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Joint Polar Satellite System (JPSS)
Operational Algorithm Description
(OAD)
Document for VIIRS Cloud Optical
Properties (COP) Intermediate
Product (IP) Software

For Public Release

The information provided herein does not contain technical data as defined in the International Traffic in Arms Regulations (ITAR) 22 CFC 120.10. This document has been approved For Public Release to the NOAA Comprehensive Large Array-data Stewardship System (CLASS).



Goddard Space Flight Center
Greenbelt, Maryland

National Aeronautics and
Space Administration

**Joint Polar Satellite System (JPSS)
Operational Algorithm Description (OAD) Document for
VIIRS Cloud Optical Properties (COP) Intermediate
Product (IP) Software
JPSS Electronic Signature Page**

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Preface

This document is under JPSS Ground Algorithm ERB configuration control. Once this document is approved, JPSS approved changes are handled in accordance with Class I and Class II change control requirements as described in the JPSS Configuration Management Procedures, and changes to this document shall be made by complete revision.

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NATIONAL POLAR-ORBITING OPERATIONAL ENVIRONMENTAL SATELLITE SYSTEM (NPOESS)

OPERATIONAL ALGORITHM DESCRIPTION DOCUMENT FOR VIIRS CLOUD OPTICAL PROPERTIES (COP) SOFTWARE

**SDRL No. S141
SYSTEM SPECIFICATION SS22-0096**

**RAYTHEON COMPANY
INTELLIGENCE AND INFORMATION SYSTEMS (IIS)
NPOESS PROGRAM
Omaha, NEBRASKA**

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**Engineering & Manufacturing Development (EMD) Phase
 Acquisition & Operations Contract**

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**Operational Algorithm Description Document for the
 VIIRS Cloud Optical Properties (COP) Software**

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**Document Number: D39298
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This document has been identified per the NPOESS Common Data Format Control Book – External Volume 5 Metadata, D34862-05, Appendix B as a document to be provided to the NOAA Comprehensive Large Array-data Stewardship System (CLASS) via the delivery of NPOESS Document Release Packages to CLASS.

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A2	5-16-07	01Dec06 – 2.2.1 Inputs, Bright to Brightness and ster to sr to match the SI units, microns to micrometers, heights described to geopotential heights, Updated TOC, Inserted Table 9, CM Struct input, corrected revision editions. 04Apr07 – Modified Figure 4 & Figure 5 according to the current version of IDPS code, added new routine input tables for ComputeClrRadNight_Extra and ComputeClrRadDay_Extra, updated the table numbers, updated source code to reflect on 1.5 build of IDPS COP code instead of 1.4. 16May07 – Included Track Changes, and made changes to the document according to NP-EMD.2006.510.0019.	All
A3	5-21-07	Delivered to NGST.	All



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A7	9-4-08	Updated Graceful Degradation.	17,18
A8	10-1-08	Prepared for TIM/ACCB.	All
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B2	8-13-09	Updated due to PCR019987	Table 11
B3	11-04-09	Updated for SDRL	All
B4	01-07-10	Fixed Table 10 based on SDRL review comments from A&DP	7
B5	06-21-10	Implemented TM-EMD.2010.510.0027, Upgrade of Cloud Optical Properties Algorithm in clear sky radiance modeling and NP-EMD.2010.510.0047, Fix software bugs in Cloud Optical Properties Code	All
B6	07-08-10	Prepared for SDRL	All
B7	08-27-10	Updated product ranges	Table 12
B8	09-14-10	Changed RetrieveSfcAlbedoEmiss and CreateTempSdrUnits method signatures.	Tables 17 & 19
B9	09-14-10	ECR1061/PCR024068 Updated COT ranges	Table 12
B10	10-12-10	Updated due to document convergence to include TM 2010.510.0011, 2010.510.0012, 2010.510.0013, & 2010.510.0014,	All
B11	11-11-11	Updated for PCRs PCR027775, PCR027776, PCR028270 & PCR028553	All

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1.0 INTRODUCTION

1.1 Objective

The purpose of the Operational Algorithm Description (OAD) document is to express, in computer-science terms, the remote sensing algorithms that produce the National Polar-Orbiting Operational Environmental Satellite System (NPOESS) end-user data products. These products are individually known as Raw Data Records (RDRs), Temperature Data Records (TDRs), Sensor Data Records (SDRs) and Environmental Data Records (EDRs). In addition, any Intermediate Products (IPs) produced in the process are also described in the OAD.

The science basis of an algorithm is described in a corresponding Algorithm Theoretical Basis Document (ATBD). The OAD provides a software description of that science as implemented in the operational ground system -- the Data Processing Element (DPE).

The purpose of an OAD is two-fold:

1. Provide initial implementation design guidance to the operational software developer.
2. Capture the “as-built” operational implementation of the algorithm reflecting any changes needed to meet operational performance/design requirements.

An individual OAD document describes one or more algorithms used in the production of one or more data products. There is a general, but not strict, one-to-one correspondence between OAD and ATBD documents.

This particular document describes operational software implementation for the Visible/Infrared Imager/Radiometer Suite (VIIRS) Cloud Optical Properties (COP).

1.2 Scope

The scope of this document is limited to the description of the core operational algorithm(s) required to create the VIIRS Cloud Optical Properties (COP) software. The theoretical basis for this algorithm is described in Section 3.3 of the Cloud Effective Particle Size and Cloud Optical Thickness Algorithm Theoretical Basis Document ATBD, 474-00042.

1.3 References

The primary software detailed design documents listed here include science software documents, NPOESS program documents, plus source code and test data references.

1.3.1 Document References

The science and system engineering documents relevant to the algorithms described in this OAD are listed in Table 1.

Table 1. Reference Documents

Document Title	Document Number/Revision	Revision Date
Cloud Effective Particle Size and Cloud Optical Thickness Algorithm Theoretical Basis Document ATBD	474-00042	Latest
VIIRS Cloud Mask (VCM) Algorithm Theoretical Basis Document ATBD	474-00033	Latest

Document Title	Document Number/Revision	Revision Date
JPSS Environmental Data Record (EDR) Production Report (PR) for NPP	474-00012	Latest
JPSS Environmental Data Record (EDR) Interdependency Report (IR) for NPP	474-00007	Latest
NPP Mission Data Format Control Book and App A (MDFCB)	429-05-02-42_MDFCB	Latest
JPSS Common Data Format Control Book - External - Block 1.2.2 (All Volumes)	474-00001-01-B0122 CDFCB-X Vol I 474-00001-02-B0122 CDFCB-X Vol II 474-00001-03-B0122 CDFCB-X Vol III 474-00001-04-01-B0122 CDFCB-X Vol IV Part 1 474-00001-04-02-B0122 CDFCB-X Vol IV Part 2 474-00001-04-03-B0122 CDFCB-X Vol IV Part 3 474-00001-04-04-B0122 CDFCB-X Vol IV Part 4 474-00001-05-B0122 CDFCB-X Vol V 474-00001-06-B0122 CDFCB-X Vol VI 474-00001-08-B0122 CDFCB-X Vol VIII	Latest
JPSS Common Data Format Control Book - External - Block 1.2.3 (All Volumes)	474-00001-01-B0123 CDFCB-X Vol I 474-00001-02-B0123 CDFCB-X Vol II 474-00001-03-B0123 CDFCB-X Vol III 474-00001-04-01-B0123 CDFCB-X Vol IV Part 1 474-00001-04-02-B0123 CDFCB-X Vol IV Part 2 474-00001-04-03-B0123 CDFCB-X Vol IV Part 3 474-00001-04-04-B0123 CDFCB-X Vol IV Part 4 474-00001-05-B0123 CDFCB-X Vol V 474-00001-06-B0123 CDFCB-X Vol VI 474-00001-08-B0123 CDFCB-X Vol VIII	Latest
NPP Command and Telemetry (C&T) Handbook	D568423 Rev. C	30 Sep 2008
VIIRS Cloud Optical Properties Unit Level Detailed Design	Y0010870 Ver. 5 Rev. 9	Jul 2004
VIIRS Cloud Module Level Interface Control Document	Y3278 Ver. 5 Rev. 9	Oct 2004
VIIRS Cloud Module-level Software Architecture	Y2472 Ver. 5 Rev. 12	Jan 2005
VIIRS Cloud Module Data Dictionary	Y0010871 Ver. 5 Rev. 11	Jan 2005
JPSS CGS Data Processor Inter-subsystem Interface Control Document (DPIS ICD) Vol I – IV	IC60917-IDP-002	Latest
Joint Polar Satellite System (JPSS) Program Lexicon	470-00041	Latest
NGST/SE technical memo – MS Engineering Memo_COP OAD Update	NP-EMD.2005.510.0078 Rev. ---	07 Jul 2005

