/index.html">http://www.epa.gov/ttn/chief/eiip/techreport/volume08/index.html</a> )	Where not indicated otherwise, SIT is used to calculate emissions from residential/commercial/industrial fuel combustion, industrial processes, transportation, agriculture and forestry, and waste. We use SIT emission factors (CO <sub>2</sub> , CH <sub>4</sub> and N <sub>2</sub> O per British thermal unit (Btu) consumed) to calculate energy use emissions.
<b>US DOE Energy Information Administration (EIA) State Energy Data (SED)</b>	EIA SED source provides energy use data in each State, annually to various historical years (2002-2005).	EIA SED is the source for most energy use data. Emission factors from US EPA SIT are used to calculate energy-related emissions.
<b>US DOE Energy Information Administration Annual Energy Outlook 2006 (AEO2006)</b>	EIA AEO2006 projects energy supply and demand for the US from 2005 to 2030. Energy consumption is estimated on a regional basis. Alaska is included in the Pacific Census region (AK, CA, HI, OR, and WA)	EIA AEO2006 is used to project changes in per capita (residential) and per employee (commercial/industrial) energy consumption
<b>US DOE Energy Information Administration Annual Energy Outlook 2009 (AEO2009)</b>	EIA AEO2009 provides estimates of historical and projected electricity generation and consumption of electricity by end use sector.	EIA 2009 is used to project electricity generation for Alaska
<b>American Gas Association – Gas Facts</b>	Natural gas transmission and distribution pipeline mileage.	Pipeline mileage from Gas Facts used with SGIT to estimate natural gas transmission and distribution emissions.
<b>US EPA Landfill Methane Outreach Program (LMOP)</b>	LMOP provides landfill waste-in-place data.	Waste-in-place data used to estimate annual disposal rate, which was used with SGIT to estimate emissions from solid waste, with additional data from ADEC staff.
<b>US Forest Service</b>	Data on forest carbon stocks for multiple years.	Data are used to calculate CO <sub>2</sub> flux over time (terrestrial CO <sub>2</sub> sequestration in forested areas).
<b>USDS National Agricultural Statistics Service (NASS)</b>	USDA NASS provides data on crops and livestock.	Crop production data used to estimate agricultural residue and agricultural soils emissions; livestock population data used to estimate manure and enteric fermentation emissions



If DEC decides to refine this analysis, they may also consider estimating other sectoral emissions on a consumption basis, such as accounting for emissions from combustion of transportation fuel used in Alaska, but purchased out-of-state. In some cases this can require venturing into the relatively complex terrain of life-cycle analysis. In general, CCS recommends considering a consumption-based approach where it will significantly improve the estimation of the emissions impact of potential mitigation strategies. [For example re-use, recycling, and source reduction can lead to emission reductions resulting from lower energy requirements for material production (such as paper, cardboard, and aluminum), even though production of those materials, and emissions associated with materials production, may not occur within the State.]

Details on the methods and data sources used to construct the inventories and forecasts for each source sector are provided in the following appendices:

- Appendix A. Electricity Use and Supply.
- Appendix B. Residential, Commercial, and Industrial Fossil Fuel Combustion and Fossil Fuel Industries.
- Appendix C. Transportation Energy Use.
- Appendix D. Industrial Processes.
- Appendix E. Agriculture.
- Appendix F. Waste Management.
- Appendix G. Forestry.

Appendix H contains a discussion of the inventory and forecast for black carbon. Appendix I provides additional background information from the US EPA on greenhouse gases and global warming potential value.



## Appendix A. Electricity

This Appendix describes Alaska's electricity sector and the historical greenhouse gas (GHG) emissions associated with this sector from 1990 to the present. The assumptions used to develop the reference case projections are also described and the resulting GHG emissions are summarized.

As noted in the main report, a key question for many States to consider when developing GHG inventories is how to treat GHG emissions that result from generation of electricity that is produced outside the State to meet electricity needs in the State – or the opposite case of electricity produced in the State to provide electricity for customers in other states. In other words, should the State consider the GHG emissions associated with the State's electricity consumption, with its electricity production, or with some combination of the two? This issue is not as important for Alaska, since its electric sector is stand-alone. All emissions presented here are consumption-based. However, in the case of Alaska, production-based emissions would be equivalent to these consumption-based estimates.

### Electricity Consumption

At about 8,800 kilowatt-hours (kWh) per capita per year based on 2004 data, Alaska has relatively low electricity consumption for its population. By way of comparison, the per capita consumption for the U.S. was about 12,000 kWh per year.<sup>19</sup> Many factors influence a state's per capita electricity consumption, including the impact of weather on demand for cooling and heating, the size and type of industries in the State, and the type and efficiency of equipment in use in the residential, commercial and industrial sectors.

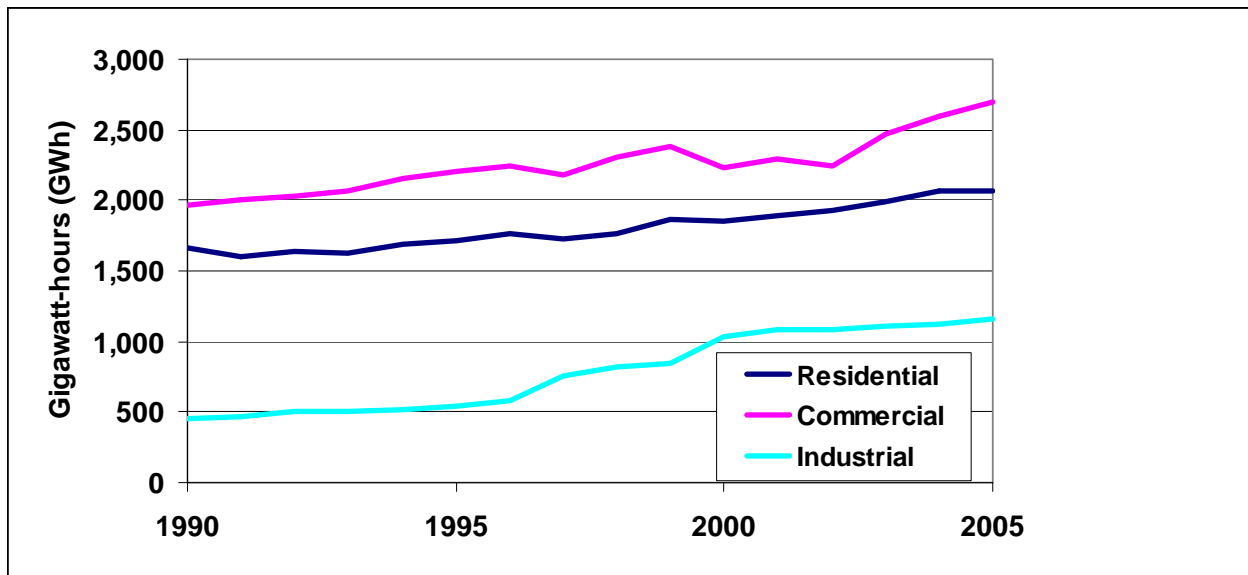
As shown in Figure A1, electricity sales in Alaska's residential and commercial sectors have generally increased modestly from 1990 through 2005. The industrial sector electricity sales are characterized by strong growth from 1997 to 2000, but limited growth in other time periods. Overall, total electricity consumption increased at an average annual rate of 2.2 percent from 1990 to 2005, which can be compared with the average population growth rate of 1.0 percent per year and gross state product increases averaging about 3.1 percent per year over the same period.<sup>20</sup>

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<sup>19</sup> US Census Bureau for US population, Energy Information Administration for electricity sales.

<sup>20</sup> Population from Alaska Department of Labor and Workforce Development, "Workforce Information," Home (<http://almis.labor.state.ak.us/>), Population & Census, Estimates & Projections, Population Data Tables "Alaska Population Estimates 2000-2005,". Gross State Production from Bureau of Economic Analysis. <http://bea.gov/bea/newsrelarchive/2006/gsp1006.xls>.

**Figure A1. Electricity Consumption by Sector in Alaska, 1990-2005<sup>21</sup>**



Source: EIA State Energy Data (1990-2002) and EIA Electric Power Annual (2003-2005).

### **Electricity Generation – Alaska’s Power Plants**

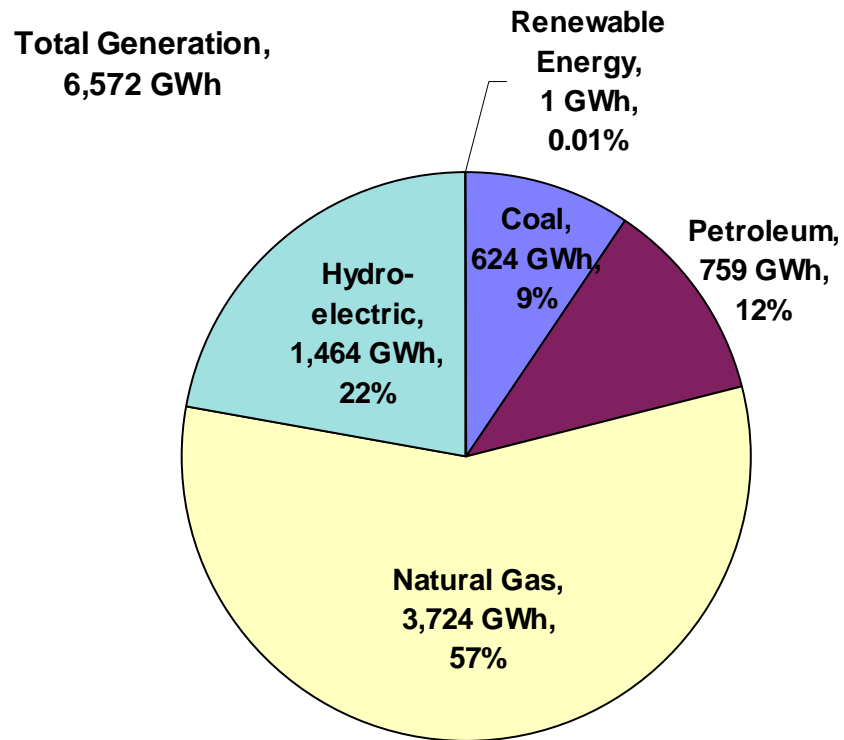
The following section provides information on GHG emissions and other activity associated with power plants in Alaska. As displayed in Figure A2, natural gas figures prominently in electricity generation and accounts for 67 percent of the GHG emissions from power plants in Alaska in 2005. Hydroelectric and petroleum-fired plants also provided significant electricity generation.

As discussed above, we assumed that Alaska electricity consumption is exclusively served by in-state Alaska generation. Generation is assumed to exceed consumption by 7%, accounting for losses from transmission and distribution. The historical fuel mixes for current and historical Alaska RCI electric sector consumption were derived from the EIA Electric Power Monthly publication.<sup>22</sup>

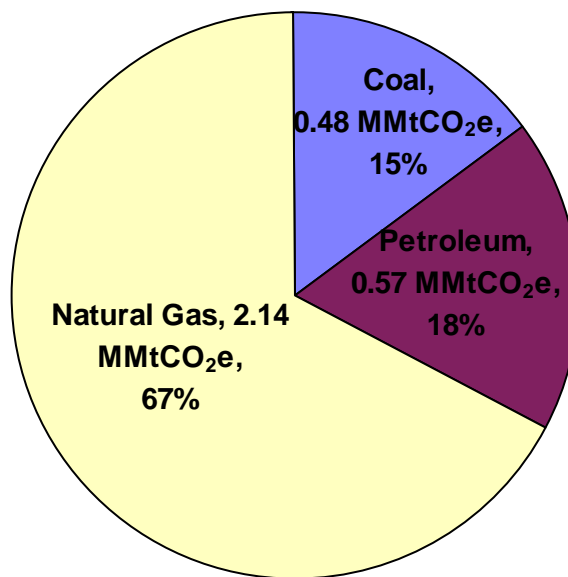
<sup>21</sup> Note from 1990-2002, the EIA data includes a category referred to as “other,” which included lighting for public buildings, streets, and highways, interdepartmental sales, and other sales to public authorities, agricultural and irrigation sales where separately identified, electrified rail and various urban transit systems (such as automated guideway, trolley, and cable). To report total electricity in Figure A1, the sales from the “other” category are included with the commercial sector. The decision to include these with commercial rather than the other sectors is based on comparing the trends of electricity sales from 2000-2002 with 2003 sales.

<sup>22</sup> Electric Power Monthly, available online at [http://www.eia.doe.gov/cneaf/electricity/epm/epm\\_sum.html](http://www.eia.doe.gov/cneaf/electricity/epm/epm_sum.html)

Figure A2. Electricity Generation and CO2 Emissions from Alaska Power Plants, 2005



**Total GHG Emissions, 3.18 MMtCO<sub>2</sub>e**



Source: Generation data from EIA Electric Power Annual 2007, GHG emissions calculated from EIA data on fuel consumption and eGRID 2005 emission factors.

Table A1 shows the growth in generation by fuel type between 1990 and 2006 from power plants in Alaska. Overall generation grew by 19% percent over the 16 year period. Petroleum-fired generation has had particularly strong growth, more than doubling between 1990 and 2005. Hydroelectric generation also grew significantly during this period. Natural gas-fired generation grew more slowly but remains the dominant source of electricity in Alaska.

**Table A1. Growth in Electricity Generation in Alaska 1990-2006**

Fuel Type	Generation (GWh)		Growth
	1990	2006	
Coal	511	617	21%
Petroleum	497	768	54%
Natural Gas	3,466	4,058	17%
Hydroelectric	975	1,224	26%
Renewable Energy	151	1	-99%
<b>Total</b>	<b>5,600</b>	<b>6,668</b>	<b>19%</b>

Source: EIA Electric Power Annual 2007

Emission rates by fuel type were based on rates from existing generators in Alaska, as obtained from eGRID (2005).<sup>23</sup> Emission rates estimated for carbon dioxide (CO<sub>2</sub>), nitrous oxide (N<sub>2</sub>O), and methane (CH<sub>4</sub>) are shown in Table A2. These rates were applied to calculate both historical and future emissions. GHG emission estimates were calculated by multiplying the energy consumption by the GHG emission factors for each type of fuel consumed.

**Table A2. Emissions Rates for Electric Generators in AK (lbs/MWh), based on 2005 eGRID.**

Fuel Type	Emission Rate (lbs/MWh)		
	CO <sub>2</sub>	N <sub>2</sub> O	CH <sub>4</sub>
<b>Coal</b>	1,697	0.0304	0.0212
<b>Petroleum</b>	1,647	0.0143	0.0713
<b>Natural Gas</b>	1,269	0.0025	0.0252

Source: eGRID 2005 (see reference 7)

<sup>23</sup> US Environmental Protection Agency, 2005. eGRID 2007 (2005 data). Available online at <http://www.epa.gov/cleanenergy/energy-resources/egrid/index.html>

## Future Generation Growth

In Alaska, more than 70 different entities provide electricity to consumers. In 2004, the State had 21 Investor-owned utilities, 34 public entities and 18 electric co-operatives. These entities are not required to submit planning reports to the Regulatory Commission of Alaska, or to any other source. Collecting information from each utility was beyond the resources of this project, and may not even be feasible since many utilities are unlikely to have such plans. Other potential sources for electricity sales projections, such as the Institute of Social and Economic Research (ISER) at the University of Alaska and the documents from the Alaska Energy Task Force, had not completed state-wide projections recently.<sup>24</sup> Representatives from both ISER and the Alaska Energy Authority suggested future growth is likely to follow historic trends.<sup>25</sup>

Based on input from the Alaska Climate Change Mitigation Action Group's Energy Supply and Demand (ESD) technical working group, forecasts of electricity generation in Alaska were developed based on (1) historical energy consumption data and (2) growth rates of energy consumption available in the U.S. DOE's Annual Energy Outlook (AEO) 2009.<sup>26</sup> The data sources for the historical energy consumption data are gathered from the Energy Information Administration (EIA) for electricity.<sup>27</sup>

To forecast electricity consumption through 2025, growth rates from the Pacific Region of AEO 2009 were applied to the historical electricity consumption in order to forecast energy consumption, as shown in Table A3. The growth rates were broken down into four time periods so as to provide a level of granularity showing recent economic changes.

**Table A3. Annual Growth Rates in Electricity Consumption by End Use and Time Period**

End Use	2007-2010	2010-2015	2015-2020	2020-2025
Residential Electricity	0.09%	-0.61%	0.62%	0.83%
Commercial Electricity	1.20%	1.51%	1.56%	1.32%
Industrial Electricity	0.16%	0.98%	0.24%	0.42%

Source: AEO2009, Pacific Region data

## Future Generation Mix

The future mix of plants in Alaska remains uncertain as the trends in type of new builds are influenced by many factors. Recently, new power plants in Alaska have been a mix of wind, geothermal, hydroelectric and naphtha. Given the many factors affecting electricity-related emissions and a diversity of assumptions by stakeholders within the electricity sector, developing a "reference case" projection for the most likely development of Alaska's electricity sector is particularly challenging. Future changes in the fuel mix for this reference case forecast were

<sup>24</sup> Email from Scott Goldsmith, ISER, January 10, 2007.

<sup>25</sup> Email from Scott Goldsmith, ISER, January 10, 2007, personal communication with Peter Crimp, Alaska Energy Authority, January 16, 2007. Also, personal communication, Mark Foster, Mark A Foster & Associates (MAFA).

<sup>26</sup> U.S. EIA AEO2009 with Projections to 2030, (<http://www.eia.doe.gov/oiaf/aeo/index.html>).

<sup>27</sup> "Current and Historical Monthly Retail Sales, Revenues and Average Revenue per Kilowatthour by State and by Sector (Form EIA-826)", available at [http://www.eia.doe.gov/cneaf/electricity/page/sales\\_revenue.xls](http://www.eia.doe.gov/cneaf/electricity/page/sales_revenue.xls)

derived from expert advice from the ESD technical working group members. Major assumptions that were incorporated into the reference case projections included the following:

- No substantial increases will occur from the baseline hydroelectric generation;
- Healy Clean Coal Project (HCCP) will be brought online in 2010, achieving full operational capacity by 2013; the plant would displace natural gas consumption on the railbelt.<sup>28</sup>
- Approximately 60 MW of natural gas capacity will become available in Fairbanks in 2019, displacing petroleum consumption.<sup>29</sup>
- All additional required energy needs will be met with petroleum.
- The eGRID emission rates that were applied for the historical emissions calculations were also applied in the reference case projections.

### **Summary of Reference Case Projections**

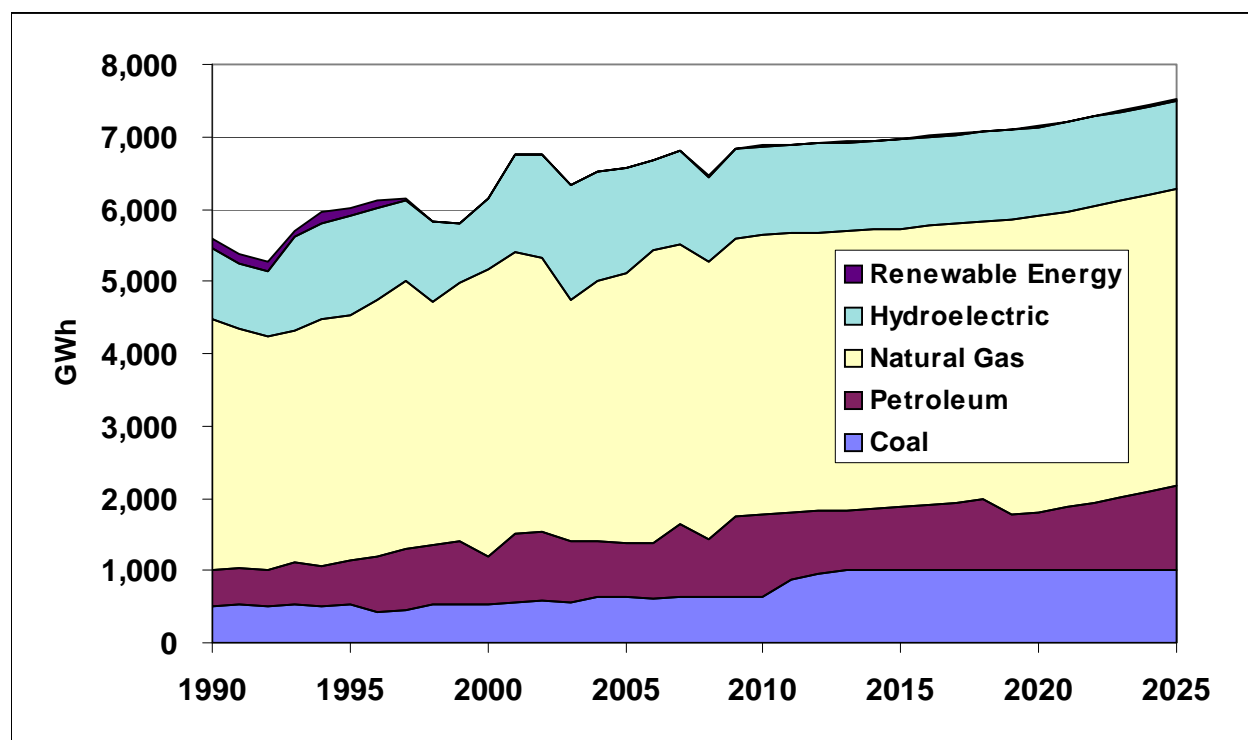
Figure A3 shows the resulting historical electricity generation in the state by fuel source, along with projections to the year 2025 based on the assumptions described above. Based on the assumptions for new generation, Alaska's electricity continues to be delivered from a mix of resources, with natural gas-fired generation accounting for the largest share (55% in 2025). Overall electricity generation grows at 0.67% annually from 2005 to 2025. Although hydroelectric generation is the second largest fuel source of generation in Alaska in both 2005 and 2025, the share of generation from this source drops from 22% in 2005 to 16% in 2026. The share of generation from coal and petroleum powered sources both increase to 14% and 15%, respectively, of total generation in 2025.

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<sup>28</sup> HCCP is already built but not in operation. The 50 MW plant is located in Healy, AK on the railbelt. It is assumed that at full capacity, the plant would operate at a nominal 85% capacity factor (including outages), producing ~372 GWh per year.

<sup>29</sup> It is assumed that new natural gas capacity in Fairbanks would displace current distillate and residual fuel oil combustion and that new gas capacity would have a capacity factor similar to existing generators in AK. As of 2005, there were 896 MW of natural gas capacity online in AK (EPA eGRID, 2007) with an average capacity factor of 47.41%. The new assumed 60 MW of capacity in Fairbanks would produce ~250 GWh per year.

**Figure A3. Alaska Electricity Generation by Fuel Type 1990-2025**

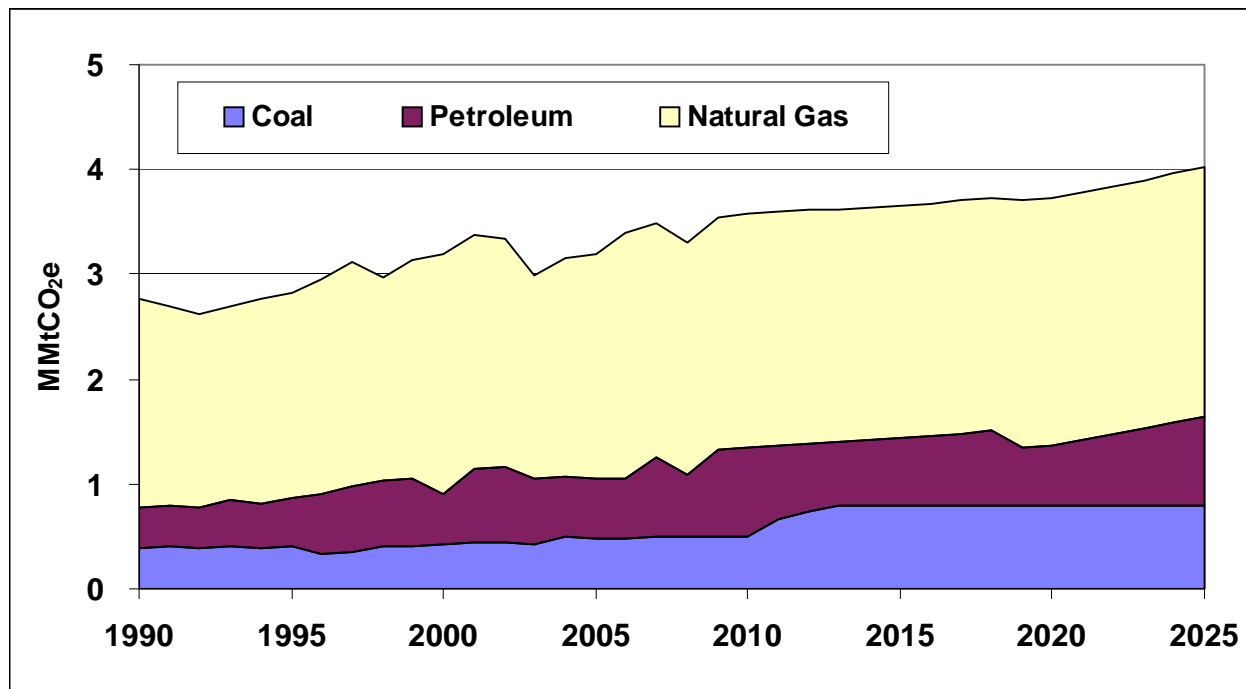


Sources: 1990-2006, Electric Power Annual 2007; 2007 and 2008 from EIA Electric Power Monthly; and ESD technical work group input for post-2007 fuel mix.

Figure A4 illustrates the GHG emissions associated with the mix of electricity generation shown in Figure A3. From 2005 to 2025, total GHG emissions from Alaska electricity generation are projected to grow at 1.1 percent per year. The annual growth rate of GHG emissions from coal-powered sources from 2005 to 2025 is 2.5%, primarily due to the addition of the HCCP, as mentioned above. In contrast, this HCCP project is assumed to replace generation from natural gas, leading to an annual increase in GHG emissions of only 0.5% per year from natural gas sources. The emission intensity (GHG emissions per MWh) of Alaska electricity is expected to increase from 0.49 metric tons (Mt) CO<sub>2</sub>e/MWh in 2005 to 0.53 MtCO<sub>2</sub>/MWh in 2025. This is due to the decreasing share of generation from hydroelectric sources and the increasing share of generation from coal and oil from 2005 to 2025.

Table A4 summarizes the GHG emissions for Alaska’s electric sector from 1990 to 2025. During this time period, emissions are projected to increase by 45 percent. As mentioned at the beginning of this Appendix, the issue of whether to report GHG emissions based on the electricity consumed in the State (consumption-basis) or to report emissions based on the electricity produced in the State is a key question for many states. This is not important for Alaska because the GHG emission estimates are the same from either basis, since Alaska has very limited electricity imports.

**Figure A4. Alaska GHG Emissions Associated with Electricity Production**



Source: CCS calculations based on approach described in text.

**Table A4. Alaska GHG Emissions from Electric Sector, 1990-2025 (MMtCO<sub>2</sub>e)**

Fuel Type	1990	1995	2000	2005	2010	2015	2020	2025
Coal	0.40	0.41	0.42	0.48	0.50	0.79	0.79	0.79
Petroleum	0.37	0.47	0.48	0.57	0.86	0.64	0.58	0.86
Natural Gas	2.00	1.95	2.29	2.14	2.22	2.22	2.36	2.36
Hydroelectric	0	0	0	0	0	0	0	0
Renewable Energy	0	0	0	0	0	0	0	0
<b>Total</b>	<b>2.76</b>	<b>2.82</b>	<b>3.19</b>	<b>3.20</b>	<b>3.58</b>	<b>3.65</b>	<b>3.74</b>	<b>4.02</b>

Because Alaska has very limited electricity imports or exports, the GHG emissions on a production-basis are the same as GHG emissions on a consumption-basis.