Document Title	Document Number/Revision	Revision Date
NGST/SE technical memo – NPP_VIIRS_COP_OAD_Blockiness Correction Updates	NP-EMD.2006.510.0019 Rev. ---	23 Mar 2006
NGST/SE technical memo – NPP_COP_CODE_FIX_FILL_VALUE_STRIP	NP-EMD.2006.510.0058	07 Aug 2006
NGST/SE technical memo – NPP_VIIRS_COP_array_out_of_bound	NP-EMD.2007.510.0030	25 Apr 2007
NGST/SE technical memo – NPP_VIIRS_COP_Unit_Consistent	NP-EMD.2008.510.0007 Rev. ---	29 Jan 2008
NGAS/AM&S technical memo – Update Cloud Optical Properties Code to be consistent with System Spec Rev N and fix software bugs	NP-EMD.2008.510.0067 Rev. A	04 Dec 2008
NGST/SE technical memo – NPP_VIIRS_COP_Skip_FILLS_in Profiles	NP-EMD.2009.510.0073	03 Dec 2009
NGST/SE technical memo – Upgrade of Cloud Optical Properties Algorithm in clear sky radiance modeling	NP-EMD.2010.510.0027	24 Mar 2010
NGST/SE technical memo – Fix software bugs in Cloud Optical Properties Code	NP-EMD.2010.510.0047	19 May 2010
NGST/SE technical memos: LUT_OAD_Drop_History_Corrections LUT_Format_Corrections PC_OAD_Last_Drop_Corrections PC_Format_Corrections	NPOESS GJM-2010.510.0011 NPOESS GJM-2010.510.0012 NPOESS GJM-2010.510.0013 NPOESS GJM-2010.510.0014	21 Sep 2010 21 Sep 2010 22 Sep 2010 22 Sep 2010
NGST/SE technical memo – Description of OAD and CDFCB updates resulting from Ice cloud reflectance LUT dimension change	NPP.2011.220.0006	24 Aug 2011
NGST/SE technical memo – Modify COP for changes needed by the NPP cloud ATDR 20 for CEPS	NPP.2011.220.0001 (also titled as ATDR20)	20 Jun 2011

1.3.2 Source Code References

The science and operational code and associated documentation relevant to the algorithms described in this OAD are listed in Table 2.

Table 2. Source Code References

Reference Title	Reference Tag/Revision	Revision Date
VIIRS COP science-grade software (original reference source)	VIIRS_Drop1_Documentation	31 Mar 2003
VIIRS Science Algorithms 2.7 Delivery to IDPS	ISTN_VIIRS_NGST_2.7	31 Aug 2004
NGST/SE technical memo – NPP_VIIRS_COP_OAD_Blockiness Correction Updates	NP-EMD.2006.510.0019 Rev. --- (OAD Rev A2)	23 Mar 2006
VIIRS COP science-grade software	ISTN_VIIRS_NGST_4.2	12 Apr 2006
NGST/SE technical memo – NPP_COP_CODE_FIX_FILL_VALUE_STRIP	NP-EMD.2006.510.0058 Rev. ---	07 Aug 2006
VIIRS “Drop 3.5.1” science algorithm packages delivered to the IDPS IPT in support of IDPS Science to Ops conversion. TEST DATA ONLY – no source code	ISTN_VIIRS_NGST_3.5.1_DATA	21 Dec 2006
VIIRS Science Algorithms 2.7 Delivery to IDPS	ISTN_VIIRS_NGST_3.5.3_DATA (ECRs)	02 Apr 2008

Reference Title	Reference Tag/Revision A140 & A141)	Revision Date
VIIRS COP operational software	B1.5.x.1 (OAD Rev A5)	Jul 2008
NGST/SE technical memo – NPP_VIIRS_COP_array_out_of_bound	NP-EMD.2007.510.0030 Rev. ---	25 Apr 2007
NGST/SE technical memo – NPP_VIIRS_COP_Unit_Consistent	NP-EMD.2008.510.0007 Rev. ---	29 Jan 2008
VIIRS COP science-grade software, includes Update Cloud Optical Properties Code to be consistent with System Spec Rev N and fix software bugs, TM NP-EMD.2008.510.0067, Rev A	ISTN_VIIRS_NGST_3.5.7 (ECR-A187)	28 Jan 2009
VIIRS COP operational-grade software	Build Post-X-I (OAD Rev B1)	27 May 2009
PCR019987	Sensor Characterization Build (SC-2)(OAD Rev B2)	13 Aug 2009
SDRL	(OAD Rev B3)	04 Nov 2009
VIIRS COP science-grade software, includes Upgrade of Cloud Optical Properties Algorithm in clear sky radiance modeling, TM NP- EMD.2010.510.0027	ISTN_VIIRS_NGST_3.5.8 (ECR-A284)	21 Apr 2010
VIIRS COP operational-grade software, includes PCRs 23540, 23764, 22836, 23437, 23440, 23439, 23969, 23841; and TMs NP-EMD.2010.510.0047 & NP-EMD.2009.510.0073	Sensor Characterization Build (SC-11) (OAD Rev B5)	21 Jun 2010
SDRL	(OAD Rev B6)	08 Jul 2010
ECR1061/PCR024068 update output field ranges	(OAD Rev B7)	17 Aug 2010
PCR024479 update cloud edr Output Scaling Limits	(OAD Rev B9)	14 Sep 2010
Convergence Update (No code updates)	(OAD Rev B10)	12 Oct 2010
VIIRS COP science-grade software, includes TM \\ NP-EMD.2010.510.0105	ISTN_VIIRS_NGST_3.5.9 Not implemented, superseded by 4.26	05 Jan 2011
VIIRS COP science-grade software, includes TM 2011.220.0001	ISTN_VIIRS_NGST_4.26 (ECR-ALG-0026)	29 Jun 2011
VIIRS COP science-grade software, includes TM NPP.2011.220.0006	ISTN_VIIRS_NGST_4.26.1 (ECR-ALG- 0029)	24 Aug 2011
VIIRS COP operational-grade software, includes PCRs PCR027775 (code-includes TM 2011.220.0001), PCR028269 (Code), PCR027776 (OAD) & PCR028270 (OAD)	Build Maintenance Release 1.5.6-K (OAD Rev B11)	11 Nov 2011
OAD transitioned to JPSS Program – this table is no longer updated.		

2.0 ALGORITHM OVERVIEW

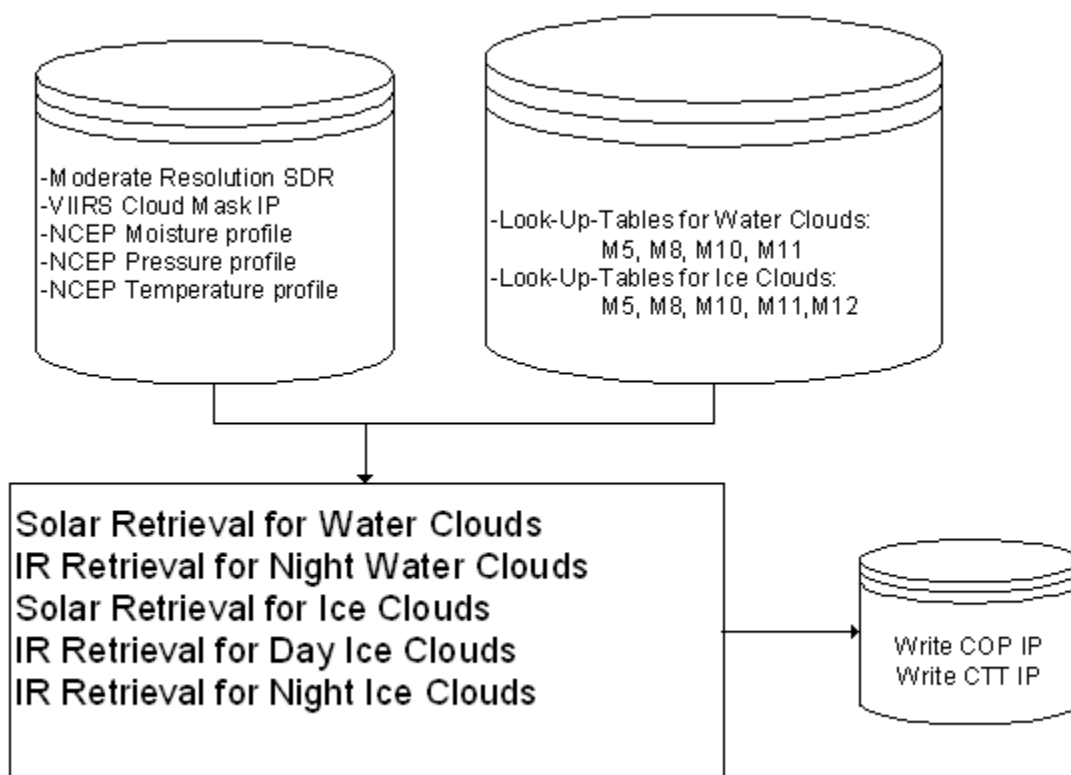


Figure 1. A High-Level COP Processing Chain

A high-level flow diagram of processing to determine the Cloud Optical Thickness (COT) and Effective Particle Size (EPS) parameters is provided in Figure 1. Input parameters required by these algorithms include VIIRS Sensor Data Record (SDR), VIIRS Cloud Mask (VCM) and ancillary input data from NCEP. The overall processing begins with the detection of cloud-contaminated pixels and the determination of their associated phase via the VCM program. For each cloud phase, the retrieval algorithms contain two basic approaches: solar and infrared (IR). The solar approach uses the reflectances of the 1.61 μm channel and, either 0.672 μm (non-snow/ice surface) or 1.24 μm (snow/ice surface) channels for daytime retrieval of COT and EPS. It determines these cloud parameters by matching measured reflectances with those from the comprehensive radiance look-up tables (LUTs), which are pre-computed reflectances from the UCLA LBLE Radiative Transfer Model (RTM) for a wide range of scenarios. The IR approach utilizes various combinations of radiances of the VIIRS 3.7, 8.55, 10.7625 and 12.013 μm channels to infer cloud temperature and IR emissivity, from which the COT and EPS (except for daytime ice clouds in which the solar retrieved COT and EPS are used) are determined on the basis of the theory of radiative transfer and parameterizations.

2.1 VIIRS Cloud Optical Properties (COP) Description

The COP retrieval algorithm and the theoretical basis are described in detail in the Cloud Effective Particle Size and Cloud Optical Thickness Algorithm Theoretical Basis Document ATBD, 474-00042. The ATBD had mentioned the use of VIIRS surface albedo and surface type Intermediate Products (IPs). Instead, the code has adopted the use of surface type specified in VCM. Surface albedo and emissivity is then determined from the Surface Type LUT.

2.1.1 Interfaces

The code reads in the required input files including VIIRS moderate resolution SDR, VCM, ancillary data, and the LUTs for water and ice clouds. Furthermore, data items from ING also include the specification of configurable threshold values.

2.1.1.1 Inputs

The details of the required input parameters for the retrieval of COT and EPS come from VIIRS and non-VIIRS sources and are summarized in Tables 3 through 9. The VIIRS data either come directly from the VIIRS processing stream or are generated from the RTM.

Table 3. VIIRS SDR Data Items

Input Data	Description/Source	Units
Radiance for bands M12, M14, M15 and M16	Radiance/VIIRS MOD SDR	W/(m ² .sr.µm)
Reflectance for band M5, M8, M10, and M11	Reflectance/VIIRS MOD SDR	Unitless
Brightness Temperature for bands M12, M14, M15, M16	Brightness temperature/ VIIRS MOD SDR	Deg. Kelvin
Sen Zen Angle	Sensor zenith angle/ VIIRS MOD Geolocation	Radians
Sen Azi Angle	Sensor azimuth angle/ VIIRS MOD Geolocation	Radians
Sol Zen Angle	2.1.2 Solar zenith angle/ VIIRS MOD Geolocation	Radians
Sol Azi Angle	Solar Azimuth angle/ VIIRS MOD Geolocation	Radians

Table 4. Ancillary Data Items

Input Data	Description/Source	Units
Moisture	Water vapor mixing ratio profile	gram/kggram
Temperature	Temperature profile	Deg. Kelvin
Surface Skin Temperature	Surface Skin Temperature profiile	Deg. Kelvin

Table 5. VIIRS Ice Cloud LUT Data Structure

Input	Description/Source	Units
Sol_zen_bins	Solar Zenith index bins	Radians
Sen_zen_bins	Sensor Zenith index bins	Radians
Rel_az_bins	Relative Azimuth index bins	Radians
Sfc_albedo_bins	Surface Albedo index bins	None
Sfc_emiss_bins	Surface Emissivity index bins	None
Eps_index	Effective Particle Size indices	None
Eps_bins	Effective Particle Size index bins	Micrometers
Cot_bins	Cloud Optical Thickness index bins	Tau
Reflectance_M5	Reflectance of VIIRS band M5	None
Reflectance_M8	Reflectance of VIIRS band M8	None
Reflectance_M10	Reflectance of VIIRS band M10	None
Reflectance_M11	Reflectance of VIIRS band M11	None

Table 6. VIIRS Water Cloud LUT Data Structure

Input	Description/Source	Units
Sol_zen_bins	Solar Zenith index bins	Radians

Input	Description/Source	Units
Sen_zen_bins	Sensor Zenith index bins	Radians
Rel_az_bins	Relative Azimuth index bins	Radians
Sfc_albedo_bins	Surface Albedo index bins	None
Sfc_emiss_bins	Surface Emissivity index bins	None
Eps_index	Effective Particle Size indices	None
Eps_bins	Effective Particle Size index bins	Micrometers
Cot_bins	Cloud Optical Thickness index bins	Tau
Reflectance_M5	Reflectance of VIIRS band M5	None
Reflectance_M8	Reflectance of VIIRS band M8	None
Reflectance_M10	Reflectance of VIIRS band M10	None
Reflectance_M11	Reflectance of VIIRS band M11	None

Table 7. VIIRS COP Surface Type LUT Data Structure

Input	Description/Source	Units
Albedo	Surface Albedo	None
Emissivity	Surface Emissivity	None

Table 8. VIIRS COP Transmittance LUT Data Structure

Input	Description/Source	Units
Altitude	Altitude profile	Meters
Trans_ref	None	None
transdT_ref	None	None
Transdq_ref	None	None
T_ref	None	None
Du_ref	None	None

Table 9. VIIRS COP IR Band Spectral LUT Data Structure

Input	Description/Source	Units
csw_band	Central Wave Numbers	Inverse centimeters
tsc_band	Temperature Correction Slaps	None
tci_band	Temperature Correction Intercepts	Deg. Kelvin

Table 10. VIIRS COP PFAAST Regression LUT Data Structure

Input	Description/Source	Units
pstd	Pressure	Milibars
tstd	Temperature	Deg. Kelvin
wstd	Moisture	gm/kg
ostd	Ozone	ppmv
coefd37	None	None
coefo37	None	None
coefs37	None	None
coefl37	None	None
coefc37	None	None
coefd84	None	None
coefo84	None	None
coefs84	None	None
coefl84	None	None
coefc84	None	None
coefd107	None	None
coefo107	None	None
coefs107	None	None
coefl107	None	None
coefc107	None	None
coefd12	None	None
coefo12	None	None
coefs12	None	None

Input	Description/Source	Units
coeff12	None	None
coefc12	None	None

Table 11. VIIRS CM Data Structure

Input	Description/Source	Units
cloud_confidence	Cloud Confidence Level	None
cloud_phase	Cloud Phase	None
snow_ice_sfc	None	None
cm_quality	None	None
sun_glint	None	None
non_cloud_obstruction	None	None
land_water	None	None
forest	None	None

The VCM is a VIIRS IP and is described in the VIIRS Cloud Mask (VCM) Algorithm Theoretical Basis Document ATBD, 474-00033. The surface albedo and surface type both are set up in the COP source code rather than directly imported from files.

2.1.2.1.1 Requirements for Input

The VIIRS moderate resolution SDR and VCM must be generated before COP is processed.

Ancillary atmospheric profiles, i.e. moisture, surface skin temperature, and temperature profiles must be generated before COP is processed. In addition, pre-calculated reflectance LUTs for ice and water clouds must be stored in the proper path/directories before COP is processed.

2.1.2.2 Outputs

EPS and optical depth are output for all clouds and illumination conditions. Additionally, cloud temperature is retrieved whenever the IR algorithms are used, which includes ice clouds (day and night) and water clouds at night. During daytime, water cloud temperature is not retrieved from these algorithms. It is instead calculated in the Cloud Top Parameters (CTP) unit. Table 12 describes the COP output data specifications. Table 13 describes the COP quality flag specifications. Table 14 describes the CTT quality flag specifications.

Table 12. COP Output Data Specifications

Output	Type	Description/Source	Units/Valid Range
COT	Float32	Cloud Optical Thickness	Unitless / 0.0 minimum
EPS	Float32	Effective Particle Size	microns / 0.0 to 124.0
CTT	Float32	Cloud Top Temperature	Kelvin 180 - 260
COP Quality Flag 0	UInt8	COP Quality Flag 0	Bit-wise flags
COP Quality Flag1	UInt8	COP Quality Flag 1	Bit-wise flags
COP Quality Flag2	UInt8	COP Quality Flag 2	Bit-wise flags

Output	Type	Description/Source	Units/Valid Range
CTT Quality Flag	UInt8	CTT Quality Flag	Bit-wise flags

Table 13. COP Quality Flag Specifications

Quality Flag – Byte 0	Bit Field	Description/Source	Note
COP_QF_FLAG_SET	0	Overall pixel level flag	1: cop quality flag is set; 0: not
COP_QF_COT_ICE_OUT_OF_BOUNDS	1	Ice cot out of bound Day: (0.1-30) Night: (0.1-30)	1: out of bound; 0: not
COP_QF_COT_WATER_OUT_OF_BOUNDS	2	Water cot out of bound Day: (0.1-30) Night: (0.1-30)	1: out of bound; 0: not
COP_QF_EPS_ICE_OUT_OF_BOUNDS	3	ice eps out of bound (1-50 micrometers)	1: out of bound; 0: not
COP_QF_EPS_WATER_OUT_OF_BOUNDS	4	Water eps out of bound (1-50 micrometers)	1: out of bound; 0: not
cloud phase	5 - 7	Cloud phase	0: COP_PHASE_NOT_EXE 1: COP_PHASE_CIRRUS 2: COP_PHASE_OPQ_ICE 3: COP_PHASE_WATER 4: COP_PHASE_MIXED 5: COP_PHASE_MUL_LYR
Quality Flag – Byte 1	Bit Field	Description/Source	Note
COP_QF_DAY_WATER_CONVERGENCE	0	Iteration convergence	1: convergent; 0: not
COP_QF_DAY_ICE_CONVERGENCE	1	Iteration convergence	1: convergent; 0: not
COP_QF_WATER_COT_LT1_DAY	2	water cot < 1 at daytime	1: yes; 0: not
COP_QF_ICE_COT_LT1_DAY	3	ice cot < 1 at daytime	1: yes; 0: not
COP_QF_WATER_COT_LT1_NIGHT	4	water cot < 1 at nighttime	1: yes; 0: not
COP_QF_ICE_COT_LT1_NIGHT	5	ice cot < 1 at nighttime	1: yes; 0: not
COP_QF_EXCLUDE_SUN_GLINT	6	sun glint region	1: in sun glint; 0: not
COP_QF_CLOUD_CONFIDENCE	7	Probably/confidently cloudy	1: yes; 0: not
Quality Flag – Byte 2	Bit Field	Description/Source	Note
COP_QF_DEGRADED_ICE_COT_GT_TEN	0	Degraded conditions for ice cloud cot > 10	1: yes; 0: not
Bad SDR	1 - 2	Bad SDR flag data	0:good 1:bad 2:no calibration
Unused	3 - 7	Not used	