## Appendix B. Residential, Commercial, and Industrial - Fossil Fuel Combustion and Fossil Fuel Industries

### Overview

Activities in the residential, commercial, and industrial (RCI) fossil fuel combustion sectors produce carbon dioxide (CO<sub>2</sub>), methane (CH<sub>4</sub>), and nitrous oxide (N<sub>2</sub>O) emissions when fuels are combusted to provide space heating, process heating, and other applications. Carbon dioxide accounts for over 99% of these emissions on a million metric tons (MMt) of CO<sub>2</sub> equivalent (CO<sub>2</sub>e) basis in Alaska. In addition, since these sectors consume electricity, one can also attribute emissions associated with electricity generation to these sectors in proportion to their electricity use.<sup>30</sup>

This appendix also reports the GHG emissions that are released during the production, processing, transmission, and distribution of fossil fuels. Known as fugitive emissions, these are methane and carbon dioxide gases released via leakage and venting at coal mines, oil and gas fields, processing facilities, and pipelines. Nationally, fugitive emissions from natural gas systems, petroleum systems, and coal mines accounted for 2.8% of total US greenhouse gas emissions in 2004.<sup>31</sup>

Excluding emissions from the generation of the electricity they consume, RCI fossil fuel combustion and the fossil fuel industry combined are the largest source of gross greenhouse gas (GHG) emissions in Alaska. Direct use of oil, natural gas, coal, and wood in the RCI sectors along with emissions from the fossil fuel industry accounted for an estimated 28.6 MMtCO<sub>2</sub>e of gross GHG emissions in 2005.<sup>32</sup> The RCI and fossil fuel industry sectors are combined in this appendix due to the strong correlation between the industrial sector fuel consumption and the fossil fuel industry as well as the importance of considering both the fuel combustion emissions and the fossil fuel industry emissions when evaluating industrial GHG mitigation options.

### Fossil Fuel Combustion

Emissions for direct fuel use were estimated using the United States Environmental Protection Agency's (US EPA's) State Greenhouse Gas Inventory Tool (SIT) software and the methods provided in the Emission Inventory Improvement Program (EIIP) guidance document for RCI fossil fuel combustion.<sup>33</sup> The default data used in SIT for Alaska are from the United States Department of Energy (US DOE) Energy Information Administration's (EIA's) *State Energy Data* (SED). The SIT default data for Alaska were revised using the most recent data available,

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<sup>30</sup> One could similarly allocate GHG emissions from transport-related GHG sources to the RCI sectors based on their direct use of gas and other fuels, but we have not done so here due to the relatively small level of emissions from these sources.

<sup>31</sup> "The US Inventory of Greenhouse Gas Emissions and Sinks", US EPA, 2005.

<sup>32</sup> Emissions estimates from wood combustion include only N<sub>2</sub>O and CH<sub>4</sub>. Carbon dioxide emissions from biomass combustion are assumed to be "net zero", consistent with US EPA and IPCC methodologies, and any net loss of carbon stocks due to biomass fuel use should be accounted for in the forestry analysis.

<sup>33</sup> GHG emissions were calculated using SIT, with reference to *EIIP, Volume VIII*: Chapter 1 "Methods for Estimating Carbon Dioxide Emissions from Combustion of Fossil Fuels", August 2004; and Chapter 2 "Methods for Estimating Methane and Nitrous Oxide Emissions from Stationary Combustion", August 2004.

which includes: (1) 2002 SED information for all fuel types;<sup>34</sup> (2) 2003 SED information for coal, and wood and wood waste;<sup>35</sup> (3) 2004 SED information for natural gas;<sup>6</sup> (4) 2003 and 2004 SED information for petroleum (distillate oil, kerosene and liquefied petroleum gas) consumption;<sup>6</sup> (5) 2004 electricity consumption data from the EIA's *State Electricity Profiles*;<sup>36</sup> and (6) 2005 natural gas consumption data from the EIA's *Natural Gas Navigator*.<sup>37</sup>

Note that the EIIP methods for the industrial sector exclude from CO<sub>2</sub> emission estimates the amount of carbon that is stored in products produced from fossil fuels for non-energy uses. For example, the methods account for carbon stored in petrochemical feedstocks, and liquefied petroleum gas (LPG), and natural gas used as feedstocks by chemical manufacturing plants (i.e., not used as fuel), as well as carbon stored in asphalt and road oil produced from petroleum. The carbon storage assumptions for these products are explained in detail in the EIIP guidance document.<sup>38</sup> The fossil fuel categories for which the EIIP methods are applied in the SIT software to account for carbon storage include the following categories: asphalt and road oil, coking coal, distillate fuel, feedstocks (naphtha with a boiling point of less than 401 degrees Fahrenheit), feedstocks (other oils with boiling points greater than 401 degrees Fahrenheit), LPG, lubricants, miscellaneous petroleum products, natural gas, pentanes plus,<sup>39</sup> petroleum coke, residual fuel, still gas, and waxes. Data on annual consumption of the fuels in these categories as chemical industry feedstocks were obtained from the EIA SED.

Reference case emissions from direct fuel combustion were estimated based on fuel consumption forecasts from EIA's *Annual Energy Outlook 2006* (AEO2006),<sup>40</sup> with adjustments for Alaska's projected population<sup>41</sup> and employment growth. Alaska employment data for the manufacturing (goods producing) and non-manufacturing (commercial or services providing) sectors were obtained from the Alaska Department of Labor and Workforce Development.<sup>42</sup> Regional employment data for the same sectors were obtained from EIA for the EIA's Pacific region.<sup>43</sup>

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<sup>34</sup> EIA *State Energy Data 2002*, Data through 2002, released June 30, 2006, ([http://www.eia.doe.gov/emeu/states/state.html?q\\_state\\_a=co&q\\_state=ALASKA](http://www.eia.doe.gov/emeu/states/state.html?q_state_a=co&q_state=ALASKA)).

<sup>35</sup> EIA *State Energy Data 2003 revisions for all fuels and first release of 2004 information for natural gas and petroleum*, ([http://www.eia.doe.gov/emeu/states/seds\\_updates.html](http://www.eia.doe.gov/emeu/states/seds_updates.html)).

<sup>36</sup> EIA *Electric Power Annual 2005 - State Data Tables*, ([http://www.eia.doe.gov/cneaf/electricity/epa/epa\\_sprdshts.html](http://www.eia.doe.gov/cneaf/electricity/epa/epa_sprdshts.html)).

<sup>37</sup> EIA *Natural Gas Navigator* ([http://tonto.eia.doe.gov/dnav/ng/ng\\_cons\\_sum\\_dcu\\_SAK\\_a.htm](http://tonto.eia.doe.gov/dnav/ng/ng_cons_sum_dcu_SAK_a.htm)).

<sup>38</sup> EIIP, Volume VIII: Chapter 1 "Methods for Estimating Carbon Dioxide Emissions from Combustion of Fossil Fuels", August 2004.

<sup>39</sup> A mixture of hydrocarbons, mostly pentanes and heavier fractions, extracted from natural gas.

<sup>40</sup> EIA AEO2006 with Projections to 2030, (<http://www.eia.doe.gov/oiaf/aeo/index.html>).

<sup>41</sup> Population data from the Alaska Department of Labor and Workforce Development, "Workforce Information," Home (<http://almis.labor.state.ak.us/>), Population & Census, Estimates & Projections, Population Data Tables. Data for 1990 through 2000 found in "Alaska Population Estimates 2000-2005," under Historical Estimates (at bottom of page), Select "Borough and Census Area 1990-2000," in Excel file named "02T2-1a.xls." Data for 2001 through 2005 found in "Alaska Population Estimates 2000-2006," under Vintage 2005 Estimates, Borough, and Census Area Estimates, "Population by Labor Market Area, Borough and Census Area, and Components of Change, 1990-2005" in Excel file named "05t2-1.xls." Data for 2006 through 2029 found in "Alaska Population Projections (2005-2029)," under "February 2005 issue of Alaska Economic Trends," in PDF file named "feb05.pdf" (Projections for Alaska population 2005-2029, Table 5. Population Growth Projections Alaska 2005-2029, Medium Population Values in Table 5 used for forecast).

<sup>42</sup> Alaska Department of Labor and Workforce Development, "Workforce Information," Home (<http://almis.labor.state.ak.us/>), Industry Forecasts, Under Ten-Year Industry Employment Forecasts, Ten-year

Table B1 shows historical and projected growth rates for electricity sales by sector. Table B2 shows historical and projected growth rates for energy use by sector and fuel type.

For the residential sector, the rate of population growth is expected to increase by about 0.61% annually between 2005 and 2025; this demographic trend is reflected in the growth rates for residential fuel consumption. Based on the Alaska Department of Labor and Workforce Development’s forecast (2004 to 2014), commercial and industrial employment are projected to increase at compound annual rates of 1.09% and 0.95%, respectively, and these growth rates are reflected in the growth rates in energy use shown in Table B2 for the two sectors. These estimates of growth relative to population and employment reflect expected responses of the economy — as simulated by the EIA’s National Energy Modeling System — to changing fuel and electricity prices and changing technologies, as well as to structural changes within each sector (such as shifts in subsectoral shares and in energy use patterns).

**Table B1. Electricity Sales Annual Growth Rates, Historical and Projected**

<b>Sector</b>	<b>1990-2004<sup>a</sup></b>	<b>2004-2025<sup>b</sup></b>
Residential	1.6%	0.33%
Commercial	2.0%	1.1%
Industrial	6.6%	0.61%
<b>Total</b>	<b>2.2%</b>	<b>0.74%</b>

<sup>a</sup> 1990-2004 compound annual growth rates calculated from Alaska electricity sales by year from EIA state electricity profiles (Table 8), ([http://www.eia.doe.gov/cneaf/electricity/st\\_profiles/e\\_profiles\\_sum.html](http://www.eia.doe.gov/cneaf/electricity/st_profiles/e_profiles_sum.html)).

<sup>b</sup> 2004-2025 compound annual growth rate for total for all three sectors taken from forecast for the energy supply sector (see Appendix A).

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industry employment forecasts for Alaska published in the November 2006 issue of Alaska Economic Trends (extracted data from file named “nov06ind.pdf.”).

<sup>43</sup> AEO2006 employment projections for EIA’s Pacific region obtained through special request from EIA (dated September 27, 2006).

**Table B2. Historical and Projected Average Annual Growth in Energy Use in Alaska, by Sector and Fuel, 1990-2025**

	1990-2005 <sup>a</sup>	2005-2010 <sup>b</sup>	2010-2015 <sup>b</sup>	2015-2020 <sup>b</sup>	2020-2025 <sup>b</sup>
<b>Residential</b>					
natural gas	2.1%	0.7%	0.7%	0.3%	-0.2%
petroleum	0.2%	0.6%	-0.3%	1.4%	-2.0%
wood	4.4%	0.6%	-0.1%	0.0%	-0.3%
coal	-4.1%	0.6%	-1.0%	-1.1%	-1.4%
<b>Commercial</b>					
natural gas	-1.2%	-0.3%	1.8%	1.2%	1.0%
petroleum	0.6%	-0.9%	0.6%	0.2%	0.0%
wood	8.8%	-0.4%	0.1%	-0.3%	-0.3%
coal	-0.2%	-0.6%	0.1%	-0.3%	-0.3%
<b>Industrial</b>					
natural gas	2.3%	1.4%	2.0%	2.3%	0.8%
petroleum	2.2%	3.2%	1.7%	1.1%	1.3%
wood	-28.8%	3.4%	2.7%	2.6%	2.9%
coal	-13.9%	2.4%	0.4%	0.9%	0.8%

<sup>a</sup> Compound annual growth rates calculated from EIA SED historical consumption by sector and fuel type for Alaska. Latest year for which EIA SED information was available for each fuel type is 2003 for coal and wood/wood waste, 2004 for petroleum (distillate oil, kerosene, and LPG), and 2005 for natural gas. Petroleum includes distillate fuel, kerosene, and LPG for all sectors plus residual oil for the commercial and industrial sectors. Industrial coal consumption for 1990 through 2002 was zero; growth rate for industrial coal is calculated from EIA SED consumption reported for 1993 through 2003.

<sup>b</sup> Figures for growth periods starting after 2005 are calculated from AEO2006 projections for EIA's Pacific region, adjusted for Alaska's projected population for the residential sector, non-manufacturing employment for the commercial sector, and manufacturing employment for the industrial sector.

### ***Oil and Gas Production***

Alaska currently ranks second in crude oil production among US states, totaling 864,000 barrels (bbls) per day and accounting for about 17% of US production.<sup>44</sup> Proved crude oil reserves sit at 4,327 million barrels, which is 17% of US totals. Oil production in the state peaked in 1988 at 2.017 million bbls per day.<sup>45</sup> Alaska has six petroleum refineries, with a combined crude oil distillation capacity of 373,500 barrels per day.<sup>46</sup>

Alaska has two main oil production fields: the Cook Inlet and the North Slope.<sup>47</sup> While natural gas production is prevalent in Alaska, most of the gas extracted never makes it to U.S. consumers or foreign markets. Of the 3.451 Bcf of natural gas produced on the North Slope in 2005, 92% was re-injected for enhanced oil recovery.<sup>48</sup>

<sup>44</sup> "Petroleum Profile: Alaska", US DOE Energy Information Administration website, January 2007, Accessed at <http://tonto.eia.doe.gov/oog/info/state/ak.html>.

<sup>45</sup> "Petroleum Navigator", US DOE Energy Information Administration website, January 2007, Accessed at <http://tonto.eia.doe.gov/dnav/pet/hist/mcrfpak2a.htm>.

<sup>46</sup> "Petroleum Profile: Alaska", US DOE Energy Information Administration website, January 2007, Accessed at <http://tonto.eia.doe.gov/oog/info/state/ak.html>.

<sup>47</sup> Personal communication with Brian Havelock, Alaska DNR Oil and Gas Division, January 22, 2007.

<sup>48</sup> Alaska Department of Natural Resources: Division of Oil & Gas, Annual Report 2006, Accessed at <http://www.dog.dnr.state.ak.us/oil/products/publications/annual/report.htm>

Alaska's potential coal resources are estimated to be 5.5 trillion short tons and may contain up to 1,000 TCF (Trillion cubic feet) of natural gas.<sup>49</sup> Since drilling the first exploratory coal bed methane (CBM) well in 1994, the state of Alaska has leased over 300,000 acres in the Cook Inlet for coal bed methane development.<sup>50</sup> While there is continued evaluation of drill sites, including the collection and analysis of coal samples for their methane potential, any CBM development in Alaska faces the challenges of extreme climate and difficult drill rig access. Currently, there is no viable CBM production in Alaska and reserves remain unproven.<sup>51</sup>

### ***Oil and Gas Industry Emissions***

Emissions of methane (CH<sub>4</sub>) and entrained carbon dioxide (CO<sub>2</sub>) can occur at many stages of production, processing, transmission, and distribution of oil and gas. With over 2,300 active gas and oil wells in the state<sup>52</sup>, 8 operational gas processing plants, 6 oil refineries, and almost 4,000 miles of gas pipelines<sup>53</sup>, there are significant uncertainties associated with estimates of Alaska's GHG emissions from this sector. This is compounded by the fact that there are no regulatory requirements to track CO<sub>2</sub> or CH<sub>4</sub> emissions. Therefore, estimates based on actual emissions measurements in Alaska are not possible at this time.

The SIT facilitates the development of a rough estimate of state-level greenhouse gas emissions.<sup>54</sup> Methane emission estimates are calculated by multiplying emissions-related activity levels (e.g. miles of pipeline, number of compressor stations) by aggregate industry-average emission factors. Key information sources for the activity data are the US DOE EIA<sup>55</sup> and the American Gas Association's annual publication *Gas Facts*.<sup>56</sup> Methane emissions were estimated using SIT, with reference to the EPA Emissions Inventory Improvement Program (EIIP) guidance document.

Projections of methane emissions from oil and gas systems are developed based on the following key drivers:

- Natural Gas Consumption – See Appendix A (Electricity Sector), and this appendix (RCI fossil fuel combustion) for assumptions used in projecting natural gas consumption in Alaska. Based on those assumptions, Alaska's natural gas consumption is projected to grow at an average rate of 2.0% annually from 2005 until 2025.
- Production – Projections for crude oil and natural gas production were pulled from the Alaska Department of Natural Resources Oil and Gas Annual Report 2006. While

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<sup>49</sup> Alaska Department of Natural Resources, Division of Oil & Gas, January 2007, Accessed at [http://www.dog.dnr.state.ak.us/oil/products/publications/coal\\_meth/coalbed\\_methane.htm](http://www.dog.dnr.state.ak.us/oil/products/publications/coal_meth/coalbed_methane.htm).

<sup>50</sup> Coal Bed Methane Drilling in the MatSu Valley, January 2007, Accessed at <http://www.gasdrillingmatsu.org/laws.html>.

<sup>51</sup> IBID.

<sup>52</sup> Energy Information Administration, Oil & Gas Well Distribution, Accessed at [http://www.eia.doe.gov/pub/oil\\_gas/petroleum/ak\\_table.html](http://www.eia.doe.gov/pub/oil_gas/petroleum/ak_table.html).

<sup>53</sup> Data from EIA and Gas Facts.

<sup>54</sup> Methane emissions were calculated using SIT, with reference to EIIP, Volume VIII: Chapter. 5. "Methods for Estimating Methane Emissions from Natural Gas and Oil Systems", March 2005.

<sup>55</sup> "Petroleum Navigator" and "Natural Gas Navigator", US DOE Energy Information Administration website, November 2006, Accessed at <http://www.eia.doe.gov>.

<sup>56</sup> American Gas Association "Gas Facts, A Statistical Record of the Gas Industry" Referenced annual publications from 1992 to 2004.

projected crude oil production varies from year to year, decline rates averaged at 1.3% annually between 2006 and 2015, and increased to 5.4% annually between 2016 and 2025.<sup>57</sup> Natural gas production is projected to decrease at an average rate of 13% annually from 2005 to 2025. Simple assumptions were made for processing and transport growth rates.

Table B3 provides an overview of data sources and approach used to project future emissions.

**Table B3. Approach to Estimating Historical and Projected Methane Emissions from Natural Gas and Oil Systems.**

	Approach to Estimating Historical Emissions		Approach to Estimating Projections
<i>Activity</i>	<i>Required Data for SIT</i>	<i>Data Source</i>	<i>Projection Assumptions</i>
Natural Gas Drilling and Field Production	Number of wells	EIA	Emissions estimated from Alaska DNR natural gas production forecasts, with an average annual decline of 13%. <sup>58</sup>
	Number of offshore platforms	Alaska DNR Oil and Gas <sup>59</sup>	
	Miles of gathering pipeline	Gas Facts <sup>60</sup>	
Natural Gas Processing	Number of gas processing plants	EIA <sup>61</sup>	Emissions follow trend of natural gas processing, which is estimated to decline 1.4% annually until 2025. <sup>62</sup>
Natural Gas Transmission	Miles of transmission pipeline	Gas Facts <sup>19</sup>	Emissions follow trend of State gas processing, as above. <sup>63</sup>
	Number of gas transmission compressor stations	EIIP <sup>64</sup>	
	Number of gas storage compressor stations	EIIP <sup>65</sup>	

<sup>57</sup> Alaska Department of Natural Resources: Division of Oil & Gas, Annual Report 2006, Tables III.7 and III.8, Accessed at <http://www.dog.dnr.state.ak.us/oil/products/publications/annual/report.htm>. Crude oil proved reserves in Alaska have been declining at an average of almost 3% annually since 1990, as reported by the EIA.

<sup>58</sup> Assumption based on gas production forecasts from the Alaska DNR Oil and Gas Division Annual Report 2006 for the Cook Inlet and the North Slope, with an average annual decline rate of 13% between 2006 and 2025. Projected emissions calculations use the annual growth or decline rate for each year.

<sup>59</sup> Personal communication, Will Nebesky, Alaska DNR Division of Oil and Gas. January 16, 2007.

<sup>60</sup> No Gas Facts available for 1991 and 1993, so a linear relationship was assumed to extrapolate from the previous and subsequent year.

<sup>61</sup> EIA reported data for 2004, and personal communication with Brian Havelock, Alaska DNR, January 22, 2007.

<sup>62</sup> Decline rate based on EIA gas processing data reported for Alaska, average annual decline of 1.39% in gas processing volume between 2000 and 2004.

<sup>63</sup> It is considered a very low likelihood that an Alaskan natural gas pipeline would be operational prior to 2025, if at all. Personal communication, Brian Havelock, Alaska Department of Natural Resources, Oil and Gas Division, January 22, 2007. Projected emissions from natural gas transmission is assumed to follow gas processing trend as it is processed prior to reinjection in enhanced oil recovery.

<sup>64</sup> Number of gas transmission compressor stations = miles of transmission pipeline x 0.006 EIIP. Volume VIII: Chapter 5. March 2005.

<sup>65</sup> Number of gas storage compressor stations = miles of transmission pipeline x 0.0015 EIIP. Volume VIII: Chapter 5. March 2005.



	Approach to Estimating Historical Emissions		Approach to Estimating Projections
Activity	Required Data for SIT	Data Source	Projection Assumptions
	Number of LNG storage compressor stations	Unavailable, assumed negligible.	
Natural Gas Distribution	Miles of distribution pipeline	Gas Facts <sup>60</sup>	Distribution emissions follow State gas consumption trend - annual average growth of 2.0% until 2025. <sup>66</sup>
	Total number of services	Gas Facts	
	Number of unprotected steel services	Ratio estimated from 2002 data <sup>67</sup>	
	Number of protected steel services	Ratio estimated from 2002 data <sup>24</sup>	
Oil Production	Annual production	EIA <sup>68</sup>	Emissions estimated from Alaska DNR oil production forecasts, with an average annual decline rate of 3.4%. <sup>69</sup>
Oil Refining	Annual amount refined	EIA <sup>70</sup>	Emissions projected to follow recent trend in State oil refining of 1.5% annual growth. <sup>71</sup>
Oil Transport	Annual oil transported	Unavailable, assumed oil produced = oil transported	Emissions follow trend of State oil production, as above.

Note that potential improvements to production, processing, and pipeline technologies resulting in GHG emissions reductions have not been accounted for in this analysis.

A potentially significant source of CO<sub>2</sub>, not currently included in this inventory, is that of ‘entrained’ CO<sub>2</sub> in raw gas emerging from the ground. In some areas entrained CO<sub>2</sub> can be significantly above pipeline specifications, and must be separated out at gas processing facilities. Depending on the level of entrained CO<sub>2</sub> in any current natural gas production or future production of Alaskan coal bed methane, emissions of entrained CO<sub>2</sub> may be significant.

<sup>66</sup> Based on US DOE regional projections and electric sector growth assumptions (see Appendix A and B).

<sup>67</sup> Gas Facts reported unprotected and protected steel services for 2002, but only total services for other years. Therefore the ratio of unprotected and protected steel services in 2002 was assumed to be the ratio for all other years (0.4891 for protected services and 0.0045 for unprotected services). This yields more congruent results than the EIIP guidance of using multipliers of 0.2841 for protected steel services, and 0.0879 for unprotected steel services.

<sup>68</sup> Data extracted from the EIA Petroleum Supply Annual for each year.

<sup>69</sup> Assumption based on crude oil production forecasts from the Alaska DNR Oil and Gas Division Annual Report 2006 for the Cook Inlet and the North Slope. Average annual decline rate of 3.4% between 2005 and 2025. Projected emissions calculations use the annual growth or decline rate for each year.

<sup>70</sup> Refining assumed to be equal to the total input of crude oil into the Petroleum Administration for Defense District (PADD) V (West Coast) times the ratio of Alaska’s refining capacity to PADD V’s total refining capacity. No data for 1995 and 1997, so linear relationship assumed from previous and subsequent years.

<sup>71</sup> Based on EIA data, average growth in crude refined annually was 1.5% between 2000 and 2004.

### Coal Production Emissions

Methane occurs naturally in coal seams, and is typically vented during mining operations for safety reasons. Coal mine methane emissions are usually considerably higher, per unit of coal produced, from underground mining than from surface mining.

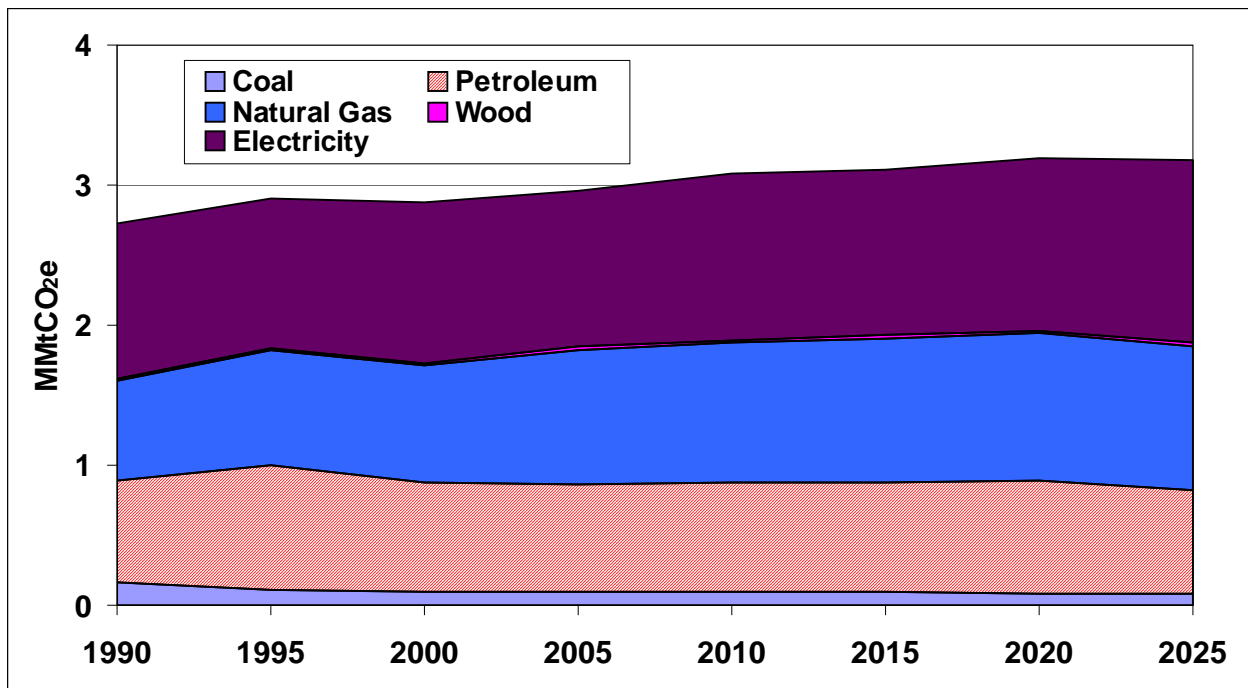
Alaska has one operational surface coal mine, which produced almost 1.5 million short tons of coal in 2005.<sup>72</sup> As reported in this inventory, methane emissions from coal mines are as reported by the EPA, and include emissions from surface coal mines and post-mining activities.<sup>73</sup>

Methane emissions from coal mining have remained fairly steady with an average annual increase in methane emissions of 0.4% between 1990 and 2004. As an initial and simple estimate, coal mine methane emissions are projected to continue to increase at 0.4% annually until 2025.

### Results

Figures B1 and B2 and Tables B4 and B5 show historical and projected emissions for the residential and commercial fuel consumption sectors in Alaska from 1990 through 2025. These figures show the emissions associated with the direct consumption of fossil fuels and, for comparison purposes, show the share of emissions associated with the generation of electricity consumed by each sector.

**Figure B1. Residential Sector GHG Emissions from Fuel Consumption**



Note: Emissions from electricity generation are allocated here for illustrative purposes. In the front of this report, the electricity emissions are attributed to the Electricity Production sector.

<sup>72</sup> EIA Coal Data Accessed at <http://www.eia.doe.gov/cneaf/coal/page/acr/table1.html>.

<sup>73</sup> Emissions from EPA *Inventory of Greenhouse Gas Emissions and Sinks: 1990-2004* (April 2006) Accessed at <http://epa.gov/climatechange/emissions/usinventoryreport.html>



**Table B4. Residential Sector GHG Emissions from Fuel Consumption (MMtCO<sub>2</sub>e)**

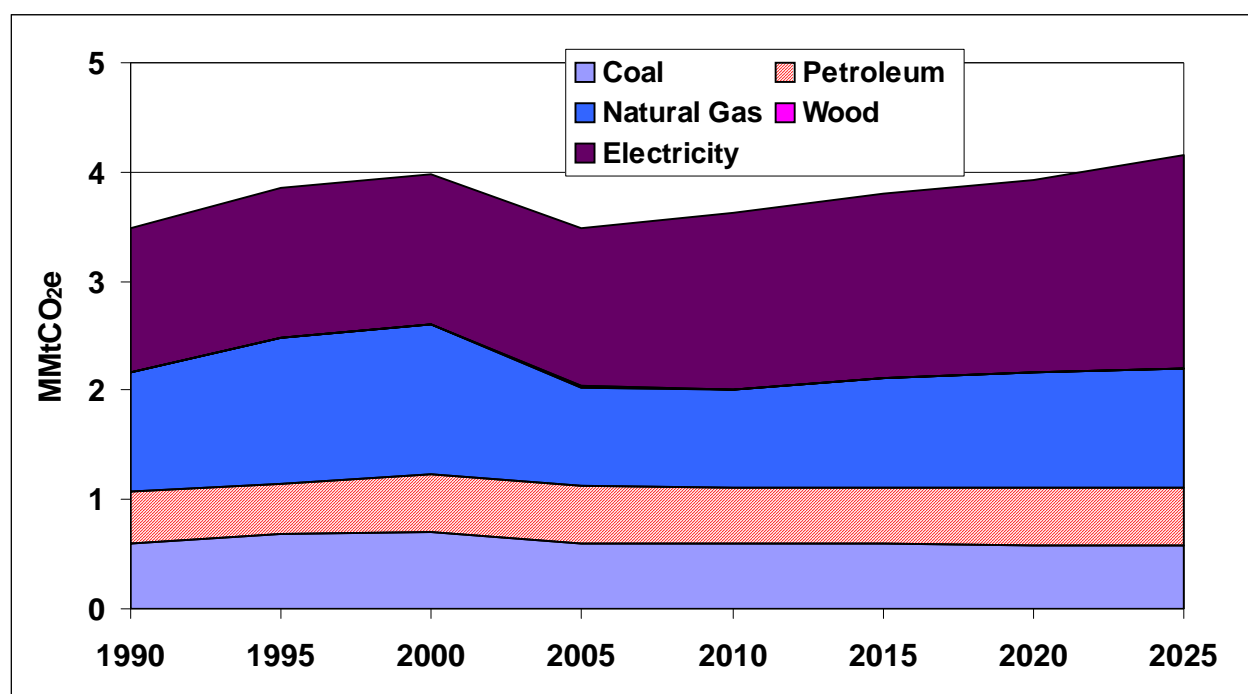
	1990	1995	2000	2005	2010	2015	2020	2025
Coal	0.160	0.110	0.092	0.095	0.096	0.092	0.087	0.081
Petroleum	0.730	0.895	0.783	0.761	0.777	0.779	0.807	0.735
Natural Gas	0.709	0.811	0.834	0.968	1.004	1.036	1.047	1.035
Wood	0.011	0.013	0.011	0.019	0.020	0.020	0.020	0.019
Electricity	1.115	1.079	1.150	1.115	1.187	1.182	1.235	1.313
<b>Total</b>	<b>2.725</b>	<b>2.908</b>	<b>2.870</b>	<b>2.959</b>	<b>3.084</b>	<b>3.107</b>	<b>3.196</b>	<b>3.183</b>

Note: Emissions from electricity generation are allocated here for illustrative purposes. In the front of this report, the electricity emissions are attributed to the Electricity Production sector.

For the residential sector, emissions from electricity and direct fossil fuel use in 1990 were about 2.7 MMtCO<sub>2</sub>e, and are estimated to increase to about 3.2 MMtCO<sub>2</sub>e by 2025. Emissions associated with the generation of electricity to meet residential energy consumption demand accounted for about 41% of total residential emissions in 1990, decreasing to 38% of total residential emissions in 2005, and then increasing back to 41% of total residential emissions by 2025. In 1990, natural gas consumption accounted for about 26% of total residential emissions, about 33% of residential emissions in 2005, and about 33% of total residential emissions by 2025. Residential-sector emissions associated with the use of petroleum accounted for about 27% of total residential emissions in 1990, 26% of residential emissions in 2005, and about 23% of residential emissions in 2025. Residential-sector emissions associated with the use of coal and wood in 1990 were about 0.17 MMtCO<sub>2</sub>e combined, and accounted for about 6.3% of total residential emissions in 1990. By 2025, emissions associated with the consumption of these two fuels are estimated to be 0.10 MMtCO<sub>2</sub>e and to account for 3.1% of total residential sector emissions.

For the 20-year period 2005-2025, residential-sector GHG emissions associated with the use of electricity and natural gas are expected to increase at average annual rates of about 0.8% and 0.3%, respectively. Emissions associated with the use of wood are expected to remain relatively constant, and emissions associated with the use of coal and petroleum are expected to annually decline by about -0.8% and -0.2%, respectively. Total GHG emissions for this sector increase by an average of about 0.4% annually over the 20-year period.

**Figure B2. Commercial Sector GHG Emissions from Fuel Consumption**



Notes: Emissions associated with wood combustion too small to be seen on this graph. Emissions from electricity generation are allocated here for illustrative purposes. In the front of this report, the electricity emissions are attributed to the Electricity Production sector.

**Table B5. Commercial Sector GHG Emissions from Fuel Consumption (MMtCO<sub>2</sub>e)**

	1990	1995	2000	2005	2010	2015	2020	2025
Coal	0.604	0.695	0.703	0.603	0.590	0.591	0.582	0.574
Petroleum	0.477	0.453	0.521	0.527	0.512	0.526	0.530	0.530
Natural Gas	1.082	1.330	1.382	0.903	0.910	0.990	1.047	1.097
Wood	0.001	0.002	0.002	0.004	0.004	0.004	0.004	0.003
Electricity	1.317	1.375	1.379	1.457	1.618	1.697	1.771	1.942
<b>Total</b>	<b>3.481</b>	<b>3.855</b>	<b>3.986</b>	<b>3.494</b>	<b>3.634</b>	<b>3.807</b>	<b>3.933</b>	<b>4.147</b>

Note: Emissions from electricity generation are allocated here for illustrative purposes. In the front of this report, the electricity emissions are attributed to the Electricity Production sector.

For the commercial sector, emissions from electricity and direct fuel use in both 1990 and 2005 were about 3.5 MMtCO<sub>2</sub>e and emissions are estimated to increase to about 4.1 MMtCO<sub>2</sub>e by 2025. Emissions associated with the generation of electricity to meet commercial energy consumption demand accounted for about 38% of total commercial emissions in 1990, about 42% of total commercial emissions in 2005, and are estimated to increase to about 47% of total commercial emissions by 2025. In 1990, natural gas consumption accounted for about 31% of total commercial emissions, decreasing to 26% of commercial sector emissions in 2005, and increasing slightly to about 27% of total commercial emissions by 2025. Commercial-sector emissions associated with the use of coal accounted for about 17% of total commercial emissions in 1990 and 2005, and are estimated to decline to about 14% of total commercial emissions by 2025. Commercial-sector emissions associated with the use of petroleum accounted for about

14% of total commercial emissions in 1990, 15% of commercial sector emissions in 2005, and about 13% of total commercial emissions by 2025. Commercial-sector emissions associated with the use of wood accounted for about 0.03% of total commercial emissions in 1990 and about 0.1% of commercial emissions in 2005 and 2025.

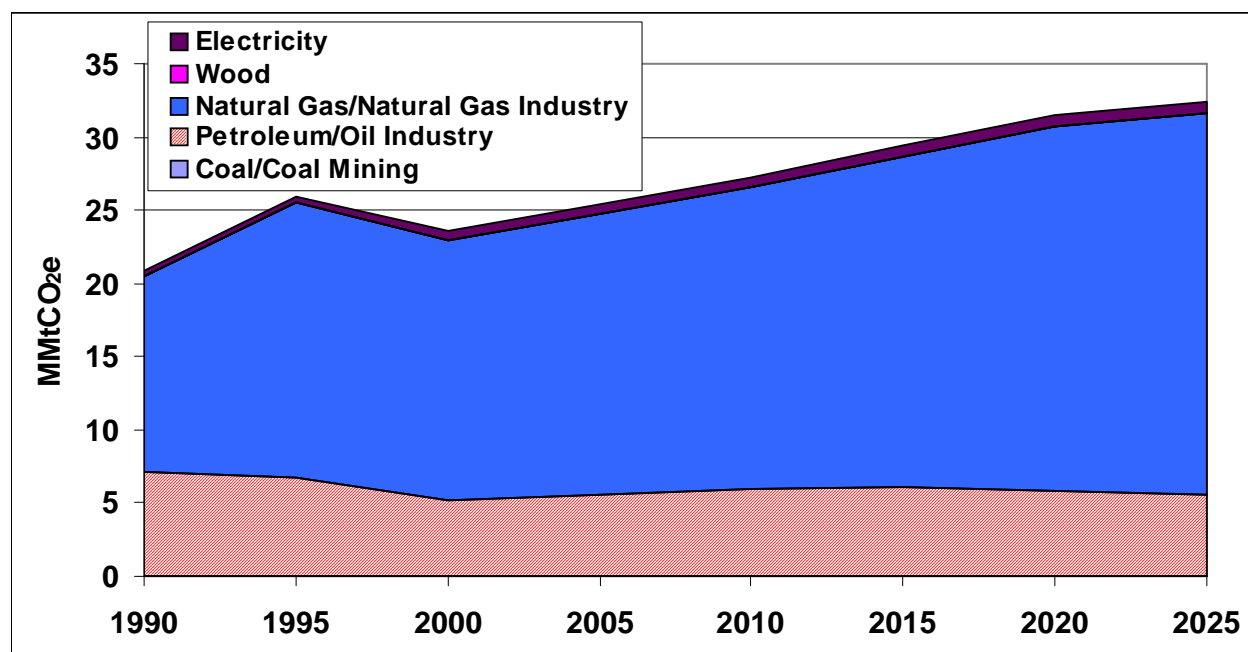
For the 20-year period 2005 to 2025, commercial-sector GHG emissions associated with the use of electricity, natural gas, and petroleum are expected to increase at average annual rates of about 1.4%, 1.0%, and 0.02%, respectively. Emissions associated with the use of coal and wood are expected to decline annually by about -0.24% and -0.22%, respectively. Total GHG emissions for this sector increase by an average of about 0.86% annually over the 20-year period.

Figure B3 and Table B6 show historical and projected emissions from industrial fuel consumption as well as from the fossil fuel industry in Alaska from 1990 through 2025. This figures show the emissions associated with the direct consumption of industrial fossil fuels and the fossil fuel industry by fuel type and, for comparison purposes, shows the share of emissions associated with the generation of electricity consumed by the industrial fuel use sector.

For the industrial fuel use/fossil fuel industry sector, emissions in 1990 were about 21 MMtCO<sub>2e</sub>, and are estimated to increase to about 25 MMtCO<sub>2e</sub> in 2005 and to 32 MMtCO<sub>2e</sub> in 2025. Emissions associated with the generation of electricity to meet industrial energy consumption demand accounted for about 1.6% of total industrial emissions in 1990 and are estimated to increase to about 2.5% of total industrial emissions by 2025 and then decrease to about 2.3% of total industrial emissions by 2025. In 1990, natural gas consumption accounted for about 64% of total industrial emissions, about 76% of total industrial emissions in 2005, and about 80% of total industrial emissions by 2025. Industrial-sector emissions associated with petroleum accounted for about 34% of total industrial sector emissions in 1990, 22% of industrial emissions in 2005, and are projected to decline to about 18% of total industrial emissions by 2025. Industrial-sector emissions associated with coal and wood combined are about 0.1% or less of total industrial sector emissions throughout the 1990-2025 period.

For the 20-year period 2005 to 2025, industrial sector GHG emissions associated with petroleum and natural gas are expected to increase at average annual rates of about 0.02% and 1.5%, respectively. Emissions associated with the use of coal, electricity, and wood are expected to increase annually by about 0.4%, 1.0%, and 2.9%, respectively. Total GHG emissions for this sector increase by an average of about 1.2% annually over the 20-year period.

**Figure B3. GHG Emissions from Industrial Sector Fuel Consumption and from the Fossil Fuel Industry**



Note: Emissions from electricity generation are allocated here for illustrative purposes. In the front of this report, the electricity emissions are attributed to the Electricity Production sector.

**Table B6. GHG Emissions from Industrial Sector Fuel Consumption and from the Fossil Fuel Industry (MMtCO<sub>2</sub>e)**

	1990	1995	2000	2005	2010	2015	2020	2025
Coal/Coal Mining	0.009	0.009	0.010	0.009	0.009	0.009	0.009	0.010
Petroleum/Oil Industry	7.10	6.76	5.18	5.57	5.98	6.03	5.78	5.60
Natural Gas/Natural Gas Industry	13.42	18.72	17.72	19.15	20.53	22.66	24.97	26.01
Wood	0.012	0.011	0.000	0.000	0.000	0.000	0.000	0.000
Electricity	0.33	0.37	0.66	0.63	0.77	0.77	0.73	0.76
<b>Total</b>	<b>20.88</b>	<b>25.87</b>	<b>23.57</b>	<b>25.36</b>	<b>27.29</b>	<b>29.47</b>	<b>31.49</b>	<b>32.38</b>

### Key Uncertainties

Key sources of uncertainty underlying the estimates above are as follows:

- Population and economic growth are the principal drivers for electricity and fuel use. The reference case projections are based on regional fuel consumption projections for EIA's Pacific modeling region scaled for Alaska population and employment growth projections. Consequently, there are significant uncertainties associated with the projections. Future work should attempt to base projections of GHG emissions on fuel consumption estimates specific to Alaska to the extent that such data become available.
- The AEO2006 projections assume no large long-term changes in relative fuel and electricity prices, relative to current price levels and to US DOE projections for fuel prices. Price changes would influence consumption levels and, to the extent that price trends for competing fuels differ, may encourage switching among fuels.

- The current levels of fugitive emissions are based on industry-wide averages, and until estimates are available for specific facilities, significant uncertainties remain.
- The degree to which the SIT emission factors are applicable to the fossil fuel industry in Alaska is somewhat uncertain.
- The fossil fuel industries are difficult to forecast, as they are affected by a mix of drivers, including: economics, resource supply, fuels demand, technology development, and the status of regulations applying to the industry, among others. The ADNRC Oil & Gas projections are considered to be fairly conservative estimates,<sup>74</sup> and may not include any significant changes in energy prices, relative to today's prices. Large price swings, resource limitations, or changes in regulations could significantly change future production and the associated GHG emissions.
- Future natural gas transmission lines to transport Alaskan North Slope natural gas to Canada or the lower 48 states would cause increases in the natural gas emission from the natural gas industry.<sup>75</sup>
- Other significant uncertainties include the fraction of entrained CO<sub>2</sub> in any current natural gas production or future CBM production and potential emissions reducing improvements in oil and gas production, processing, and pipeline technologies.

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<sup>74</sup> Personal communication, Brian Havelock, Alaska Department of Natural Resources, Oil and Gas Division, January 22, 2007.

<sup>75</sup> It is considered a very low likelihood that an Alaskan natural gas pipeline would be operational prior to 2025, if at all. Personal communication, Brian Havelock, Alaska Department of Natural Resources, Oil and Gas Division, January 22, 2007.

## Appendix C. Transportation Energy Use

### Overview

The transportation sector is one of the largest sources of GHG emissions in Alaska – accounting for 35% of Alaska’s gross GHG emissions in 2005. Carbon dioxide accounts for about 99% of transportation GHG emissions from fuel use in 2005. Most of the remaining GHG emissions from the transportation sector are due to N<sub>2</sub>O emissions from gasoline and jet engines.

### Emissions and Reference Case Projections

GHG emissions for 1990 through 2005 were estimated using SIT and the methods provided in the EIIP guidance document for the sector.<sup>76,77</sup> For onroad vehicles, the CO<sub>2</sub> emission factors are in units of lb/MMBtu and the CH<sub>4</sub> and N<sub>2</sub>O emission factors are both in units of grams/VMT. Key assumptions in this analysis are listed in Table C1. The default data within SIT were used to estimate emissions, with the most recently available fuel consumption data (2005) from EIA SED added.<sup>78</sup> The default VMT data in SIT were replaced with state-level annual VMT from Alaska Department of Transportation and Public Facilities (ADOT&PF).<sup>79</sup> State-level VMT was allocated to vehicle types using the default vehicle mix data in SIT.

Onroad gasoline and diesel emissions were projected based on VMT projections from the WRAP mobile source inventory<sup>80</sup> and growth rates developed from national vehicle type VMT forecasts reported in EIA’s *Annual Energy Outlook 2008* (AEO2008). The VMT projections taken from the WRAP inventory show an average annual growth rate in total state VMT of 1.3%. The AEO2008 data were incorporated because they indicate significantly different VMT growth rates for certain vehicle types. The procedure first applied the AEO2008 vehicle type-based national growth rates to 2005 Alaska estimates of VMT by vehicle type. These data were then used to calculate the estimated proportion of total VMT by vehicle type in each year. Next, these proportions were applied to the projected state-total VMT for each year to yield the vehicle-type compound annual average growth rates are displayed in Tables C2.

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<sup>76</sup> CO<sub>2</sub> emissions were calculated using SIT, with reference to Emission Inventory Improvement Program, Volume VIII: Chapter. 1. “Methods for Estimating Carbon Dioxide Emissions from Combustion of Fossil Fuels”, August 2004.

<sup>77</sup> CH<sub>4</sub> and N<sub>2</sub>O emissions were calculated using SIT, with reference to Emission Inventory Improvement Program, Volume VIII: Chapter. 3. “Methods for Estimating Methane and Nitrous Oxide Emissions from Mobile Combustion”, August 2004.

<sup>78</sup> Energy Information Administration, State Energy Consumption, Price, and Expenditure Estimates (SED), <http://www.eia.doe.gov/emeu/states/seds.html>

<sup>79</sup> David Phillips, Research Analyst, Alaska Department of Transportation & Public Facilities

<sup>80</sup> WRAP Mobile Source Emission Inventories Update, Western Regional Air Partnership, <http://www.wrapair.org/forums/ef/UMSI/index.html>

**Table C1. Key Assumptions and Methods for the Transportation Inventory and Projections**

<b>Vehicle Type and Pollutants</b>	<b>Methods</b>
<p><b>Onroad gasoline, diesel, natural gas, and LPG vehicles – CO<sub>2</sub></b></p>	<p><b>Inventory (1990 – 2005)</b> EPA SIT and fuel consumption from EIA SED</p> <p><b>Reference Case Projections (2006 – 2025)</b> Gasoline and diesel fuel projected using VMT projections from the WRAP, adjusted by fuel efficiency improvement projections from EPA. Other onroad fuels projected using Pacific Region fuel consumption projections from EIA AEO2008 adjusted using state-to-regional ratio of population growth.</p>
<p><b>Onroad gasoline and diesel vehicles – CH<sub>4</sub> and N<sub>2</sub>O</b></p>	<p><b>Inventory (1990 – 2005)</b> EPA SIT, onroad vehicle CH<sub>4</sub> and N<sub>2</sub>O emission factors by vehicle type and technology type within SIT were updated to the latest factors used in the U.S. EPA’s <i>Inventory of U.S. Greenhouse Gas Emissions and Sinks: 1990-2003</i>.</p> <p>State total VMT replaced with VMT provided by ADOT&amp;PF, VMT allocated to vehicle types using default data in SIT.</p> <p><b>Reference Case Projections (2006 – 2025)</b> VMT projections from WRAP.</p>
<p><b>Non-highway fuel consumption (jet aircraft, gasoline-fueled piston aircraft, boats, locomotives) – CO<sub>2</sub>, CH<sub>4</sub> and N<sub>2</sub>O</b></p>	<p><b>Inventory (1990 – 2005)</b> EPA SIT and fuel consumption from EIA SED. Commercial marine fuel consumption estimates from DEC and allocation from national fuel consumption estimates.</p> <p><b>Reference Case Projections (2003 – 2020)</b> Aircraft projected using aircraft operations projections from FAA and jet fuel efficiency improvement projections from AEO2008. Commercial marine projected using growth factors from DEC inventory.</p>

**Table C2. Alaska Vehicle Miles Traveled Compound Annual Growth Rates**

Vehicle Type	2005-2010	2010-2015	2015-2020	2020-2025
Heavy Duty Diesel Vehicle	2.92%	2.67%	2.54%	2.28%
Heavy Duty Gasoline Vehicle	1.75%	1.95%	2.28%	2.13%
Light Duty Diesel Truck	6.27%	9.98%	11.54%	10.32%
Light Duty Diesel Vehicle	6.27%	9.98%	11.54%	10.32%
Light Duty Gasoline Truck	1.05%	0.94%	0.77%	0.63%
Light Duty Gasoline Vehicle	1.05%	0.94%	0.77%	0.63%
Motorcycle	1.05%	0.94%	0.77%	0.63%

Onroad gasoline and diesel fuel consumption was forecasted by developing a set of growth factors that adjusted the VMT projections to account for improvements in fuel efficiency. Fuel efficiency projections were taken from EPA data. These projections suggest onroad fuel consumption growth rates of 0.7% per year for gasoline and 3.3% per year for diesel between 2005 and 2025.

Gasoline consumption estimates for 1990-2005 were adjusted by subtracting ethanol consumption. While the historical ethanol consumption suggests continued growth, projections for ethanol consumption in Alaska were not available. Therefore, ethanol consumption was assumed to remain at the 2005 level (1.7% of total gasoline) in the reference case projections. Biodiesel and other biofuel consumption were not considered in this inventory because historical and projection data were not available.

For the aircraft sector, emission estimates for 1990 to 2005 are based on SIT methods and fuel consumption from EIA. State-level fuel consumption projections for aviation fuels are not available; therefore, jet fuel and aviation gasoline emissions were projected from 2005 to 2025 using 2006 through 2025 aircraft operations forecasts from the Federal Aviation Administration's Terminal Area Forecast System (TAF).<sup>81</sup> A base-year of 2006 was used because the TAF data for 2005 were developed using a different scenario and were not consistent with the 2006-2025 data. The growth rate from 2005 to 2006 was assumed to be the same as the 2006-2010 average annual growth rate. Jet fuel emissions were projected using the sum of itinerant aircraft operations from air carrier, air taxi/commuter, general aviation, and military aircraft. The post-2005 commercial aircraft estimates were adjusted to reflect the projected increase in national aircraft fuel efficiency (indicated by increased number of seat miles per gallon), as reported in AEO2008. General aviation emissions were projected based on local general aviation aircraft operations forecasts. These projections resulted in the compound annual growth rates shown in Table C3.

**Table C3. Alaska Jet Fuel and Aviation Gasoline Compound Annual Growth Rates**

Vehicle Type	2005-2010	2010-2015	2015-2020	2020-2025
Jet Fuel	0.30%	0.26%	0.19%	0.30%
Aviation Gasoline	0.24%	0.25%	0.27%	0.29%

<sup>81</sup> Terminal Area Forecast, Federal Aviation Administration, <http://www.apo.data.faa.gov/main/taf.asp>.



Commercial marine fuel consumption was estimated using activity data and brake-specific fuel consumption factors (in units of gallons/kW-hr) from the commercial marine criteria pollutant inventory recently developed for DEC.<sup>82</sup> This inventory covers nine major ports in Alaska. Fuel consumption for the remaining ports was developed by allocating 1990-2005 national diesel and residual oil vessel bunkering fuel consumption estimates obtained from EIA.<sup>83</sup> Marine vessel fuel consumption was allocated to each area using the marine vessel activity allocation methods/data compiled to support the development of EPA's National Emissions Inventory (NEI).<sup>84</sup> In keeping with the NEI, 75 percent of each year's distillate fuel and 25 percent of each year's residual fuel were assumed to be consumed within the port area (remaining consumption is assumed to occur while ships are underway). National port area fuel consumption was allocated to these areas based on year-specific freight tonnage data reported in "Waterborne Commerce in the United States Waterways and Harbors".<sup>85</sup> Freight tonnage for the nine major ports covered by the DEC inventory was subtracted from the state total freight tonnage to give the remainder. Offshore CO<sub>2</sub> emissions and fuel consumption for the Alaska's exclusive economic zone (EEZ) were considered for inclusion in the inventory. Data are available from a study by Corbett for the Commission for Environmental Cooperation in North America (CEC).<sup>86</sup> These emissions were ultimately not included in the inventory because only a very small percentage (1-2%) of ships passing through Alaska's EEZ actually call at Alaskan ports. 2002 fuel consumption from the DEC inventory was scaled to other years using freight tonnage data. Emissions were then estimated from fuel consumption estimates using SIT emissions factors for marine diesel and residual fuels. Emissions were projected using growth factors from the DEC inventory.

For rail and marine gasoline, 1990 – 2005 estimates are based on SIT methods and fuel consumption from EIA. For rail, the historic data show no significant positive or negative trend; therefore, no growth was assumed for this sector. Marine gasoline projections were based on the 1994-2004 historical trend. Marine gasoline consumption estimates for 1990-1993 were significantly higher than subsequent years; therefore, these years were not included in the trend analysis.

Fuel consumption data from EIA includes nonroad gasoline and diesel fuel consumption in the commercial and industrial sectors. Therefore, nonroad emissions are included in the RCI emissions in this inventory (see Appendix B). Table C2 shows how EIA divides gasoline and diesel fuel consumption between the transportation, commercial, and industrial sectors.

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<sup>82</sup> Commercial Marine Inventories for Select Alaskan Ports, prepared by E.H. Pechan & Associates, prepared for the Alaska Department of Environmental Conservation, June, 2005.

<sup>83</sup> U.S. Department of Energy, Energy Information Administration, "Petroleum Navigator" (diesel data obtained from <http://tonto.eia.doe.gov/dnav/pet/hist/kd0vabnus1a.htm>; residual data obtained from <http://tonto.eia.doe.gov/dnav/pet/hist/kprvatnus1a.htm>).

<sup>84</sup> See methods described in

[ftp://ftp.epa.gov/EmisInventory/2002finalnei/documentation/mobile/2002nei\\_mobile\\_nonroad\\_methods.pdf](ftp://ftp.epa.gov/EmisInventory/2002finalnei/documentation/mobile/2002nei_mobile_nonroad_methods.pdf)

<sup>85</sup> Waterborne Commerce Statistics Center, <http://www.iwr.usace.army.mil/ndc/wcsc/wcsc.htm>.

<sup>86</sup> Estimate, Validation, and Forecasts of Regional Commercial Marine Vessel Inventories, submitted by J. Corbett, prepared for the California Air Resources Board, California Environmental Protection Agency, and Commission for Environmental Cooperation in North America, <http://coast.cms.udel.edu/NorthAmericanSTEEM/>.

**Table C2. EIA Classification of Gasoline and Diesel Consumption**

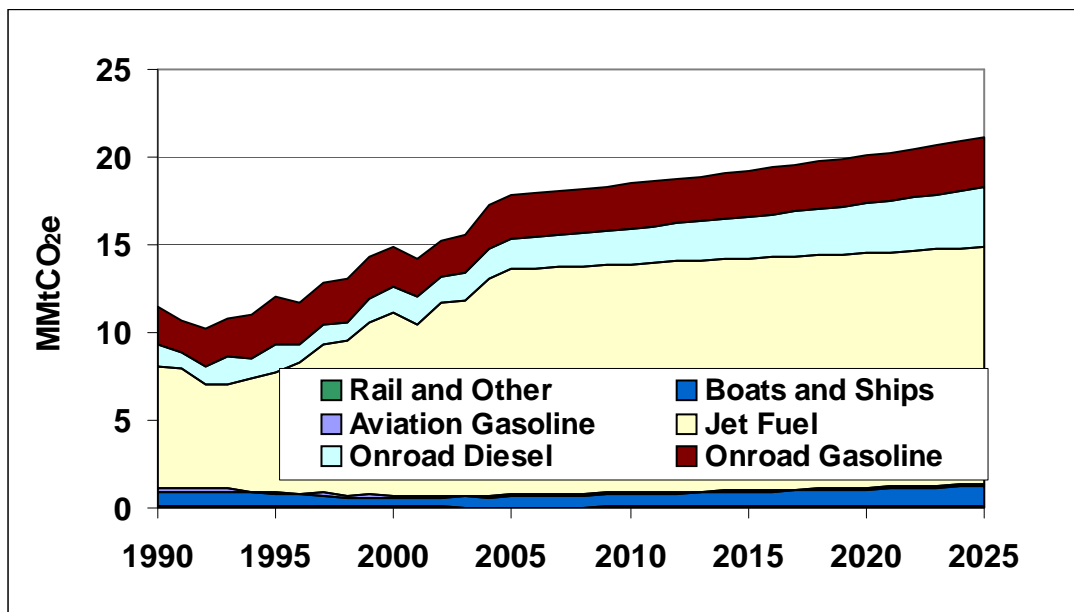
<b>Sector</b>	<b>Gasoline Consumption</b>	<b>Diesel Consumption</b>
Transportation	Highway vehicles, marine	Vessel bunkering, military use, railroad, highway vehicles
Commercial	Public non-highway, miscellaneous use	Commercial use for space heating, water heating, and cooking
Industrial	Agricultural use, construction, industrial and commercial use	Industrial use, agricultural use, oil company use, off-highway vehicles

## **Results**

As shown in Figure C1 and table C3, jet fuel consumption accounts for the largest share of transportation GHG emissions. Emissions from jet fuel consumption increased by about 84% from 1990 to 2005 to account for 72% of total transportation emissions in 2005. Emissions from onroad gasoline grew by about 15% from 1990 to 2005 and onroad diesel grew by 37% during this period. In 2005, onroad gasoline and diesel accounted for 14% and 10% of total transportation emissions, respectively. GHG emissions from marine fuel consumption decreased by 44% from 1990 to 2005, and in 2005 accounted for 3.5% of GHG emissions from the transportation sector. Emissions from all other categories combined (aviation gasoline, locomotives, natural gas and LPG, and oxidation of lubricants) contributed slightly 0.9% of total transportation emissions in 2005. Total transportation emissions increased 55% from 1990 to 2005, from 11.5 MMtCO<sub>2</sub>e to 17.8 MMtCO<sub>2</sub>e.