Table 14. CTT Quality Flag Specifications

Quality Flag – Byte 0	Bit Field	Description/Source	Note
CTT_QF_WATER_CTT_OUT_OF_BOUNDS	0	Water ctt out of bound	1: out of bound; 0: not

Quality Flag – Byte 0	Bit Field	Description/Source	Note
CTT_QF_ICE_CTT_OUT_OF_BOUNDS	1	Ice ctt out of bound	1: out of bound; 0: not
CTT_QF_NIGHT_WATER_CONVERGENCE	2	IR ice ctt convergence for night water	1: convergence; 0: not
CTT_QF_NIGHT_ICE_CONVERGENCE	3	IR ice ctt convergence for night ice	1: convergence; 0: not
CTT_QF_DAY_ICE_CONVERGENCE	4	IR ice ctt convergence for day ice	1: convergence; 0: not
Unused	5 - 7	Not used	

2.1.2.2.1 Requirements for Output

COP IP products are to be used for all the cloud algorithms; namely CTP, Cloud Cover/layers (CCL) and Cloud Base Height (CBH). In addition, the output products are used by snow ice. These IP products include COT, Cloud EPS (radius) and Cloud Top Temperature (CTT) (except for daytime water clouds).

Figure 2. Flow Chart of COP/EPS with Other EDRs

2.1.3 Algorithm Processing

COP can be thought of as two sets of algorithms. The solar algorithms are used for day time retrievals both for water and ice clouds. These algorithms use a set of pre-computed reflectance LUTs of the visible and Near-IR bands, i.e. M5, M8 and M10 bands. The simultaneous determination of COT and EPS involves two steps. First, a subset of the LUTs at M5 and M10 are determined by using a multi-dimensional interpolation scheme, at specified solar zenith angle, viewing zenith angle, relative azimuth angle and surface albedo. Second, COP is determined by minimizing the error with respect to the sensor measured reflectances. The original ATBD proposed the use of a 3-band approach including the M11 (2.25 micron) band, in retrieving COP but the software code has adopted instead a 2-band approach. Without considering the 2.25 micron band, the algorithm can be illustrated in Figure 3 below. Figure 3

displays the correlation between the VIIRS 0.672 and 1.61 mm reflectances (top) and between the 0.672 and 2.25 mm reflectances (bottom) for Cirrus clouds in US std atmosphere.

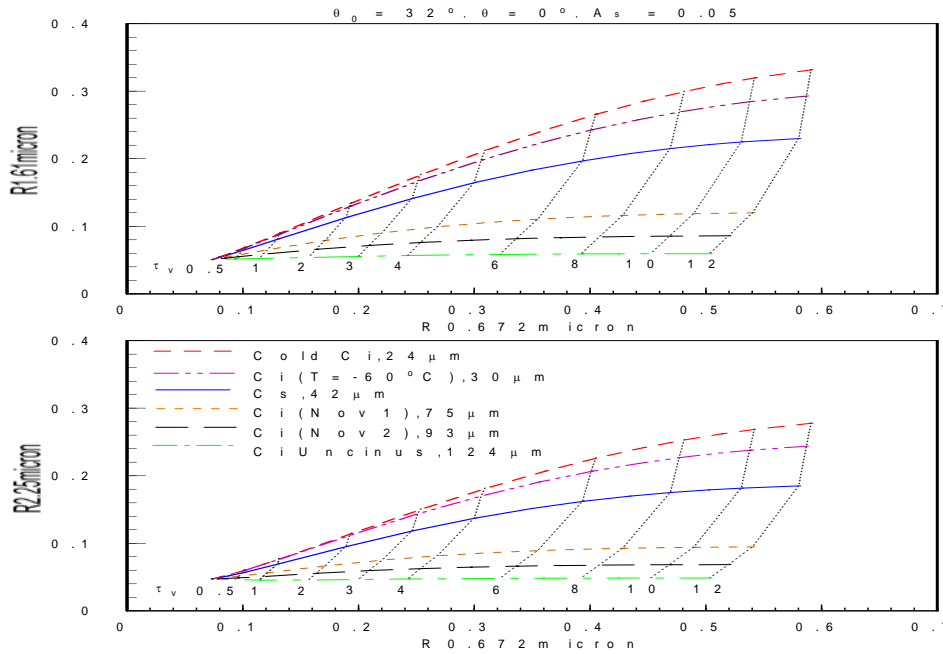


Figure 3. Two-band Reflectances for Cirrus Clouds

The three IR algorithms are used for night time water, night time ice, and day time ice cloud retrievals. For night time ice cloud, the IR algorithm first employs M14 and M16 to retrieve the Cloud Top Temperature. This follows with the calculation of emissivity using the M15 band radiance. Lastly, COT and EPS are calculated using parameterization equations. For day time ice clouds, however, COT and EPS are provided from the Solar ice cloud algorithm. Thus, the IR algorithm for daytime ice cloud is reduced to the retrieval of Cloud Top Temperatures which again use the M14 and M16 bands as are used for night time ice cloud. For night time water cloud, the transfer equations for the M12 and M15 bands are used to solve for CTT. Coupled with the parameterization equations for COT and EPS (in terms of CTT) the required COT and EPS are calculated by inversion of these equations. The transfer equations for all the bands are functionally similar. For example, for M12 and M15:

$$R_{M12} = (1 - e_{M12}) R_{aM12} + e_{M12} B_{M12}(T_c)$$

$$R_{M15} = (1 - e_{M15}) R_{aM15} + e_{M15} B_{M15}(T_c)$$

where R_{M12} , R_{aM12} , e_{M12} , and $B_{M12}(T_c)$ are the sensor measured radiance, clear sky radiance of scene, cloud emissivity and band averaged Planck function at Cloud Top Temperature.

The processing chain of the COP algorithm first performs the clear sky radiance (getAveClrSkyRad) calculations for daytime ice clouds, and nighttime ice and water clouds, then follows with the main COP processing function (DetermineCotEps).

2.1.3.1 Main Module 1 - getAveClrSkyRad()

Returns Type: Int32

Table 13 describes the input argument variables for getAveClrSkyRad.

Table 15. Input Argument Variables Function getAveClrSkyRad()

Name	Type	Initialization	Description
*aDataPtr	ViirsCopDataType	None	Pointer to data items
*mask	CM_STRUCT	None	Pointer to cloud mask
*work	WORK_STRUCT	None	Pointer to needed working data
*sdr	SDR_STRUCT	None	Pointer to SDR data
*anc	ANC_STRUCT	None	Pointer to ancillary data
*clr	CLR_STRUCT	None	Pointer to Clear sky radiance data
*sfc_emiss	SFC_EMISS_STRUCT	None	Pointer to Emissivity Data

For the entire granule, the logic flow is as follows:

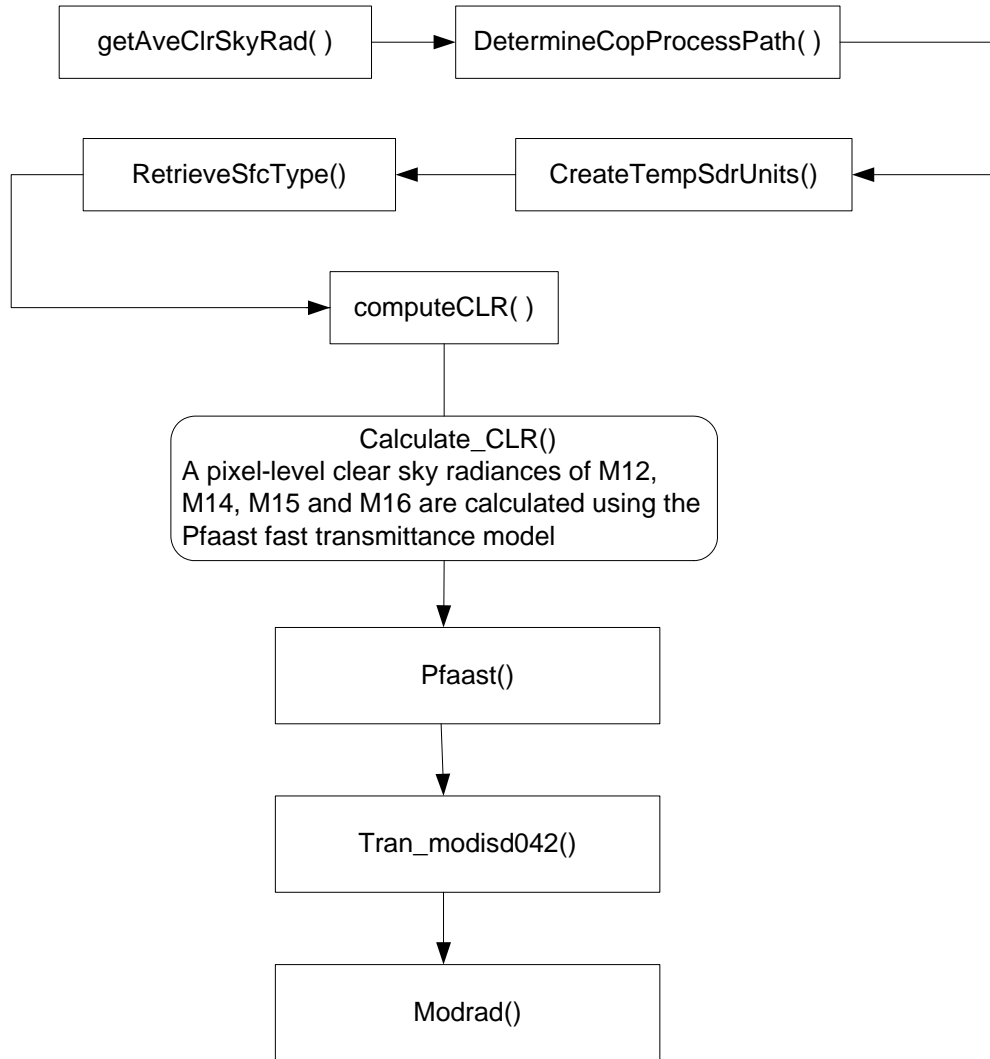


Figure 4. Flow of getAveClrSkyRad Algorithm

The functions DetermineCopProcessPath(), CreateTempSdrUnits(), and RetrieveSfcType() are discussed in the next section. Here, only the functions used by computeCLR() are discussed.