GHG emissions from jet fuel are projected to increase by an additional 5% between 2005 and 2025. Emissions resulting from onroad gasoline consumption are projected to increase by about 16%, and emissions from onroad diesel consumption are expected to increase by 92% between 2005 and 2025. Emissions from boats and ships are projected to increase by 21% during this period. Overall, total transportation GHG emissions are expected to increase to 21.1 MMtCO<sub>2</sub>e in 2025, an increase of 18% over the 2005 Alaska transportation emissions.

**Figure C1. Transportation GHG Emissions by Fuel, 1990-2020**



**Table C3. Transportation Emissions Inventory and Reference Case Projections (MMtCO<sub>2</sub>e)**

Subsector	1990	1995	2000	2005	2010	2015	2020	2025
Onroad Gasoline	2.12	2.65	2.22	2.43	2.52	2.63	2.73	2.81
Onroad Diesel	1.29	1.59	1.49	1.76	2.03	2.38	2.84	3.39
Jet Fuel	6.98	6.80	10.41	12.84	13.04	13.21	13.33	13.54
Aviation Gasoline	0.174	0.138	0.184	0.098	0.102	0.106	0.110	0.113
Boats and Ships	0.828	0.745	0.476	0.614	0.723	0.850	0.999	1.174
Rail and Other	0.082	0.065	0.075	0.056	0.057	0.060	0.062	0.063
<b>Total</b>	<b>11.47</b>	<b>11.99</b>	<b>14.86</b>	<b>17.80</b>	<b>18.47</b>	<b>19.23</b>	<b>20.07</b>	<b>21.09</b>

Source: CCS calculations based on approach described in text.

## Key Uncertainties

### Projections of Vehicle Miles of Travel (VMT) and Biofuels Consumption

One source of uncertainty is the future year vehicle mix, which was calculated based on national growth rates for specific vehicle types. These growth rates may not reflect vehicle-specific VMT growth rates for the state. Also, onroad gasoline and diesel growth rates may be slightly overestimated because increased consumption of biofuels between 2005 and 2020 was not taken into account (due to a lack of data).

### Uncertainties in Aviation Fuel Consumption

The consumption of international bunker fuels included in jet fuel consumption from EIA is another uncertainty. This fuel consumption associated with international air flights should not be included in the state inventory (as much of it is actually consumed out of state); however, data were not available to subtract this consumption from total jet fuel estimates. Another uncertainty

associated with aviation emissions is the use of national seat miles per gallon data to adjust for increases in commercial aircraft fuel efficiency.

## Appendix D. Industrial Processes

### Overview

Emissions in the industrial processes category span a wide range of activities, and reflect non-combustion sources of greenhouse gas (GHG) emissions from several industrial processes. The industrial processes that exist in Alaska, and for which emissions are estimated in this inventory, include the following:

- Carbon Dioxide (CO<sub>2</sub>) from:
  - Consumption of limestone, dolomite, and soda ash;
- SF<sub>6</sub> from transformers used in electric power transmission and distribution (T&D) systems; and
- HFCs and PFCs from consumption of substitutes for ozone-depleting substances (ODS) used in cooling and refrigeration equipment.

Other industrial processes that are sources of GHG emissions but are not found in Alaska include the following:

- Carbon Dioxide (CO<sub>2</sub>) from:
  - Production of cement, lime, and soda ash
- Nitrous oxide (N<sub>2</sub>O) from nitric and adipic acid production;
- Hydrofluorocarbons (HFCs), perfluorocarbons (PFCs), and sulfur hexafluoride (SF<sub>6</sub>) from semiconductor manufacture;
- PFCs from aluminum production;
- HFCs from HCFC-22 production; and
- SF<sub>6</sub> from magnesium production and processing.

### Emissions and Reference Case Projections

GHG emissions for 1990 through 2005 were estimated using the State Greenhouse Gas Inventory Tool (SIT) and the methods provided in the Emissions Inventory Improvement Project (EIIP) guidance document for this sector.<sup>87</sup> Table D1 identifies for each emissions source category the information needed for input into SIT to calculate emissions, the data sources used, and the historical years for which emissions were calculated based on the availability of data. Table D2 lists the data sources used to quantify activities related to industrial process emissions, the annual compound growth rates implied by estimates of future activity used, and the years for which the reference case projections were calculated.

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<sup>87</sup> GHG emissions were calculated using SIT, with reference to the Emission Inventory Improvement Program, Volume VIII: Chapter. 6. “Methods for Estimating Non-Energy Greenhouse Gas Emissions from Industrial Processes”, August 2004. This document is referred to as “EIIP” below.

**Table D1. Approach to Estimating Historical Emissions**

<b>Source Category</b>	<b>Time Period</b>	<b>Required Data for SIT</b>	<b>Data Source</b>
Limestone and Dolomite Consumption	1994 - 2002	Consumption of limestone and dolomite by industrial sectors.	For default data, the state's total limestone consumption (as reported by USGS) is multiplied by the ratio of national limestone consumption for industrial uses to total national limestone consumption. Additional information on these calculations, including a definition of industrial uses, is available in Chapter 6 of the EIP guidance (see footnote 1 for reference to EIP guidance document).
Soda Ash	1990 - 2002	Consumption of soda ash used in consumer products such as glass, soap and detergents, paper, textiles, and food. Emissions based on state's population and estimates of emissions per capita from the US EPA national GHG inventory.	<i>USGS Minerals Yearbook, 2004: Volume I, Metals and Minerals</i> , ( <a href="http://minerals.usgs.gov/minerals/pubs/commodity/soda_ash/">http://minerals.usgs.gov/minerals/pubs/commodity/soda_ash/</a> ).  For population data, see references for ODS substitutes.
ODS Substitutes	1990 - 2002	Based on state's population and estimates of emissions per capita from the US EPA national GHG inventory.	-- Population data from the Alaska Department of Labor and Workforce Development, "Workforce Information," Home ( <a href="http://almis.labor.state.ak.us/">http://almis.labor.state.ak.us/</a> ), Population & Census, Estimates & Projections, Population Data Tables: ---- Data for 1990 through 2000 found under Alaska Population Estimates 2000-2006, Historical Estimates (at bottom of page), Select Borough and Census Area 1990-2000, in Excel file named "02T2-1a.xls." ---- Data for 2001 through 2005 found under Alaska Population Estimates 2000-2006, Vintage 2005 Estimates, Borough and Census Area Estimates, Population by Labor Market Area, Borough and Census Area, and Components of Change, 1990-2005 in Excel file named "05t2-1.xls." -- Data for 2006 through 2029 found under Alaska Population Projections (2005-2029), February 2005 issue of Alaska Economic Trends, in PDF file named "feb05.pdf" (Projections for Alaska population 2005–2029, Table 5. Population Growth Projections Alaska 2005–2029, Medium Population Values in Table 5 used for forecast). -- US 1990-2000 population from US Census Bureau ( <a href="http://www.census.gov/popest/archives/EST90INTERCENSAL/US-EST90INT-01.html">http://www.census.gov/popest/archives/EST90INTERCENSAL/US-EST90INT-01.html</a> ). -- US 2000-2005 population from US Census Bureau ( <a href="http://www.census.gov/population/projections/SummaryTabA1.xls">http://www.census.gov/population/projections/SummaryTabA1.xls</a> ).
Electric Power T&D Systems	1990 - 2002	Emissions from 1990 to 2002 based on the national emissions per kilowatt-hour (kWh) and state's electricity use.	National emissions per kWh from US EPA <i>2005 Inventory of US Greenhouse Gas Emissions and Sinks: 1990-2003</i> ( <a href="http://www.epa.gov/climatechange/emissions/usgginv_archive.html">http://www.epa.gov/climatechange/emissions/usgginv_archive.html</a> ).

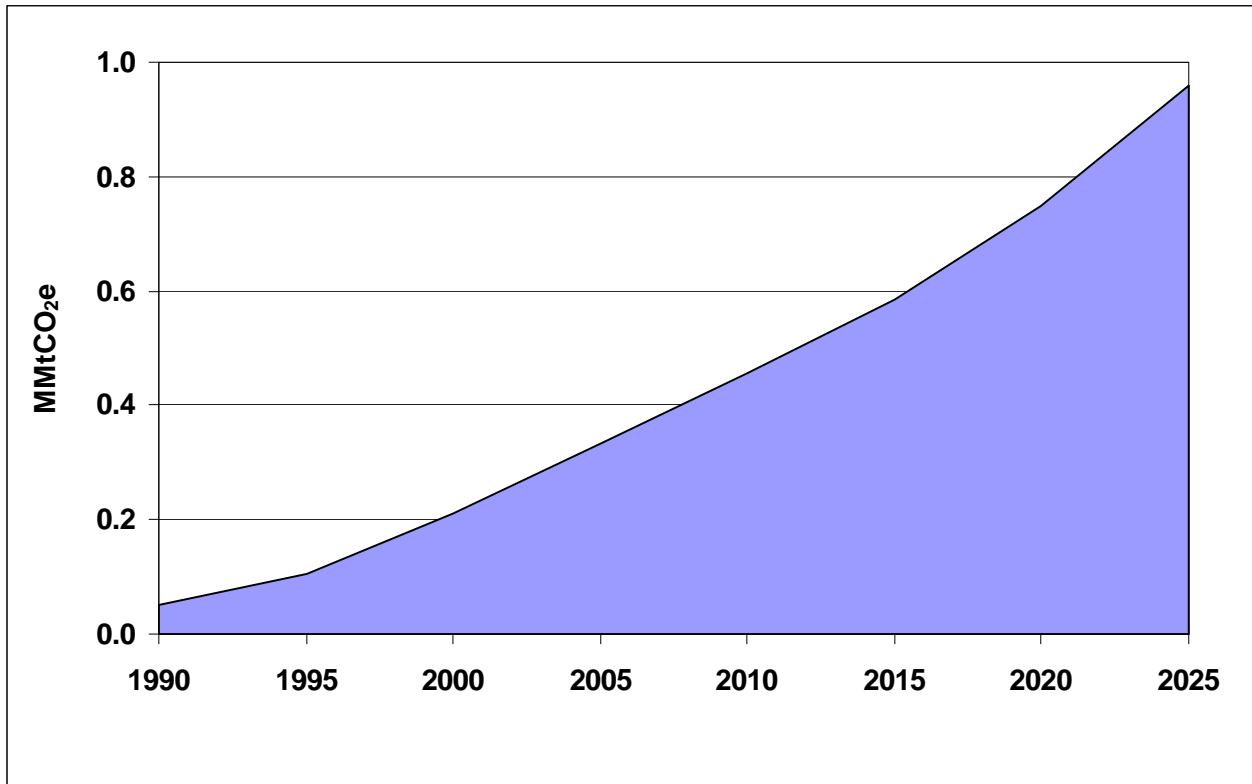
**Table D2. Approach to Estimating Projections**

Source Category	Time Period	Projection Assumptions	Data Source	Annual Growth Rates (%)			
				2000 to 2005	2005 to 2010	2010 to 2015	2015 to 2025
Limestone and Dolomite Consumption	2003 - 2020	Compound annual growth rate for Alaska's employment projections for goods-producing sector (2004-2014). Assumed growth is same for 2015 – 2020 as in previous periods.	Alaska Department of Labor and Workforce Development, "Workforce Information," Industry Forecasts ( <a href="http://almis.labor.state.ak.us/">http://almis.labor.state.ak.us/</a> ).	0.95	0.95	0.95	0.95
Soda Ash Consumption	2003 - 2020	Growth between 2004 and 2009 is projected to be about 0.5% per year for US production. Assumed growth is same for 2010 – 2020.	<i>Minerals Yearbook, 2005: Volume I, Soda Ash</i> , ( <a href="http://minerals.usgs.gov/minerals/pubs/commodity/soda_ash/soda_myb05.pdf">http://minerals.usgs.gov/minerals/pubs/commodity/soda_ash/soda_myb05.pdf</a> ).	0.5	0.5	0.5	0.5
ODS Substitutes	2003 - 2020	Based on national growth rate for use of ODS substitutes.	EPA, 2004 ODS substitutes cost study report ( <a href="http://www.epa.gov/ozone/snap/emissions/TMP6si9htnvca.htm">http://www.epa.gov/ozone/snap/emissions/TMP6si9htnvca.htm</a> ).	15.8	7.9	5.8	5.3
Electric Power T&D Systems	2003 - 2020	National growth rate (based on aggregate for all stewardship program categories provided in referenced data source).	U.S. Department of State, <i>U.S. Climate Action Report</i> , May 2002, Washington, D.C., May 2002 (Table 5-7). ( <a href="http://yosemite.epa.gov/oar/globalwarming.nsf/UniqueKeyLookup/SHSU5BNQ76/\$File/ch5.pdf">http://yosemite.epa.gov/oar/globalwarming.nsf/UniqueKeyLookup/SHSU5BNQ76/\$File/ch5.pdf</a> ).	3.3	-6.2	-9.0	-2.8

## Results

Figures D1 and D2 and Table D3 show historic and projected emissions for the Alaska industrial processes sector from 1990 to 2025. Total gross GHG emissions were about 0.33 million metric tons (MMt) of carbon dioxide equivalent (CO<sub>2</sub>e) in 2005 (0.7% of gross Alaska GHG emissions in 2005), rising to about 0.96 MMTCO<sub>2</sub>e in 2025 (1.5% of gross Alaska GHG emissions in 2025). Emissions from the overall industrial processes category are expected to grow rapidly, as shown in Figures D1 and D2, with emissions growth almost entirely due to the increasing use of HFCs and PFCs in refrigeration and air conditioning equipment.

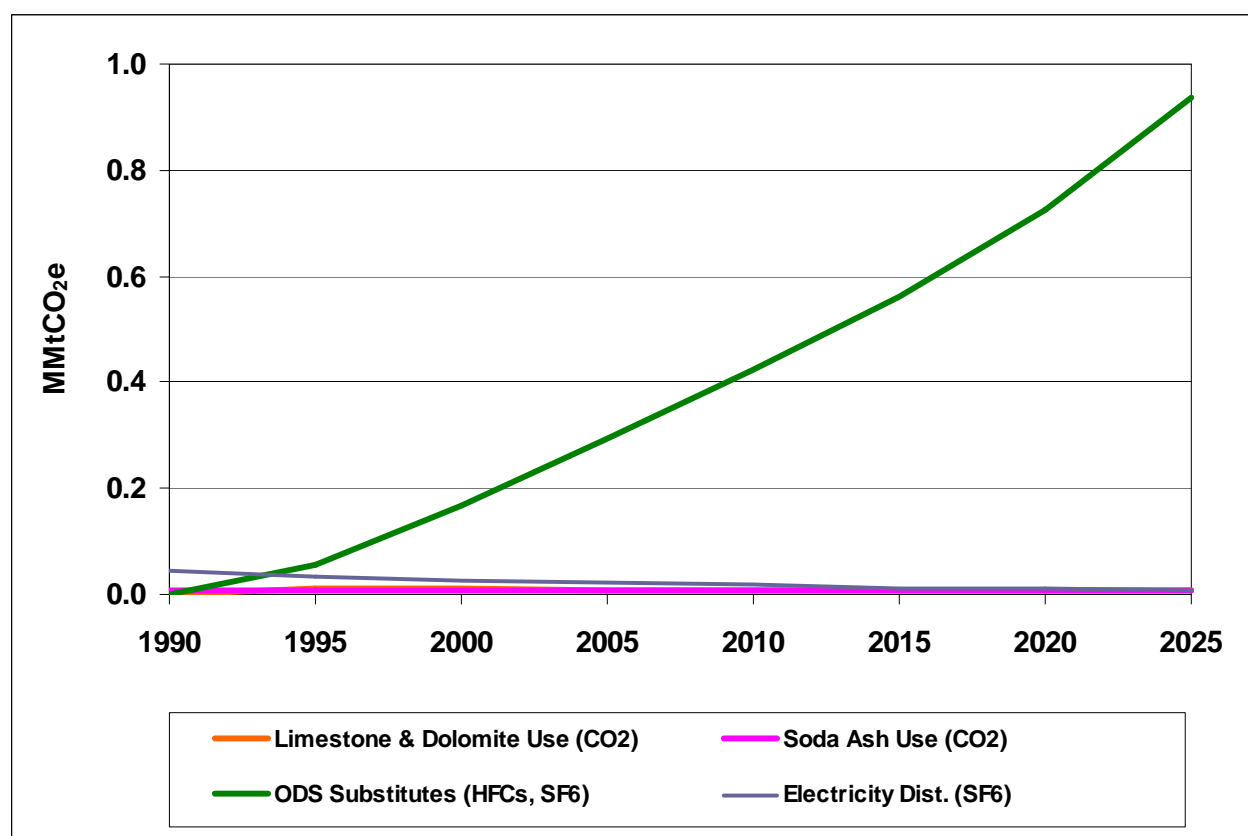
Figure D1. GHG Emissions from Industrial Processes, 1990-2025



Source: CCS calculations based on approach described in text.



**Figure D2. GHG Emissions from Industrial Processes, 1990-2025, by Source**



Source: CCS calculations based on approach described in text.

**Table D3. Industrial Processes Emissions Inventory and Reference Case Projections (MMtCO<sub>2</sub>e)**

Source Category	1990	1995	2000	2005	2010	2015	2020	2025
Limestone & Dolomite Use (CO <sub>2</sub> )	-	0.0126	-	0.0077	0.0080	0.0084	0.0088	0.0092
Soda Ash Use (CO <sub>2</sub> )	0.0060	0.0062	0.0059	0.0060	0.0062	0.0063	0.0065	0.0067
ODS Substitutes (HFCs, SF <sub>6</sub> )	0.0007	0.0548	0.1668	0.2951	0.4236	0.5597	0.7237	0.9358
Electricity Distribution (SF <sub>6</sub> )	0.0443	0.0333	0.0247	0.0238	0.0168	0.0112	0.0097	0.0084
<b>Total</b>	<b>0.0511</b>	<b>0.1069</b>	<b>0.1974</b>	<b>0.3326</b>	<b>0.4547</b>	<b>0.5857</b>	<b>0.7488</b>	<b>0.9601</b>

*Substitutes for Ozone-Depleting Substances (ODS)*

HFCs and PFCs are used as substitutes for ODS, most notably CFCs (CFCs are also potent warming gases with global warming potentials on the order of thousands of times that of CO<sub>2</sub> per unit of emissions) in compliance with the *Montreal Protocol* and the *Clean Air Act Amendments*

of 1990.<sup>88</sup> Even low amounts of HFC and PFC emissions, for example, from leaks and other releases associated with normal use of the products, can lead to high GHG emissions on a carbon-equivalent basis. Emissions from the use of ODS substitutes in Alaska were calculated using the default methods in SIT (see dark green line in Figure D2). Emissions have increased from 0.0007 MMtCO<sub>2e</sub> in 1990 to about 0.30 MMtCO<sub>2e</sub> in 2005, and are expected to increase at an average rate of 5.9% per year from 2000 to 2025 due to increased substitutions of these gases for ODS. The projected rate of increase for these emissions is based on projections for national emissions from the US EPA report referenced in Table D2.

#### *Electricity Distribution*

Emissions of SF<sub>6</sub> from electrical equipment have experienced declines since the early nineties (see gray line in Figure D2), mostly due to voluntary action by industry. SF<sub>6</sub> is used as an electrical insulator and interrupter in electricity T&D systems. Emissions for Alaska from 1990 to 2005 were estimated based on the estimates of emissions per kilowatt-hour (kWh) from the US EPA GHG inventory and on Alaska's electricity consumption estimates provided in SIT. The *US Climate Action Report* shows expected decreases in these emissions at the national level, and the same rate of decline is assumed for emissions in Alaska. The decline in SF<sub>6</sub> emissions in the future reflects expectations of future actions by the electric industry to reduce these emissions.

#### *Limestone and Dolomite Consumption*

Limestone and dolomite are basic raw materials used by a wide variety of industries, including the construction, agriculture, chemical, glass manufacturing, and environmental pollution control industries, as well as in metallurgical industries such as magnesium production.<sup>89</sup> Recent historical data for Alaska were not available from the USGS; consequently, the default data provided in SIT were used to calculate emissions for Alaska (see orange line in Figure D2). The employment growth rate for Alaska's goods-producing sector (i.e., 0.95% annual) was used to project emissions to 2025. Relative to total industrial non-combustion process emissions, emissions associated with limestone and dolomite consumption are low (about 0.008 MMtCO<sub>2e</sub> in 2005 and 0.009 MMtCO<sub>2e</sub> in 2025), and therefore, appear near the bottom of the graph in Figure D2. Note that for this sector, SIT did not contain default consumption data for Alaska for 1990 through 1994 and for 2000.

#### *Soda Ash Consumption*

Commercial soda ash (sodium carbonate) is used in many consumer products such as glass, soap and detergents, paper, textiles, and food. CO<sub>2</sub> is also released when soda ash is consumed (see footnote 1 for reference to EIIP guidance document). SIT estimates historical emissions (see dark pink line in Figure D2) based on the state's population and national per capita emissions from the

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<sup>88</sup> As noted in EIIP Chapter 6, ODS substitutes are primarily associated with refrigeration and air conditioning, but also many other uses including as fire control agents, cleaning solvents, aerosols, foam blowing agents, and in sterilization applications. The applications, stocks, and emissions of ODS substitutes depend on technology characteristics in a range of equipment. For the US national inventory, a detailed stock vintaging model was used, but this modeling approach has not been completed at the state level.

<sup>89</sup> In accordance with EIIP Chapter 6 methods, emissions associated with the following uses of limestone and dolomite are not included in this category: (1) crushed limestone consumed for road construction or similar uses (because these uses do not result in CO<sub>2</sub> emissions), (2) limestone used for agricultural purposes (which is counted under the methods for the agricultural sector), and (3) limestone used in cement production (which is counted in the methods for cement production).

US EPA national GHG inventory. According to the USGS, this industry is expected to grow at an annual rate of 0.5% from 2004 through 2009 for the U.S. as a whole. Information on growth trends for years later than 2009 was not available; therefore, the same 0.5% annual growth rate was applied for estimating emissions to 2025. Relative to total industrial non-combustion process emissions, emissions associated with soda ash consumption are low (about 0.006 MMtCO<sub>2e</sub> in 1990 and 0.007 MMtCO<sub>2e</sub> in 2025).

## Key Uncertainties

Key sources of uncertainty underlying the estimates above are as follows:

- Since emissions from industrial processes are determined by the level of production in and the production processes of a few key industries, and, in some cases, of a few key plants, there is relatively high uncertainty regarding future emissions from the industrial processes category as a whole. Future emissions depend on the competitiveness of Alaskan manufacturers in these industries, and the specific nature of the production processes used in plants in Alaska.
- The projected largest source of future industrial emissions, HFCs and PFCs used in cooling applications, is subject to several uncertainties as well. First, historical emissions are based on national estimates; Alaska-specific estimates are currently unavailable. For example, emissions will be driven by future choices regarding mobile and stationary air conditioning technologies and the use of refrigerants in commercial applications, for which several options currently exist.
- Historical consumption estimates for limestone and dolomite and for soda ash are highly uncertain. Future work should include efforts to improve the historical consumption estimates.
- Greenhouse gases are emitted from several additional industrial processes that are not covered in the EIIP guidance documents, due in part to a lack of sufficient state data on non-energy uses of fossil fuels for these industrial processes. These sources include:
  - Iron and Steel Production (CO<sub>2</sub> and CH<sub>4</sub>);
  - Ammonia Manufacture and Urea Application (CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O);
  - Aluminum Production (CO<sub>2</sub>);
  - Titanium Dioxide Production (CO<sub>2</sub>);
  - Phosphoric Acid Production (CO<sub>2</sub>);
  - CO<sub>2</sub> Consumption (CO<sub>2</sub>);
  - Ferroalloy Production (CO<sub>2</sub>);
  - Petrochemical Production (CH<sub>4</sub>); and
  - Silicon Carbide Production (CH<sub>4</sub>).

The CO<sub>2</sub> emissions from the above processes (those listed as CO<sub>2</sub> sources—with the exception of CO<sub>2</sub> consumption and phosphoric acid production) result from the non-energy use of fossil fuels.

Although the US EPA estimates emissions for these industries on a national basis, US EPA has not developed methods for estimating the emissions at the state level due to data limitations. If state-level data on non-energy uses of fuels become available, future work should include an assessment of emissions for these source categories.

## Appendix E. Agriculture

### Overview

The emissions discussed in this appendix refer to non-energy methane (CH<sub>4</sub>) and nitrous oxide (N<sub>2</sub>O) emissions from enteric fermentation, manure management, and agricultural soils. Emissions and sinks of carbon in agricultural soils are also covered. Energy emissions (combustion of fossil fuels in agricultural equipment) are included in the residential, commercial, and industrial (RCI) fuel consumption sector estimates.

There are two livestock sources of greenhouse gas (GHG) emissions: enteric fermentation and manure management. Methane emissions from enteric fermentation are the result of normal digestive processes in ruminant and non-ruminant livestock. Microbes in the animal digestive system breakdown food and emit CH<sub>4</sub> as a by-product. More CH<sub>4</sub> is produced in ruminant livestock because of digestive activity in the large fore-stomach. Methane and N<sub>2</sub>O emissions from the storage and treatment of livestock manure (e.g., in compost piles or anaerobic treatment lagoons) occur as a result of manure decomposition. The environmental conditions of decomposition drive the relative magnitude of emissions. In general, the more anaerobic the conditions are, the more CH<sub>4</sub> is produced because decomposition is aided by CH<sub>4</sub> producing bacteria that thrive in oxygen-limited conditions. Under aerobic conditions, N<sub>2</sub>O emissions are dominant. Emissions estimates from manure management are based on manure that is stored and treated on livestock operations. Emissions from manure that is applied to agricultural soils as an amendment or deposited directly to pasture and grazing land by grazing animals are accounted for in the agricultural soils emissions.

The management of agricultural soils can result in N<sub>2</sub>O emissions and net fluxes of carbon dioxide (CO<sub>2</sub>) causing emissions or sinks. In general, soil amendments that add nitrogen to soils can also result in N<sub>2</sub>O emissions. Nitrogen additions drive underlying soil nitrification and denitrification cycles, which produce N<sub>2</sub>O as a by-product. The emissions estimation methodologies used in this inventory account for several sources of N<sub>2</sub>O emissions from agricultural soils, including decomposition of crop residues, synthetic and organic fertilizer application, manure application, sewage sludge, nitrogen fixation, and histosols (high organic soils, such as wetlands or peatlands) cultivation. Both direct and indirect emissions of N<sub>2</sub>O occur from the application of manure, fertilizer, and sewage sludge to agricultural soils. Direct emissions occur at the site of application and indirect emissions occur when nitrogen leaches to groundwater or in surface runoff and is transported off-site before entering the nitrification/denitrification cycle. Methane and N<sub>2</sub>O emissions also result when crop residues are burned. Methane emissions occur during rice cultivation; however, rice is not grown in Alaska.