2.1.3.2 DetermineCopProcessPath()

This function determines the processing path for each pixel, based on the cloud confidence (cloudy or clear) and the cloud phase (ice, mixed, or water). Table 16 describes the input argument variables for DetermineCopProcessPath.

Returns Type: void

Table 16. Input Argument Variables DetermineCopProcessPath()

Name	Type	Description
------	------	-------------

Name	Type	Description
*mask	CM_STRUCT	Pointer to Cloud mask data
*work	WORK_STRUCT	Pointer to needed Working data

2.1.3.3 CreateTempSdrUnits()

Returns Type: void

Table 17. Input Argument Variables CreateTempSdrUnits()

Name	Type	Description
*sdr	SDR_STRUCT	Pointer to VIIRS SDR Data
*work	WORK_STRUCT	Pointer to Needed Work data
*geo	viirs_SDR_MOD_Fgeoloc_type	Pointer to VIIRS GEO Data
*thresholds	IngMsdCoefficients_ViirsCopStruct	Pointer to COP Coefficient Data

This function copies existing SDRs in to a temporary structure where the values can be modified as needed by Cloud Optical Properties algorithm. Table 17 describes the input argument variables for CreateTempSdrUnits().

2.1.3.4 RetrieveSfcType()

Returns Type: Int32

Table 18. Input Argument Variables RetrieveSfcType()

Name	Type	Description
*anc	ANC_STRUCT	Pointer to Ancillary data
*mask	CM_STRUCT	Pointer to Cloud Mask data

This function sets the surface type data from Cloud Mask. The surface type is described in Table 11, VIIRS CM data structure. This function will populate data inside the ANC_STRUCT for sfc_type and will contain information regarding surface type obtained from Cloud Mask input.

2.1.3.5 RetrieveSfcAlbedoEmiss()

Returns Type: void

Table 19. Input Argument Variables RetrieveSfcAlbedoEmiss()

Name	Type	Description
*anc	ANC_STRUCT	Ancillary data structure (i)
*work	WORK_STRUCT	Work structure (i)
*aDataPtr	ViirsCopDataType	Config structure (i)
*sfc_albedo	SFC_ALBEDO_STRUCT	Surface Albedo structure (o)
*sfc_emiss	SFC_EMISS_STRUCT	Surface Emissivity structure (o)

This function sets the surface albedo and surface emissivity for every daytime cloudy pixel after surface type is retrieved from VCM. The surface type is described in Table 11, VIIRS CM data structure. The ANC_STRUCT contains information regarding surface type obtained from Cloud Mask Input. Table 19 describes the input argument variables for RetrieveSfcAlbedoEmiss.

2.1.3.6 ComputeCLR()

This function sets up the loop to compute clear sky radiance calculated by pfaast().

Return Type: Int32

Table 20. Input Argument Variables Function ComputeCLR()

Name	Type	Initialization	Description
*aDataPtr	ViirsCopDataType	None	Pointer to data items
*work	WORK_STRUCT	None	Pointer to store needed working data
*sdr	SDR_STRUCT	None	Pointer to store SDR data
*anc	ANC_STRUCT	None	Pointer to store ancillary data
*mask	CM_STRUCT	None	Pointer to store cloud mask data
*clr	CLR_STRUCT	None	Pointer to store Clear sky radiance data
*sfc_emiss	SFC_EMISS_STRUCT	None	Pointer to store Emissivity Data

2.1.3.7 Calculate_CLR()

This function sets up the required input of the surface emissivity and sensor zenith angle of the pixel for which clear radiances of M12, M14, M15 and M16 are computed. This function also sets up the ancillary data; temperature, moisture and pressure profiles to be used for pfaast(). Table 21 describes the input argument variables for Calculate_CLR.

Return Type: Int32

Table 21. Input Argument Variables Function Calculate_CLR()

Name	Type	Initialization	Description
*aDataPtr	ViirsCopDataType	None	Pointer to data items
*sdr	SDR_STRUCT	None	Pointer to store SDR data
*anc	ANC_STRUCT	None	Pointer to store ancillary data
*work	WORK_STRUCT	None	Pointer to store needed working data
*sfc_emiss	SFC_EMISS_STRUCT	None	Pointer to store emissivity data
*pix_locs	Int32	None	Pointer to Pixel Location data
*ClrRad_M12	Float32	None	Pointer to store ClrRad_M12
*ClrRad_M14	Float32	None	Pointer to store ClrRad_M14
*ClrRad_M15	Float32	None	Pointer to store ClrRad_M15
*ClrRad_M16	Float32	None	Pointer to store ClrRad_M16
*path_rad37	Float32	None	Pointer to store path_rad 37 Value
*path_rad84	Float32	None	Pointer to store path_rad 84 Value
*path_rad107	Float32	None	Pointer to store path_rad 107 Value
*path_rad12	Float32	None	Pointer to store path_rad 12 Value
*tau_abv_cld37	Float32	None	Pointer to store tau_abv_cld37 value
*tau_abv_cld84	Float32	None	Pointer to store tau_abv_cld84 value
*tau_abv_cld107	Float32	None	Pointer to store tau_abv_cld107 value
*tau_abv_cld12	Float32	None	Pointer to store tau_abv_cld12 value
*pwater	Float32	None	Pointer to store precip water value

2.1.3.8 pfaast()

This Fortran function sets up the atmospheric temperature profile from space-down and interpolate the temperature to the preset 42 pressure levels as required for the pfaast fast transmittance operations. Table 22 describes the input argument variables for pfaast.

Return Type: void

Table 22. Input Argument Variables Function pfaast()

Name	Type	Initialization	Description
*temp_profile	Float32	None	Pointer to temperature profile array
*mois_profile	Float32	None	Pointer to moisture profile array
*pres_profile	Float32	None	Pointer to pressure profile array
&avg_SenZen	Float32	None	Reference value of average SenZen
&skin_temp	Float32	None	Reference value to Surface Skin temperature
&BT_M15	Float32	None	Reference value to BT M15
(Float32*)&esfc	Float32	None	Pointer to esfc structure
*ClrRad_M12	Float32	None	Pointer to store ClrRad_M12
*ClrRad_M14	Float32	None	Pointer to store ClrRad_M14
*ClrRad_M15	Float32	None	Pointer to store ClrRad_M15
*ClrRad_M16	Float32	None	Pointer to store ClrRad_M16
*path_rad37	Float32	None	Pointer to store path_rad 37 Value
*path_rad84	Float32	None	Pointer to store path_rad 84 Value
*path_rad107	Float32	None	Pointer to store path_rad 107 Value
*path_rad12	Float32	None	Pointer to store path_rad 12 Value
*tau_abv_cld37	Float32	None	Pointer to store tau_abv_cld37 value
*tau_abv_cld84	Float32	None	Pointer to store tau_abv_cld84 value
*tau_abv_cld107	Float32	None	Pointer to store tau_abv_cld107 value
*tau_abv_cld12	Float32	None	Pointer to store tau_abv_cld12 value
*pwater	Float32	None	Pointer to store precip water value
aDataPtr->pfaast_lut	Float32	None	Pointer to PFAAST-LUT
aDataPtr->ir_lut	Float32	None	Pointer to IR-LUT

2.1.3.9 tran_modis042()

This Fortran function computes the level-to-space transmittances for M12, M14, M15 and M16 bands. There are 42 pressure levels for which the transmittances are calculated. Table 23 describes the input argument variables for tran_modis042.

Return Type: Float32

Table 23. Input Argument Variables Function tran_modis042()

Name	Type	Initialization	Description
Temp	Float32	Read Temperature profile	Store ancillary data
Wvmr	Float32	Read Moisture profile	Store ancillary data
Ozmr	Float32	Read ozone profile	Store ancillary data
Theta	Float32	Read SDR file	Store sensor zenith angle from SDR data

2.1.3.10 modrad()

This Fortran function computes the clear sky radiances for M12, M14, M15 and M16 bands. It also computes the portion of the clear radiance from contribution of the water vapor emission above the cloud top. Table 24 describes the input argument variables for modrad.