The net flux of CO<sub>2</sub> in agricultural soils depends on the balance of carbon losses from management practices and gains from organic matter inputs to the soil. Carbon dioxide is absorbed by plants through photosynthesis and ultimately becomes the carbon source for organic matter inputs to agricultural soils. When inputs are greater than losses, the soil accumulates carbon and there is a net sink of CO<sub>2</sub> into agricultural soils. In addition, soil disturbance from the cultivation of histosols releases large stores of carbon from the soil to the atmosphere. Finally, the practice of adding limestone and dolomite to agricultural soils results in CO<sub>2</sub> emissions.

## Emissions and Reference Case Projections

### *Methane and Nitrous Oxide*

GHG emissions for 1990 through 2005 were estimated using the United States Environmental Protection Agency's (US EPA) State Greenhouse Gas Inventory Tool (SIT) and the methods provided in the Emission Inventory Improvement Program (EIIP) guidance document for the sector.<sup>90</sup> In general, the SIT methodology applies emission factors developed for the US to activity data for the agriculture sector. Activity data include livestock population statistics, amounts of fertilizer applied to crops, and trends in manure management practices. This methodology is based on international guidelines developed by sector experts for preparing GHG emissions inventories.<sup>91</sup>

Data on crop production in Alaska from 1990 to 2005 and the number of animals in the state from 1990 to 2002 were obtained from the United States Department of Agriculture (USDA), National Agriculture Statistical Service (NASS) and incorporated as defaults in SIT.<sup>92</sup> Future reference case emissions from enteric fermentation and manure management were estimated based on the annual growth rate in emissions (million metric ton [MMt] carbon dioxide equivalent [CO<sub>2</sub>e] basis) associated with historical livestock populations in Alaska for 1990 to 2002. The default data in SIT accounting for the percentage of each livestock category using each type of manure management system was used for this inventory. Default SIT assumptions were available for 1990 through 2002.

Data on fertilizer usage came from *Commercial Fertilizers*, a report from the Fertilizer Institute. Data on crop production in Alaska from 1990 to 2005 from the USDA NASS were used to calculate N<sub>2</sub>O emissions from crop residues and CH<sub>4</sub> emissions from agricultural residue burning through 2005. Emissions for the other agricultural crop production categories (i.e., synthetic and organic fertilizers) were calculated through 2002. Production data from NASS was available for only two (i.e., barley and oats) of the types of crops included in SIT, and these crops do not use nitrogen; therefore, N<sub>2</sub>O emissions were not estimated for crops that use nitrogen (i.e., nitrogen fixation). Also, data were not available to estimate nitrogen released by the cultivation of histosols (i.e., the number of acres of high organic content soils). In addition,

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<sup>90</sup> GHG emissions were calculated using SIT, with reference to EIIP, Volume VIII: Chapter 8. "Methods for Estimating Greenhouse Gas Emissions from Livestock Manure Management", August 2004; Chapter 10. "Methods for Estimating Greenhouse Gas Emissions from Agricultural Soil Management", August 2004; and Chapter 11. "Methods for Estimating Greenhouse Gas Emissions from Field Burning of Agricultural Residues", August 2004.

<sup>91</sup> Revised 1996 Intergovernmental Panel on Climate Change Guidelines for National Greenhouse Gas Inventories, published by the National Greenhouse Gas Inventory Program of the IPCC, available at <http://www.ipcc-nggip.iges.or.jp/public/gl/invs1.htm>; and Good Practice Guidance and Uncertainty Management in National Greenhouse Gas Inventories, published in 2000 by the National Greenhouse Gas Inventory Program of the IPCC, available at: <http://www.ipcc-nggip.iges.or.jp/public/gp/english/>.

<sup>92</sup> USDA, NASS ([http://www.nass.usda.gov/Statistics\\_by\\_State/Alaska/index.asp](http://www.nass.usda.gov/Statistics_by_State/Alaska/index.asp)).

net carbon fluxes from agricultural soils are not reported in the US Inventory of Greenhouse Gas Emissions and Sinks<sup>93</sup> and the US Agriculture and Forestry Greenhouse Gas Inventory.

There is some agricultural residue burning conducted in Alaska. The SIT methodology calculates emissions by multiplying the amount (e.g., bushels or tons) of each crop produced by a series of factors to calculate the amount of crop residue produced and burned, the resultant dry matter, and the carbon/nitrogen content of the dry matter. For Alaska, the default SIT method was used to calculate emissions because activity data in the form used in the SIT were not readily available. Future work on this category should include an assessment to refine the SIT default assumptions.

Table E1 shows the annual growth rates applied to estimate the reference case projections by agricultural sector. Emissions from enteric fermentation and agricultural soils were projected based on the annual growth rate in historical emissions (MMtCO<sub>2</sub>e basis) for these categories in Alaska for 1990 to 2002 (1990 to 2005 for crop residues and nitrogen fixing crops). For crop residues, data for 1990 through 1993 were not available; therefore, the annual growth rate is based on the last 11 years for which historical emissions were calculated. Note that during 2000, weather conditions caused a significant decline in barley and oat production (both the number of acres harvested and yields); however, production of these crops recovered to typical levels in 2001 through 2005.<sup>94</sup>

**Table E1. Growth Rates Applied for the Agricultural Sector**

<b>Agricultural Category</b>	<b>Growth Rate</b>	<b>Basis for Annual Growth Rate*</b>
Enteric Fermentation	2.7%	Historical emissions for 1990-2002.
Manure Management	6.1%	Historical emissions for 1997-2002.
Agricultural Burning	0.0%	Assumed no growth.
<b>Agricultural Soils – Direct Emissions</b>		
Fertilizers	-4.3%	Historical emissions for 1990-2002.
Crop Residues	2.0%	Historical emissions for 1994-2005.
Nitrogen-Fixing Crops	0.0%	No historical data available.
Histosols	0.0%	No historical data available.
Livestock	2.1%	Historical emissions for 1990-2002.
<b>Agricultural Soils – Indirect Emissions</b>		
Fertilizers	-4.3%	Historical emissions for 1990-2002.
Livestock	2.4%	Historical emissions for 1990-2002.
Leaching/Runoff	-2.8%	Historical emissions for 1990-2002.

\* Except for manure management and crop residues, compound annual growth rates shown in this table were calculated using the growth rate in historical emissions (MMtCO<sub>2</sub>e basis) from 1990 through the most recent year of data. These growth rates were applied to forecast emissions from the latest year of data to 2020. For crop residues, data for 1990 through 1993 were not available; therefore, the annual growth rate is based on the last 11 years for which historical emissions were calculated. For manure management, the growth rate is based on emissions calculated for 1997-2002 (see text for explanation).

<sup>93</sup> US Inventory of Greenhouse Gas Emissions and Sinks: 1990-2004 (and earlier editions), US Environmental Protection Agency, Report # 430-R-06-002, April 2006. Available at: <http://www.epa.gov/climatechange/emissions/usinventoryreport.html>.

<sup>94</sup> Alaska Agricultural Statistics 2001, prepared by Alaska Agricultural Statistics Service, USDA, Palmer, Alaska.

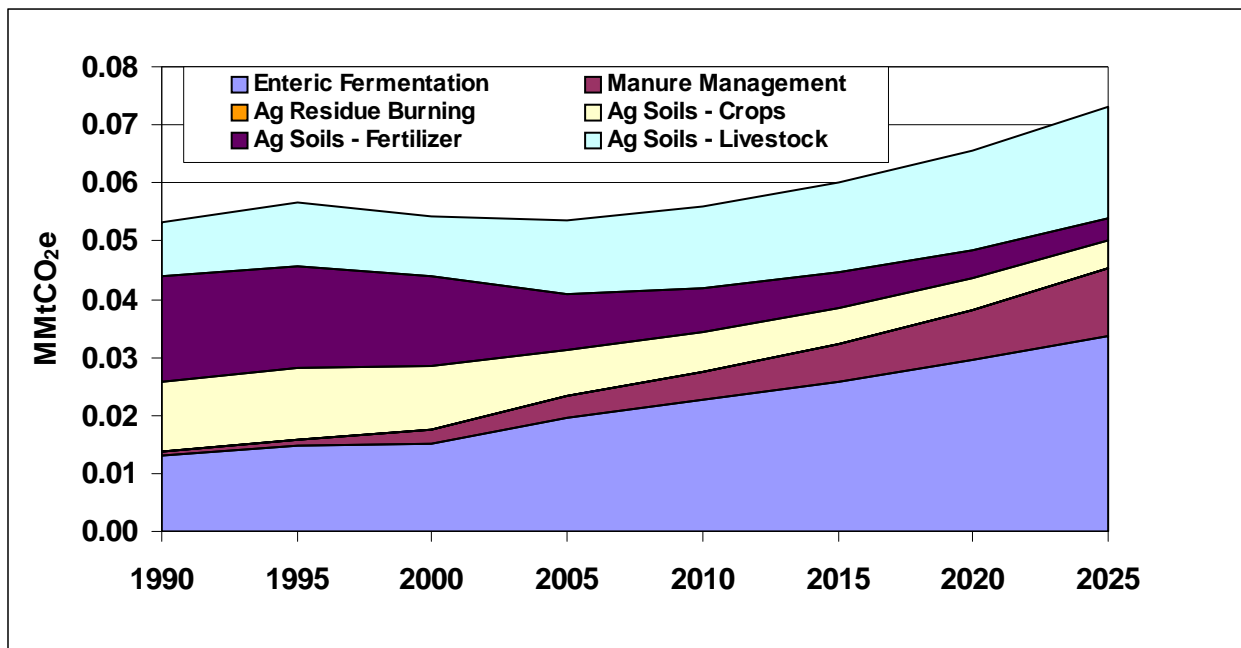


For manure management, the 12-year historical growth rate is 15.4% and the 5-year growth rate (based on 1997 through 2002 emissions) is 6.1%. The high 12-year growth rate is driven by changes in the SIT assumptions on the types of manure management systems applied for dairy cattle and heifers. For dairy cattle and heifers, the proportion of manure managed in systems that yield higher GHG emissions (e.g., anaerobic lagoons and liquid slurry) than other systems (e.g., pasture) increased from 0% in 1990 to over about 70% for 1997 through 2002. For this analysis, the 5-year growth rate was assumed to be more representative of future manure management practices in Alaska and was used to forecast emissions from 2002 to 2025.

## Results

As shown in Figure E1 and Table E2, gross GHG emissions from agricultural sources range between about 0.053 and 0.073 MMtCO<sub>2</sub>e from 1990 through 2025, respectively. In 1990, enteric fermentation accounted for about 25% (0.013 MMtCO<sub>2</sub>e) of total agricultural emissions and is estimated to account for about 46% (0.034 MMtCO<sub>2</sub>e) of total agricultural emissions in 2025. The manure management category, which shows the highest rate of growth relative to the other categories, accounted for 1% (0.001 MMtCO<sub>2</sub>e) of total agricultural emissions in 1990 and is estimated to account for about 16% (0.012 MMtCO<sub>2</sub>e) of total agricultural emissions in 2025. The agricultural soils category shows declining growth, with 1990 emissions accounting for 74% (0.039 MMtCO<sub>2</sub>e) of total agricultural emissions and 2025 emissions estimated to be about 38% (0.028 MMtCO<sub>2</sub>e) of total agricultural emissions.

**Figure E1. Gross GHG Emissions from Agriculture**



Source: CCS calculations based on approach described in text.

Notes: Ag Soils – Crops category includes crop residues (no cultivation of histosols estimated); emissions for agricultural residue burning are too small to be seen in this chart.



**Table E2. Agriculture Sector Emissions Inventory and Reference Case Projections (MMtCO<sub>2</sub>e)**

Subsector	1990	1995	2000	2005	2010	2015	2020	2025
Enteric Fermentation	0.013	0.015	0.015	0.020	0.023	0.026	0.029	0.034
Manure Management	0.001	0.001	0.002	0.004	0.005	0.006	0.009	0.012
Ag Residue Burning	0.00001	0.00002	0.00001	0.00001	0.00001	0.00001	0.00001	0.00001
Ag Soils - Crops	0.012	0.012	0.011	0.008	0.007	0.006	0.005	0.005
Ag Soils - Fertilizer	0.018	0.017	0.016	0.009	0.008	0.006	0.005	0.004
Ag Soils - Livestock	0.009	0.011	0.010	0.013	0.014	0.015	0.017	0.019
<b>Total</b>	<b>0.053</b>	<b>0.057</b>	<b>0.054</b>	<b>0.053</b>	<b>0.056</b>	<b>0.060</b>	<b>0.066</b>	<b>0.073</b>

Agricultural burning emissions were estimated to be very small based on the SIT activity data (<0.00001 MMtCO<sub>2</sub>e/yr from 1990 to 2002). This agrees with the USDA Inventory which also reports a low level of residue burning emissions (0.02 MMtCO<sub>2</sub>e).

The standard IPCC source categories missing from this report are CO<sub>2</sub> emissions from limestone and dolomite application and CO<sub>2</sub> fluxes in agricultural soils. Estimates for Alaska were not available; however, the USDA's national estimate for soil liming is about 9 MMtCO<sub>2</sub>e/yr.<sup>7</sup> As mentioned above the USDA national estimates for soil carbon do not include Alaska.

## Key Uncertainties

Emissions from enteric fermentation and manure management are dependent on the estimates of animal populations and the various factors used to estimate emissions for each animal type and manure management system (i.e., emission factors which are derived from several variables including manure production levels, volatile solids content, and CH<sub>4</sub> formation potential). Each of these factors has some level of uncertainty. Also, animal populations fluctuate throughout the year, and thus using point estimates introduces uncertainty into the average annual estimates of these populations. In addition, there is uncertainty associated with the original population survey methods employed by USDA. The largest contributors to uncertainty in emissions from manure management are the emission factors, which are derived from limited data sets.

As mentioned above, data for Alaska were not available for estimating emissions associated with changes in agricultural soil carbon levels and limestone and dolomite application. When newer data are released by the USDA, these should be reviewed to represent current conditions as well as to assess trends.

Alaska has reindeer husbandry operations which are not included in SIT. The number of head of reindeer in Alaska has declined in recent years (from 24,000 head in 1998 to 15,000 in 2005).<sup>95</sup> Future work should consider developing data for estimating emissions associated with reindeer husbandry operations if this category is determined to be important.

<sup>95</sup> Alaska Agricultural Statistics 2006, prepared by Alaska Field Office, USDA NASS, Palmer, Alaska.

Another contributor to the uncertainty in the emission estimates is the projection assumptions. This inventory assumes that the average annual rate of change in future year emissions will follow the historical average annual rate of change from 1990 through the most recent year of data. For example, the historical data show a decline in the use of fertilizers; however, there may be a leveling-off in fertilizer use trends due to recent efficiency gains that may be close to reaching their full technical potential.

## Appendix F. Waste Management

### Overview

GHG emissions from waste management include:

- Solid waste management – CH<sub>4</sub> emissions from municipal and industrial solid waste landfills (LFs), accounting for CH<sub>4</sub> that is flared or captured for energy production (this includes both open and closed landfills);
- Solid waste combustion – CH<sub>4</sub>, CO<sub>2</sub>, and N<sub>2</sub>O emissions from the combustion of solid waste in incinerators or waste to energy plants; and
- Wastewater management – CH<sub>4</sub> and N<sub>2</sub>O from municipal wastewater and CH<sub>4</sub> from industrial wastewater (WW) treatment facilities.

### Inventory and Reference Case Projections

#### *Solid Waste Management*

For solid waste management, CCS used the U.S. EPA SIT and the U.S. EPA Landfill Methane Outreach Program (LMOP) landfills database<sup>96</sup> as starting points to estimate emissions. The LMOP data serve as input data to estimate annual waste emplacement for each landfill needed by SIT. SIT then estimates CH<sub>4</sub> generation for each landfill site. Additional post-processing outside of SIT to account for controls is then performed to estimate CH<sub>4</sub> emissions.

The LMOP database contained limited information on 6 Class I landfills. CCS also contacted DEC staff to gather additional information on solid waste landfills and other solid waste management issues, including waste combustion.<sup>97</sup> DEC provided estimates of waste emplacement rates for 7 Class I landfills, 14 Class II landfills, and 222 Class III landfills. For the Class III sites, half of the waste accepted is assumed to be open burned (these emissions are addressed under the Solid Waste Combustion section below). Also, half of the waste estimated for Barrow (Class II landfill) was assumed to be burned at the Barrow Incinerator. The date of landfill opening was available for 5 of the Class I landfills. All other landfills were assumed to have been in operation since the 1960s, if not earlier.

Three landfills in AK are currently controlled. The Merrill Field landfill, which closed in 1987, is partially flared. The Anchorage and Juneau landfills began flaring in 2006 and 2008, respectively. The Anchorage Regional Landfill will begin a landfill gas to energy (LFGTE) project in 2015. The Class III, Class II, and remaining Class I sites were combined for the purposes of emissions modeling. The Class II and Class III disposal estimates provided by DEC were based on 2000 population data for the communities served and per capita generation rates

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<sup>96</sup> LMOP database is available at: <http://www.epa.gov/lmop/proj/index.htm>. Updated version of the database provided by Rachel Goldstein, Program Manager, EPA Landfill Methane Outreach Program, October 2006. The only AK site represented in the database was the Anchorage Regional LF.

<sup>97</sup> Doug Buteyn and Ed Emswiler, DEC, Solid Waste Division, personal communications with S. Roe, CCS, December 2006 – January 2007; additional revisions to data and assumptions provided by D. Buteyn in October 2008.

(6.6 lb/person/day). These estimates were back-cast to 1960 and forecast to 2005 based on growth in rural population in AK. Table F1 provides a summary of the data used as input to SIT for modeling emissions.

**Table F1. Summary of Municipal Solid Waste Landfill Data**

Site Name	Operating Years	Average Waste Emplacement Rate (tons/yr)	Control
Anchorage Regional LF	1987 - Present	352,203	Flare (beginning 2006)
Juneau LF <sup>a</sup>	2004 - Present	29,428	Flare (beginning 2008)
Anchorage Merrill Field LF	1960-1987	104,942	Flare (partial coverage)
Other Class I LFs (5 sites)	Varies - Present	197,556	None
Class II LFs (14 sites) <sup>b</sup>	1960's - Present	31,480	None
Class III LFs (222 sites) <sup>b</sup>	1960's - Present	37,004	None
<sup>a</sup> Prior to 2004, combustible waste was incinerated and is accounted for under the waste combustion sector. A collection and flare system is in place; however, currently the methane is mostly being vented. <sup>b</sup> Waste emplacement is for 2000, rates are back-cast and forecast based on rural population growth (0.81%/year for 1960-1990, 1.89% for 1990-2000, -0.05% for 2000-2005)			

The estimated average annual disposal rates for each landfill were used in SIT for all years that the landfills were operating (Class II and III landfills were both collectively modeled as individual units at a state level). CCS performed 4 different runs of SIT to estimate emissions from municipal solid waste (MSW) landfills: (1) Anchorage; (2) Juneau; (3) Merrill Field; (4) uncontrolled. The other landfill category that CCS commonly models is sites with landfill gas to energy (LFGTE) plants. There are none of these currently operating in Alaska.

After obtaining the methane generation data from SIT, CCS performed post-processing of the methane emissions to account for landfill gas controls (flared sites) and to project the emissions through 2025. For Anchorage, Juneau, and Merrill Field, CCS projected uncontrolled emission levels by assuming continuation of the current emplacement rates. Controls were then applied in the appropriate year. CCS assumed that the overall methane collection and control efficiency is 75%.<sup>98</sup> Of the methane not captured by a landfill gas collection system, it is further assumed that 10% is oxidized before being emitted to the atmosphere (consistent with the SIT default). This assumption for oxidation is also used for the methane emitted from uncontrolled sites. Growth rates for uncontrolled landfills were estimated using the historic (1995-2005) growth rates of emissions (4.5%/year).

For industrial waste landfills, SIT calculates emissions based on an assumption that industrial waste is emplaced at industrial landfill sites and that the methane emissions are 7% of the methane generated at MSW sites (this default is based on national data). Due to the lack of a substantial industrial base in Alaska, CCS assumed that any industrial waste emplaced in solid waste landfills is captured in the MSW emplacement estimates described above. Hence, there are no emissions estimated specifically for the industrial waste landfills sector.

<sup>98</sup> As per EPA's AP-42 Section on Municipal Solid Waste Landfills: <http://www.epa.gov/ttn/chief/ap42/ch02/final/c02s04.pdf>.

### *Solid Waste Combustion*

Information from DEC contacts was used to construct estimates from municipal solid waste combustion.<sup>99</sup> Solid waste combustion addressed here includes both the controlled combustion of MSW in incinerators, as well as open MSW combustion occurring at community landfills. For controlled combustion, 2002 estimates of combustion at incinerators provided by DEC were used to represent 2002 and 2003 activity; while 2004 and 2005 activity were estimated by subtracting the throughput for the Juneau facility, which closed in 2004. Controlled combustion estimates were back-cast from 2002 to 1990 based on AK population growth for 1990-2002 (1.4%/year). Open burning estimates were based on the assumption that half of the waste received at Class III landfills was burned on site.

The mass of controlled waste combustion was added to the estimate described under the landfills section above for open burning at Class III landfill sites to estimate total waste combustion emissions. Table F2 shows the total waste mass estimates per year.

**Table F2. Summary of Municipal Solid Waste Combustion Data (tons)**

<b>Combustion Category</b>	<b>1990</b>	<b>1995</b>	<b>2000</b>	<b>2005</b>
Controlled Burning	29,668	31,820	34,128	14,139
Open Burning	21,839	23,730	26,062	25,995
<b>Totals</b>	<b>51,508</b>	<b>55,550</b>	<b>60,190</b>	<b>40,133</b>

SIT does not use different methods (emission factors) for open and controlled burning. Therefore, the total waste estimates above were used as input to SIT to estimate emissions. DEC also provided some data for sewage sludge incineration. Most of the carbon in sewage sludge is of biological origin, and therefore the associated CO<sub>2</sub> emissions would not be incorporated into this GHG inventory. While CCS would expect some emissions of methane and nitrous oxide from these sources, CCS believes that the emissions would be negligible.

Emissions for the solid waste combustion sector were forecast based on Alaska's forecasted population growth from 2005-2025 (0.61%/yr).<sup>100</sup>

### *Wastewater Management*

GHG emissions from municipal and industrial wastewater treatment were also estimated. For municipal wastewater treatment, emissions are calculated in EPA's SIT based on state population, assumed biochemical oxygen demand (BOD) and protein consumption per capita, and emission factors for N<sub>2</sub>O and CH<sub>4</sub>. The key SIT default values are shown in Table F3 below. Emissions for the municipal wastewater management sector were forecast based on Alaska's forecasted population growth from 2005-2020 (0.69%/yr).

<sup>99</sup> Controlled burning - Alice Edwards, DEC, personal communication and data file provided to S. Roe, CCS, January 2007. Open burning - Doug Buteyn, DEC, personal communication with S. Roe, CCS, December 2006 with additional follow-up in October 2008.

<sup>100</sup> Alaska Department of Labor and Workforce Development, "Workforce Information," Home, Population & Census, Estimates & Projections, Population Data Tables, "Alaska Population Projections (2005-2029)," Select "February 2005 issue of Alaska Economic Trends," in PDF file named "feb05.pdf" (Projections for Alaska population 2005-2029, Table 5. Population Growth Projections Alaska 2005-2029, Medium Population Values in Table 5 used for forecast).

For industrial wastewater emissions, SIT provides default assumptions and emission factors for three industrial sectors: Fruits & Vegetables, Red Meat & Poultry, and Pulp & Paper. According to DEC contacts and the Dun & Bradstreet database, there currently are no large operations in these industry sectors that would be expected to have their own treatment systems. According to the contact at the Alyeska Valdez Marine terminal, the Valdez ballast water treatment facility does not emit CH<sub>4</sub> emissions.<sup>101</sup>

Emissions of methane are also expected to occur from fish processing waste dumped at sea.<sup>102</sup> Again, CCS attempted to gather information on this issue; however no emissions-related information was identified. Presumably, methane emissions would also occur from waste treatment conducted on-shore; however, CCS is not aware of any data or emissions estimation methods to address this potential source category.

**Table F3. SIT Key Default Values for Municipal Wastewater Treatment**

Variable	Value
BOD	0.065 kg /day-person
Amount of BOD anaerobically treated	16.25%
CH <sub>4</sub> emission factor	0.6 kg/kg BOD
Alaska residents not on septic	75%
Water treatment N <sub>2</sub> O emission factor	4.0 g N <sub>2</sub> O/person-yr
Biosolids emission Factor	0.01 kg N <sub>2</sub> O-N/kg sewage-N
Source: U.S. EPA State Inventory Tool – Wastewater Module; methodology and factors taken from U.S. EPA, Emission Inventory Improvement Program, Volume 8, Chapter 12, October 1999: <a href="http://www.epa.gov/ttn/chief/eiip/techreport/volume08/">www.epa.gov/ttn/chief/eiip/techreport/volume08/</a> .	

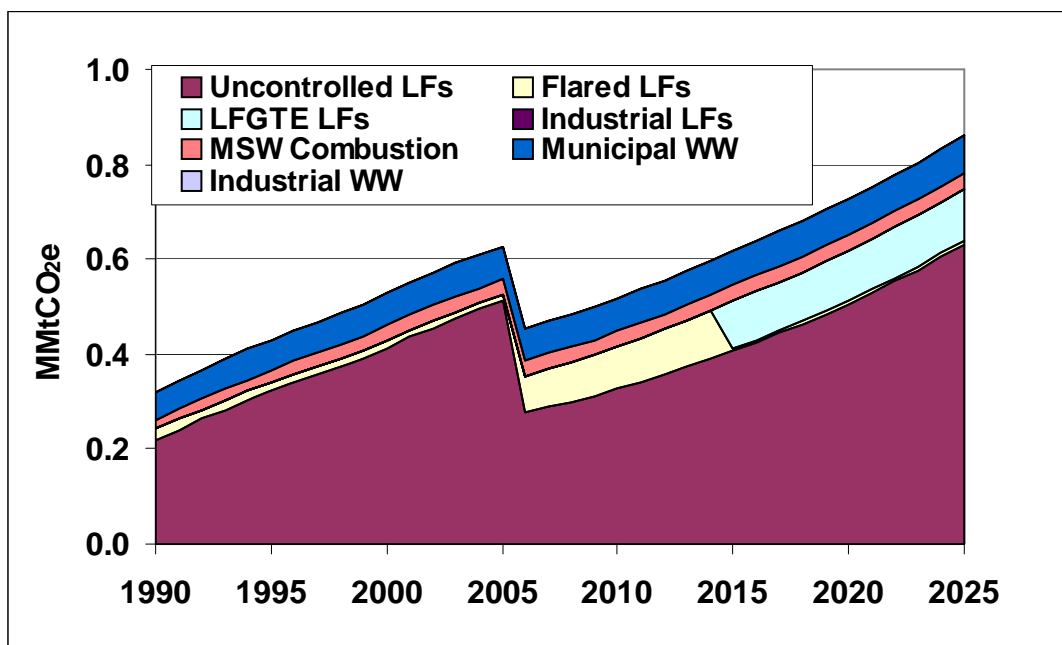
Figure F1 and Table F4 show the emission estimates for the waste management sector. Overall, the sector accounts for 0.6 MMtCO<sub>2</sub>e in 2005. By 2025, emissions are expected to grow to 0.9 MMtCO<sub>2</sub>e/yr. Uncontrolled landfills account for the majority of waste management emissions, accounting for an estimated 81% of waste management emissions in 2005 and expected to account for 73% of Alaska’s waste management emissions in 2025. Flared landfills accounted for an estimated 2% of waste management emissions in 2005 and are expected to account for 1% of waste management emissions in 2025. The significant drop in emissions seen in 2006 is due to the start of flaring at the Anchorage landfill. Before flaring began, the Anchorage landfill was the largest contributor to landfill emissions, accounting for about 44% of landfill emissions in 2005. After flaring began in 2006, the Anchorage landfill only contributed 10% to total landfill emissions. Flared landfill emissions drop significantly in 2015, when the Anchorage landfill is assumed to begin operating LFGTE technology.<sup>103</sup>

<sup>101</sup> Brad Thomas, Alyeska Valdez Marine Terminal, personal communication with Steve Roe, CCS, January, 2007. It is unclear whether this facility would also not emit any N<sub>2</sub>O.

<sup>102</sup> An estimate from the early 1990’s is that about 1.7 million metric tons of fish waste is generated in Alaska. The amount generated and treated on-shore versus at sea was not provided (*Pollution Prevention Opportunities in the Fish Processing Industry*, Pacific Northwest Pollution Prevention Research Center, 1993).