Return Type: Float32

Table 24. Input Argument Variables Function modrad()

Name	Type	Initialization	Description
Tskin	Float32	Read Surface temperature profile	Store ancillary data

Name	Type	Initialization	Description
Esfc	Float32	Read surface emissivity	Store ancillary data
Temp	Float32	Read Temperature profile	Store ancillary data
Tau	Float32	Calculated by tran_modis042	Store data
Band	Int32	Set to 1 to 4	1-4 corresponding to M12, M14, M15 and M16
NI	Int32	Set to 42	Corresponding to 42 pressure levels
lev_cld	Int32	Calculate in pfaast function	Estimated pressure level at which the cloud is located

2.1.3.11 Main Module 2 - DetermineCotEps()

Following the calculation of clear sky radiances is the main cloud optical processing function. This function, DetermineCotEps, is the main controlling function. It makes the necessary calls to read the cloud mask, determine the processing path, compute the surface albedo, determine the COT, EPS, and CTT for both water and ice clouds (CTT not determined for daytime water clouds), and finally produce the output IP files. Table 25 describes the input argument variables for DetermineCotEps.

Returns Type: int

Table 25. Input Argument Variables Function DetermineCotEps()

Name	Type	Initialization	Description
*aDataPtr	ViirsCopDataType	Read configuration file	Store configuration info
*mask	CM_STRUCT	Read CM file	Store cloud mask
*sdr	SDR_STRUCT	Read SDR file	Store SDR data
*aux	ANC_STRUCT	Read ancillary files	Store ancillary data
*work	WORK_STRUCT	Working info	Store needed working data
*cop_ips	IP_STRUCT	None	Store data needed for IP output
*water_cop	IP_STRUCT	None	Store data needed for IP output
*ice_cop	IP_STRUCT	None	Store data needed for IP output
*sfc_albedo	ALBEDO_STRUCT	None	Store surface Albedo data
*sfc_emiss	SFC_EMISS_STRUCT	None	Store surface emissivity data
*clr	CLR_STRUCT	None	Clear sky radiance structure
*precalc_day_water	PRECALC_DAY_WATER	None	Store water cloud LUT
*precalc_day_ice	PRECALC_DAY_ICE	None	Store ice cloud LUT
*aStopPtr	Int32	None	A pointer to indicate success or fail

The data structures are defined in a header file called cop.h. See this header file for detailed information.

The Figure 5 flow chart illustrates the processing chain of the main module at pixel level.

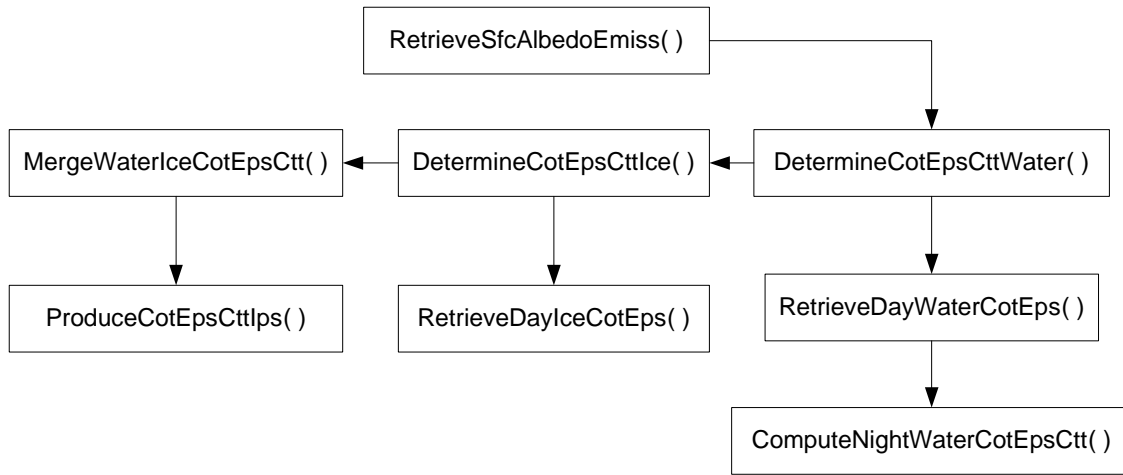


Figure 5. Flow Chart of DetermineCotEps Algorithm

2.1.3.11.1 Usage

This function returns an integer type of status (PRO_FAIL or PRO_SUCCESS). The memory of argument variables in Table 11 have to be allocated before calling the function. Some variables need to be initialized as indicated in Table 11. The usage is straightforward. The calculation for night ice cloud is done by a subroutine called by the RetrieveDayIceCotEps() routine.

2.1.3.12 SdrData_Rescale()

This function rescales SDR radiance values for M12, M14, M15 and M16 bands. This function uses the values calculated in pfaast() for path_emis_rad and tran_abv_cld to rescale SDR radiances. Return Type: Int32

Table 26. Input Argument Variables SdrData_Rescale()

Name	Type	Description
*mask	CM_STRUCT	Pointer to Cloud mask data
*sdr	SDR_STRUCT	Pointer to SDR data
*work	WORK_STRUCT	Pointer to needed work data
*clr	CLR_STRUCT	Pointer to Clear Sky Radiance Data
*geo	viirs_SDR_MOD_Fgeoloc_type	Poiner to VIIRS GEO Data
*thresholds	IngMsdCoefficients_ViirsCopStruct	Pointer to COP Coefficient Data

2.1.3.13 DetermineCotEpsCttWater()

Returns Type: int

Table 27. Input Argument Variables DetermineCotEpsCttWater()

Name	Type	Description
*work	WORK_STRUCT	Work structure (i)
*aDataPtr	ViirsCopDataType	Structure of pointers to data
*sdr	SDR_STRUCT	VIIRS SDR Data structure (i)
*mask	CM_STRUCT	Cloud mask (i)
*water_cop	IP_STRUCT	IP output data (o)
*sfc_albedo	SFC_ALBEDO_STRUCT	Surface albedo structure
*sfc_emiss	SFC_EMISS_STRUCT	Surface Emissivity structure (o)
*clr	CLR_STRUCT	Clear Sky Radiance
*precalc_day_water	PRECALC_DAY_WATER	Store water cloud LUT

This function retrieves the daytime water cloud COT and EPS from the water cloud LUT, computes the nighttime water cloud COT, EPS, and CTT, merges their results and stores them in the output IP_STRUCT. Table 27 describes the input argument variables for DetermineCotEpsCttWater.

2.1.3.14 RetrieveDayWaterCotEps()

This function retrieves the daytime water cloud COT and EPS from the water cloud LUT and stores the results in the output IP_STRUCT water_cop. Table 28 describes the input argument variables for RetrieveDayWaterCotEps.

Returns Type: int

Table 28. Input Argument Variables RetrieveDayWaterCotEps()

Name	Type	Description
*config	IngMsdCoefficients_ViirsCopStruct	Config structure (i)
*work	WORK_STRUCT	Work structure (i)
*sdr	SDR_STRUCT	VIIRS SDR Data structure (i)
*mask	CM_STRUCT	Cloud mask (i)
*water_cop	IP_STRUCT	IP output data (o)
*sfc_albedo	SFC_ALBEDO_STRUCT	Surface albedo structure
*sfc_emiss	SFC_EMISS_STRUCT	Surface Emissivity structure (o)
*precalc_day_water	PRECALC_DAY_WATER	Store water cloud LUT
*selected_bins	ViirsCopBinsSelectedType	Values selected from LUT
*chosen_water_refl	ViirsCopInterpWaterReflType	Interpolated values from the Water LUT

2.1.3.15 ComputeNightWaterCotEpsCtt()

This function computes the nighttime water cloud COT and EPS. The results are stored in the IP_STRUCT water_cop. Table 29 describes the input argument variables for ComputeNightWaterCotEpsCtt.

Returns Type: int

Table 29. Input Argument Variables ComputeNightWaterCotEpsCtt()

Name	Type	Description
*aDataPtr	ViirsCopDataType	Structure of pointers to data
*work	WORK_STRUCT	Work structure (i)
*sdr	SDR_STRUCT	VIIRS SDR Data structure (i)
*water_cop	IP_STRUCT	IP output data (o)
*clr	CLR_STRUCT	Clear Sky Radiance

2.1.3.16 extract_water_lut_values()

This function reads water cloud LUT files and stores the data in a multi-dimensional array. Based on the input parameters, certain values are stored in the output structure.

Returns Type: int

Table 30. Input Argument Variables extract_water_lut_values ()

Name	Type	Description
*solzen	int	Solar Zenith Angle
*senzen	int	Sensor Zenith Angle
*relaz	int	Relative Azimuth Angle
*alb_M5	int	Albedo for band M5
*alb_M8	int	Albedo for band M8
*alb_M10	int	Albedo for band M10
*emiss_M5	int	Emissivity for band M5
*emiss_M8	int	Emissivity for band M8
*emiss_M10	int	Emissivity for band M10
*sfc_albedo	SFC_ALBEDO_STRUCT	Pointer to Surface Albedo data
*sfc_emiss	SFC_EMISS_STRUCT	Pointer to Surface Emissivity data
*precalc_day_water	PRECALC_DAY_WATER	Pointer to pre-calculated day water data
*work	WORK_STRUCT	Pointer to needed work data
*sdr	SDR_STRUCT	Pointer to VIIRS SDR data
*config	IngMsdCoefficients_ViirsCopStruct	Pointer to configuration information
*mask	CM_STRUCT	Pointer to cloud mask data
*chosen_water_refl	ViirsCopInterpWaterReflType	Pointer to Interpolated Water Reflectance

2.1.3.17 ChooseDayWaterCotEps()

This function computes the square sum of the residuals of the measured reflectances and the pre-calculated reflectances for both sets of values retrieved from the LUT and sets the day water COT and EPS values equal to the smallest.

Returns Type: Int32

Table 31. Input Argument Variables ChooseDayWaterCotEps ()

Name	Type	Description
*precalc_day_water	PRECALC_DAY_WATER	Pointer to precalculated ay water values
*sdr	SDR_STRUCT	Pointer to VIIRS SDR data
*water_cop	IP_STRUCT	Pointer to a structure for storing day time output values
*work	WORK_STRUCT	Pointer to needed work data
*config	IngMsdCoefficients_ViirsCopStruct	Pointer to configuration information

2.1.3.18 DetermineCotEpsCttlce ()

This function retrieves the daytime ice cloud COT and EPS from the ice cloud LUT, computes the nighttime ice cloud COT, EPS, and CTT, merges their results and stores them in the output IP_STRUCT. Table 32 describes the input argument variables for DetermineCotEpsCttlce.

Returns Type: int

Table 32. Input Argument Variables DetermineCotEpsCttlce()

Name	Type	Description
*work	WORK_STRUCT	Work structure (i)
*aDataPtr	ViirsCopDataType	Config structure (i)
*sdr	SDR_STRUCT	VIIRS SDR Data structure (i)
*mask	CM_STRUCT	Cloud mask (i)
*ice_cop	IP_STRUCT	IP output data (o)
*sfc_albedo	SFC_ALBEDO_STRUCT	Surface albedo structure
*sfc_emiss	SFC_EMISS_STRUCT	Surface Emissivity structure (o)
*clr	CLR_STRUCT	Clear Sky Radiance
*precalc_day_ice	PRECALC_DAY_ICE	Store ice cloud LUT

2.1.3.19 RetrieveDayIceCotEps()

This function retrieves the daytime ice cloud COT and EPS from the ice cloud LUT and stores the results in the output IP_STRUCT ice_cop. Table 33 describes the input argument variables for RetrieveDayIceCotEps.

Returns Type: int

Table 33. Input Argument Variables RetrieveDayIceCotEps()

Name	Type	Description
*aDataPtr	ViirsCopDataType	Config structure (i)
*work	WORK_STRUCT	Work structure (i)
*sdr	SDR_STRUCT	VIIRS SDR Data structure (i)
*mask	CM_STRUCT	Cloud mask (i)
*ice_cop	IP_STRUCT	IP output data (o)
*sfc_albedo	SFC_ALBEDO_STRUCT	Surface albedo structure
*sfc_emiss	SFC_EMISS_STRUCT	Surface Emissivity structure (o)
*precalc_day_ice	PRECALC_DAY_ICE	Store ice cloud LUT
*clr	CLR_STRUCT	Clear Sky Radiance

2.1.3.20 extract_water_lut_values()

This function reads ice cloud LUT files and stores the data in a multi-dimensional array. Based on the input parameters, certain values are stored in the output structure.

Returns Type: int

Table 34. Input Argument Variables extract_water_lut_values ()

Name	Type	Description
*solzen	int	Solar Zenith Angle
*senzen	int	Sensor Zenith Angle
*relaz	int	Relative Azimuth Angle
*alb_M5	int	Albedo for band M5
*alb_M8	int	Albedo for band M8
*alb_M10	int	Albedo for band M10
*alb_M12	int	Albedo for band M12
*emiss_M5	int	Emissivity for band M5
*emiss_M8	int	Emissivity for band M8
*emiss_M10	int	Emissivity for band M10
*emiss_M12	int	Emissivity for band M12

Name	Type	Description
*sfc_albedo	SFC_ALBEDO_STRUCT	Pointer to Surface Albedo data
*sfc_emiss	SFC_EMISS_STRUCT	Pointer to Surface Emissivity data
*precalc_day_water	PRECALC_DAY_ICE	Pointer to pre-calculated day ice data
*work	WORK_STRUCT	Pointer to needed work data
*sdr	SDR_STRUCT	Pointer to VIIRS SDR data
*config	IngMsdCoefficients_ViirsCopStruct	Pointer to configuration information
*mask	CM_STRUCT	Pointer to cloud mask data
*chosen_ice_refl	ViirsCopInterplceRefType	Pointer to Interpolated Ice Reflectance

2.1.3.21 ChooseDayIceCotEps()

This function computes the square sum of the residues of the measured reflectances and the pre-calculated reflectances for both sets of values retrieved from the LUT and sets the day ice COT and EPS values equal to the smallest.

Returns Type: Int32

Table 35. Input Argument Variables ChooseDayIceCotEps ()

Name	Type	Description
*aDataPtr	ViirsCopDataType	Pointer to configuration information
*precalc_day_ice	PRECALC_DAY_ICE	Pointer to pre-calculated day ice values
*sdr	SDR_STRUCT	Pointer to VIIRS SDR struct
*ice_cop	IP_STRUCT	Pointer to Ice Cloud COT, EPS and CTT
*work	WORK_STRUCT	Pointer to needed work data
*mask	CM_STRUCT	Pointer to cloud mask data
*clr	CLR_STRUCT	Pointer to Clear Sky Radiance values

2.1.3.22 MergeWaterIceCotEpsCtt()

Returns Type: int

Table 36. Input Argument Variables MergeWaterIceCotEpsCtt()

Name	Type	Description
*aDataPtr	ViirsCopDataType	Config structure (i)
*work	WORK_STRUCT	Work structure (i)
*sdr	SDR_STRUCT	VIIRS SDR Data structure (i)
*water_cop	IP_STRUCT	IP output data (o)
*ice_cop	IP_STRUCT	IP output data (o)
*cop_ips	IP_STRUCT	Store merged water/ice property
*mask	CM_STRUCT	Cloud mask (i)

This function merges out the COT field, the EPS field, and the INWCTT field from individual structures to the one output data item. Table 36 describes the input argument variables for MergeWaterIceCotEpsCtt.

2.1.4 Graceful Degradation

2.1.4.1 Graceful Degradation Inputs

There is one case where input graceful degradation is indicated in the VIIRS Cloud Optical Properties.

1. An input retrieved for the algorithm had its N_Graceful_Degradation metadata field set to YES (propagation).

Table 37 details the instances of this case. Note that the shaded cells indicate that the graceful degradation was done upstream at product production.

Table 37. Graceful Degradation

Input Data Description	Baseline Data Source	Primary Backup Data Source	Secondary Backup Data Source	Tertiary Backup Data Source	Graceful Degradation Done Upstream
Atmospheric Temperature Profile	VIIRS_GD_11.4.3 NCEP	VIIRS_GD_11.4.3 NCEP (Extended Forecast)	N/A	N/A	Yes
Corresponding Pressure Levels	VIIRS_GD_11.4.1 NCEP	VIIRS_GD_11.4.1 NCEP (Extended Forecast)	N/A	N/A	Yes
Atmospheric Moisture Profile	VIIRS_GD_11.4.2 NCEP	VIIRS_GD_11.4.2 NCEP (Extended Forecast)	N/A	N/A	Yes
Surface Skin Temperature Profile	VIIRS-ANC- Temp-Skin-Mod- Gran (NCEP-ANC-Int)	VIIRS-ANC-Temp- Skin-Mod-Gran (NCEP-ANC-Int) Extended Forecast (FNMOC-ANC-Int) (+EF)	VIIRS-ANC- Temp-Skin- Mod-Gran Climatology	N/A	Yes

2.1.4.2 Graceful Degradation Processing

None.

2.1.4.3 Graceful Degradation Outputs

None.

2.1.5 Exception Handling

The COP algorithm initially attempts to process all pixels that are not bow-tie deleted. The VIIRS Cloud Mask (VCM) output is used to further control which pixels are processed. Invalid SDR pixel values are also not processed.

2.1.6 Data Quality Monitoring

The COP algorithm produces an IP and therefore quality assessment and diagnostics are not done in this algorithm. Any Data Quality Notification (DQN) produced for the Cloud algorithms are handled by the respective cloud EDR processes.

2.1.7 Computational Precision Requirements

The COP algorithm does calculations with both 32-bit floats and 64-bit doubles to produce output products using 32-bit floats.

The surface emissivity and surface albedo values are retrieved from pre-computed average values for certain surface types. If more accurate emissivity and albedo values are required,

they could be computed or a more detailed breakdown of emissivity and albedo per surface type could be developed instead of using the few surface types that the cloud mask provides.

2.1.8 Algorithm Support Considerations

- DMS should be up and running. All the data (primary or secondary) needed for the VCM calculations must be available in the DMS for the successful completion of the process.
- INF must be up and running, so the process can retrieve the task from INF and also send messages to INF upon successful completion or failure to complete the process.
- A C ++ compiler is necessary to compile the COP source code.
- The PRO libraries are available.
- The imake files can create the Makefile used to compile the COP algorithm.

2.1.9 Assumptions and Limitations

2.1.9.1 Assumptions

There are several assumptions involved in the theoretical development of retrieval algorithms for cloud effective particle size and optical depth:

- For the retrieval of ice cloud parameters, representative ice crystal size distributions are based on *in situ* observations obtained during field experiments over mid-latitude areas. Ice crystals are assumed to be randomly oriented, and the ice crystal habits considered are solid columns and plates.
- For the retrieval of water cloud parameters, a gamma function is assumed to be the typical droplet size distribution, with water droplets assumed to be spherical in shape.
- Radiative transfer within the cloud is assumed to be plane-parallel.