<sup>103</sup> Input from D. Mears of the FAW TWG.

**Figure F1. Alaska GHG Emissions from Waste Management**



Notes: LF – landfill; WW – wastewater; LFGTE – landfill gas to energy. Sources of information to estimate emissions for the industrial WW treatment category could not be obtained for incorporation into this assessment.

**Table F4. Waste Management Emissions Inventory and Reference Case Projections (MMtCO<sub>2</sub>e)**

Subsector	1990	1995	2000	2005	2010	2015	2020	2025
Uncontrolled LFs	0.22	0.32	0.41	0.51	0.33	0.41	0.51	0.63
Flared LFs	0.023	0.19	0.15	0.012	0.090	0.006	0.006	0.009
LFGTE LFs	0	0	0	0	0	0.10	0.10	0.11
Industrial LFs	0	0	0	0	0	0	0	
MSW Combustion	0.020	0.025	0.033	0.031	0.032	0.033	0.034	0.35
Municipal WW	0.057	0.063	0.067	0.068	0.071	0.073	0.076	0.078
Industrial WW	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0.32</b>	<b>0.43</b>	<b>0.53</b>	<b>0.63</b>	<b>0.52</b>	<b>0.62</b>	<b>0.73</b>	<b>0.86</b>

Source: CCS calculations based on approach described in text.

Waste combustion is estimated to contribute 5% of waste management emissions in 2005 and is expected to contribute about 4% of waste management emissions in 2025. The wastewater treatment sector is estimated to contribute 11% of the sector emissions in 2005 and about 0% of the total waste management emissions by 2025 (note that the wastewater estimates currently only include the municipal wastewater treatment sector). Data and methods were not identified to incorporate industrial wastewater treatment emissions into this assessment (including ballast water treatment and fish processing waste). The remaining emissions for the waste management sector emissions are contributed by solid waste landfilling – about 84% of waste management emissions in 2005 and 87% of waste management emissions in 2025, with an initial decline after 2005 and then steadily climbing through 2025.



## Key Uncertainties

The methods used to project landfill emissions do not account for uncontrolled sites that will need to apply controls during the period of analysis due to triggering requirements of the federal New Source Performance Standards/Emission Guidelines. As noted above, the available data do not cover all of the open and closed landfills in Alaska. Rough estimates were developed for 14 Class II and 222 Class III landfills in the state. Also, many small landfills in Alaska are frozen for as much as half the year and would not be expected to contribute emissions during that time. Hence, the estimates presented here should be viewed as order of magnitude estimates.

The waste combustion estimates should also be viewed as order of magnitude estimates given the availability of data. The estimates are based on assumptions that 50% of the waste in Class III sites is open burned. National default waste composition profiles are used to estimate the CO<sub>2</sub>e emissions for this activity, which might not adequately reflect the types of waste being open burned (i.e. paper/wood versus plastic/other composite fractions). No significant changes in controlled waste burning (in municipal waste combustors) are assumed for the future. Growth overall in waste combustion emissions is assumed to track population growth.

For the wastewater sector, the key uncertainties are associated with the application of SIT default values for the municipal wastewater treatment parameters listed in Table F1 above (e.g. fraction of the Alaska population on septic; fraction of BOD which is anaerobically decomposed). The SIT defaults were derived from national data.

For industrial wastewater treatment, data and estimation methods were lacking for this assessment. Emissions are expected from ballast water treatment and the treatment of fish processing waste; however no information was identified to develop emission estimates.

Overall for the waste management sector, it is important to note that the emissions presented here are associated with the end of life waste management practices in Alaska. This is consistent with the “production-based” estimates of emissions provided for the other GHG sectors. A consumption-based approach to emissions estimation would factor in the life-cycle GHG emissions associated with the production, transport, and final waste management practice for the wastes being managed in the State. For example, the emissions associated with the production of a plastic bottle, its transport to a distributor and end user, and its final disposal method (e.g. landfill or combustion). While this method of consumption-basis emissions accounting can be useful for understanding the full impacts of GHG mitigation policies implemented in Alaska, the reductions would largely occur outside of the State.



## Appendix G. Forestry

### Overview

Forestland emissions refer to the net carbon dioxide (CO<sub>2</sub>) flux<sup>104</sup> from forested lands in Alaska, which account for about 35% of the state's land area.<sup>105</sup> About 10% of Alaska's forests are temperate coastal forests with the remainder being the interior boreal forests. Sitka spruce, hemlock and cedar are the dominant species in the southeast and south-central coastal parts of the state, while white spruce, black spruce, black cottonwood, aspen, and paper birch are found in the interior forests.

Forestlands are net sinks of CO<sub>2</sub> in Alaska. Through photosynthesis, CO<sub>2</sub> is taken up by trees and plants and converted to carbon in biomass within the forests. CO<sub>2</sub> emissions occur from respiration in live trees and decay of dead biomass. In addition, carbon is stored for long time periods when forest biomass is harvested for use in durable wood products. CO<sub>2</sub> flux is the net balance of CO<sub>2</sub> removals from and emissions to the atmosphere from the processes described above.

CCS has also included information on methane emissions from Alaskan ecosystems. These emissions are considered natural sources of methane that may be indirectly influenced by climate change. The estimated emissions documented below are not included within the summary tables presented in the body of this report, since they are considered natural sources.

### Inventory and Reference Case Projections

#### *CO<sub>2</sub> Flux in Alaska's Forests*

For over a decade, the United State Forest Service (USFS) has been developing and refining a forest carbon modeling system for the purposes of estimating forest carbon inventories. The methodology is used to develop national forest CO<sub>2</sub> fluxes for the official US Inventory of Greenhouse Gas Emissions and Sinks.<sup>106</sup> The national estimates are compiled from state-level data. Unfortunately, the USFS has not yet developed estimates for Alaska due to a lack of comprehensive survey data for the State needed to develop these estimates.

Alaska is unique because a large fraction of the land base is essentially untouched, pristine forestland. GHG inventories principally account for *anthropogenic* emissions and sinks. In the forestry sector, experts have determined that a practical approach to quantifying anthropogenic emissions and sinks is to inventory carbon fluxes and non-CO<sub>2</sub> emissions on "managed" forestland only. The USFS forest carbon accounting system incorporates these principles to a large degree because the Forest Inventory and Analysis survey (FIA) upon which they are based

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<sup>104</sup> "Flux" refers to both emissions of CO<sub>2</sub> to the atmosphere and removal (sinks) of CO<sub>2</sub> from the atmosphere.

<sup>105</sup> Alaska Forest Association, <http://www.akforest.org/facts.htm>, reports 129 million acres of forested lands. The total land area in AK is 365 million acres ([http://www.netstate.com/states/geography/ak\\_geography.htm](http://www.netstate.com/states/geography/ak_geography.htm)). Data used in this appendix from UAF are based on geographic information indicating that AK has about 162 million acres of forested lands (about 23 million acres are in the temperate (coastal) maritime forest).

<sup>106</sup> *US Inventory of Greenhouse Gas Emissions and Sinks: 1990-2004* (and earlier editions), US Environmental Protection Agency, Report # 430-R-06-002, April 2006. Available at: <http://www.epa.gov/climatechange/emissions/usinventoryreport.html>.

targets managed forestlands (although all forested lands are included in the carbon flux estimates).

CCS used research studies provided by experts from the University of Alaska to construct estimates of the forest carbon flux in Alaska that are comparable in principle to the standard USFS inventory approach. The methods and results presented here cover both the entire forestland base in AK, as well as the temperate (coastal) maritime forests. The coastal maritime forests are where much of Alaska's productive forests are and where most the management has occurred historically. For the purposes of this analysis, CCS considers these to represent the State's "managed" forests.

Yarie and Billings provided estimates for Alaska's boreal forests that indicated annual sequestration rates of about -35 MMtCO<sub>2</sub>.<sup>107</sup> Boreal forests represent about one-third of the forests in Alaska. University of Alaska Fairbanks (UAF) researchers also provided recent estimates for carbon flux based on forest ecosystem modeling.<sup>108</sup> Carbon flux in Alaska's forests was modeled from 1950 through 2002. These carbon flux estimates are based on UAF's Terrestrial Ecosystem Model (TEM), which estimates net primary productivity for forest ecosystems and take into account carbon flux both forest biomass and soils. The effects of climate, fires, and CO<sub>2</sub> levels are evaluated within the modeling. Model runs were performed with and without the effects of fertilization from higher CO<sub>2</sub> levels. Figures G1a and b provide a summary of the modeling results.

The data shown in Figure G1a show the variation in carbon flux for all of Alaska's forests over the period of analysis. The average sequestration rate over the period of analysis is -10 MMtCO<sub>2</sub>/yr and the range is from -94 to 143 MMtCO<sub>2</sub>/yr (CCS converted the values in the figures from units of carbon to CO<sub>2</sub> to show these estimates). [Note: negative numbers used in this report represent sequestration; the only exception is Figures G1 and G2, where positive numbers were used in the UAF reports. Also, for this analysis, CCS reports the UAF modeling results for carbon flux without CO<sub>2</sub> fertilization effects for consistency with standard inventory approaches]. The large range in flux values is largely related to wildfire activity--years with net emissions are those where significant wildfire activity occurred. The summary statistics show that these data are negatively skewed, so the median value (-25 MMtCO<sub>2</sub>/yr) is probably a better estimate of central tendency in the data.

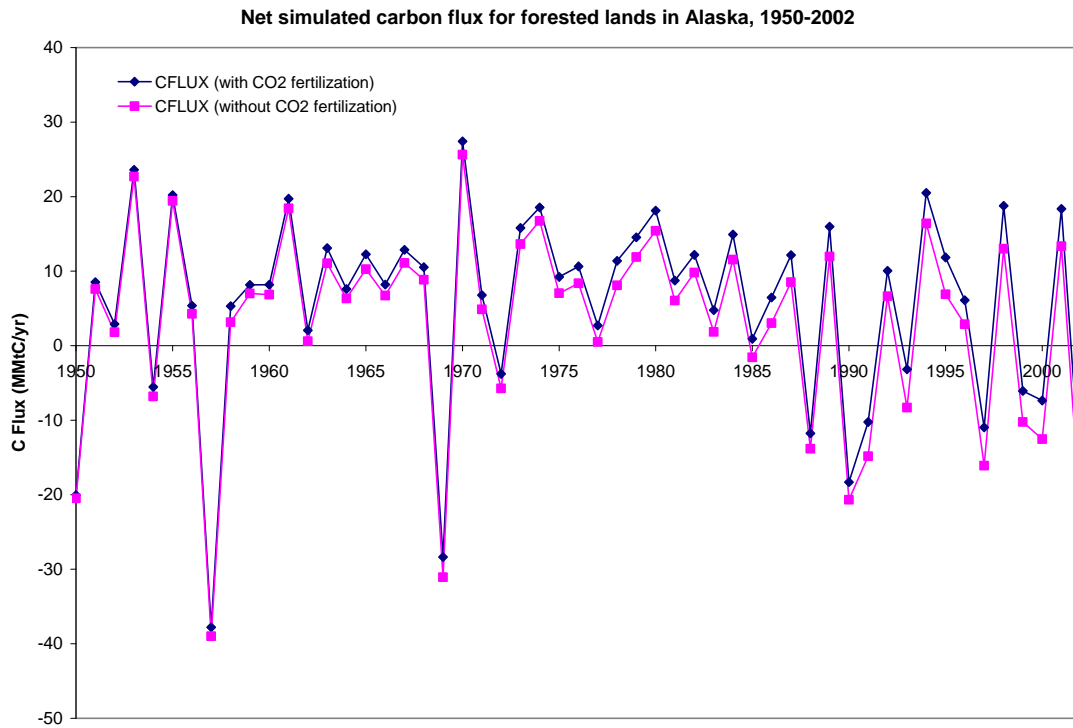
Figure G1b shows similar estimates covering only the coastal maritime forests (primarily those in the Chugach and Tongass National Forests). Based on the mean and median of these annual estimates, the historical carbon flux for these forests has been about -1.2 to -1.3 MMtCO<sub>2</sub>e/yr (as with the data for Figure G1a, CCS converted carbon to CO<sub>2</sub> to report these estimates).

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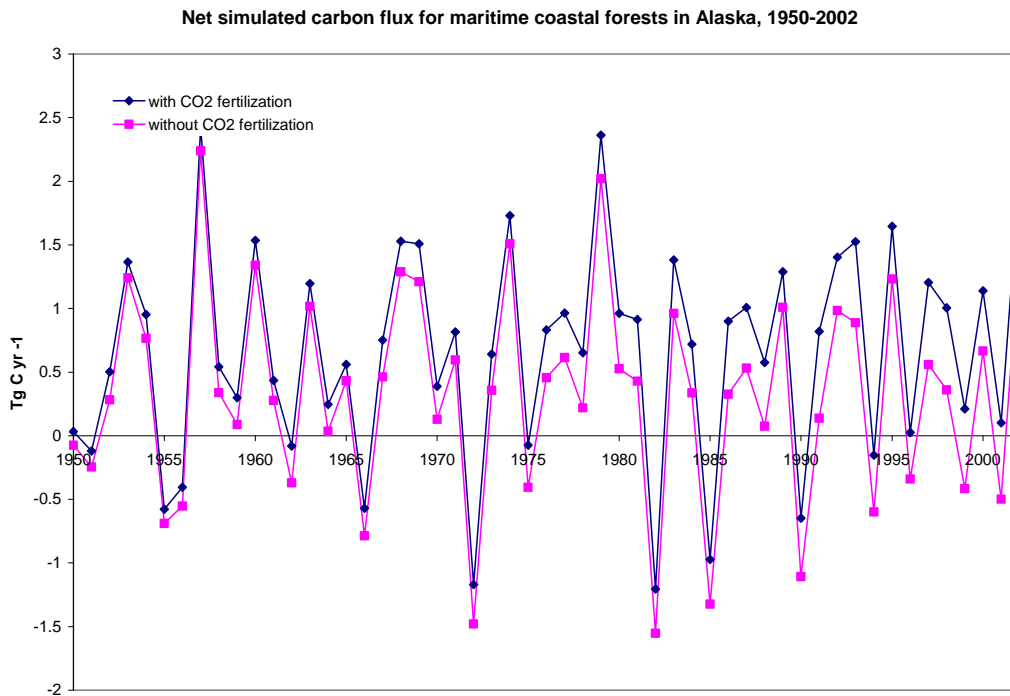
<sup>107</sup> Yarie, J. and S. Billings, "Carbon balance of the taiga forest within Alaska: present and future", *Canadian Journal of Forestry Research*, 32: 757-767 (2002).

<sup>108</sup> D. McGuire and M. Balshi, UAF, personal communication and data file provided to S. Roe, CCS, January 2007. Documentation is included within a manuscript currently under review by the Journal of Geophysical Research.

**Figure G1a. Statewide Forest Carbon Flux**



**Figure G1b. Forest Carbon Flux in Coastal Maritime Forests**



Note: Positive values in these graphs represent annual net sequestration. Source: M. Balshi, UAF, unpublished manuscript.

Figures G2a and b show the same modeling data from UAF as ten year averages of CO<sub>2</sub> sequestration in Alaska's forests. Ten year averages were selected to provide a comparison of sequestration rates in other western states.<sup>109</sup> An assessment of longer term averages also provides a sense of the sequestration potential of Alaskan forests during a typical year (a year that is not strongly influenced by large wildfire activity or no wildfire activity). The data in Figure G2a show that since the 1970s, average sequestration potential has decreased significantly. Historically, average sequestration rates were -20 to -30 MMtCO<sub>2</sub>/yr. In recent decades, net sequestration has turned into net emissions of over 10 MMtCO<sub>2</sub>/yr. Data for the 2000 time-frame were available through 2002. It appears that due to increased wildfire activity, Alaska's forests have entered into a period of net CO<sub>2</sub> emission during an average year.<sup>110</sup> Figure G3 provides ten year averages for statewide wildfire acres burned. The figure shows the upward trend in acres burned since the 1960's.<sup>111</sup>

Figure G2b shows the ten year averages of CO<sub>2</sub>e flux for coastal maritime forests. The data show that the net sequestration rates have stayed fairly constant over time, at around -1.4 MMtCO<sub>2</sub>e/yr. According to UAF researchers, since there was no significant wildfire activity in the 1990's time-frame, the lower sequestration rates shown for that period are probably due to climate factors (additional analysis would be needed to confirm this and the specific factors involved).

The statewide results from UAF show a trend where the CO<sub>2</sub> sequestration rate approaches zero and transition to a net emission rate as a result of high fire activity. This finding is consistent with a 2006 study published in *Science*.<sup>112</sup> This study indicated an increasing frequency of wildfire activity in the western US since the mid-1980s driven by a longer fire season and higher average temperatures.

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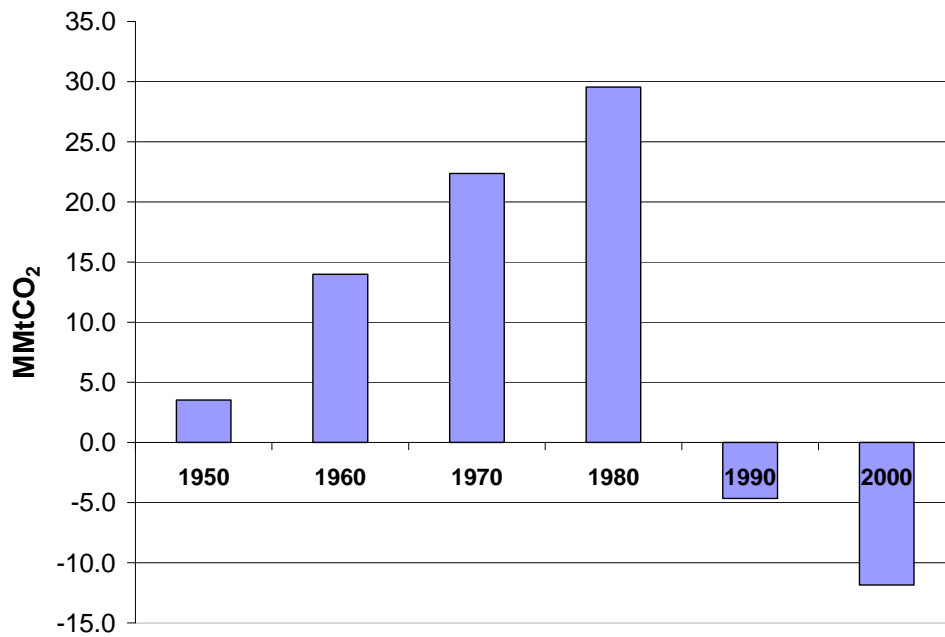
<sup>109</sup> In other western states assessed by CCS, the US Forest Service uses Forest Inventory and Analysis survey data to estimate carbon in forest carbon pools; the period between surveys is typically about 10 years. The ten year averages shown in Table G2 represent the 10 year period bracketing the year indicated (for example, the 1990 average is derived from the estimates for 1985-1994; 1995-2002 were used for the 2000 average).

<sup>110</sup> According to M. Balshi of UAF, the area burned during the period 2000-2005 (UAF simulations only go through 2002 due to climate data restraints) already exceeds that of every decade on record.

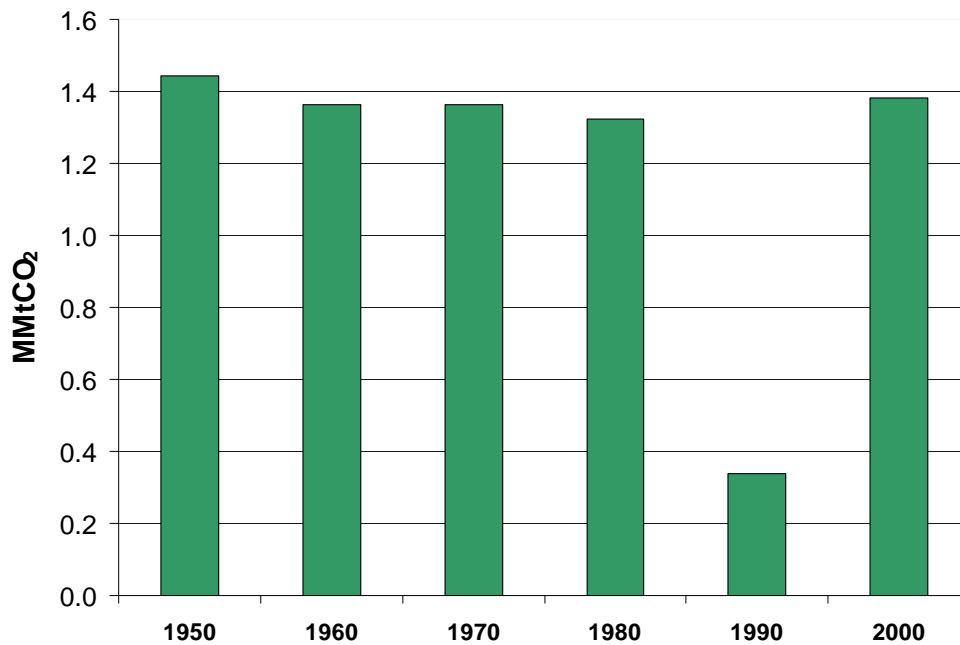
<sup>111</sup> S.K. Todd and H.A. Jewkes, *Wildland Fire in Alaska: A History of Organized Fire Suppression and Management in the Last Frontier*, Agricultural and Forestry Experiment Station Research Bulletin #114, University of Alaska, Fairbanks, March 2006. These rough estimates assume similar fuel loading/acre as used to develop the WRAP's 2002 fire estimates. As with the ten year carbon dioxide flux averages mentioned in the footnote above, CCS used 1985-1994 to represent the 1990 ten year average, etc. For the 2000 average, data for 1996-2004 were used.

<sup>112</sup> Westerling, A.L. et al, "Warming and Earlier Spring Increases Western US Forest Wildfire Activity", *Scienceexpress*, July 6, 2006.

**Figure G2a. Ten-Year Average Forest CO<sub>2</sub> Flux in Statewide Forests**

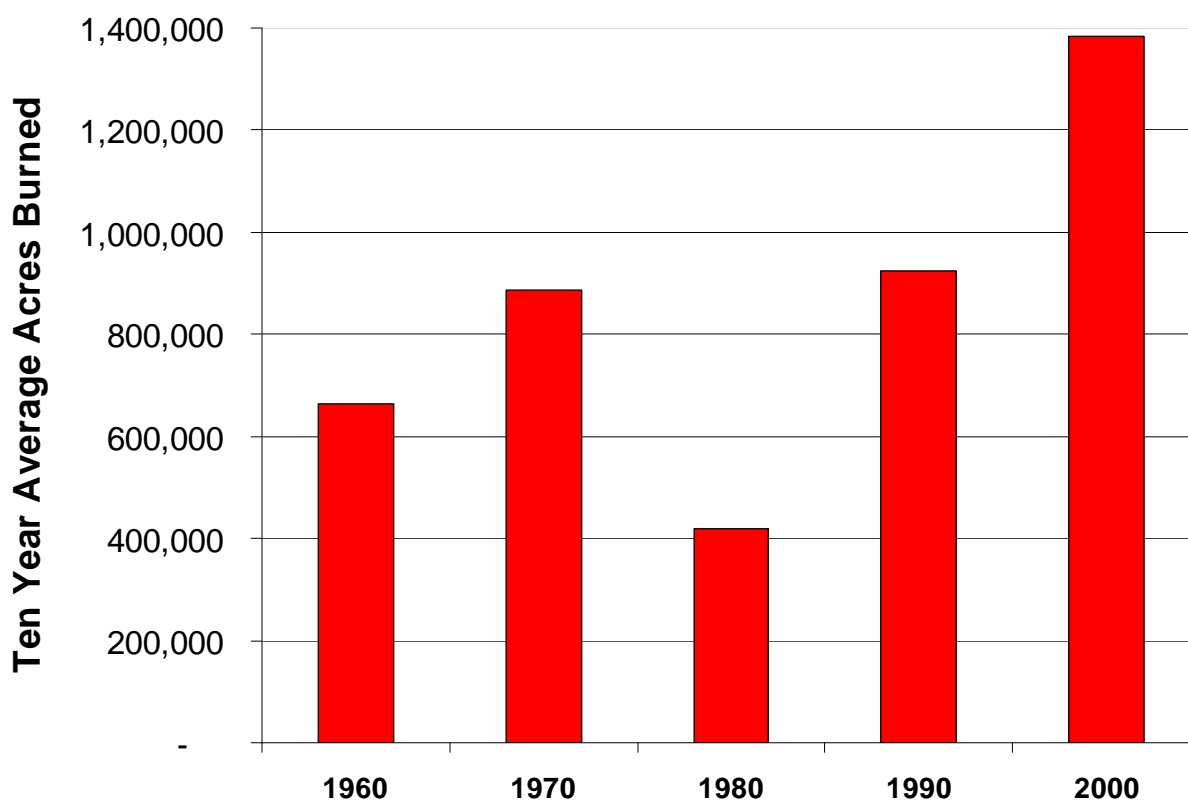


**Figure G2b. Ten-Year Average Forest CO<sub>2</sub> Flux in Coastal Maritime Forests**



Note: Positive values in these graphs represent annual net sequestration. Based on data from M. Balshi, UAF, unpublished manuscript.

**Figure G3. Ten Year Averages of Statewide Wildfire Acres**



*Non-CO<sub>2</sub> Emissions from Wildfires*

The UAF modeling of carbon flux described above included total carbon emissions, which would include CO<sub>2</sub>, carbon monoxide, and methane (CH<sub>4</sub>). In order to provide an estimate of CO<sub>2</sub>e emissions for CH<sub>4</sub> and a more comprehensive understanding of GHG sources/sinks from the forestry sector, CCS developed rough estimates of state-wide emissions for methane (in CO<sub>2</sub> equivalents) and nitrous oxide (N<sub>2</sub>O, in CO<sub>2</sub> equivalents) from wildfires and prescribed burns.<sup>113</sup> A separate estimate was also made for “managed” (coastal maritime) forests.

CCS used 2002 emissions data developed by the Western Regional Air Partnership (WRAP) to estimate CO<sub>2</sub>e emissions for wildfires and prescribed burns.<sup>114</sup> The CO<sub>2</sub>e from CH<sub>4</sub> emissions from this study were added to an estimate of CO<sub>2</sub>e for N<sub>2</sub>O to estimate a total CO<sub>2</sub>e for fires. The nitrous oxide estimate was made assuming that N<sub>2</sub>O was 1% of the emissions of nitrogen oxides (NO<sub>x</sub>) from the WRAP study. The 1% estimate is a common rule of thumb for the N<sub>2</sub>O content of NO<sub>x</sub> from combustion sources.

<sup>113</sup> As with the CO<sub>2</sub> flux estimates for non-managed forests, the non-CO<sub>2</sub> emissions associated with fires on non-managed lands could also be considered non-anthropogenic (since wildfires are a natural occurrence). For the purposes of this study and for comparison to other state inventories prepared by CCS, these emissions are being provided at the state level as well as in “managed” forests.

<sup>114</sup> 2002 Fire Emission Inventory for the WRAP Region Phase I – Essential Documentation, prepared by Air Sciences, Inc., June 2004.

The results for 2002 are that fires contributed 10.0 MMtCO<sub>2</sub>e of CH<sub>4</sub> and NO<sub>x</sub> from about 1.95 million acres burned (2002 was a fairly high wildfire activity year in Alaska and the western US). About 95% of the CO<sub>2</sub>e was contributed by CH<sub>4</sub>. For the purposes of comparison, another 2002 estimate was made using emission factors from a 2001 global biomass burning study<sup>115</sup> and the total tons of biomass burned from the 2002 WRAP fires emissions inventory. This estimate is about 11.8 MMtCO<sub>2</sub>e showing good agreement with the estimate above; however, there were about equal contributions from methane and nitrous oxide on a CO<sub>2</sub>e basis.