2.1.9.2 Limitations

There are also a few limitations of the current algorithms:

- The solar and IR algorithms are applicable to a single-layer cirrus or water cloud. Use of these algorithms with multi-layer clouds will result in larger error than those for single-layer clouds.
- These algorithms do not adequately address mixed phase clouds which occur frequently around the globe. In that, the algorithm treats mixed phase clouds as water clouds.
- The IR algorithms do not address situations in which the clear radiance is less than the cloudy radiance, which is prevalent during polar winter.
- The solar and IR algorithms do not adequately address overlap clouds.
- The IR algorithms do not adequately address Polar winter (black stratus), when the cloudy radiances would exceed the clear sky radiances.

3.0 GLOSSARY/ACRONYM LIST

3.1 Glossary

Table 38 contains terms most applicable for this OAD.

Table 38. Glossary

Term	Description
Algorithm	A formula or set of steps for solving a particular problem. Algorithms can be expressed in any language, from natural languages like English to mathematical expressions to programming languages like FORTRAN. On NPOESS, an algorithm consists of: <ol style="list-style-type: none"> 1. A theoretical description (i.e., science/mathematical basis) 2. A computer implementation description (i.e., method of solution) 3. A computer implementation (i.e., code)
Algorithm Configuration Control Board (ACCB)	Interdisciplinary team of scientific and engineering personnel responsible for the approval and disposition of algorithm acceptance, verification, development and testing transitions. Chaired by the Algorithm Implementation Process Lead, members include representatives from IWPTB, Systems Engineering & Integration IPT, System Test IPT, and IDPS IPT.
Algorithm Verification	Science-grade software delivered by an algorithm provider is verified for compliance with data quality and timeliness requirements by Algorithm Team science personnel. This activity is nominally performed at the IWPTB facility. Delivered code is executed on compatible IWPTB computing platforms. Minor hosting modifications may be made to allow code execution. Optionally, verification may be performed at the Algorithm Provider's facility if warranted due to technical, schedule or cost considerations.
Ancillary Data	Any data which is not produced by the NPOESS System, but which is acquired from external providers and used by the NPOESS system in the production of NPOESS data products.
Auxiliary Data	Auxiliary Data is defined as data, other than data included in the sensor application packets, which is produced internally by the NPOESS system, and used to produce the NPOESS deliverable data products.
EDR Algorithm	Scientific description and corresponding software and test data necessary to produce one or more environmental data records. The scientific computational basis for the production of each data record is described in an ATBD. At a minimum, implemented software is science-grade and includes test data demonstrating data quality compliance.
Environmental Data Record (EDR)	<p><i>[IORD Definition]</i> Data record produced when an algorithm is used to convert Raw Data Records (RDRs) to geophysical parameters (including ancillary parameters, e.g., cloud clear radiation, etc.).</p> <p><i>[Supplementary Definition]</i> An Environmental Data Record (EDR) represents the state of the environment, and the related information needed to access and understand the record. Specifically, it is a set of related data items that describe one or more related estimated environmental parameters over a limited time-space range. The parameters are located by time and Earth coordinates. EDRs may have been resampled if they are created from multiple data sources with different sampling patterns. An EDR is created from one or more NPOESS SDRs or EDRs, plus ancillary environmental data provided by others. EDR metadata contains references to its processing history, spatial and temporal coverage, and quality.</p>
Model Validation	The process of determining the degree to which a model is an accurate representation of the real-world from the perspective of the intended uses of the model. [Ref.: DoDD 5000.59-DoD Modeling and Simulation Management]
Model Verification	The process of determining that a model implementation accurately represents the developer's conceptual description and specifications. [Ref.: DoDD 5000.59-DoD Modeling and Simulation Management]
Operational Code	Verified science-grade software, delivered by an algorithm provider and verified by IWPTB, is developed into operational-grade code by the IDPS IPT.
Operational-Grade Software	Code that produces data records compliant with the System Specification requirements for data quality and IDPS timeliness and operational infrastructure. The software is modular relative to the IDPS infrastructure and compliant with IDPS application programming interfaces (APIs) as specified for TDR/SDR or EDR code.

Term	Description
Raw Data Record (RDR)	<p><i>[IORD Definition]</i> Full resolution digital sensor data, time referenced and earth located, with absolute radiometric and geometric calibration coefficients appended, but not applied, to the data. Aggregates (sums or weighted averages) of detector samples are considered to be full resolution data if the aggregation is normally performed to meet resolution and other requirements. Sensor data shall be unprocessed with the following exceptions: time delay and integration (TDI), detector array non-uniformity correction (i.e., offset and responsivity equalization), and data compression are allowed. Lossy data compression is allowed only if the total measurement error is dominated by error sources other than the data compression algorithm. All calibration data will be retained and communicated to the ground without lossy compression.</p> <p><i>[Supplementary Definition]</i> A Raw Data Record (RDR) is a logical grouping of raw data output by a sensor, and related information needed to process the record into an SDR or TDR. Specifically, it is a set of unmodified raw data (mission and housekeeping) produced by a sensor suite, one sensor, or a reasonable subset of a sensor (e.g., channel or channel group), over a specified, limited time range. Along with the sensor data, the RDR includes auxiliary data from other portions of NPOESS (space or ground) needed to recreate the sensor measurement, to correct the measurement for known distortions, and to locate the measurement in time and space, through subsequent processing. Metadata is associated with the sensor and auxiliary data to permit its effective use.</p>
Retrieval Algorithm	<p>A science-based algorithm used to 'retrieve' a set of environmental/geophysical parameters (EDR) from calibrated and geolocated sensor data (SDR). Synonym for EDR processing.</p>
Science Algorithm	<p>The theoretical description and a corresponding software implementation needed to produce an NPP/NPOESS data product (TDR, SDR or EDR). The former is described in an ATBD. The latter is typically developed for a research setting and characterized as "science-grade".</p>
Science Algorithm Provider	<p>Organization responsible for development and/or delivery of TDR/SDR or EDR algorithms associated with a given sensor.</p>
Science-Grade Software	<p>Code that produces data records in accordance with the science algorithm data quality requirements. This code, typically, has no software requirements for implementation language, targeted operating system, modularity, input and output data format or any other design discipline or assumed infrastructure.</p>
SDR/TDR Algorithm	<p>Scientific description and corresponding software and test data necessary to produce a Temperature Data Record and/or Sensor Data Record given a sensor's Raw Data Record. The scientific computational basis for the production of each data record is described in an Algorithm Theoretical Basis Document (ATBD). At a minimum, implemented software is science-grade and includes test data demonstrating data quality compliance.</p>
Sensor Data Record (SDR)	<p><i>[IORD Definition]</i> Data record produced when an algorithm is used to convert Raw Data Records (RDRs) to calibrated brightness temperatures with associated ephemeris data. The existence of the SDRs provides reversible data tracking back from the EDRs to the Raw data.</p> <p><i>[Supplementary Definition]</i> A Sensor Data Record (SDR) is the recreated input to a sensor, and the related information needed to access and understand the record. Specifically, it is a set of incident flux estimates made by a sensor, over a limited time interval, with annotations that permit its effective use. The environmental flux estimates at the sensor aperture are corrected for sensor effects. The estimates are reported in physically meaningful units, usually in terms of an angular or spatial and temporal distribution at the sensor location, as a function of spectrum, polarization, or delay, and always at full resolution. When meaningful, the flux is also associated with the point on the Earth geoid from which it apparently originated. Also, when meaningful, the sensor flux is converted to an equivalent top-of-atmosphere (TOA) brightness. The associated metadata includes a record of the processing and sources from which the SDR was created, and other information needed to understand the data.</p>

Term	Description
Temperature Data Record (TDR)	<p><i>[IORD Definition]</i> Temperature Data Records (TDRs) are geolocated, antenna temperatures with all relevant calibration data counts and ephemeris data to revert from T-sub-a into counts.</p> <p><i>[Supplementary Definition]</i> A Temperature Data Record (TDR) is the brightness temperature value measured by a microwave sensor, and the related information needed to access and understand the record. Specifically, it is a set of the corrected radiometric measurements made by an imaging microwave sensor, over a limited time range, with annotation that permits its effective use. A TDR is a partially-processed variant of an SDR. Instead of reporting the estimated microwave flux from a specified direction, it reports the observed antenna brightness temperature in that direction.</p>

3.2 Acronyms

Table 39 contains terms most applicable for this OAD.

Table 39. Acronyms

Acronym	Description
AM&S	Algorithms, Models & Simulations
API	Application Programming Interfaces
ARP	Application Related Product
CCL	Cloud Cover/Layers
CDFCB-X	Common Data Format Control Book - External
COP	Cloud Optical Properties
COT	Cloud Optical Thickness
CTT	Cloud Top Temperature
DMS	Data Management Subsystem
DPIS ICD	Data Processor Inter-subsystem Interface Control Document
DQTT	Data Quality Test Table
EPS	Effective Particle Size
IIS	Intelligence and Information Systems
INF	Infrastructure
ING	Ingest
IP	Intermediate Product
LUT	Look-Up Table
MDFCB	Mission Data Format Control Book
PRO	Processing
QF	Quality Flag
RTM	Radiative Transfer Model
SDR	Sensor Data Records
SI	Software Item or International System of Units
TBD	To Be Determined
TBR	To Be Resolved
TOA	Top of the Atmosphere
VCM	VIIRS Cloud Mask

4.0 OPEN ISSUES

Table 40. TBXs

TBX ID	Title/Description	Resolution Date
None		