In order to estimate non-CO<sub>2</sub> GHG emissions for other years, CCS used wildfire acreage estimates for Alaska compiled in a recent report by UAF researchers.<sup>116</sup> For years other than 2002, the emission estimate was made by multiplying the 2002 estimate described above (10 MMtCO<sub>2</sub>e) by a ratio of the acres burned in each year to those burned in 2002. The fire acreages and emission estimates for 1985-2002 are presented in Table G1 below. For comparison to the CO<sub>2</sub> flux estimates, ten year averages are 4.7 MMtCO<sub>2</sub>e/yr in 1990 and 4.9 MMtCO<sub>2</sub>e/yr in 2000.<sup>117</sup>

UAF provided wildfire acreage estimates for managed forests in each year. As was done to estimate the statewide emissions, the ratio of these acreages to the acreage for 2002 was used to estimate emissions of the non-CO<sub>2</sub> gases. There was very limited wildfire activity in the coastal maritime forests: about 500 acres in 1996; and about 1,500 acres in 2001.

Table G2 provides a summary of the CO<sub>2</sub> flux estimates for Alaska's forests. The table provides both a state-wide estimate as well as an estimate for managed forests in the state (coastal maritime forests). Estimates of managed forestlands are developed and used within this report of state-wide emissions to maintain consistency with IPCC guidelines for national GHG reporting. Additional explanatory notes are included at the end of this appendix. Post-2000 flux estimates are assumed to remain constant at the 2000 levels.

#### *CH<sub>4</sub> Emissions from Alaskan Ecosystems*

Alaska's ecosystems are expected to experience earlier and more drastic changes from global warming compared with lower latitude ecosystems.<sup>118</sup> The projected changes are consistent with changes that have been observed in recent decades, which include increases in mean annual air temperatures, thawing of permafrost, and longer growing seasons. Changes in climate, plant and soil conditions will have implications for CH<sub>4</sub> dynamics and carbon storage in Alaska's soils.

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<sup>115</sup> M. O. Andreae and P. Merlet, "Emission of trace gases and aerosols from biomass burning", *Global Biogeochemical Cycles*, Vol. 15, No. 4, pp. 955-966, December 2001.

<sup>116</sup> S.K. Todd and H.A. Jewkes, *Wildland Fire in Alaska: A History of Organized Fire Suppression and Management in the Last Frontier*, Agricultural and Forestry Experiment Station Research Bulletin #114, University of Alaska, Fairbanks, March 2006. These rough estimates assume similar fuel loading/acre as used to develop the WRAP's 2002 fire estimates.

<sup>117</sup> The ten year average stated for 2000 is based on data from 1995-2002. If data through 2004 were available, the estimated emissions would be larger due to high fire activity through 2004.

<sup>118</sup> Zhuang, Q., J. M. Melillo, A.D. McGuire, D.W. Kicklighter, R.G. Prinn, P.A. Steudler, B.S. Felzer, and S. Hu. 2007. "Net land-atmosphere exchanges of CH<sub>4</sub> and CO<sub>2</sub> in Alaska: Implications for the region's greenhouse gas budget", *Ecological Applications*, in press.

**Table G1. Statewide Non-CO<sub>2</sub> GHG Emissions Estimates from Wildfires**

Year	Acreage	Non-CO <sub>2</sub> Emissions (MMtCO <sub>2</sub> e)	Year	Acreage	Non-CO <sub>2</sub> Emissions (MMtCO <sub>2</sub> e)
1985	407,300	2.1	1994	265,722	1.4
1986	477,455	2.4	1995	43,946	0.2
1987	169,145	0.9	1996	599,267	3.1
1988	2,134,539	11	1997	2,026,899	10
1989	64,810	0.3	1998	120,752	0.6
1990	3,189,078	16	1999	1,005,427	5.2
1991	1,667,950	8.6	2000	756,296	3.9
1992	150,006	0.8	2001	216,039	1.1
1993	712,869	3.7	2002	1,950,000 <sup>a</sup>	10 <sup>a</sup>

<sup>a</sup> Acreage and emissions estimates based on the WRAP's 2002 Fire Inventory.

**Table G2. Forestry CO<sub>2</sub>e Flux Estimates for Alaska**

Source	CO <sub>2</sub> e Flux (MMtCO <sub>2</sub> e) <sup>a</sup>					
	1990	2000	2005	2010	2020	
<i>State-Level Forest Flux</i>						
CO <sub>2</sub> Flux	4.6	12	12	12	12	12
Non-CO <sub>2</sub> Gases from Fire	4.5	4.9	4.9	4.9	4.9	4.9
CH <sub>4</sub> Flux <sup>b</sup>	16	21	24	26	31	36
<b>Total State-Level</b>	<b>25</b>	<b>38</b>	<b>41</b>	<b>43</b>	<b>48</b>	<b>53</b>
<i>Flux for Managed Forests<sup>c</sup></i>						
CO <sub>2</sub> Flux	-0.3	-1.4	-1.4	-1.4	-1.4	-1.4
Non-CO <sub>2</sub> Gases from Fire	0.0	<0.01	<0.01	<0.01	<0.01	<0.01
CH <sub>4</sub> Flux	n/a	n/a	n/a	n/a	n/a	n/a
<b>Total – Managed Forests</b>	<b>-0.3</b>	<b>-1.4</b>	<b>-1.4</b>	<b>-1.4</b>	<b>-1.4</b>	<b>-1.4</b>
Positive values represent net CO <sub>2</sub> e emissions. Non-CO <sub>2</sub> gases are methane and nitrous oxide. <sup>a</sup> Values reported are ten year averages of annual data surrounding the year reported (e.g., 1990 average is the average of data for 1985-1994). For 2000, data only available through 2002. After 2000, flux estimates are assumed to remain constant. <sup>b</sup> UAF estimate for the 1980-1996 period used for 1990. UAF growth rate of 0.5 MMtCO <sub>2</sub> e/yr used for forecast years. See Section on CH <sub>4</sub> emissions from Alaskan ecosystems. <sup>c</sup> Managed forests are the coastal maritime forests of the state. CH <sub>4</sub> flux estimates were not available for managed forests.						

Further, according to UAF researchers, one-third of the global soil carbon stocks are located in the Arctic. The fate of this stored soil carbon under altered climate is a major question, since microbes can respond quickly to temperature changes in high latitude ecosystems. Soil microbial activity includes organic matter decomposition under aerobic conditions that releases CO<sub>2</sub> to the atmosphere. Under anaerobic conditions, warming and changes in hydrology could trigger rapid CH<sub>4</sub> emissions in response to the early spring thawing in sub-arctic mire ecosystems. Methane



dynamics are also influenced by the increase in the depth to which permafrost thaws each summer and any changes in the water table of northern peatlands that may result from changes in the water cycle. While CH<sub>4</sub> flux is considered to be non-anthropogenic, estimates are provided in this appendix for information purposes, given the influence of climate change.

UAF has conducted studies using its TEM model of CH<sub>4</sub> flux from Taiga (interior forests) and Tundra (treeless) ecosystems in Alaska. These ecosystems are estimated to be net sources of CH<sub>4</sub>. Net emissions of 3.1 MMtCH<sub>4</sub>/yr (65 MMtCO<sub>2</sub>e/yr) estimated for the period of 1980-1996 are expected to almost double to 5.7 MMtCH<sub>4</sub>/yr (120 MMtCO<sub>2</sub>e/yr) by the 2080-2099 period. The growth rate in emissions is estimated at 0.026 MMtCH<sub>4</sub>/yr (0.5 MMtCO<sub>2</sub>e/yr). Of the 3.1 MMtCH<sub>4</sub>/yr emitted in the 1980-1996 period, 0.76 MMtCH<sub>4</sub>/yr is emitted in the Taiga ecosystem (16 MMtCO<sub>2</sub>e/yr). These estimates were incorporated into the statewide estimates presented in Table G2. Note that these emissions do not include the previously-described CH<sub>4</sub> emissions that occur as a result of fire. No data were available for methane flux from coastal forest ecosystems.

## Key Uncertainties

Both the estimates of forest CO<sub>2</sub>e flux and ecosystem CH<sub>4</sub> flux presented here should be viewed as preliminary estimates based on process-based modeling of Alaska's ecosystems. For CH<sub>4</sub> flux, UAF comparisons against site-specific measurements suggest that the uncertainty around the flux estimate is probably plus or minus 50% overall. As described above, from year to year, CO<sub>2</sub> flux in forested lands varies dramatically depending on the level of wildfire activity. Years with high wildfire activity result in large net emissions of CO<sub>2</sub> to the atmosphere, while, in years with low activity, a significant level of CO<sub>2</sub> sequestration occurs. To provide a better sense of changes that are occurring in net carbon flux over time as well as a data set for comparison to other states, CCS has provided results in ten year averages.

The issue of what constitutes managed forests in Alaska may need further consideration and refinement (see additional notes on this issue from IPCC guidance below). Although fire suppression has occurred throughout state forests in previous decades, it is questionable whether the level of suppression was significant enough to designate much of the State's forests to be "managed". For the purposes of this initial assessment, CCS assumed that managed forests are those in the coastal maritime forests of Alaska (primarily those in the Chugach and Tongass National Forests). These coastal forests have much different net CO<sub>2</sub> flux from Alaska's interior forests (due to both sequestration potential and fire occurrence). It is possible that some of the interior forests have received sufficient intervention to be considered managed forests (e.g., those surrounding communities, productive forests).

CCS estimates that the estimates that uncertainty in the non-CO<sub>2</sub> emissions from wildfires is +/- a factor of two. This is based on comparisons with estimates in a recent paper from French et al on the uncertainty in GHG emissions from boreal forests.<sup>119</sup> The estimates provided here for non-CO<sub>2</sub> data made by extrapolating the WRAP's 2002 fire estimates are higher than those reported in this study by over a factor of two. One primary difference is that the estimates

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<sup>119</sup> French, N.H.F., P. Goovaerts, E.S. Kasichke, "Uncertainty in estimating carbon emissions from boreal forest fires", *Journal of Geophysical Research*, vol. 19, D14S08, 2004.

reported here include N<sub>2</sub>O, while the French et al paper included carbon-containing compounds only. There is a lot of uncertainty specifically on the issue of N<sub>2</sub>O emissions from wildfires; however it could contribute substantially to the total CO<sub>2</sub>e emissions for fires. The other main issues are the emission factors used in either the WRAP or French et al study for methane, as well as fuel loading factors, handling of emissions from different phases of wildfires (especially smoldering), and possibly other factors. A more in-depth analysis of the differences in these studies was beyond the scope of this initial assessment.

Forecasting of forest carbon flux is particularly challenging. UAF is currently engaged in developing forecasts of carbon flux, and these data should be reviewed for incorporation when available. Although the statewide trend appears to be moving in the direction of increased CO<sub>2</sub>e emissions, the sequestration rates in the managed forests have remained fairly constant over time. For the purposes of this assessment, CCS assumes that the flux rates will stay constant at the 2000 levels.

A considerable uncertainty in both the previous and projected GHG estimates is the exclusion of CH<sub>4</sub> and CO<sub>2</sub> from melting permafrost. Sufficient information was not identified to develop estimates for these areas. In addition, just like with the boreal forest, it is not clear whether these emissions should be treated as coming from anthropogenic or natural sources.

#### **Additional Notes: IPCC Guidelines for Agriculture, Forestry, and Other Land Uses (AFOLU)**

The AFOLU Sector has some unique characteristics with respect to developing inventory methods. There are many processes leading to emissions and removals of greenhouse gases, which can be widely-dispersed in space and highly variable in time. The factors governing emissions and removals can be both natural and anthropogenic (direct and indirect) and it can be difficult to clearly distinguish between causal factors. While recognizing this complexity, inventory methods need to be practical and operational. The 2006 IPCC Guidelines are designed to assist in estimating and reporting national inventories of anthropogenic greenhouse gas emissions and removals. For the AFOLU Sector, anthropogenic greenhouse gas emissions and removals by sinks are defined as all those occurring on 'managed land'. Managed land is land where human interventions and practices have been applied to perform production, ecological or social functions. All land definitions and classifications should be specified at the national level, described in a transparent manner, and be applied consistently over time. Emissions/removals of greenhouse gases do not need to be reported for unmanaged land. However, it is good practice for countries to quantify, and track over time, the area of unmanaged land so that consistency in area accounting is maintained as land-use change occurs.

The use of managed land as a proxy for anthropogenic effects is in use in the present IPCC guidelines. The key rationale for this approach is that the preponderance of anthropogenic effects occurs on managed lands. By definition, all direct human-induced effects on greenhouse gas emissions and removals occur on managed lands only. While it is recognized that no area of the Earth's surface is entirely free of human influence ( e.g., CO<sub>2</sub> fertilization), many indirect human influences on greenhouse gases (e.g., increased N deposition, accidental fire) will be manifested predominately on managed lands, where human activities are concentrated. Finally, while local and short-term variability in emissions and removals due to natural causes can be substantial (e.g., emissions from fire), the natural 'background' of greenhouse gas emissions and removals by

sinks tends to average out over time and space. This leaves the greenhouse gas emissions and removals from managed lands as the dominant result of human activity.

*Specific Guidance for Forests:* Countries should consistently apply national definitions of managed forests over time. National definitions should cover all forests subject to human intervention, including the full range of management practices from protecting forests, raising plantations, promoting natural regeneration, commercial timber production, non-commercial fuel wood extraction, and abandonment of managed land.

## Appendix H. Inventory and Forecast for Black Carbon

This appendix summarizes the methods, data sources, and results of the development of an inventory and forecast for black carbon (BC) emissions in Alaska. Black carbon is an aerosol (particulate matter or PM) species with positive climate forcing potential but currently without a global warming potential defined by the IPCC (see Appendix I for more information on BC and other aerosol species). BC is synonymous with elemental carbon (EC), which is a term common to regional haze analysis. An inventory for 2002 was developed based on inventory data from the Western Regional Air Partnership (WRAP) regional planning organization and other sources.<sup>120</sup> This appendix describes these data and methods for estimating mass emissions of BC and then transforming the mass emission estimates into CO<sub>2</sub> equivalents (CO<sub>2</sub>e) in order to present the emissions within a GHG context.

In addition to the PM inventory data from WRAP, PM speciation data from EPA's SPECIATE database were also used: these data include PM fractions of EC (also known as BC) and primary organic aerosols (also known as organic material, or OM). These data come from the US Environmental Protection Agency's latest release of its SPECIATE database (Version 4.0).<sup>121</sup> As will be further described below, both BC and OM emission estimates are needed to assess the CO<sub>2</sub>e of BC emissions. While BC and OM emissions data are available from the WRAP regional haze inventories, CCS favored the newer speciation data available from EPA for the purposes of estimating BC and OM for most source sectors (BC and OM data from the WRAP were used only for the nonroad engines sector). In particular, better speciation data are now available from EPA for important BC emissions sources (including most fossil fuel combustion sources).

After assembling the BC and OM emission estimates, the mass emission rates were transformed into their CO<sub>2</sub>e estimates using information from recent global climate modeling. This transformation is described in later sections below.

### *Development of BC and OM Mass Emission Estimates*

The BC and OM mass emission estimates were derived by multiplying the emissions estimates for particulate matter with an aerodynamic diameter of less than 2.5 micrometers (PM<sub>2.5</sub>) by the appropriate aerosol fraction for BC and OM. The aerosol fractions were taken from Pechan's ongoing work to update EPA's SPECIATE database as approved by EPA's SPECIATE Workgroup members.

After estimating both BC and OM emissions for each source category, we used the BC estimate as described below to estimate the CO<sub>2</sub>e emissions. Also, as described further below, the OM emission estimate was used to determine whether the source was likely to have positive climate forcing potential. The mass emission results for 2002 are shown in Table H1.

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<sup>120</sup> Tom Moore, Western Regional Air Partnership, data files provided to Steve Roe, CCS, December 2006; Corbett, J., *Estimation, Validation, and Forecasts of Regional Commercial Marine Vessel Emissions, Tasks 1 and 2: Baseline Inventory and Ports Comparison, Final Report*, May 3, 2006.

<sup>121</sup> US EPA, Version 4.0 of the SPECIATE database and report, released January 2007:  
<http://www.epa.gov/ttn/chief/software/speciate/index.html#related>.

### *Development of CO<sub>2e</sub> for BC+OM Emissions*

We used similar methods to those applied previously in Maine and Connecticut for converting BC mass emissions to CO<sub>2e</sub>.<sup>122</sup> These methods are based on the modeling of Jacobson (2002)<sup>123</sup> and his updates to this work (Jacobson, 2005a).<sup>124</sup> Jacobson (2005a) estimated a range of 90:1 to 190:1 for the climate response effects of BC+OM emissions as compared to CO<sub>2</sub> carbon emissions (depending on either a 30-year or 95-year atmospheric lifetime for CO<sub>2</sub>). It is important to note that the BC+OM emissions used by Jacobson were based on a 2:1 ratio of OM:BC (his work in these papers focused on fossil fuel BC+OM; primarily diesel combustion, which has an OM:BC ratio of 2:1 or less).

For Maine and Connecticut, ENE (2004) applied climate response factors from the earlier Jacobson work (220 and 500) to the estimated BC mass to estimate the range of CO<sub>2e</sub> associated with BC emissions. Note that the analysis in the northeast was limited to BC emissions from onroad diesel exhaust. An important oversight from this work is that the climate response factors developed by Jacobson (2002, 2005a) are on the basis of CO<sub>2</sub> carbon (not CO<sub>2</sub>). Therefore, in order to express the BC emissions as CO<sub>2e</sub>, the climate response factors should have been adjusted upward by a factor of 3.67 to account for the molecular weight of CO<sub>2</sub> to carbon (44/12).

For this inventory, we started with the 90 and 190 climate response factors adjusted to CO<sub>2e</sub> factors of 330 and 697 to obtain a low and high estimate of CO<sub>2e</sub> for each sector. An example calculation of the CO<sub>2e</sub> emissions for 10 tons of PM less than 2.5 microns (PM<sub>2.5</sub>) from onroad diesel exhaust follows:

$$\text{BC mass} = (10 \text{ short tons PM}_{2.5}) \times (0.613 \text{ ton EC/ton PM}_{2.5}) = 6.13 \text{ short tons BC}$$

$$\text{Low estimate CO}_{2e} = (6.13 \text{ tons BC}) (330 \text{ tons CO}_{2e}/\text{ton BC+OM}) (3 \text{ tons BC+OM/ton BC}) (0.907 \text{ metric ton/ton}) = 5,504 \text{ metric tons CO}_{2e}$$

$$\text{High estimate CO}_{2e} = (6.13 \text{ tons BC}) (697 \text{ tons CO}_{2e}/\text{ton BC+OM}) (3 \text{ tons BC+OM/ton BC}) (0.907 \text{ metric ton/ton}) = 11,626 \text{ metric tons CO}_{2e}$$

NOTE: The factor 3 tons BC+OM/ton BC comes directly from the global modeling inputs used by Jacobson (2002, 2005a; i.e., 2 tons of OM/ton of BC).

For source categories that had an OM:BC mass emissions ratio >4.0, we zeroed out these emission estimates from the CO<sub>2e</sub> estimates. The reason for this is that the net heating effects of

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<sup>122</sup> ENE, 2004. Memorandum: "Diesel Black Carbon Calculations – Reductions and Baseline" from Michael Stoddard, Environment Northeast, prepared for the Connecticut Stakeholder Dialog, Transportation Work Group, October 23, 2003.

<sup>123</sup> Jacobson, 2002. Jacobson, M.Z., "Control of fossil-fuel particulate black carbon and organic matter, possibly the most effective method of slowing global warming", *Journal of Geophysical Physical Research*, volume 107, No. D19, 4410, 2002.

<sup>124</sup> Jacobson, 2005a. Jacobson, M.Z., "Updates to 'Control of fossil-fuel particulate black carbon and organic matter, possibly the most effective method of slowing global warming'", *Journal of Geophysical Research Atmospheres*, February 15, 2005.

OM are not currently well understood (overall OM is thought to have a negative climate forcing effect or a net cooling effect). Therefore, for source categories where the PM is dominated by OM (e.g., biomass burning), the net climate response associated with these emissions is highly uncertain and could potentially produce a net negative climate forcing potential. Further, OM:BC ratios of 4 or more are well beyond the 2:1 ratio used by Jacobson in his work.

### *Results and Discussion*

We estimate that BC mass emissions in Alaska total about 3.0 MMtCO<sub>2</sub>e in 2002. This is the mid-point of the estimated range of emissions. The estimated range is 1.9 – 4.0 MMtCO<sub>2</sub>e (see Table H1). The primary contributing sectors in 2002 were commercial marine vessels (37%)<sup>125</sup>, aircraft (14%), nonroad diesel (12%), onroad diesel (8%), residential/commercial/industrial (RCI) coal combustion (6%), electricity generating unit (EGU) oil combustion (6%), nonroad gasoline engines (5%), RCI “other” combustion (mainly large diesel engines; 4%), and EGU coal combustion (4%).

The nonroad diesel sector includes exhaust emissions from construction/mining, industrial and agricultural engines, as well as recreational equipment. Construction and mining engines contributed about 72% of the diesel nonroad total, while the rest of the emissions were spread across remaining engine categories. For nonroad gasoline engines, 64% of the emissions were contributed by recreational equipment, and the remaining emissions were spread across the remaining engine categories.

Wildfires and miscellaneous sources such as fugitive dust from paved and unpaved roads contributed a significant amount of PM and subsequent BC and OM mass emissions (see H1); however the OM:BC ratio is >4 for these sources, so the BC emissions were not converted to CO<sub>2</sub>e.

CCS also performed an assessment of the primary BC contributing sectors from the 2018 WRAP forecast. A drop in the future BC emissions for the onroad and nonoad diesel sectors is expected due to new engine and fuels standards that will reduce particulate matter emissions. For the nonroad diesel sector the estimated 0.3 MMtCO<sub>2</sub>e in 2002 drops to 0.09 MMtCO<sub>2</sub>e in 2018. For the onroad diesel sector, 0.2 MMtCO<sub>2</sub>e was estimated for 2002 dropping to 0.03 MMtCO<sub>2</sub>e in 2018 (Note: as with the other estimates described above, these represent the mid-point in the estimated range of emissions). No significant reductions are expected in the other emission sectors. The development of emission estimates for the remaining source sectors was beyond the scope of this analysis.

While the state of science in aerosol climate forcing is still developing, there is a good body of evidence supporting the net warming impacts of BC. Aerosols have a *direct* radiative forcing because they scatter and absorb solar and infrared radiation in the atmosphere. Aerosols also

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<sup>125</sup> Particulate matter emissions, from the Corbett et al (2006) study referenced in the footnote above, were used as the starting point for estimating CMV emissions. These include in-port as well as underway emissions within 200 miles from shore (the Exclusive Economic Zone). The BC and OM fractions from the same speciation profiles used in the WRAP inventory (also referenced above) were applied to estimate BC and OM mass emissions, which were then transformed into their CO<sub>2</sub> equivalents.



alter the formation and precipitation efficiency of liquid water, ice and mixed-phase clouds, thereby causing an *indirect* radiative forcing associated with these changes in cloud properties (IPCC, 2001).<sup>126</sup> There are also a number of other indirect radiative effects that have been modeled (see, for example, Jacobson, 2002, as noted in the footnote of the previous page).

The quantification of aerosol radiative forcing is more complex than the quantification of radiative forcing by GHGs because of the direct and indirect radiative forcing effects, and the fact that aerosol mass and particle number concentrations are highly variable in space and time. This variability is largely due to the much shorter atmospheric lifetime of aerosols compared with the important GHGs (i.e., CO<sub>2</sub>). Spatially and temporally resolved information on the atmospheric concentration and radiative properties of aerosols is needed to estimate radiative forcing.

The quantification of indirect radiative forcing by aerosols is especially difficult. In addition to the variability in aerosol concentrations, some complicated aerosol influences on cloud processes must be accurately modeled. For example, the warm (liquid water) cloud indirect forcing may be divided into two components. The first indirect forcing is associated with the change in droplet concentration caused by increases in aerosol cloud condensation nuclei. The second indirect forcing is associated with the change in precipitation efficiency that results from a change in droplet number concentration. Quantification of the latter forcing necessitates understanding of a change in cloud liquid-water content. In addition to warm clouds, ice clouds may also be affected by aerosols.

To put the radiative forcing potential of BC in context with CO<sub>2</sub>, the IPCC estimated the radiative forcing for a doubling of the earth's CO<sub>2</sub> concentration to be 3.7 watts per square meter (W/m<sup>2</sup>). For BC, various estimates of current radiative forcing have ranged from 0.16 to 0.42 W/m<sup>2</sup> (IPCC, 2001). These BC estimates are for direct radiative effects only. There is a higher level of uncertainty associated with the direct radiative forcing estimates of BC compared to those of CO<sub>2</sub> and other GHGs. There are even higher uncertainties associated with the assessment of the indirect radiative forcing of aerosols.

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<sup>126</sup> IPCC, 2001. Climate Change 2001: The Scientific Basis, Intergovernmental Panel on Climate Change, 2001.

**Table H1. 2002 BC Emission Estimates**

Sector	Subsector	Mass Emissions			CO <sub>2</sub> Equivalents		Contribution to CO <sub>2</sub> e (%)
		BC	OM	BC + OM	Low	High	
		Metric Tons			Metric Tons		
Electric Generating Units (EGUs)	Coal	79	113	191	78,045	164,841	4.1
	Oil	109	37	146	107,709	227,494	5.6
	Gas	0	168	168	0	0	0.0
	Other <sup>a</sup>	30	10	40	29,972	63,304	1.6
Non-EGU Fuel Combustion (Residential, Commercial, and Industrial)							
	Coal	120	172	292	118,955	251,247	6.2
	Oil	14	8	22	14,085	29,750	0.7
	Gas	0	1,501	1,501	0	0	0.0
	Other <sup>a</sup>	318	1,194	1,512	81,499	172,136	4.3
	Onroad Gasoline (Exhaust, Brake Wear, & Tire Wear)	17	65	81	7,048	14,886	0.4
	Onroad Diesel (Exhaust, Brake Wear, & Tire Wear)	161	67	228	143,337	302,745	7.5
	Aircraft	272	354	627	269,392	568,988	14.1
	Railroad <sup>b</sup>	27	9	35	26,288	55,523	1.4
	Commercial Marine Vessels	721	234	955	713,790	1,507,611	37.3
Other Energy Use	Nonroad Gas	101	284	385	99,983	211,176	5.2
	Nonroad Diesel	222	56	279	220,187	465,061	11.5
	Other Combustion <sup>c</sup>	0	4	4	0	0	0.0
	Industrial Processes	1	42	43	0	0	0.0
	Agriculture <sup>d</sup>	2	205	207	0	0	0.0
Waste Management	Landfills	0	0	0			0.0
	Incineration	1	1	2	1,071	2,262	0.1
	Open Burning	35	455	490	0	0	0.0
	Other	0	0	0			0.0
	Wildfires/Prescribed Burns	49,185	494,471	543,655	0	0	0.0
	Miscellaneous <sup>e</sup>	18	294	312	0	0	0.0
<b>Total</b>		<b>51,434</b>	<b>499,742</b>	<b>551,176</b>	<b>1,911,360</b>	<b>4,037,023</b>	<b>100</b>

<sup>a</sup> Primarily large stationary diesel engines/turbines.

<sup>b</sup> Railroad includes Locomotives and Railroad Equipment Emissions.

<sup>c</sup> Other Combustion includes Motor Vehicle Fire, Structure Fire, and Aircraft/Rocket Engine Fire & Testing Emissions.

<sup>d</sup> Agriculture includes Agricultural Burning, Agriculture/Forestry and Agriculture, Food, & Kindred Spirits Emissions.

<sup>e</sup> Miscellaneous includes Paved/Unpaved Roads and Catastrophic/Accidental Release Emissions.



# **Appendix I. Greenhouse Gases and Global Warming Potential Values: *Excerpts from the Inventory of U.S. Greenhouse Emissions and Sinks: 1990-2000***

**Original Reference:** Material for this Appendix is taken from the *Inventory of U.S. Greenhouse Gas Emissions and Sinks: 1990 - 2000*, U.S. Environmental Protection Agency, Office of Atmospheric Programs, EPA 430-R-02-003, April 2002 ([www.epa.gov/globalwarming/publications/emissions](http://www.epa.gov/globalwarming/publications/emissions)). Michael Gillenwater directed the preparation of this appendix.

## **Introduction**

The *Inventory of U.S. Greenhouse Gas Emissions and Sinks* presents estimates by the United States government of U.S. anthropogenic greenhouse gas emissions and removals for the years 1990 through 2000. The estimates are presented on both a full molecular mass basis and on a Global Warming Potential (GWP) weighted basis in order to show the relative contribution of each gas to global average radiative forcing.

The Intergovernmental Panel on Climate Change (IPCC) has recently updated the specific global warming potentials for most greenhouse gases in their Third Assessment Report (TAR, IPCC 2001). Although the GWPs have been updated, estimates of emissions presented in the U.S. *Inventory* continue to use the GWPs from the Second Assessment Report (SAR). The guidelines under which the *Inventory* is developed, the *Revised 1996 IPCC Guidelines for National Greenhouse Gas Inventories* (IPCC/UNEP/OECD/IEA 1997) and the United Nations Framework Convention on Climate Change (UNFCCC) reporting guidelines for national inventories<sup>127</sup> were developed prior to the publication of the TAR. Therefore, to comply with international reporting standards under the UNFCCC, official emission estimates are reported by the United States using SAR GWP values. This excerpt of the U.S. *Inventory* addresses in detail the differences between emission estimates using these two sets of GWPs. Overall, these revisions to GWP values do not have a significant effect on U.S. emission trends.

Additional discussion on emission trends for the United States can be found in the complete *Inventory of U.S. Greenhouse Gas Emissions and Sinks: 1990-2000*.

## **What is Climate Change?**

Climate change refers to long-term fluctuations in temperature, precipitation, wind, and other elements of the Earth's climate system. Natural processes such as solar-irradiance variations, variations in the Earth's orbital parameters, and volcanic activity can produce variations in climate. The climate system can also be influenced by changes in the concentration of various gases in the atmosphere, which affect the Earth's absorption of radiation.

The Earth naturally absorbs and reflects incoming solar radiation and emits longer wavelength terrestrial (thermal) radiation back into space. On average, the absorbed solar radiation is balanced by the outgoing terrestrial radiation emitted to space. A portion of this terrestrial radiation, though, is itself absorbed by gases in the atmosphere. The energy from this absorbed terrestrial radiation warms the Earth's surface and atmosphere, creating what is known as the "natural greenhouse effect." Without the natural heat-trapping properties of these atmospheric gases, the average surface temperature of the Earth would be about 33°C lower (IPCC 2001).

Under the UNFCCC, the definition of climate change is "a change of climate which is attributed directly or indirectly to human activity that alters the composition of the global atmosphere and which is in

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<sup>127</sup> See FCCC/CP/1999/7 at <[www.unfccc.de](http://www.unfccc.de)>.

addition to natural climate variability observed over comparable time periods.” Given that definition, in its Second Assessment Report of the science of climate change, the IPCC concluded that:

*Human activities are changing the atmospheric concentrations and distributions of greenhouse gases and aerosols. These changes can produce a radiative forcing by changing either the reflection or absorption of solar radiation, or the emission and absorption of terrestrial radiation (IPCC 1996).*

Building on that conclusion, the more recent IPCC Third Assessment Report asserts that “[c]oncentrations of atmospheric greenhouse gases and their radiative forcing have continued to increase as a result of human activities” (IPCC 2001).

The IPCC went on to report that the global average surface temperature of the Earth has increased by between  $0.6 \pm 0.2^\circ\text{C}$  over the 20th century (IPCC 2001). This value is about  $0.15^\circ\text{C}$  larger than that estimated by the Second Assessment Report, which reported for the period up to 1994, “owing to the relatively high temperatures of the additional years (1995 to 2000) and improved methods of processing the data” (IPCC 2001).

While the Second Assessment Report concluded, “the balance of evidence suggests that there is a discernible human influence on global climate,” the Third Assessment Report states the influence of human activities on climate in even starker terms. It concludes that, “[I]n light of new evidence and taking into account the remaining uncertainties, most of the observed warming over the last 50 years is likely to have been due to the increase in greenhouse gas concentrations” (IPCC 2001).

### **Greenhouse Gases**

Although the Earth’s atmosphere consists mainly of oxygen and nitrogen, neither plays a significant role in enhancing the greenhouse effect because both are essentially transparent to terrestrial radiation. The greenhouse effect is primarily a function of the concentration of water vapor, carbon dioxide, and other trace gases in the atmosphere that absorb the terrestrial radiation leaving the surface of the Earth (IPCC 1996). Changes in the atmospheric concentrations of these greenhouse gases can alter the balance of energy transfers between the atmosphere, space, land, and the oceans. A gauge of these changes is called radiative forcing, which is a simple measure of changes in the energy available to the Earth-atmosphere system (IPCC 1996). Holding everything else constant, increases in greenhouse gas concentrations in the atmosphere will produce positive radiative forcing (i.e., a net increase in the absorption of energy by the Earth).

Climate change can be driven by changes in the atmospheric concentrations of a number of radiatively active gases and aerosols. We have clear evidence that human activities have affected concentrations, distributions and life cycles of these gases (IPCC 1996).

Naturally occurring greenhouse gases include water vapor, carbon dioxide ( $\text{CO}_2$ ), methane ( $\text{CH}_4$ ), nitrous oxide ( $\text{N}_2\text{O}$ ), and ozone ( $\text{O}_3$ ). Several classes of halogenated substances that contain fluorine, chlorine, or bromine are also greenhouse gases, but they are, for the most part, solely a product of industrial activities. Chlorofluorocarbons (CFCs) and hydrochlorofluorocarbons (HCFCs) are halocarbons that contain chlorine, while halocarbons that contain bromine are referred to as bromofluorocarbons (i.e., halons). Because CFCs, HCFCs, and halons are stratospheric ozone depleting substances, they are covered under the Montreal Protocol on Substances that Deplete the Ozone Layer. The UNFCCC defers to this earlier international treaty; consequently these gases are not included in national greenhouse gas inventories. Some other fluorine containing halogenated substances—hydrofluorocarbons (HFCs), perfluorocarbons (PFCs), and sulfur hexafluoride ( $\text{SF}_6$ )—do not deplete stratospheric ozone but are potent greenhouse gases. These latter substances are addressed by the UNFCCC and accounted for in national greenhouse gas inventories.

There are also several gases that, although they do not have a commonly agreed upon direct radiative forcing effect, do influence the global radiation budget. These tropospheric gases—referred to as ambient air pollutants—include carbon monoxide ( $\text{CO}$ ), nitrogen dioxide ( $\text{NO}_2$ ), sulfur dioxide ( $\text{SO}_2$ ), and

tropospheric (ground level) ozone (O<sub>3</sub>). Tropospheric ozone is formed by two precursor pollutants, volatile organic compounds (VOCs) and nitrogen oxides (NO<sub>x</sub>) in the presence of ultraviolet light (sunlight). Aerosols—extremely small particles or liquid droplets—often composed of sulfur compounds, carbonaceous combustion products, crustal materials and other human induced pollutants—can affect the absorptive characteristics of the atmosphere. However, the level of scientific understanding of aerosols is still very low (IPCC 2001).

Carbon dioxide, methane, and nitrous oxide are continuously emitted to and removed from the atmosphere by natural processes on Earth. Anthropogenic activities, however, can cause additional quantities of these and other greenhouse gases to be emitted or sequestered, thereby changing their global average atmospheric concentrations. Natural activities such as respiration by plants or animals and seasonal cycles of plant growth and decay are examples of processes that only cycle carbon or nitrogen between the atmosphere and organic biomass. Such processes—except when directly or indirectly perturbed out of equilibrium by anthropogenic activities—generally do not alter average atmospheric greenhouse gas concentrations over decadal timeframes. Climatic changes resulting from anthropogenic activities, however, could have positive or negative feedback effects on these natural systems. Atmospheric concentrations of these gases, along with their rates of growth and atmospheric lifetimes, are presented in Table II.

**Table II. Global Atmospheric Concentration (ppm Unless Otherwise Specified), Rate of Concentration Change (ppb/year) and Atmospheric Lifetime (Years) of Selected Greenhouse Gases**

Atmospheric Variable	CO <sub>2</sub>	CH <sub>4</sub>	N <sub>2</sub> O	SF <sub>6</sub> <sup>a</sup>	CF <sub>4</sub> <sup>a</sup>
Pre-industrial atmospheric concentration	278	0.700	0.270	0	40
Atmospheric concentration (1998)	365	1.745	0.314	4.2	80
Rate of concentration change <sup>b</sup>	1.5 <sup>c</sup>	0.007 <sup>c</sup>	0.0008	0.24	1.0
Atmospheric Lifetime	50-200 <sup>d</sup>	12 <sup>e</sup>	114 <sup>e</sup>	3,200	>50,000

Source: IPCC (2001)

<sup>a</sup> Concentrations in parts per trillion (ppt) and rate of concentration change in ppt/year.

<sup>b</sup> Rate is calculated over the period 1990 to 1999.

<sup>c</sup> Rate has fluctuated between 0.9 and 2.8 ppm per year for CO<sub>2</sub> and between 0 and 0.013 ppm per year for CH<sub>4</sub> over the period 1990 to 1999.

<sup>d</sup> No single lifetime can be defined for CO<sub>2</sub> because of the different rates of uptake by different removal processes.

<sup>e</sup> This lifetime has been defined as an “adjustment time” that takes into account the indirect effect of the gas on its own residence time.

A brief description of each greenhouse gas, its sources, and its role in the atmosphere is given below. The following section then explains the concept of Global Warming Potentials (GWPs), which are assigned to individual gases as a measure of their relative average global radiative forcing effect.

**Water Vapor (H<sub>2</sub>O).** Overall, the most abundant and dominant greenhouse gas in the atmosphere is water vapor. Water vapor is neither long-lived nor well mixed in the atmosphere, varying spatially from 0 to 2 percent (IPCC 1996). In addition, atmospheric water can exist in several physical states including gaseous, liquid, and solid. Human activities are not believed to directly affect the average global concentration of water vapor; however, the radiative forcing produced by the increased concentrations of other greenhouse gases may indirectly affect the hydrologic cycle. A warmer atmosphere has an increased water holding capacity; yet, increased concentrations of water vapor affects the formation of clouds, which can both absorb and reflect solar and terrestrial radiation. Aircraft contrails, which consist of water vapor and other aircraft emittants, are similar to clouds in their radiative forcing effects (IPCC 1999).

**Carbon Dioxide (CO<sub>2</sub>).** In nature, carbon is cycled between various atmospheric, oceanic, land biotic, marine biotic, and mineral reservoirs. The largest fluxes occur between the atmosphere and terrestrial biota, and between the atmosphere and surface water of the oceans. In the atmosphere, carbon predominantly exists in its oxidized form as CO<sub>2</sub>. Atmospheric carbon dioxide is part of this global

carbon cycle, and therefore its fate is a complex function of geochemical and biological processes. Carbon dioxide concentrations in the atmosphere increased from approximately 280 parts per million by volume (ppmv) in pre-industrial times to 367 ppmv in 1999, a 31 percent increase (IPCC 2001). The IPCC notes that “[t]his concentration has not been exceeded during the past 420,000 years, and likely not during the past 20 million years. The rate of increase over the past century is unprecedented, at least during the past 20,000 years.” The IPCC definitively states that “the present atmospheric CO<sub>2</sub> increase is caused by anthropogenic emissions of CO<sub>2</sub>” (IPCC 2001). Forest clearing, other biomass burning, and some non-energy production processes (e.g., cement production) also emit notable quantities of carbon dioxide.

In its second assessment, the IPCC also stated that “[t]he increased amount of carbon dioxide [in the atmosphere] is leading to climate change and will produce, on average, a global warming of the Earth’s surface because of its enhanced greenhouse effect—although the magnitude and significance of the effects are not fully resolved” (IPCC 1996).

**Methane (CH<sub>4</sub>).** Methane is primarily produced through anaerobic decomposition of organic matter in biological systems. Agricultural processes such as wetland rice cultivation, enteric fermentation in animals, and the decomposition of animal wastes emit CH<sub>4</sub>, as does the decomposition of municipal solid wastes. Methane is also emitted during the production and distribution of natural gas and petroleum, and is released as a by-product of coal mining and incomplete fossil fuel combustion. Atmospheric concentrations of methane have increased by about 150 percent since pre-industrial times, although the rate of increase has been declining. The IPCC has estimated that slightly more than half of the current CH<sub>4</sub> flux to the atmosphere is anthropogenic, from human activities such as agriculture, fossil fuel use and waste disposal (IPCC 2001).

Methane is removed from the atmosphere by reacting with the hydroxyl radical (OH) and is ultimately converted to CO<sub>2</sub>. Minor removal processes also include reaction with Cl in the marine boundary layer, a soil sink, and stratospheric reactions. Increasing emissions of methane reduce the concentration of OH, a feedback which may increase methane’s atmospheric lifetime (IPCC 2001).

**Nitrous Oxide (N<sub>2</sub>O).** Anthropogenic sources of N<sub>2</sub>O emissions include agricultural soils, especially the use of synthetic and manure fertilizers; fossil fuel combustion, especially from mobile combustion; adipic (nylon) and nitric acid production; wastewater treatment and waste combustion; and biomass burning. The atmospheric concentration of nitrous oxide (N<sub>2</sub>O) has increased by 16 percent since 1750, from a pre industrial value of about 270 ppb to 314 ppb in 1998, a concentration that has not been exceeded during the last thousand years. Nitrous oxide is primarily removed from the atmosphere by the photolytic action of sunlight in the stratosphere.

**Ozone (O<sub>3</sub>).** Ozone is present in both the upper stratosphere, where it shields the Earth from harmful levels of ultraviolet radiation, and at lower concentrations in the troposphere, where it is the main component of anthropogenic photochemical “smog.” During the last two decades, emissions of anthropogenic chlorine and bromine-containing halocarbons, such as chlorofluorocarbons (CFCs), have depleted stratospheric ozone concentrations. This loss of ozone in the stratosphere has resulted in negative radiative forcing, representing an indirect effect of anthropogenic emissions of chlorine and bromine compounds (IPCC 1996). The depletion of stratospheric ozone and its radiative forcing was expected to reach a maximum in about 2000 before starting to recover, with detection of such recovery not expected to occur much before 2010 (IPCC 2001).

The past increase in tropospheric ozone, which is also a greenhouse gas, is estimated to provide the third largest increase in direct radiative forcing since the pre-industrial era, behind CO<sub>2</sub> and CH<sub>4</sub>. Tropospheric ozone is produced from complex chemical reactions of volatile organic compounds mixing with nitrogen oxides (NO<sub>x</sub>) in the presence of sunlight. Ozone, carbon monoxide (CO), sulfur dioxide (SO<sub>2</sub>), nitrogen dioxide (NO<sub>2</sub>) and particulate matter are included in the category referred to as “criteria pollutants” in the

United States under the Clean Air Act and its subsequent amendments. The tropospheric concentrations of ozone and these other pollutants are short-lived and, therefore, spatially variable.

**Halocarbons, Perfluorocarbons, and Sulfur Hexafluoride (SF<sub>6</sub>).** Halocarbons are, for the most part, man-made chemicals that have both direct and indirect radiative forcing effects. Halocarbons that contain chlorine—chlorofluorocarbons (CFCs), hydrochlorofluorocarbons (HCFCs), methyl chloroform, and carbon tetrachloride—and bromine—halons, methyl bromide, and hydrobromofluorocarbons (HBFCs)—result in stratospheric ozone depletion and are therefore controlled under the Montreal Protocol on Substances that Deplete the Ozone Layer. Although CFCs and HCFCs include potent global warming gases, their net radiative forcing effect on the atmosphere is reduced because they cause stratospheric ozone depletion, which is itself an important greenhouse gas in addition to shielding the Earth from harmful levels of ultraviolet radiation. Under the Montreal Protocol, the United States phased out the production and importation of halons by 1994 and of CFCs by 1996. Under the Copenhagen Amendments to the Protocol, a cap was placed on the production and importation of HCFCs by non-Article 5 countries beginning in 1996, and then followed by a complete phase-out by the year 2030. The ozone depleting gases covered under the Montreal Protocol and its Amendments are not covered by the UNFCCC.

Hydrofluorocarbons (HFCs), perfluorocarbons (PFCs), and sulfur hexafluoride (SF<sub>6</sub>) are not ozone depleting substances, and therefore are not covered under the Montreal Protocol. They are, however, powerful greenhouse gases. HFCs—primarily used as replacements for ozone depleting substances but also emitted as a by-product of the HCFC-22 manufacturing process—currently have a small aggregate radiative forcing impact; however, it is anticipated that their contribution to overall radiative forcing will increase (IPCC 2001). PFCs and SF<sub>6</sub> are predominantly emitted from various industrial processes including aluminum smelting, semiconductor manufacturing, electric power transmission and distribution, and magnesium casting. Currently, the radiative forcing impact of PFCs and SF<sub>6</sub> is also small; however, they have a significant growth rate, extremely long atmospheric lifetimes, and are strong absorbers of infrared radiation, and therefore have the potential to influence climate far into the future (IPCC 2001).

**Carbon Monoxide (CO).** Carbon monoxide has an indirect radiative forcing effect by elevating concentrations of CH<sub>4</sub> and tropospheric ozone through chemical reactions with other atmospheric constituents (e.g., the hydroxyl radical, OH) that would otherwise assist in destroying CH<sub>4</sub> and tropospheric ozone. Carbon monoxide is created when carbon-containing fuels are burned incompletely. Through natural processes in the atmosphere, it is eventually oxidized to CO<sub>2</sub>. Carbon monoxide concentrations are both short-lived in the atmosphere and spatially variable.

**Nitrogen Oxides (NO<sub>x</sub>).** The primary climate change effects of nitrogen oxides (i.e., NO and NO<sub>2</sub>) are indirect and result from their role in promoting the formation of ozone in the troposphere and, to a lesser degree, lower stratosphere, where it has positive radiative forcing effects. Additionally, NO<sub>x</sub> emissions from aircraft are also likely to decrease methane concentrations, thus having a negative radiative forcing effect (IPCC 1999). Nitrogen oxides are created from lightning, soil microbial activity, biomass burning—both natural and anthropogenic fires—fuel combustion, and, in the stratosphere, from the photodegradation of nitrous oxide (N<sub>2</sub>O). Concentrations of NO<sub>x</sub> are both relatively short-lived in the atmosphere and spatially variable.

**Nonmethane Volatile Organic Compounds (NMVOCs).** Nonmethane volatile organic compounds include compounds such as propane, butane, and ethane. These compounds participate, along with NO<sub>x</sub>, in the formation of tropospheric ozone and other photochemical oxidants. NMVOCs are emitted primarily from transportation and industrial processes, as well as biomass burning and non-industrial consumption of organic solvents. Concentrations of NMVOCs tend to be both short-lived in the atmosphere and spatially variable.



**Aerosols.** Aerosols are extremely small particles or liquid droplets found in the atmosphere. They can be produced by natural events such as dust storms and volcanic activity, or by anthropogenic processes such as fuel combustion and biomass burning. They affect radiative forcing in both direct and indirect ways: directly by scattering and absorbing solar and thermal infrared radiation; and indirectly by increasing droplet counts that modify the formation, precipitation efficiency, and radiative properties of clouds. Aerosols are removed from the atmosphere relatively rapidly by precipitation. Because aerosols generally have short atmospheric lifetimes, and have concentrations and compositions that vary regionally, spatially, and temporally, their contributions to radiative forcing are difficult to quantify (IPCC 2001).

The indirect radiative forcing from aerosols is typically divided into two effects. The first effect involves decreased droplet size and increased droplet concentration resulting from an increase in airborne aerosols. The second effect involves an increase in the water content and lifetime of clouds due to the effect of reduced droplet size on precipitation efficiency (IPCC 2001). Recent research has placed a greater focus on the second indirect radiative forcing effect of aerosols.

Various categories of aerosols exist, including naturally produced aerosols such as soil dust, sea salt, biogenic aerosols, sulphates, and volcanic aerosols, and anthropogenically manufactured aerosols such as industrial dust and carbonaceous aerosols (e.g., black carbon, organic carbon) from transportation, coal combustion, cement manufacturing, waste incineration, and biomass burning.

The net effect of aerosols is believed to produce a negative radiative forcing effect (i.e., net cooling effect on the climate), although because they are short-lived in the atmosphere—lasting days to weeks—their concentrations respond rapidly to changes in emissions. Locally, the negative radiative forcing effects of aerosols can offset the positive forcing of greenhouse gases (IPCC 1996). “However, the aerosol effects do not cancel the global-scale effects of the much longer-lived greenhouse gases, and significant climate changes can still result” (IPCC 1996).

The IPCC’s Third Assessment Report notes that “the indirect radiative effect of aerosols is now understood to also encompass effects on ice and mixed-phase clouds, but the magnitude of any such indirect effect is not known, although it is likely to be positive” (IPCC 2001). Additionally, current research suggests that another constituent of aerosols, elemental carbon, may have a positive radiative forcing (Jacobson 2001). The primary anthropogenic emission sources of elemental carbon include diesel exhaust, coal combustion, and biomass burning.

### ***Global Warming Potentials***

Global Warming Potentials (GWPs) are intended as a quantified measure of the globally averaged relative radiative forcing impacts of a particular greenhouse gas. It is defined as the cumulative radiative forcing—both direct and indirect effects—integrated over a period of time from the emission of a unit mass of gas relative to some reference gas (IPCC 1996). Carbon dioxide (CO<sub>2</sub>) was chosen as this reference gas. Direct effects occur when the gas itself is a greenhouse gas. Indirect radiative forcing occurs when chemical transformations involving the original gas produce a gas or gases that are greenhouse gases, or when a gas influences other radiatively important processes such as the atmospheric lifetimes of other gases. The relationship between gigagrams (Gg) of a gas and Tg CO<sub>2</sub> Eq. can be expressed as follows:

$$\text{Tg CO}_2 \text{ Eq} = (\text{Gg of gas}) \times (\text{GWP}) \times \left( \frac{\text{Tg}}{1,000 \text{ Gg}} \right)$$

where,

Tg CO<sub>2</sub> Eq. = Teragrams of Carbon Dioxide Equivalents

Gg = Gigagrams (equivalent to a thousand metric tons)

GWP = Global Warming Potential

Tg = Teragrams

GWP values allow policy makers to compare the impacts of emissions and reductions of different gases. According to the IPCC, GWPs typically have an uncertainty of roughly  $\pm 35$  percent, though some GWPs have larger uncertainty than others, especially those in which lifetimes have not yet been ascertained. In the following decision, the parties to the UNFCCC have agreed to use consistent GWPs from the IPCC Second Assessment Report (SAR), based upon a 100 year time horizon, although other time horizon values are available (see Table I2).

*In addition to communicating emissions in units of mass, Parties may choose also to use global warming potentials (GWPs) to reflect their inventories and projections in carbon dioxide-equivalent terms, using information provided by the Intergovernmental Panel on Climate Change (IPCC) in its Second Assessment Report. Any use of GWPs should be based on the effects of the greenhouse gases over a 100-year time horizon. In addition, Parties may also use other time horizons. (FCCC/CP/1996/15/Add.1)*

Greenhouse gases with relatively long atmospheric lifetimes (e.g., CO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O, HFCs, PFCs, and SF<sub>6</sub>) tend to be evenly distributed throughout the atmosphere, and consequently global average concentrations can be determined. The short-lived gases such as water vapor, carbon monoxide, tropospheric ozone, other ambient air pollutants (e.g., NO<sub>x</sub>, and NMVOCs), and tropospheric aerosols (e.g., SO<sub>2</sub> products and black carbon), however, vary spatially, and consequently it is difficult to quantify their global radiative forcing impacts. GWP values are generally not attributed to these gases that are short-lived and spatially inhomogeneous in the atmosphere.

**Table I2. Global Warming Potentials (GWP) and Atmospheric Lifetimes (Years) Used in the Inventory**

Gas	Atmospheric Lifetime	100-year GWP <sup>a</sup>	20-year GWP	500-year GWP
Carbon dioxide (CO <sub>2</sub> )	50-200	1	1	1
Methane (CH <sub>4</sub> ) <sup>b</sup>	12±3	21	56	6.5
Nitrous oxide (N <sub>2</sub> O)	120	310	280	170
HFC-23	264	11,700	9,100	9,800
HFC-125	32.6	2,800	4,600	920
HFC-134a	14.6	1,300	3,400	420
HFC-143a	48.3	3,800	5,000	1,400
HFC-152a	1.5	140	460	42
HFC-227ea	36.5	2,900	4,300	950
HFC-236fa	209	6,300	5,100	4,700
HFC-4310mee	17.1	1,300	3,000	400
CF <sub>4</sub>	50,000	6,500	4,400	10,000
C <sub>2</sub> F <sub>6</sub>	10,000	9,200	6,200	14,000
C <sub>4</sub> F <sub>10</sub>	2,600	7,000	4,800	10,100
C <sub>6</sub> F <sub>14</sub>	3,200	7,400	5,000	10,700
SF <sub>6</sub>	3,200	23,900	16,300	34,900

Source: IPCC (1996)

<sup>a</sup> GWPs used here are calculated over 100 year time horizon

<sup>b</sup> The methane GWP includes the direct effects and those indirect effects due to the production of tropospheric ozone and stratospheric water vapor. The indirect effect due to the production of CO<sub>2</sub> is not included.

Table I3 presents direct and net (i.e., direct and indirect) GWPs for ozone-depleting substances (ODSs). Ozone-depleting substances directly absorb infrared radiation and contribute to positive radiative forcing; however, their effect as ozone-depleters also leads to a negative radiative forcing because ozone itself is a potent greenhouse gas. There is considerable uncertainty regarding this indirect effect; therefore, a range of net GWPs is provided for ozone depleting substances.

**Table I3. Net 100-year Global Warming Potentials for Select Ozone Depleting Substances\***

Gas	Direct	Net <sub>min</sub>	Net <sub>max</sub>
CFC-11	4,600	(600)	3,600
CFC-12	10,600	7,300	9,900
CFC-113	6,000	2,200	5,200
HCFC-22	1,700	1,400	1,700
HCFC-123	120	20	100
HCFC-124	620	480	590
HCFC-141b	700	(5)	570
HCFC-142b	2,400	1,900	2,300
CHCl <sub>3</sub>	140	(560)	0
CCl <sub>4</sub>	1,800	(3,900)	660
CH <sub>3</sub> Br	5	(2,600)	(500)
Halon-1211	1,300	(24,000)	(3,600)
Halon-1301	6,900	(76,000)	(9,300)

Source: IPCC (2001)

\* Because these compounds have been shown to deplete stratospheric ozone, they are typically referred to as ozone depleting substances (ODSs). However, they are also potent greenhouse gases. Recognizing the harmful effects of these compounds on the ozone layer, in 1987 many governments signed the *Montreal Protocol on Substances that Deplete the Ozone Layer* to limit the production and importation of a number of CFCs and other halogenated compounds. The United States furthered its commitment to phase-out ODSs by signing and ratifying the Copenhagen Amendments to the *Montreal Protocol* in 1992. Under these amendments, the United States committed to ending the production and importation of halons by 1994, and CFCs by 1996. The IPCC Guidelines and the UNFCCC do not include reporting instructions for estimating emissions of ODSs because their use is being phased-out under the *Montreal Protocol*. The effects of these compounds on radiative forcing are not addressed here.

The IPCC recently published its Third Assessment Report (TAR), providing the most current and comprehensive scientific assessment of climate change (IPCC 2001). Within that report, the GWPs of several gases were revised relative to the IPCC's Second Assessment Report (SAR) (IPCC 1996), and new GWPs have been calculated for an expanded set of gases. Since the SAR, the IPCC has applied an improved calculation of CO<sub>2</sub> radiative forcing and an improved CO<sub>2</sub> response function (presented in WMO 1999). The GWPs are drawn from WMO (1999) and the SAR, with updates for those cases where new laboratory or radiative transfer results have been published. Additionally, the atmospheric lifetimes of some gases have been recalculated. Because the revised radiative forcing of CO<sub>2</sub> is about 12 percent lower than that in the SAR, the GWPs of the other gases relative to CO<sub>2</sub> tend to be larger, taking into account revisions in lifetimes. However, there were some instances in which other variables, such as the radiative efficiency or the chemical lifetime, were altered that resulted in further increases or decreases in particular GWP values. In addition, the values for radiative forcing and lifetimes have been calculated for a variety of halocarbons, which were not presented in the SAR. The changes are described in the TAR as follows:

*New categories of gases include fluorinated organic molecules, many of which are ethers that are proposed as halocarbon substitutes. Some of the GWPs have larger uncertainties than that of others, particularly for those gases where detailed laboratory data on lifetimes are not yet available. The direct GWPs have been calculated relative to CO<sub>2</sub> using an improved calculation of the CO<sub>2</sub> radiative forcing, the SAR response function for a CO<sub>2</sub> pulse, and new values for the radiative forcing and lifetimes for a number of halocarbons.*



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